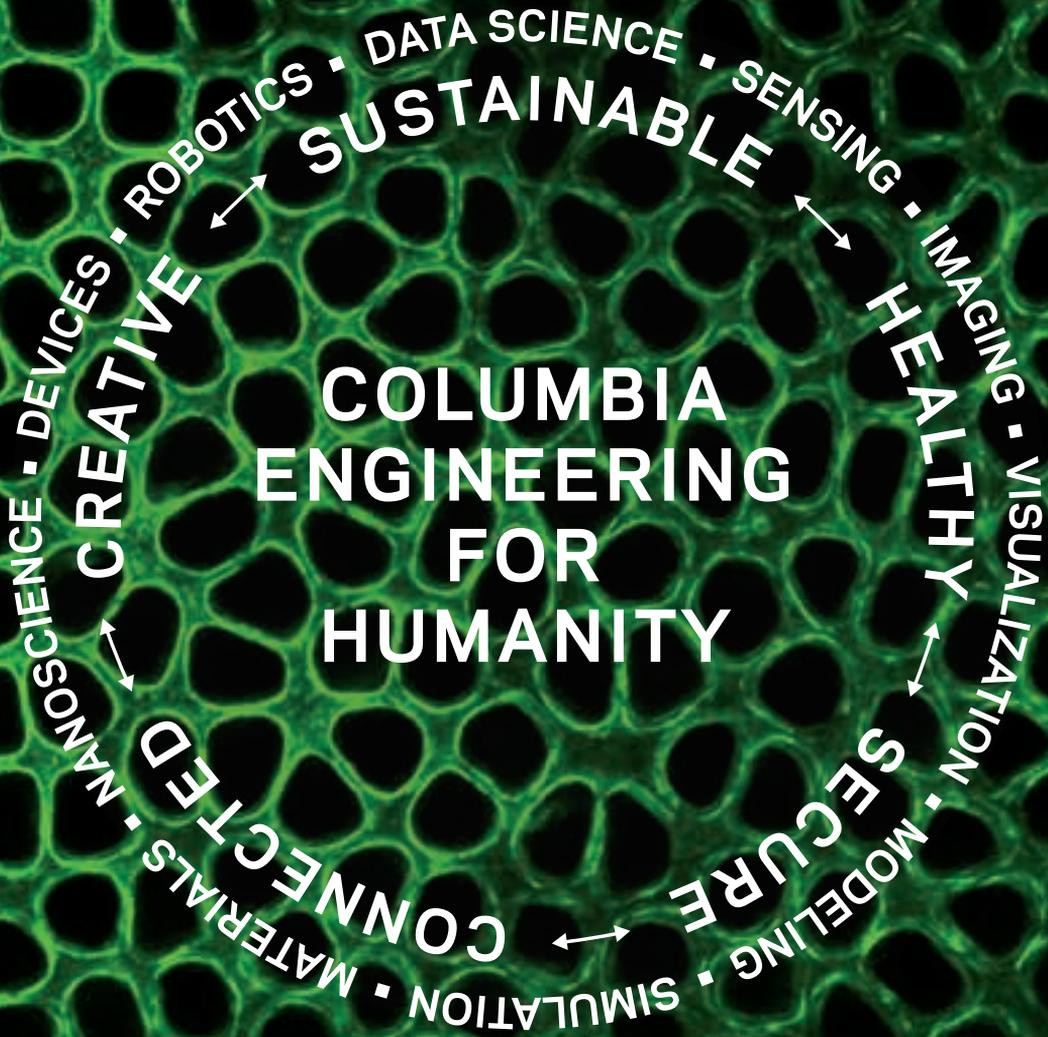




# COLUMBIA | ENGINEERING

The Fu Foundation School of Engineering and Applied Science



BULLETIN 2018-2019

## **UNDERGRADUATE ADMISSIONS**

Office of Undergraduate Admissions  
212 Hamilton Hall, Mail Code 2807  
1130 Amsterdam Avenue  
New York, NY 10027  
Phone: 212-854-2522  
Fax: 212-854-3393  
E-mail: [ugrad-ask@columbia.edu](mailto:ugrad-ask@columbia.edu)  
[undergrad.admissions.columbia.edu](http://undergrad.admissions.columbia.edu)

## **GRADUATE ADMISSIONS**

Graduate Admissions, Financial Aid, and Student Affairs  
530 S. W. Mudd, Mail Code 4708  
500 West 120th Street  
New York, NY 10027  
Phone: 212-854-6438  
Fax: 212-854-5900  
E-mail: [seasgradmit@columbia.edu](mailto:seasgradmit@columbia.edu)  
[gradengineering.columbia.edu](http://gradengineering.columbia.edu)

## **FINANCIAL AID**

Office of Financial Aid and Educational Financing  
*Office:* 618 Lerner Hall  
*Mailing:* 100 Hamilton Hall, Mail Code 2802  
1130 Amsterdam Avenue  
New York, NY 10027  
Phone: 212-854-3711  
Fax: 212-854-5353  
E-mail: [ugrad-finaid@columbia.edu](mailto:ugrad-finaid@columbia.edu)  
[cc-seas.financialaid.columbia.edu](http://cc-seas.financialaid.columbia.edu)

## **Need more information?**

You can find the contact information for the people who know in the Columbia University Resource List on pages 259–263 or visit the Columbia Engineering website, [engineering.columbia.edu](http://engineering.columbia.edu).

For the most current information, visit our online bulletin at [bulletin.engineering.columbia.edu](http://bulletin.engineering.columbia.edu).

## **Photography:**

Confocal microscope image showing elongation of a fruit fly embryo from the lab of Clare Boothe Luce Assistant Professor Karen Kasza. Cell membranes are labeled with GFP.

Superimposed over this image is the emblem of the School's new vision, Columbia Engineering for Humanity.



# COLUMBIA | ENGINEERING

The Fu Foundation School of Engineering and Applied Science



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### **Mission**

*The mission of The Fu Foundation School of Engineering and Applied Science is to expand knowledge and advance technology through research, while educating students to become leaders and innovators informed by an engineering foundation. Enriched with the intellectual resources of a global university in the City of New York, we push disciplinary frontiers, confront complex issues, and engineer innovative solutions to address the grand challenges of our time. We create a collaborative environment that embraces interdisciplinary thought, creativity, integrated entrepreneurship, cultural awareness, and social responsibility, and advances the translation of ideas into innovations that impact humanity.*

*Our mission can be encapsulated as "Transcending Disciplines, Education Leaders, Transforming Lives."*

## A MESSAGE FROM THE DEAN



**W**elcome to Columbia University's Fu Foundation School of Engineering and Applied Science.

As students here, you are among the very best and brightest of your generation. Together with a talented group of students from around the world, you are embarking on a course of study that will enable you to become the next generation of leaders. Engineering today is a foundational degree that prepares you for a wealth of pursuits, and your education here will serve you not only in fields of engineering and applied science, but in other disciplines as well.

You are joining a vibrant and intellectually challenging community, with a long history of scientific and engineering breakthroughs that have impacted our world. From the School's beginning in 1864 through today, the work of faculty, alumni, and students of Columbia Engineering has pushed disciplinary frontiers to create, invent, and innovate devices, materials, and processes to make life better.

Our first dean, Charles Frederick Chandler, served as president of New York City's Metropolitan Board of Health. In this role, he crusaded to ensure the purity of food and drugs, the safety of milk, the availability of clean water in the city, and the introduction of building codes. Today, our faculty and students continue to develop innovative solutions to the world's most challenging problems, and with entrepreneurial energy, translate their ideas into real-world solutions at an ever faster pace.

You are coming to Columbia Engineering at an extraordinary moment. In the spring of 2017, we unveiled a new strategic vision for the School—Columbia Engineering for Humanity. This vision highlights the innovative and interdisciplinary work that our faculty and

students are engaged in to create a more sustainable, healthy, secure, connected, and creative world.

This work is conducted in collaboration with our world-class sister schools in medicine, public health, architecture, science, business, policy, the social sciences, social work, journalism, even the arts and humanities. We also benefit from the resources of leading institutes such as the Zuckerman Mind Brain Behavior Institute, the Data Science Institute, The Earth Institute, the Precision Medicine Initiative, and the Columbia Nano Initiative.

Columbia Engineering is an exciting and stimulating community. I encourage you to take full advantage of the exceptional opportunities for learning and advancement that await you here.

With best wishes for the academic year,

A handwritten signature in black ink that reads "Mary C. Boyce". The signature is written in a cursive, flowing style.

Mary C. Boyce  
Dean of Engineering  
Morris A. and Alma Schapiro Professor

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# About the School and University



### A COLONIAL CHARTER

Since its founding in 1754, as King's College, Columbia University has always been an institution both of and for the City of New York. And it has always been an institution of and for engineers. In its original charter, the college stated that it would teach, among other things, "the arts of Number and Measuring, of Surveying and Navigation, . . . the knowledge of . . . Meteors, Stones, Mines and Minerals, Plants and Animals, and everything useful for the Comfort, the Convenience and Elegance of Life."

### EARLY ENGINEERS

An early and influential graduate from the School was John Stevens, Class of 1768. Instrumental in the establishment of U.S. patent law, Stevens procured many patents in early steamboat technology, operated the first steam ferry between New York and New Jersey, received the first railroad charter in the U.S., built a pioneer locomotive, and amassed a fortune, which allowed his sons to found the Stevens Institute of Technology.

### THE GILDED AGE

As the city grew, so did the School. King's College was rechartered as Columbia College in 1784, and relocated from the Wall Street area to what is now Midtown in 1857. Students began entering the new School of Mines in 1864. Trained in mining, mineralogy, and engineering, Columbia graduates continued to make their mark both at home and abroad.

Working around the globe, William Barclay Parsons, Class of 1882, was an engineer on the Chinese railway and the Cape Cod and Panama Canals. Most importantly for New York, he was chief engineer of the city's first subway. Opened in 1904, the subway's electric cars took passengers from City Hall to Brooklyn, the Bronx, and the newly renamed and relocated Columbia University in Morningside Heights.

### A MODERN SCHOOL FOR MODERN TIMES

The School of Mines became the School of Mines, Engineering, and Chemistry in 1896, and its professors—now called the Faculty of Engineering and Applied Science—included Michael Idvorsky Pupin, a graduate of the Columbia College Class of 1883. As a professor at Columbia, Pupin did pioneering work in carrier-wave detection and current analysis, with important applications in radio broadcasting. He is perhaps most famous for having invented the "Pupin coil," which extended the range of long-distance telephones.

An early student of Pupin's was Irving Langmuir. Langmuir, Class of 1903, enjoyed a long career at the General Electric research laboratory. There he invented a gas-filled tungsten lamp, contributed to the development of the radio vacuum tube, and extended Gilbert Lewis' work on electron bonding and atomic structure. His research in monolayering and surface chemistry led to a Nobel Prize in chemistry in 1932.

But early work on radio vacuum tubes was not restricted to private

industry. Working with Pupin, an engineering student named Edwin Howard Armstrong was conducting experiments with the Audion tube in the basement of Philosophy Hall when he discovered how to amplify radio signals through regenerative circuits. Armstrong, Class of 1913, was stationed in France during the First World War, where he invented the superheterodyne circuit to tune in and detect the frequencies of enemy aircraft ignition systems. After the war, Armstrong improved his method of frequency modulation (FM), and by 1931, had both eliminated the static and improved the fidelity of radio broadcasting forever. The historic significance of Armstrong's contributions was recognized by the U.S. government when the Philosophy Hall laboratory was designated a National Historic Landmark in 2003.

As the United States evolved into a major twentieth-century political power, the University continued to build onto its undergraduate curriculum the broad range of influential graduate and professional schools that define it today. Renamed once again in 1926, the School of Engineering prepared students for careers not only as engineers of nuclear-age technology, but as leaders engaged with the far-reaching political implications of that technology as well.

After receiving a master's degree from the School in 1929, Admiral Hyman George Rickover served during the Second World War as head of the electrical section of the Navy's Bureau of Ships. A proponent of nuclear sea power, Rickover directed the planning and construction of the world's first



nuclear submarine, the 300-foot-long Nautilus, launched in 1954.

### TECHNOLOGY AND BEYOND

Today, The Fu Foundation School of Engineering and Applied Science, as it was named in 1997, continues to provide leadership for scientific and educational advances. Even Joseph Engelberger, Class of 1946, the father of modern robotics, could not have anticipated the revolutionary speed with which cumbersome and expensive “big science” computers would shrink to the size of a wallet.

No one could have imagined the explosive growth of technology and its interdisciplinary impact. The Engineering School is in a unique position to take advantage of the research facilities and talents housed at Columbia to form relationships among and between other schools and departments within the University. The School’s newest department, Biomedical Engineering, with close ties to the Medical School, is but one example. Interdisciplinary centers

are the norm, with cross-disciplinary research going on in biomedical imaging, environmental chemistry, materials science, nanotechnology, digital, and new media technologies. The School and its departments have links to the Departments of Physics, Chemistry, Earth Science, and Mathematics, as well as the College of Physicians and Surgeons, the Graduate School of Journalism, Lamont-Doherty Earth Observatory, The Earth Institute, Teachers College, Columbia Business School, and the Graduate School of Architecture, Planning and Preservation. The transforming gift of The Fu Foundation has catapulted the School into the forefront of collaborative research and teaching and has given students the opportunity to work with prize-winning academicians, including Nobel laureates, from many disciplines.

### NEW RESEARCH FRONTIERS

Columbia’s technology transfer office, Columbia Technology Ventures, works with faculty inventors to commercialize ideas and brings in millions in licensing

revenue annually. Columbia Engineering faculty have been instrumental in developing some of the most successful inventions in consumer electronics, as well as establishing many of the widely accepted global standards for storage and transmission of high-quality audio and video data. Columbia is the only university actively participating in a broad range of standards-based patent pools, including AVC (Advanced Video Coding), the world standard for audio/video compression that is now one of the most commonly used HD formats and most commonly used in streaming media; and ATSC, a standard developed by the Advanced Television Systems Committee for digital television transmission. It is now the U.S. standard for recording and retrieval of data and HD audio-visual media. In addition to the standards, Columbia Engineering faculty have patents in areas as diverse as modular cameras, waste management, a search engine that matches facial features, and even methods to combat virtual reality sickness.

Increasingly, the inventions emerging from Columbia Engineering

are developed in collaboration with biomedical and other researchers, expanding the potential applications for their important work. Programs such as the Columbia Biomedical Technology Accelerator (BiomedX), PowerBridgeNY, the NYC Media Lab Combine program, and the Integrated Photonics Manufacturing Innovation Hub in Rochester, NY, are strengthening interdisciplinary capacity and fostering an entrepreneurial and inventive energy within the School. Some of these programs have helped prepare ideas for commercialization, including personalized robotics for use in physical rehabilitation and an implantable device that acts as a minimally-invasive glucose sensor for diabetic patients.

### **ENTREPRENEURSHIP**

Another exciting area at Columbia Engineering is entrepreneurship. In 2017, the School's faculty and students generated 391 inventions, almost 100 licenses and options, and 22 startup companies in all kinds of fields, from biomedical to cleantech to high-tech.

Throughout the academic year, the School hosts many activities and networking events to support its active startup community, including the Columbia Engineering Fast Pitch Competition, Columbia Venture Competition, Design Challenges, Hackathons, and the Ignition Grants program, which funds ventures started by current students.

The School's Translational Fellows Program (TFP) supports 20 percent of the salary of selected SEAS postdoctoral researchers and research scientists

for one year, providing them with the opportunity to pursue commercialization of a technology that originated in their research work here at the Engineering School. Another exciting way the School fosters entrepreneurship is with its BiomedX Program. A major goal of the program is to educate researchers, clinicians, and students about the many aspects involved in commercializing biomedical innovation.

Entrepreneurship remains an important central educational theme at Columbia Engineering. The School offers a range of programs and a 15-credit, interdisciplinary minor in entrepreneurship made up of both Engineering and Business School courses. The School also provides a four-year entrepreneurship experience for all interested Columbia Engineering students, regardless of major.

And for alumni, entrepreneurial support continues. The Columbia Startup Lab, a co-working facility located in SoHo, provides subsidized space for Columbia alumni entrepreneurs to house and nurture their fledgling ventures. The Lab is the result of a unique partnership between the deans of Columbia College and the Schools of Business, Engineering, Law, and International and Public Affairs.

### **A FORWARD-LOOKING TRADITION**

But, for all its change, there is still a continuous educational thread that remains the same. Columbia Engineering still remains an institution of manageable size within a great university. Committed to the educational

philosophy that a broad, rigorous exposure to the liberal arts provides the surest chart with which an engineer can navigate the future, all undergraduates must complete a modified but equally rigorous version of Columbia College's celebrated Core Curriculum. It is these selected courses in contemporary civilization in the West and other global cultures that best prepare a student for advanced coursework; a wide range of eventual professions; and a continuing, life-long pursuit of knowledge, understanding, and social perspective. It is also these Core courses that most closely tie today's student to the alumni of centuries past. Through a shared exposure to the nontechnical areas, all Columbia Engineering students—past, present, and future—gain the humanistic tools needed to build lives not solely as technical innovators, but as social and political ones as well.

### A COLLEGE WITHIN THE UNIVERSITY

A unique educational opportunity, The Fu Foundation School of Engineering and Applied Science at Columbia University offers programs to both undergraduate and graduate students who undertake a course of study leading to the bachelor's, master's, or doctoral degree in engineering and applied science. Combining the advantages of a small college with the extensive resources of a major research university, students at Columbia Engineering pursue their academic interests under the guidance of outstanding senior faculty members who teach both undergraduate and graduate level courses. Encouraged by the faculty to undertake research at all levels, students at the School receive the kind of personal attention that only Columbia's exceptionally high faculty-student ratio affords.

### THE NEW YORK ADVANTAGE

Besides the faculty, the single greatest facility at a Columbia student's disposal is without doubt the City of New York. Within easy reach by walking, bus, subway, or taxi, New York's broad range of social, cultural, and business communities offer an unparalleled opportunity for students to expand their horizons or deepen their understanding of almost any human endeavor imaginable. With art from small Chelsea galleries to major museums; music from Harlem jazz clubs to the Metropolitan Opera; theater from performance art in the East Village to musicals on Broadway; food

from around the world; and every sport imaginable, New York is the crossroads of the world.

New York is a major player in high-tech research and development, where Fortune 500 companies traded on Wall Street seek partnerships with high-tech startups in Tribeca and Brooklyn. As part of the research community themselves, Columbia students have exceptional opportunities for contact with industry both on and off campus. Senior representatives of these companies often visit Columbia to lecture as adjunct faculty members or as guest speakers, and undergraduate and graduate students frequently undertake research or internships with these and other companies, oftentimes leading to offers of full-time employment after graduation.

In addition to its ties to private industry, Columbia also has a historically close relationship with the public sector of New York, stretching back to the eighteenth century. No other city in the world offers as many impressive examples of the built environment—the world's most famous collection of skyscrapers, long-span bridges, road and railroad tunnels, and one of the world's largest subway and water supply systems. Involved in all aspects of the city's growth and capital improvements over the years, Columbia engineers have been responsible for the design, analysis, and maintenance of New York's enormous infrastructure of municipal services and communications links, as well as its great buildings, bridges, tunnels, and monuments.

### THE UNIVERSITY AT LARGE

Columbia University occupies three major campuses, as well as additional special-purpose facilities throughout the area. Besides the main campus located on the Upper West Side in Morningside Heights is Manhattanville, the newest addition to Columbia University. This open and environmentally sustainable campus will grow over the next decade to encompass more than 17 acres. Further uptown in Washington Heights is the Columbia University Medical Center (CUMC), which includes Columbia's College of Physicians and Surgeons, the Mailman School of Public Health, the New York State Psychiatric Institute, College of Dental Medicine, and School of Nursing. Columbia Medical Center is the world's first academic medical center, and opened in 1928 when Columbia's health-related schools and Presbyterian Hospital (now NewYork-Presbyterian Hospital) moved to the Washington Heights location. Columbia Engineering's Biomedical Engineering Department has offices on both the Morningside campus and CUMC.

Beyond its schools and programs, the measure of Columbia's true breadth and depth must take into account its seventy-odd internationally recognized centers and institutions for specialized research. These centers study everything from human rights to molecular recognition and hold close affiliations with Teachers College, Barnard College, the Juilliard School, and both the Jewish and Union Theological Seminaries. Columbia also maintains major off-campus facilities

such as the Lamont-Doherty Earth Observatory in Palisades, NY, and the Nevis Laboratories in Irvington, NY. Involved in many cooperative ventures, Columbia also conducts ongoing research at such facilities as Brookhaven National Laboratory in Upton, NY, and the NASA Goddard Institute for Space Studies located just off the Morningside campus.

### THE MORNINGSIDE HEIGHTS CAMPUS

The Fu Foundation School of Engineering and Applied Science is located on Columbia's Morningside campus. One of the handsomest urban institutions in the country, the 13.1 million gross square feet (gsf) of the Morningside campus comprise more than 200 buildings of housing; off-campus apartments and commercial buildings; recreation and research facilities; centers for the humanities and social and pure sciences; and professional schools in architecture, business, the fine arts, journalism, law, and many other fields.

### MANHATTANVILLE CAMPUS

From Broadway and 125th Street West to a revitalized Hudson River waterfront, Columbia's 17-acre Manhattanville campus will be a welcoming environment of publicly accessible open space, tree-lined streets, neighborhood-friendly retail, and innovative academic buildings that invite community engagement. The Jerome L. Greene Science Center, home to Columbia's Mortimer B. Zuckerman Mind Brain Behavior Institute, and the Lenfest Center for the Arts opened in spring 2017 and will be joined by the University Forum, a venue for hosting academic conferences, meetings, and symposia; and Columbia Business School by 2021. These spaces will house cutting-edge research and teaching in brain science, an art gallery, screening room, and performance spaces, and space for active community engagement. The Zuckerman Mind Brain Behavior Institute includes several Columbia Engineering faculty members and serves as a thriving hub where faculty and students from across Columbia, scholars from around the world, and members of the

local community come together in the search for new insights about ourselves, exploring the complexities of the human mind and brain. The Institute will also house a community wellness center and an education lab to give hands-on experience in science to local students.

### THE FU FOUNDATION SCHOOL OF ENGINEERING AND APPLIED SCIENCE

The Fu Foundation School of Engineering and Applied Science occupies four laboratory and classroom buildings at the north end of the campus, including the Northwest Corner Science and Engineering Building, an interdisciplinary teaching and research building on the Morningside campus. It was designed by the world-renowned architect Jose Rafael Moneo to serve as a physical and intellectual bridge, linking laboratories and maximizing the ready sharing and exchange of ideas, resources, and information. The building enables researchers across the University to work together to create new areas of knowledge, in fields where the biological, physical, and digital worlds fuse. This pandisciplinary frontier is the nexus at which our advances will provide innovative solutions to some of modern society's most challenging problems in a wide range of sectors, from health to cybersecurity, from smart infrastructure to the environment.

Supporting multiple programs of study, the School's facilities are specifically designed and equipped to meet the laboratory and research needs of both undergraduate and graduate students. The School is also the site of an almost overwhelming array of basic and advanced research installations, such as the Columbia Genome Center and the Columbia Nano Initiative, established to serve as the hub for multidisciplinary and collaborative research programs in nanoscale science and engineering. Shared facilities and equipment to support nano research at the Engineering School include a state-of-the-art clean room in the Schapiro Center for Engineering and Physical Science Research (CEPSR) and a Transmission Electron Microscope (TEM) Laboratory on the first floor of Havemeyer.

Among this group of advanced research opportunities is the Columbia Data Science Institute. Founded in 2012 by Columbia Engineering, the Data Science Institute is a University-wide resource that spans nine schools, including Journalism, the Graduate School of Arts and Sciences, and Columbia University Medical Center. The mission of the Data Science Institute is to train data science innovators and develop ideas for the social good.

Details about specific programs' laboratories and equipment can be found in the sections describing those programs.

### Columbia Engineering Computing Facilities

The Botwinick Multimedia Learning Laboratory at Columbia University has redefined the way engineers are educated here.

Designed with both education and interaction in mind, the lab provides students and instructors with:

- 48 desktops
- A full set of professional-grade engineering software tools
- A collaborative classroom learning environment to help students engage in real-world interactions with community clients, Engineering faculty, and professional practitioners.

The lab is utilized in some of the School's introductory first-year engineering projects, as well as advanced classes in modeling and animation, technology and society, and entrepreneurship.

### The Makerspace

Columbia Engineering's Makerspace provides students with a dedicated place to collaborate, learn, explore, experiment, and create prototypes. Students can utilize the space to work on a variety of innovative projects, including independent or group design projects, product development, and new venture plans. Located on the twelfth floor of the Mudd Building, this facility fosters student creativity by bringing together the workspace and tools for computer-aided design, physical prototyping, fabric arts, woodworking, electronics, and software. The School

has plans under way to expand the Makerspace in 2018.

### Carleton Commons

Located on the fourth floor (campus level) of the Mudd Building, Carleton Commons and Blue Java Café comprise 3,200 square feet with seating for 160 and areas for casual meetings, individual and group work, and quiet study. Carleton Commons gives students a dedicated and comfortable space to gather, relax between classes, or meet and work with one another on problem sets or projects. The new design also enables flexible and reconfigurable use of the space for larger gatherings and special events.

### CENTRAL COMPUTING RESOURCES

#### Columbia University Information Technology (CUIT)

Service Desk Support Center  
202 Philosophy Hall  
Monday–Friday: 10:00 a.m.–6:00 p.m.

Phone: 212-854-1919  
Monday–Thursday: 8:00 a.m.–11:00 p.m.  
Friday: 8:00 a.m.–7:00 p.m.  
Saturday: 10:00 a.m.–6:00 p.m.  
Sunday: 3:00 p.m.–11:00 p.m.  
Email: askcuit@columbia.edu  
cuit.columbia.edu/support

CUIT provides Columbia University students, faculty, and staff with myriad central computing and communications services, including Columbia’s wireless and high-speed campus Ethernet network, available to all students in residence hall rooms. CUIT also manages an array of computer labs, terminal clusters, ColumbiaNet kiosk stations, electronic classrooms, and provides a variety of technical support services via the CUIT Service Desk. A detailed list of CUIT’s services is available at [cuit.columbia.edu/services](http://cuit.columbia.edu/services).

In brief, CUIT services include the following:

- *Exchange accounts and LionMail*: web-based program for accessing Columbia email, providing a secure and convenient way to send and receive email from anywhere using any web browser.

- *Computer account IDs*: access to Columbia’s secure online information resources, campus computer labs, and printing on CUIT printers. All Columbia students, faculty, and staff are assigned an ID account (called University ID or UNI).
- *Columbia’s website*: access to hundreds of online services and resources, including extensive academic, scholarly, and administrative resources, myriad library catalogs and references, the Directory of Classes, registration information, campus publications, and events listings.
- *Technical support*: available through the CUIT Service Desk, which provides technical assistance to students, faculty, and staff via email, phone or in person at the Morningside campus walk-in center (see beginning of this section for hours and contact information).
- *Canvas*: the University course management system, which allows instructors to easily develop and maintain course websites, distribute class materials, link to online reserves, administer quizzes and tests, communicate with students, and promote online discussions. Students can use a mobile app to access the system on the go.
- *Classroom Technologies*: equipped with multimedia capabilities such as a podium computer, laptop connections, projection, and audio reinforcement systems. Select rooms support microphones and wireless video display features.
- *Kiosk computers*: available in various locations around the Morningside campus for accessing Columbia’s online resources, facilitating printing, email, and productivity software.
- *Computer labs*: provide students, faculty, and researchers with access to a range of software. Some locations have consultants to provide lab help.
- *PawPrint*: available throughout the Morningside campus, Teachers College, and Barnard College. These high-speed, high-volume printers are located in CUIT computer labs, libraries, residence halls, student lounges, and classrooms.
- *Computer security*: CUIT provides resources online, including links to download antivirus and anti-spyware

software. The site also provides information on how to protect your system, data, and privacy when working online.

- *Digital Social Science Center*: CUIT and the Libraries jointly provide computing support for researchers with data-intensive applications, including statistical software, and finding and selecting appropriate data.
- *Telephone and cable TV service*: cable and wired telephones are available in common spaces to students living in University residence halls.

### COLUMBIA UNIVERSITY LIBRARIES

Phone: 212-851-2950  
Email: [ref-sci@columbia.edu](mailto:ref-sci@columbia.edu)  
[library.columbia.edu](http://library.columbia.edu)

Columbia University Libraries (CUL) is one of the top five academic research library systems in North America. The collections include 13 million volumes, 160,000 current serial subscriptions, as well as extensive electronic resources, manuscripts, rare books, microforms, maps, graphic and audio-visual materials. The services and collections are organized into 17 libraries and various academic technology centers. The Libraries employ more than 550 professional and support staff. The website of the Libraries is the gateway to its services and resources.

The Science & Engineering Library, located in 401 Northwest Corner Building, focuses on research support for the fields of astronomy, biology, chemistry, engineering, physics, and psychology, as well as providing a collaborative environment supporting rapidly expanding interdisciplinary science and engineering research. The Science and Engineering Library is home to the Digital Science Center, where high-end computers are especially equipped with software and hardware to support teaching, learning, research, and innovation in the science and engineering disciplines. Group study, individual carrels, and staff consultation spaces along with printing and scanning facilities are included in this library, which offers spectacular views of the Columbia campus and Morningside Heights.

Online, CUL provides access to

extensive collections of electronic journals, ebooks, handbooks, standards, patents, and society publications. Databases such as Compendex, INSPEC, Scopus, and Web of Science help patrons to pinpoint relevant engineering and science research.

## CENTER FOR CAREER EDUCATION

East Campus, Lower Level  
Mailing: 2960 Broadway, MC 5727  
Delivery: 70–74 Morningside Drive  
New York, NY 10027

Phone: 212-854-5609  
Fax: 212-854-5640  
Email: [careereducation@columbia.edu](mailto:careereducation@columbia.edu)  
[careereducation.columbia.edu](http://careereducation.columbia.edu)

The Columbia University Center for Career Education (CCE) helps undergraduate and graduate students and alumni develop the key competencies to make informed decisions and take the necessary steps to achieve their career goals. CCE establishes connections and facilitates interaction among students, alumni, and employers to generate opportunities that help students pursue their personal and professional career objectives.

CCE encourages students and alumni to create their profile in LionSHARE, CCE's job and internship database; visit us at the Career Center; and review our website to access a wide range of services and resources. CCE develops relationships with employers to connect students with internships, full-time, part-time, and temporary on- and off-campus employment opportunities throughout the year. In addition, CCE provides career development opportunities for students beginning in their first

year at Columbia, offering externships, internships, résumé and interview preparation, site visits to employers, career fairs, alumni-student networking events, and individual counseling. Highlights among employer events include the Engineering Career Fair in the fall and specialized industry showcases tailored to department and student club needs throughout the year.

CCE has also developed formal externship and internship and professional development programs in partnership with alumni and employers, including the Science Technology Engineering Program, the Virtual Internship Program, the Startup Internship Program, Columbia Arts Experience, and the Kenneth Cole Community Action Program. Through our Columbia Experience Overseas program, we offer summer internships in cities around the world. Alumni mentors are assigned to all students participating in these formal internship programs. CCE also administers the Work Exemption Program, the Columbia Engineering Internship Fund, and the Startup Internship Fund. Some of these programs are open to all students while others are open only to undergraduate students. Connect with the CCE to learn about eligibility requirements.

We welcome your visit to the Center for Career Education in person at East Campus or via our website at [careereducation.columbia.edu](http://careereducation.columbia.edu) to learn more about our programs and resources.

## STUDENTS AND SCHOLARS OFFICE (ISSO)

International House North  
524 Riverside Drive, Ground Floor

Phone: 212-854-3587  
Email: [isso@columbia.edu](mailto:isso@columbia.edu)  
[isso.columbia.edu](http://isso.columbia.edu)

Columbia University, continuing its tradition as a preeminent world center of learning, welcomes more than 15,000 students, postgraduate interns, researchers, professors and accompanying family members from more than 160 countries.

The ISSO serves the immigration and documentation-related needs of students and scholars at Columbia, beginning with the issuance of Certificates of Eligibility (form I-20/DS-2019) that admitted students need to apply for F and J student visas.

After students arrive on campus, ISSO advisers take part in the students' school or department's new student orientation program by providing an immigration information session.

Throughout the year, we monitor federal regulations relevant to international students' stay at Columbia and broadcast timely alerts and updates through the ISSO website and ISSOnews email messages.

The ISSO provides:

- travel signatures on I-20s for students who will travel and return from a trip abroad during their program
- employment-related workshops and webinars
- documentation needed for Social Security number applications
- information sessions by nationally recognized immigration attorneys on employment-based visas after student status
- tax workshops (February–April)
- tax preparation software for international students who are nonresidents for federal tax purposes

# Undergraduate Studies



The undergraduate programs at Columbia Engineering not only are academically exciting and technically innovative but also lead into a wide range of career paths for the educated citizen of the twenty-first century. Whether you want to become a professional engineer, work in industry or government, or plan to pursue a career in the physical and social sciences, medicine, law, business, or education, Columbia Engineering will provide you with an unparalleled education.

The School firmly believes that students gain the most when engineering is brought up front, early in the four-year curriculum. Therefore, each first-year student takes the Art of Engineering, which addresses the fundamental concepts of math and science in an engineering context, as well as nontechnical issues in professional engineering practice such as ethics and project management. Students in the Art of Engineering choose a half-semester, hands-on project in one of the School's nine undergraduate engineering disciplines, followed by a half-semester general project that changes each year. Depending on the project chosen, students will solder, 3D print, laser cut, simulate, design websites, and much more. These skills are further developed as students progress toward their senior year projects. Since the fall of 2014, Columbia Engineering students have been able to utilize the School's brand new Makerspace, a collaborative environment where students can learn, explore, experiment, and create prototypes.

While pursuing their own interests, undergraduate students are encouraged to participate in a broad range of ongoing faculty research projects encompassed by the Student Research Program. Students can apply for available research positions in Columbia Labs through the website at [studentresearch.engineering.columbia.edu](http://studentresearch.engineering.columbia.edu).

In addition to in-depth exploration of engineering and applied science, Columbia Engineering undergraduates explore the humanities and social sciences with Columbia College students through intellectually challenging Core Curriculum courses taught by the Faculty of Arts and Sciences. These courses in art, literature, music, major cultures, and economics, among others, provide students with a broad, intellectually disciplined, cultural perspective on the times they live in and the work they do.

### **POLICY ON DEGREE REQUIREMENTS**

The Committee on Instruction and faculty of The Fu Foundation School of Engineering and Applied Science review degree requirements and curricula matters each year, and the bulletin reflects these faculty recommendations and curricular changes in its yearly reprinting. School policy requires students to fulfill all general degree requirements as stated in the bulletin of the first year of their matriculation into the School. Students declare their major during the first semester of their sophomore year. Requirements for the

major or minor are in accordance with the bulletin during the year in which the student declares the major or minor.

### **THE FIRST-YEAR/SOPHOMORE PROGRAM**

Students entering Columbia Engineering are encouraged to consider the wide range of possibilities open to them, both academically and professionally. To this end, the first and second years of the four-year undergraduate program comprise approximately half the total number of credits required for the degree that expose students to a cross-fertilization of ideas from different disciplines within the University. The sequence of study proceeds from an engagement with engineering and scientific fundamentals, along with humanities and social sciences, toward an increasingly focused training in the third and fourth years designed to give students mastery of certain principles and arts central to engineering and applied science.

### **Liberal Arts Core for Columbia Engineering Students: 27-Point Nontechnical Requirement**

This requirement provides a broad liberal arts component that enhances the Engineering professional curriculum to help students meet the challenges of the twenty-first century. Our students are destined to be leaders in their professions and will require sophisticated communication, planning, and management skills. The Committee on Instruction established the School's nontechnical requirement so that

students would learn perspectives and principles of the humanities and social sciences as part of a well-rounded and multiperspective education. Through discussion, debate, and writing, students improve their abilities to engage in ethical, analytic, discursive, and imaginative thinking that will prove indispensable later in life.

- Engineering students must take 16 to 18 points of credit of required courses in list A and 9 to 11 elective points chosen from the approved courses in list B. The total combined number of nontechnical points (from lists A and B, below) must add up to at least 27. Neither list can be modified by advising deans or faculty advisers.
- Advanced Placement (AP) credit in appropriate subject areas can be applied toward the 9-point elective nontechnical requirement and for Principles of Economics.

**A. Required Nontechnical Courses** (16–18 points of credit)

These courses must be taken at Columbia.

1. ENGL CC1010: University writing (3 points)
2. One of the following two-semester sequences: HUMA CC1001-CC1002: Masterpieces of Western literature and philosophy (All students registering for this course should be prepared to discuss books 1–12 of the Iliad on the first day of class) or COCI CC1101-CC1102: Introduction to contemporary civilization in the West or Global Core: Any 2 courses from approved list (6–8 points) If electing Global Core, students must take two courses from the List of Approved Courses (college.columbia.edu/sites/default/files/global\_core.pdf) for a letter grade.
3. One of the following two courses: HUMA UN1121: Masterpieces of Western art, or HUMA UN1123: Masterpieces of Western music (3 points)
4. ECON UN1105: Principles of economics. (This course can be satisfied through Advanced Placement; see the Advanced Placement chart on page 14.) Note: Engineering students may not take BC1003: Introduction to economic

reasoning as a substitute for ECON UN1105. (4 points)

**B. Elective Nontechnical Courses** (9–11 points of credit)

The following course listing by department specifies the Columbia College, Barnard, or Columbia Engineering courses that either fulfill or do not fulfill the nontechnical requirement.

(Professional, workshop, lab, project, scientific, studio, music instruction, and master’s-level professional courses do not satisfy the 27-point nontechnical requirement.)

**AFRICAN-AMERICAN STUDIES:** All courses

**AMERICAN STUDIES:** All courses

**ANCIENT STUDIES:** All courses

**ANTHROPOLOGY:** All courses in sociocultural anthropology

All courses in archaeology except field work  
No courses in biological/physical anthropology [UN1010, UN1011, UN3204, UN3940, GU4147-GU4148, GU4200, GU4700]

**ARCHITECTURE:** No courses

**ART HISTORY AND ARCHEOLOGY:**

All courses

**ASIAN AMERICAN STUDIES:** All courses

**ASTRONOMY:** No courses

**BIOLOGICAL SCIENCES:** No courses

**BUSINESS:** No courses

**CHEMISTRY:** No courses

**CLASSICS:** All courses

**COLLOQUIA:** All courses

**COMPARATIVE ETHNIC STUDIES:** All courses

**COMPARATIVE LITERATURE AND SOCIETY:** All courses

**COMPUTER SCIENCE:** No courses

**CREATIVE WRITING:** All courses  
(This is an exception to the workshop rule.)

**DANCE:** All courses except performance classes

**DRAMA AND THEATRE ARTS:** All courses except workshops, rehearsal, or performance classes, THTR BC2120 Technical production, THTR BC3135 Set design, and THTR BC3134 Lighting design

**EARTH AND ENVIRONMENTAL SCIENCES:** No courses

**EAST ASIAN LANGUAGES AND CULTURE:**

All courses

**ECOLOGY, EVOLUTION, AND ENVIRONMENTAL BIOLOGY:**

No courses except EEEB GU4321 or GU4700

**ECONOMICS:** All courses except

UN3025 Financial economics

UN3211 Intermediate microeconomics

UN3213 Intermediate macroeconomics

UN3412 Introduction to econometrics

GU4020 Economics of uncertainty and information

GU4211 Advanced microeconomics

GU4213 Advanced macroeconomics

GU4251 Industrial organization

GU4280 Corporate finance

GU4412 Advanced econometrics

GU4415 Game theory

GU4911 Seminar in microeconomics

GU4913 Seminar in macroeconomics

GU4918 Seminar in econometrics

BC1003 Introduction to economic reasoning (equivalent to ECON UN1105)

BC1007 Mathematical methods for economics

BC2411 Statistics for economics

BC3014 Entrepreneurship

BC3018 Econometrics

BC3033 Intermediate macroeconomic theory

BC3035 Intermediate microeconomic theory

BC3038 International money and finance

**EDUCATION:** All courses

**ENGINEERING:** Only

BMEN E4010 Ethics for biomedical engineers

CHEN E4020 Protection of industrial and intellectual property

EEHS E3900 History of telecommunications

**ENGLISH AND COMPARATIVE LITERATURE:** All courses

**FILM STUDIES:** All courses except lab courses, and

UN3920 Senior seminar in screenwriting

UN2400 The film medium: script analysis

**FRENCH AND ROMANCE PHILOLOGY:**

All courses

**GERMANIC LANGUAGES:** All courses

**GREEK:** All courses

**HISTORY:** All courses

**HISTORY AND PHILOSOPHY OF SCIENCE:**

All courses

**HUMAN RIGHTS:** All courses

**ITALIAN:** All courses

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**JAZZ STUDIES:** All courses

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**LATIN:** All courses

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**LATINO STUDIES:** All courses

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**LINGUISTICS:** All courses except CLLN  
GU4202

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**MATHEMATICS:** No courses

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**MEDIEVAL AND RENAISSANCE STUDIES:**  
All courses

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**MIDDLE EASTERN AND ASIAN LANGUAGE  
AND CULTURES:** All courses

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**MUSIC:** All courses except performance  
courses, instrument instruction courses, and  
workshops

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**PHILOSOPHY:** All courses except  
UN1401 Introduction to logic  
UN3411 Symbolic logic  
GU4137 Nonclassical logics  
GU4431 Introduction to set theory  
GU4424 Modal logic  
CSPH GU4801 Mathematical logic I  
CSPH GU4802 Incompleteness results in logic  
Courses in logic

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**PHYSICAL EDUCATION:** No courses

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**PHYSICS:** No courses

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**POLITICAL SCIENCE:** All courses except  
UN3220 Logic of collective choice  
UN3704 Data analysis and statistics for political  
science research  
UN3720 Scope and methods  
GU4730 Game theory and political theory  
GU4732 Research topics in game theory  
GU4791 Advanced topics in quantitative  
research  
GU4792 Advanced topics in quantitative  
research  
GU4700 Math methods for political science  
GU4765 Design and analysis of sample surveys  
GU4768 Experimental research: design, analy-  
sis and interpretation  
GU4710 Principles of quantitative political  
research  
GU4711 Analysis of political data  
GU4712 Multivariate political analysis

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**RELIGION:** All courses

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**SLAVIC LANGUAGES:** All courses

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**SOCIOLOGY:** All courses except  
SOCI UN3020 Social Statistics

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**SPANISH AND PORTUGUESE:** All courses

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**SPEECH:** No courses

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**STATISTICS:** No courses

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**SUSTAINABLE DEVELOPMENT:** No courses

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**URBAN STUDIES:** All courses

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**VISUAL ARTS:** No more than one course,  
which must be at the 3000-level or higher (This  
is an exception to the workshop rule.)

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**WOMEN AND GENDER STUDIES:** All  
courses

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### Music Instruction Courses

Music instruction and performance  
courses do not count toward the 128  
points of credit required for a B.S.  
degree. Please note that this includes  
courses taken at Teachers College,  
Columbia College, and the School of  
the Arts.

### Visual Arts Courses

Students are allowed to take courses in  
the Visual Arts Department for general  
credit to be applied toward the B.S.  
degree. However, no more than one  
visual arts course, which must be  
taken at the 3000 level or higher, may  
count toward the nontechnical elective  
requirement. This 3000 course is an  
exception to the rule that no workshop  
classes can fulfill the nontech elective  
requirement.

### Technical Course Requirements

The prescribed First Year–Sophomore  
Program curriculum requires students  
to complete a program of technical  
coursework introducing them to  
five major areas of technical inquiry:  
engineering, mathematics, physics,  
chemistry, and computer science.

All first-year Engineering  
undergraduate students take ENGI  
E1102: The art of engineering (4  
points). In this course, students see  
how their high school science and  
math knowledge can be applied in  
an engineering context to solve real-  
world problems through classroom  
presentations and participation in an  
in-depth, hands-on project. Along  
the way, guest lecturers discuss  
social implications of technology,  
entrepreneurship, project management,  
and other important nontechnical issues  
affecting the practicing engineer.

While students need not officially  
commit to a particular branch of  
engineering until the third semester, most  
programs recommend, and in some

cases may require, that particular courses  
be taken earlier for maximum efficiency  
in program planning. For information  
concerning these requirements, students  
should turn to the individual program  
sections in this bulletin.

### Professional Development

#### Professional-Level Courses

The courses listed below may be  
taken by first- and second-year  
students. Some departments require  
one of these courses; please consult  
with departmental charts for more  
information.

The courses stipulate minimal  
prerequisites. Each course serves as  
an introduction to the area of study  
in addition to teaching the subject  
matter. Each course is taught by regular  
department faculty and thus provides a  
double introduction to both subject area  
and faculty.

The courses are:

#### BMEN E1001x Engineering in medicine

*Not offered in 2018–2019.*

The present and historical role of engineering  
in medicine and health care delivery. Engineering  
approaches to understanding organismic and  
cellular function in living systems. Engineering in  
the diagnosis and treatment of disease. Medical  
imaging, medical devices: diagnostic and surgical  
instruments, drug delivery systems, prostheses,  
artificial organs. Medical informatics and  
organization of the health care system. Current  
trends in biomedical engineering research.

#### CHEN E2100x Introduction to chemical engineering

Serves as an introduction to the chemical  
engineering profession. Students are exposed  
to concepts used in the analysis of chemical  
engineering problems. Rigorous analysis of  
material and energy balances on open and  
closed systems is emphasized. An introduction  
to important processes in the chemical and  
biochemical industries is provided.

#### CIEN E3000y The art of structural design

Basic scientific and engineering principles used  
for the design of buildings, bridges, and other  
parts of the built infrastructure. Application of these  
principles to the analysis and design of a number  
of actual large-scale structures. History of major  
structural design innovations and the engineers who  
introduced them. Critical examination of the unique  
aesthetic/artistic perspectives inherent in structural  
design. Management, socioeconomic, and ethical  
issues involved in the design and construction of  
large-scale structures. Recent developments in  
sustainable engineering, including green building  
design and adaptable structural systems.

**EAAE E2100x A better planet by design**

Introduction to design for a sustainable planet. Scientific understanding of the challenges. Innovative technologies for water, energy, food, materials provision. Multiscale modeling and conceptual framework for understanding environmental, resource, human ecological, and economic impacts and design performance, evaluation. Focus on linkages between planetary, regional, and urban waste, energy, mineral, food, climate, economic, and ecological cycles. Solution strategies for developed and developing country settings.

**ELEN E1201x and y Introduction to electrical engineering**

Basic concepts of electrical engineering. Exploration of selected topics and their application. Electrical variables, circuit laws, nonlinear and linear elements, ideal and real sources, transducers, operational amplifiers in simple circuits, external behavior of diodes and transistors, first order RC and RL circuits. Digital representation of a signal, digital logic gates, flip-flops. A lab is an integral part of the course.

**MECE E1001x Mechanical engineering: micro-machines to jumbo jets**

*Not offered in 2018–2019.*

The role of mechanical engineering in developing many of the fundamental technological advances on which today's society depends. Topics include airplanes, automobiles, robots, and modern manufacturing methods, as well as the emerging fields of micro-electro-mechanical machines (MEMS) and nanotechnology. The physical concepts that govern the operation of these technologies will be developed from basic principles and then applied in simple design problems. Students will also be exposed to state-of-the-art innovations in each case study.

**Professional-Development and Leadership Program**

The Professional Development and Leadership (PDL) course educates students to maximize performance and achieve their full potential to become engineering leaders. Core sessions focus on development of skills and perspectives needed to compete and succeed in a fast-changing technical climate. Topics include professional portfolio (resume, cover letter, business writing, social media presence), communication skills, leadership, ethics, life management (stress, sleep, and time management) and more. Elective sessions include dressing for success, improvisation, Python, Git/GitHub, and conflict resolution and negotiations.

**Physical Education**

Two terms of physical education (*UN1001-UN1002*) are a degree requirement for Columbia Engineering students. No more than 4 points of physical education courses may be counted toward the degree. One point of the physical education requirement can be fulfilled with a Barnard physical education course or a Barnard dance studio/technique course. A student who intends to participate in an intercollegiate sport should register for the appropriate section of *UN1005: Intercollegiate athletics*. Intercollegiate athletes who attend regularly receive 1 point of credit up to the maximum of 4. Students who are advised to follow a restricted or adapted activity program should contact the Director of Physical Education and Recreation. The physical education program offers a variety of activities in the areas of aquatics, fitness, martial arts, individual and dual sports, team sports, and outdoor education. Most activities are designed for the introductory/beginner levels. Intermediate/advanced courses are indicated on the schedule.

The majority of the activities are offered in ten time preferences. Additionally, there are early-morning conditioning activities, Friday-only classes at Baker Athletics Complex, and special courses that utilize off-campus facilities during weekends and vacation periods. The courses offered by the department for each term are included in the online Directory of Classes, and a description of the scheduled activities for each time preference is posted on the [perc.columbia.edu](http://perc.columbia.edu) website. Students may only register for one section of physical education each term.

**Advanced Placement**

Prior to entering Columbia, students may have taken Advanced Placement examinations through the College Entrance Examination Board (CEEB) in a number of technical and nontechnical areas. A maximum of 16 points may be applied. Students may be assigned to an advanced level course in mathematics or physics based on their AP scores.

In the required pure science areas, the number of advanced placement academic credits awarded to students of engineering and applied science varies from the levels

awarded for liberal arts programs, notably in mathematics, physics, chemistry, and computer science. The benefit of advanced placement is acceleration through certain First Year–Sophomore Program requirements and thus the opportunity of taking specialized courses earlier.

Each year the school reviews the CEEB advanced placement curriculum and makes determinations as to appropriate placements, credit, and/or exemption. Please see the Advanced Placement Credit Chart.

**International Baccalaureate (IB)**

Entering students may be granted 6 points of credit for each score of 6 or 7 on IB Higher Level Examinations if taken in disciplines offered as undergraduate programs at Columbia. Students should consult their adviser at the James H. and Christine Turk Berick Center for Student Advising for further clarification.

**British Advanced Level Examinations**

Students with grades of A or B on British Advanced Level examinations may be granted 6 points of credit if the examinations were taken in disciplines offered as undergraduate programs at Columbia University. The appropriate transcript should be submitted to the James H. and Christine Turk Berick Center for Student Advising, 403 Lerner.

**Other National Systems**

Students whose secondary school work was in other national systems, such as the French Baccalauréat, may be granted credit in certain disciplines for sufficiently high scores. The appropriate transcript should be submitted to the James H. and Christine Turk Berick Center for Student Advising, 403 Lerner.

**STUDY ABROAD**

Engineering today is a global profession. Study abroad allows engineering students to discover the field through the perspective of engineers working in a different language and culture, enabling them to learn the relationship of culture to science and develop the range of transferable skills that employers are seeking today. Study abroad will help students develop intellectually, emotionally, culturally, and socially.

## Advanced Placement Credit Chart

In order to receive AP credit, students must be in possession of appropriate transcripts or scores and send official score reports to Columbia. The CEEB code is 2116.

Subject	AP Score	AP Credit	Requirements or Placement Status Credit
Art History	5	3	No exemption from HUMA UN1121
Biology	5	3	No exemption
Chemistry	4 or 5 4 or 5	3 6	Requires completion of CHEM UN1604 with grade of C or better Requires completion of CHEM UN2045-UN2046 with grade of C or better
Computer Science A	4 or 5	3	Exemption from COMS W1004
Principles	4 or 5	3	Exemption from COMS W1001
Economics Micro & Macro	5 and 4	4*	Exemption from ECON UN1105. Exams must be taken in both micro and macro, with a score of 5 in one and at least 4 in the other.
English Language and Composition	5	3	No exemption
Literature and Composition	5	3	No exemption
French Language	4 or 5	3	
Literature	4 or 5	3	
German Language	4 or 5	3	
Government and Politics United States	4 or 5	3*	Exemption from POLS UN1201. Credit is awarded upon completion of 3000 level (or higher) course in the American politics subfield with a C or higher
Comparative	4 or 5	3*	Exemption from POLS UN1501. Credit is awarded upon completion of 3000 level (or higher) course in the Comparative Politics subfield with a C or higher.
History European	5	3	
United States	5	3	
Italian Language	4 or 5	3	
Latin Literature	5	3	
Mathematics Calculus AB	4 or 5	3	Requires completion of MATH UN1102 with a grade of C or better. Credit is reduced to 0 if MATH UN1101 is taken.
Calculus BC	4	3	Requires completion of MATH UN1102 with a grade of C or better. Credit is reduced to 0 if MATH UN1101 is taken.
Calculus BC	5	6	Requires completion of APMA E2000 with a grade of C or better. Credit is reduced to 0 if MATH UN1101 is taken, or to 3 if MATH 1102 is taken.
Physics C-E&M	4 or 5	3	Maximum of six credits. Credit is reduced to 0 if PHYS UN1401 or 1601 is taken. Credit is reduced to 0 if PHYS UN2801 is taken and the final grade is C- or lower.
C-MECH	4 or 5	3	Credit is reduced to 0 if PHYS UN1401 or 1601 is taken. Credit is reduced to 0 if PHYS UN2801 is taken and the final grade is C- or lower.
Physics 1 and 2	4 or 5	3	No exemption. Both AP Physics 1 and 2 must be taken to receive credit.
Spanish Language	4 or 5	3	
Literature	4 or 5	3	

\*AP credits may be applied toward minor requirements.

\*\*Columbia Engineering Students with a 4 or 5 on Calculus AB or a 4 on Calculus BC must begin with MATH UN1102 (Calculus II). If a Columbia Engineering student with these scores goes directly into APMA E2000 (Multivariable Calculus), he or she will have to go back and complete MATH UN1102 (Calculus II). Students with A-level or IB calculus credit must start with MATH UN1102 (Calculus II).

Columbia Engineering undergraduate students can study abroad for either a semester (fall, spring, or summer) or, exceptionally, for a full academic year. Students from every engineering major have studied abroad. Most do so in the spring semester of their sophomore year or in their junior year.

The Office of Global Programs will help students identify the appropriate choice for their country of interest and their major. The Associate Dean for Undergraduate Student Affairs and departmental advisers will help students with their course equivalencies for approved programs so they can graduate on time. Students can take nontechnical electives overseas, or with departmental permission, they may choose technical electives or courses in their major.

It is essential that students begin planning as early as possible—ideally this would be during their first year. Students are encouraged to meet with the Office of Global Programs to review possible overseas destinations and to decide on an appropriate abroad experience. Students must obtain approval from their departmental advisers to ensure that their work abroad meets the requirements of their majors, as well as clearance from their Advising Dean in the James H. and Christine Turk Berick Center for Student Advising.

### Eligibility Requirements

In order to participate in a semester-long or yearlong study-abroad program, students must:

- Have at least a 3.0 GPA
- Be making good progress toward finishing the first and second year requirements
- Although not a requirement, students are encouraged to have some foreign language skills in order to enhance their cultural competency and their overall study abroad experience.

Students' study-abroad plans must be approved by the Office of Global Programs by October 1 for spring programs and March 1 for summer, fall and academic-year programs. A review of each student's academic and disciplinary records is conducted as part of this process. Students on academic or disciplinary probation are not permitted

to study abroad during the term of their probation. Students must also register for their study abroad with the Office of Global Programs by November 15 for spring programs and March 15 for summer, fall, and academic year programs.

Study-abroad students remain enrolled at Columbia, and tuition is paid to Columbia. Students participating in Columbia-approved programs pay housing costs directly to their host or sponsoring institution. Students receiving financial aid at Columbia will remain eligible for financial aid when they study abroad with Columbia's approval. Students who wish to be considered for financial aid while studying abroad should consult the Office of Financial Aid and Educational Financing, 618 Lerner.

### Program Information

Choosing the right university abroad is an important step in planning to study abroad. Students must establish a set of goals for the experience, taking into account their foreign-language skills and adaptability to new environments, as well as their research objectives and professional aspirations.

The Office of Global Programs' website lists program options. Early planning is crucial so that study-abroad plans can be integrated into the student's curriculum plan. The SEAS Office of Undergraduate Student Affairs can help students in finding best program options for their study abroad.

Summer study-abroad programs allow students to earn credits for language instruction and nontechnical electives. Students can either participate in Columbia-approved summer programs for transfer credit or on Columbia-sponsored programs for direct credit.

Students who wish to have an international experience but are unable to study abroad are encouraged to consider the following viable alternatives to gaining such global experience and exposure.

Non-credit-bearing internships, including the CEO program in London, Hong Kong, Singapore, Shanghai, Beijing, and Amman, are coordinated by the Center for Career Education. Please visit the Center's website for more information.

In addition, the Summer Ecosystems Experience for Undergraduate Education through the Center for Environmental

Research and Conservation (CERC) provides opportunities for engineering students in Brazil, Puerto Rico, the Dominican Republic, and Jordan. Other internship options may be possible through Columbia Engineering international partner institutions.

### Academic Credit

Students in Columbia-sponsored programs receive direct Columbia credit, and the courses and grades appear on students' academic transcripts.

Credit from approved programs that are not Columbia sponsored is certified as transfer credit toward the Columbia degree upon successful completion of the program verifiable by academic transcript. Students must earn a grade of C or better in order for credits to transfer. Course titles and grades for approved programs do not appear on the Columbia transcript, and the grades are not factored into students' GPAs.

Faculty from the Columbia Engineering academic departments have the responsibility to assess all work completed abroad and make decisions about how these courses fit into major requirements. It is imperative that students gain course-by-course approval from their department prior to departure on a study-abroad program.

### COMBINED PLAN PROGRAMS

Office of Undergraduate Admissions  
212 Hamilton Hall, MC 2807  
1130 Amsterdam Avenue  
New York, NY 10027  
Phone: 212-854-2522  
Fax: 212-854-1209  
Email: [combinedplan@columbia.edu](mailto:combinedplan@columbia.edu)  
[undergrad.admissions.columbia.edu/apply/combined-plan](http://undergrad.admissions.columbia.edu/apply/combined-plan)

Columbia Engineering maintains cooperative program relationships with institutions nationwide and with other Columbia University undergraduate divisions. The Combined Plan programs (3-2 and 4-2) allow students to receive a degree both in the liberal arts and in engineering. Combined Plan students complete the requirements for the liberal arts degree along with required prerequisite coursework for their studies in engineering during the three or four years at their liberal arts college before entering the School of Engineering

and Applied Science. They then must complete all the requirements for the B.S. degree within four consecutive semesters.

### **The Combined Plan Program within Columbia University**

Under this plan, the pre-engineering student studies in Columbia College, Barnard College, or the School of General Studies for three or four years, then attends The Fu Foundation School of Engineering and Applied Science for two years, and is awarded the Bachelor of Arts degree and the Bachelor of Science degree in engineering upon completion of the fifth or sixth year. This program is optional at Columbia, but the School recommends it to all students who wish greater enrichment in the liberal arts and pure sciences.

### **The Combined Plan with Other Affiliated Colleges**

There are more than one hundred affiliated liberal arts colleges, including those at Columbia, in which a student can enroll in a Combined Plan program leading to two degrees. Each college requires the completion of a specified curriculum, including major and degree requirements, to qualify for the baccalaureate from that institution. Every affiliated school has a liaison officer who coordinates the program at his or her home institution. Students interested in this program should inform the liaison officer as early as possible, preferably in the first year, in order to receive guidance about completing program requirements. Applicants from nonaffiliated schools are welcome to apply through our competitive review process.

Visit the Office of Undergraduate Admissions website for a complete list of affiliated schools, admission application instructions, information on financial aid, and curriculum requirements for Combined Plan program admission. Please note that no change of major is allowed after an admission decision has been rendered.

See page 25 for information on the 4-2 Master of Science Program, which is administered through the Office of Graduate Student Affairs.

## **THE JUNIOR-SENIOR PROGRAMS**

Students may review degree progress via DARS (Degree Audit Reporting System) as presented on Student Services Online. Required courses that are not completed are detailed as deficiencies and must be completed during summer session or carried as overload courses in later semesters.

Having chosen their program major in the second semester of their sophomore year, students are assigned to a faculty adviser in the department in which the program is offered. In addition to the courses required by their program, students must continue to satisfy certain distributive requirements, choosing elective courses that provide sufficient content in engineering sciences and engineering design. The order and distribution of the prescribed coursework may be changed with the adviser's approval. Specific questions concerning course requirements should be addressed to the appropriate department or division. The Vice Dean's concurrent approval is required for all waivers and substitutions.

### **Double Major**

Students who wish to apply for a second major must consult their advising dean about next steps. A proposal to double major must be approved by both departments and then forwarded to the Vice Dean for Undergraduate Programs for a final decision.

Courses cannot be cross-counted between dual majors. Please consult with an adviser and the respective departments to find alternative courses for duplicate requirements.

3-2 students are not eligible to have a second major due to the time constraints of their program.

### **Tau Beta Pi**

Tau Beta Pi is the nation's second-oldest honor society, founded at Lehigh University in 1885. With the creed "Integrity and excellence in engineering," it is the only engineering honor society representing the entire engineering profession. Columbia's chapter, New York Alpha, is the ninth oldest and was founded in 1902. Many Columbia buildings have been named for some of the more prominent chapter alumni: Charles Fredrick Chandler,

Michael Idvorsky Pupin, Augustus Schermerhorn, and, of course, Harvey Seeley Mudd.

Undergraduate students whose scholarship places them in the top eighth of their class in their next-to-last year or in the top fifth of their class in their last college year are eligible for membership consideration. These scholastically eligible students are further considered on the basis of personal integrity, breadth of interest both inside and outside engineering, adaptability, and unselfish activity. Benefits of membership include exclusive scholarships and fellowships. Many networking opportunities for jobs and internships are also available, with 230 collegiate chapters and more than 500,000 members in Tau Beta Pi.

### **Taking Graduate Courses as an Undergraduate**

With the faculty adviser's approval, a student may take graduate courses while still an undergraduate in the School. Such work may be credited toward one of the graduate degrees offered by the Engineering Faculty, subject to the following conditions: (1) the course must be accepted as part of an approved graduate program of study; (2) the course must not have been used to fulfill a requirement for the B.S. degree and must be so certified by the Dean; and (3) the amount of graduate credit earned by an undergraduate cannot exceed 15 points. Undergraduates may not take CVN courses.

### **The Bachelor of Science Degree**

Students who complete a four-year sequence of prescribed study are awarded the Bachelor of Science degree. The general requirement for the Bachelor of Science degree is the completion of a minimum of 128 academic credits with a minimum cumulative grade-point average (GPA) of 2.0 (C) at the time of graduation. The program requirements, specified elsewhere in this bulletin, include the first-year–sophomore course requirements, the major departmental requirements, and technical and nontechnical elective requirements. Students who wish to transfer points of credit may count no more than 68



transfer points toward the degree and must satisfy the University's residence requirements by taking at least 60 points of credit while enrolled in The Fu Foundation School of Engineering and Applied Science. Courses may not be repeated for credit unless it is stated otherwise in the course description.

The bachelor's degree in engineering and applied science earned at Columbia University prepares students to enter a wide range of professions. Students are, however, encouraged to consider graduate work, at least to the master's degree level, which is increasingly considered necessary for many professional careers.

The Engineering Accreditation Commission (EAC) of ABET, an organization formed by the major engineering professional societies, accredits university engineering programs on a nationwide basis. Completion of an accredited program of study is usually the first step toward a professional engineering license. Advanced study in engineering at a graduate school sometimes presupposes the completion of an accredited program of undergraduate study.

The following undergraduate programs are accredited by the EAC of ABET: biomedical engineering, chemical engineering, civil engineering, Earth and environmental engineering, electrical engineering, and mechanical engineering.

#### **Minors**

Columbia Engineering undergraduates may choose to add minors to their programs. This choice should be made in the fall of their sophomore year, when they also decide on a major.

In considering a minor, students must understand that all minors are not, and cannot, be available to all students. In addition, the School cannot guarantee that a selected minor can be completed within the usual residence period needed for a major. Indeed, students choosing minors should expect to encounter scheduling difficulties. The potential for the successful completion of a minor depends on the student's major and the minor chosen, as well as the course schedules and availability, which may change from year to year. The list of minors, as well as their requirements, appear on pages 202–206.

#### **PROGRAMS IN PREPARATION FOR OTHER PROFESSIONS**

James H. and Christine Turk Berick Center for Student Advising  
403 Lerner Hall, MC 1201  
Phone: 212-854-6378  
Email: [preprofessional@columbia.edu](mailto:preprofessional@columbia.edu)  
[cc-seas.columbia.edu/preprofessional/](http://cc-seas.columbia.edu/preprofessional/)

The Fu Foundation School of Engineering and Applied Science prepares its students to enter any number of graduate programs and professions outside of what is generally thought of as the engineering field. In an increasingly technological society, where the line between humanities and technology is becoming blurred, individuals with a thorough grounding in applied mathematics and the physical and engineering sciences find themselves highly sought after as professionals in practically all fields of endeavor.

Engineering students interested in pursuing graduate work in such areas as architecture, business, education, journalism, or law will find themselves well prepared to meet the generally

flexible admissions requirements of most professional schools. Undergraduate students should, however, make careful inquiry into the kinds of specific preparatory work that may be required for admission into highly specialized programs such as medicine.

### Premed

Medical, dental, and other health professional schools prefer that undergraduates complete a four-year program of study toward the bachelor's degree. All health professional schools require prerequisite coursework, but they do not prefer one type of major or scholarly concentration. Students with all types of engineering backgrounds are highly valued.

It is important to note, however, that each medical school in the United States and Canada individually determines its own entrance requirements, including prerequisite coursework and/or competencies. Each medical school also sets its own rules regarding acceptable courses or course equivalents. It is therefore essential that students plan early and confirm the premedical requirements for those schools to which they intend to apply. The Engineering curriculum covers many of the prerequisite courses required by medical schools, however, in addition to completing the mathematics, chemistry, and physics courses required by the First Year–Sophomore Program, most schools ask for a full year of organic chemistry, a full year of biology, a full year of English, a semester of statistics, and a semester of biochemistry. Advanced Placement credit is accepted in fulfillment of these requirements by some schools but not all. Students are responsible for monitoring the requirements of each school to which they intend to apply. Generally, students with Advanced Placement credit are strongly advised to take further courses in the field in which they have received such credit.

In addition to medical school requirements, all medical schools currently require applicants to sit for the Medical College Admissions Test (MCAT). A new format of this exam was introduced in the spring of 2015, for which recommended minimum preparation is:

- One year of general chemistry and general chemistry lab
- One year of organic chemistry and organic chemistry lab
- One year of introductory biology and biology lab
- One semester of biochemistry
- One year of general physics and physics lab
- One semester of introductory psychology

As you prepare for this path, you should consult regularly with both your assigned adviser and one of the premedical advisers in the James H. Christine Turk Berick Center for Student Advising. These individuals will help to guide you in your course selection and planning, and introduce you to extracurricular and research opportunities related to your interests in health and medicine. Preprofessional Advising maintains an online list of many different clinical volunteer and research opportunities across New York City and beyond. Exploration of the career and sustained interactions with patients is viewed by many medical schools as essential preparation and therefore students are strongly encouraged to spend time volunteering/working in clinical and research environments before applying to medical school.

Students must apply for admission to health professional schools more than one year in advance of the entry date. Students who are interested in going directly on to health professional schools following graduation should complete all prerequisite courses required for the MCAT by the end of the junior year. It is entirely acceptable (and most common) for students to take time between undergraduate and health professional school and thus delay application to these schools for one or more years. Students planning to apply to medical or dental school should be evaluated by the Premedical Advisory Committee prior to application. A Premedical Advisory Committee application is made available each year in December. For more information regarding this process and other premedical-related questions, please consult with a premedical adviser in the Berick Center for Student Advising or peruse their website: <https://www.cc-seas.columbia.edu/preprofessional/health/>

### Prelaw

Students fulfilling the School of Engineering and Applied Science's curriculum are well prepared to apply to and enter professional schools of law, which generally do not require any specific prelaw coursework. Schools of law encourage undergraduate students to complete a curriculum characterized by rigorous intellectual training involving relational, syntactical, and abstract thinking. While selecting courses, keep in mind the need to hone your writing skills, your communication skills, and your capacity for logical analysis.

While engineering students may find interests in many areas of the law, for intellectual property and patent law, a science and technology background will be greatly valued if not essential.

### Urban Teaching: New York State Initial Certification in Adolescence Education Grades 7–12 for Teachers of Mathematics and the Sciences or in Elementary Education Grades 1–6

Barnard College Education Program  
335-336 Milbank Hall  
3009 Broadway  
New York, NY 10027  
Phone: 212-854-7072  
[education.barnard.edu](mailto:education.barnard.edu)

The Barnard Education Program provides courses leading to certification to teach in New York State (with reciprocal agreements with 41 other states) at either the elementary or secondary level. Students gain experience and develop skills in urban school classrooms. Required coursework includes psychology and education, a practicum, and student teaching, totaling 23–26 points of credit depending on the level of certification sought.

Certification to teach mathematics requires 36 points in mathematics. Pure science courses required are: 36 points in the sciences, of which 18 must be in the area of the certification sought: chemistry, biology, physics, or Earth science.

Deadline for application, which includes an essay and letters of recommendation, is the first Monday in March of the student's sophomore year. This allows program faculty to support students through program planning

to ensure that students can meet the requirements for certification. However, when space allows, applications will be considered through the fall of the junior year. Applications from juniors are due no later than the first Monday in October. Students who plan to study abroad during the spring of their junior year should apply during the fall semester of their sophomore year. Students should decide on their interest in teacher certification by the end of the first year in order to start coursework in the sophomore year.

## JOINT PROGRAMS

### The 4-1 Program at Columbia College

Students who are admitted as first-year students to the School of Engineering and Applied Science and subsequently complete the four-year program for the Bachelor of Science degree have the opportunity to apply for admission to either Columbia College or Barnard College and, after one additional year of study, receive the Bachelor of

Arts degree. The fifth year of study commences in the fall semester and students are required to conclude their studies while enrolled for two full-time semesters.

The program will be selective, and admission will be based on the following factors: granting of the B.S. at Columbia Engineering at the end of the fourth year; fulfillment of the College Core requirements by the end of the fourth year at the School; a minimum GPA of 3.0 in the College Core and other courses; and the successful completion of any prerequisites for the College major or concentration. To be admitted to the program, a plan needs to be in place for the student to complete the major or concentration by the end of their fifth year.

Interested students should contact their advising dean for further information.

### School of International and Public Affairs

The Fu Foundation School of Engineering and Applied Science and the School of International and Public

Affairs offer a joint program enabling a small number of students to complete the requirements for the degrees of Bachelor of Science and Master of International Affairs in five years instead of six. Not only an excellent academic record but also maturity, fluency in an appropriate foreign language, and pertinent experience will determine admission to this program. For more information, please contact your advising dean.

## UNDERGRADUATE ADMISSIONS

Office of Undergraduate Admissions  
212 Hamilton Hall, MC 2807  
1130 Amsterdam Avenue  
New York, NY 10027  
Phone: 212-854-2522  
Fax: 212-854-3393  
Email: [ugrad-ask@columbia.edu](mailto:ugrad-ask@columbia.edu)  
[undergrad.admissions.columbia.edu](http://undergrad.admissions.columbia.edu)

For information about undergraduate admissions, please visit the Office of Undergraduate Admissions website or contact the office by phone or email.

**T**he 2018–2019 tuition and fees are estimated. Tuition and fees are prescribed by statute and are subject to change at the discretion of the Trustees.

University charges such as tuition, fees, and residence hall and meal plans are billed in the first Student Account Statement of the term, which is sent out in July and December of each year for the upcoming term. This account is payable and due in full on or before the payment due date announced in the Statement, typically at the end of August or early January before the beginning of the billed term. Any student who does not receive the first Student Account Statement is expected to pay at registration.

If the University does not receive the full amount due for the term on or before the payment due date of the first Statement, a late payment charge of \$150 will be assessed. An additional charge of 1.5 percent per billing cycle may be imposed on any amount past due thereafter.

Students with an overdue account balance may be prohibited from registering, changing programs, or obtaining a diploma or transcripts. In the case of persistently delinquent accounts, the University may utilize the services of an attorney and/or collection agent to collect any amount past due. If a student's account is referred for collection, the student may be charged an additional amount equal to the cost of collection, including reasonable attorney's fees and expenses incurred by the University.

### TUITION

Undergraduate students enrolled in The Fu Foundation School of Engineering and Applied Science pay a flat tuition charge of \$28,304 per term, regardless of the number of course credits taken.

### MANDATORY FEES

Orientation fee: \$450 (one-time charge in the first term of registration)  
 Student Life fee: \$850 per term  
 Health and Related Services fee: \$562 per term  
 International Services charge: \$90 per term (international students only)  
 Document fee: \$105 (one-time charge)

### OTHER FEES

Application and late fees:

- Application for undergraduate admission: \$85
- Application for undergraduate transfer admission: \$85
- Late registration fee during late registration: \$50; after late registration: \$100

Books and course materials: Depends upon course

Laboratory fees: See course listings

Room and board (estimated): \$14,016

### HEALTH INSURANCE

Columbia University offers the Student Medical Insurance Plan, which provides both Basic and Comprehensive levels of coverage. Full-time students are automatically enrolled in the Basic

level of the Plan and billed for the insurance premium in addition to the Health Service fee. Visit the Columbia Health website at [health.columbia.edu](http://health.columbia.edu) for detailed information about medical insurance coverage options and directions for making confirmation, enrollment, or waiver requests.

### PERSONAL EXPENSES

Students should expect to incur miscellaneous personal expenses for such items as clothing, linen, laundry, dry cleaning, and so forth. Students should also add to the above expenses the cost of two round trips between home and the University to cover travel during the summer and the month-long, midyear break.

The University advises students to open a local bank account upon arrival in New York City. Since it often takes as long as three weeks for the first deposit to clear, students should plan to cover immediate expenses using either a credit card, traveler's checks, or cash draft drawn on a local bank. Students are urged not to arrive in New York without sufficient start-up funds.

### LABORATORY CHARGES

Students may need to add another \$100 to \$300 for drafting materials or laboratory fees in certain courses. Each student taking laboratory courses must furnish, at his or her own expense, the necessary notebooks, blank forms, and similar supplies. In some laboratory courses, a fee is charged to cover expendable materials and equipment

maintenance. Students engaged in special tests, investigations, theses, or research work are required to meet the costs of expendable materials as may be necessary for this work and in accordance with such arrangements as may be made between the student and the department immediately concerned.

**DAMAGES**

All students will be charged for damage to instruments or apparatus caused by their carelessness. The amount of the charge will be the actual cost of repair, and, if the damage results in total loss of the apparatus, adjustment will be made in the charge for age or condition. To ensure that there may be no question as to the liability for damage, students should note whether the apparatus is in good condition before use and, in case of difficulty, request instruction in its proper operation. Where there is danger of costly damage, an instructor should be requested to inspect the apparatus. Liability for breakage will be decided by the instructor in charge of the course.

When the laboratory work is done by a group, charges for breakage will be divided among the members of the group. The students responsible for any damage will be notified that a charge is being made against them.

The amount of the charge will be stated at that time or as soon as it can be determined.

**TUITION AND FEE REFUNDS**

Students who make a complete withdrawal from a term are assessed a withdrawal fee of \$75. Late fees, application fees, withdrawal fees, tuition deposits, special fees, computer fees, special examination fees, and transcript fees are not refundable.

The Health Service Fee, Health Insurance Premium, University facilities fees, and student activity fees are not refundable.

Students who withdraw within the first 60 percent of the academic period are subject to a refund calculation, which refunds a portion of tuition based on the percentage of the term remaining after the time of withdrawal. This calculation is made from the date the student's written notice of withdrawal is received by the Dean's Office.

**Percentage Refund for Withdrawal during First Nine Weeks of Term**

*Prorated for calendars of a different duration:*

1st week	100%
2nd week	100%
3rd week	90%
4th week	80%
5th week	70%
6th week	60%
7th week	50%
8th week	40%
9th week and after	0%

For students receiving federal student aid, refunds will be made to the federal aid programs in accordance with Department of Education regulations. Refunds will be credited in the following order:

- Federal Unsubsidized Stafford Loans
- Federal Stafford Loans
- Federal Perkins Loans
- Federal PLUS Loans (when disbursed through the University)
- Federal Pell Grants
- Federal Supplemental Educational Opportunity Grants
- Other Title IV funds

Withdrawing students should be aware that they will not be entitled to any portion of a refund until all Title IV programs are credited and all outstanding charges have been paid.

Office of Financial Aid and  
Educational Financing  
618 Lerner Hall  
2920 Broadway  
Mailing: 100 Hamilton Hall, MC 2802  
1130 Amsterdam Avenue  
New York, NY 10027  
Monday–Friday: 9:00 a.m.–5:00 p.m.

Phone: 212-854-3711  
Fax: 212-854-5353  
Email: [ugrad-finaid@columbia.edu](mailto:ugrad-finaid@columbia.edu)  
[cc-seas.financialaid.columbia.edu](http://cc-seas.financialaid.columbia.edu)

Columbia is committed to meeting the full demonstrated financial need for all applicants admitted as first-year students or transfer students pursuing their first degree. Financial aid is available for all four undergraduate years, provided that students continue to demonstrate financial need.

All applicants who are citizens or permanent residents of the United States, who are granted refugee visas by the United States, or who are undocumented students in the United States are considered for admission in a need-blind manner.

International students who did not apply for financial aid in their first year are not eligible to apply for financial aid in any subsequent years. Foreign transfer candidates applying for aid must understand that such aid is awarded on an extremely limited basis. Columbia does not give any scholarships for academic, athletic, or artistic merit.

Please visit the Financial Aid website at [cc-seas.financialaid.columbia.edu/](http://cc-seas.financialaid.columbia.edu/) for more information on financial aid, including requirements and application instructions.

### **Satisfactory Academic Progress**

Columbia University complies with federal SAP regulations. To be eligible for Federal Student Aid (Federal Pell Grant, Federal SEOG, Federal Work-Study, Federal Perkins Loan, Federal Direct/PLUS loan), an otherwise eligible student must meet or exceed the SAP standards set by his or her school or program at the time SAP is assessed. The SAP policy may be found online at [sfs.columbia.edu/central-sap-policy](http://sfs.columbia.edu/central-sap-policy).

# Graduate Studies



**G**raduate programs of study in The Fu Foundation School of Engineering and Applied Science are not formally prescribed, but are planned to meet the particular needs and interests of each individual student. Departmental requirements for each degree, which supplement the general requirements given below, appear in the sections on individual graduate programs.

Applicants for a graduate program are required to have completed an undergraduate degree and to furnish an official transcript as part of the admissions application. Ordinarily the candidate for a graduate degree will have completed an undergraduate course in the same field of engineering in which he or she seeks a graduate degree. However, if the student's interests have changed, it may be necessary to make up such basic undergraduate courses as are essential to graduate study in his or her new field of interest.

No more than one term of graduate-level coursework or, in the case of part-time students, no more than 15 points of credit of graduate-level coursework, completed before the program is approved, may be counted toward the degree. Students registered in the School have a minimum requirement for each Columbia degree of 30 points of credit of coursework completed at Columbia University. The student must enroll for at least 15 of these points while registered as a matriculating student in a degree program in the Engineering School. (See also the section Nondegree Students and the chapter Columbia Video Network.) Students wishing to change from the Ph.D. degree to the Eng.Sc.D. degree must therefore enroll for at least 15 points while registered in the School. For

residence requirements for students registered in the Graduate School of Arts and Sciences or those wishing to change from the Eng.Sc.D. degree to the Ph.D. degree, see the bulletin of the Graduate School of Arts and Sciences.

Students admitted to graduate study are expected to enter upon and continue their studies in each succeeding regular term of the academic year. Any such student who fails to register for the following term will be assumed to have withdrawn unless a leave of absence has been granted by the Office of Graduate Student Affairs.

While many candidates study on a full-time basis, it is usually possible to obtain all or a substantial part of the credit requirement for the master's or Eng.Sc.D. degrees through part-time study.

Under special conditions, and with the prior approval of the department of his or her major interest and of the Assistant Dean, a student may be permitted to take a required subject at another school. However, credit for such courses will not reduce the 30-point minimum that must be taken.

For graduation, a candidate for any degree except a doctoral degree must file an Application for Degree or Certificate on the date specified in the Academic Calendar. Candidates for a doctoral degree must apply for the final examination. If the degree is not earned by the next regular time for the issuance of diplomas subsequent to the date of filing, the application must be renewed. Degrees are awarded three times a year—in October, February, and May.

### THE MASTER OF SCIENCE DEGREE

The Master of Science degree is offered

in many fields of engineering and applied science upon the satisfactory completion of a minimum of 30 points of credit of approved graduate study extending over at least one academic year.

While a suitable Master of Science program will necessarily emphasize some specialization, the program should be well balanced, including basic subjects of broad importance as well as theory and applications. The history of modern economic, social, and political institutions is important in engineering, and this is recognized in the prescribed undergraduate program of the School. If the candidate's undergraduate education has been largely confined to pure science and technology, a program of general studies, totaling from 6 to 8 points, may be required. Supplementary statements covering these special requirements are issued by the School's separate departments. An applicant who lacks essential training will be required to strengthen or supplement the undergraduate work by taking or repeating certain undergraduate courses before proceeding to graduate study. No graduate credit (that is, credit toward the minimum 30-point requirement for the Master of Science degree) will be allowed for such subjects. Accordingly, Master of Science programs may include from 35 to 45 points and may require three terms for completion. Doctoral research credits cannot be used toward M.S. degree requirements.

All degree requirements must be completed within five years of the beginning of graduate study. Under extraordinary circumstances, a written request for an extension of this time limit may be submitted to the student's

department for approval by the department chair and the Assistant Dean. A minimum cumulative grade-point average of 2.5 is required for the M.S. degree. A student who, at the end of any term, has not attained the grade-point average required for the degree may be asked to withdraw.

After the first semester of enrollment, an M.S. student may submit an application to apply and transfer to another academic program. If the student is not successful with the application process, then he or she must make sure requirements for the original academic program are completed.

### **Professional Development Leadership M.S. Requirement (ENGI E4000)**

The Professional Development and Leadership (PDL) program educates students to maximize performance and achieve their full potential to become engineering leaders. The core modules focus on development of professional skills and perspectives needed to succeed in a fast-changing technical climate.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### **The 4-2 Master of Science Program**

The 4-2 Master of Science Program provides the opportunity for students holding bachelor's degrees from affiliated liberal arts colleges (see the listing under the heading The Combined Plan Program with Other Affiliate Colleges) with majors in mathematics, physics, chemistry, or certain other physical sciences to receive the M.S. degree after two years of study at Columbia in the following fields of engineering and applied science: biomedical, chemical, civil, computer science, Earth and environmental, electrical, industrial, and mechanical engineering; applied physics; applied mathematics; engineering mechanics; operations research; and materials science.

Each applicant must produce evidence of an outstanding undergraduate record, including superior performance in physics and mathematics through differential equations. The program of study will be individually designed in consultation with a faculty adviser and will integrate

undergraduate work with the field of engineering or applied science the student chooses to follow. During the first year, the program will consist primarily of basic undergraduate courses; during the second year, of graduate courses in the selected field. The student must complete at least 30 credits of graduate study to qualify for the degree.

A student whose background may require supplementary preparation in some specific area, or who has been out of school for a considerable period, will have to carry a heavier than normal course load or extend the program beyond two years.

Graduates of the 4-2 Master of Science program may not be eligible to take the Fundamentals of Engineering (FE) exam if their undergraduate degree is not in engineering or a related field. Students should also check with individual state boards to determine eligibility requirements for employment.

Please contact the Office of Graduate Student Affairs, The Fu Foundation School of Engineering and Applied Science, 530 S. W. Mudd, MC 4718, 500 West 120th Street, New York, NY 10027; you should also contact your home institution's Combined Plan liaison for program information. You may, in addition, email questions to seasgradmit@columbia.edu.

### **Dual Degree Program with the School of Journalism in Computer Science**

The Graduate School of Journalism and the Engineering School offer a dual degree program leading to the degrees of Master of Science in Journalism and the Master of Science in Computer Science. (See Computer Science.)

### **Joint Program with the School of Business in Industrial Engineering**

The Graduate School of Business and the Engineering School offer a joint program leading to the degrees of Master of Business Administration and Master of Science in Industrial Engineering. (See Industrial Engineering and Operations Research.)

### **Master of Science in Data Science**

Candidates for the Master of Science in Data Science are required to complete a minimum of 30 graduate-level credits, which includes seven required courses: Algorithms for data science, Machine learning for data science, Exploratory

data analysis and visualization, Probability theory, Statistical inference & modeling, Computer systems for data science, and Capstone & ethics. A minimum of three elective courses are chosen in consultation with the student's adviser should be a technical nature, 4000-graduate level course or higher that expands the student's expertise in data science.

### **Certificate of Professional Achievement in Data Science**

Graduate Online delivery only program. Candidate for the Certification of Professional Achievement in Data Sciences, a nondegree part-time program, are required to complete a minimum of 12 credits, including four required courses: Algorithms for data science, Probability and statistics, Machine learning for data science, and Exploratory data analysis and visualization.

### **Master of Science Program in Management Science and Engineering**

In collaboration with the Graduate School of Business, the Industrial Engineering and Operations Research department offers a unique master's degree program in which students take business and engineering courses. (See Industrial Engineering and Operations Research.)

### **DOCTORAL DEGREES: ENG.SC.D. AND PH.D.**

Two doctoral degrees in engineering are offered by the University: the Doctor of Engineering Science, administered by The Fu Foundation School of Engineering and Applied Science and the Doctor of Philosophy, administered by the Graduate School of Arts and Sciences. Both doctoral programs are subject to review by the Committee on Instruction of the School. Doctoral students may submit a petition to the Office of Graduate Student Affairs to change from the Eng.Sc.D. degree to the Ph.D. degree or from the Ph.D. degree to the Eng.Sc.D. degree. The petition must be submitted within the first year of enrollment in the doctoral program. Any petitions submitted after this period will not be considered. Doctoral degree status can be changed only once; students, therefore, must

determine which doctoral degree program is most appropriate for their academic and professional endeavours.

Departmental requirements may include comprehensive written and oral qualifying examinations. A student must have a satisfactory grade-point average to be admitted to the doctoral qualifying examination. Thereafter, the student must write a dissertation embodying original research under the sponsorship of a member of his or her department and submit it to the department. If the department recommends the dissertation for defense, the student applies for final examination, which is held before an examining committee approved by the appropriate Dean's Office. This application must be made at least three weeks before the date of the final examination.

The defense of the dissertation constitutes the final test of the candidate's qualifications. It must be demonstrated that the candidate has made a contribution to knowledge in a chosen area. In content the dissertation should, therefore, be a distinctly original contribution in the selected field of study. In form it must show the mastery of written English, which is expected of a university graduate.

#### For the Ph.D. Degree

A student must obtain the master's degree (M.S.) before enrolling as a candidate for the Ph.D. degree. Application for admission as a doctoral candidate may be made while a student is enrolled as a master's degree candidate. Candidates for the Ph.D. degree must register full time and complete six Residence Units. The minimum requirement in coursework for the doctoral degree is 60 points of credit beyond the bachelor's degree. A master's degree from an accredited institution may be accepted in the form of advanced standing as the equivalent of one year of residence (30 points of credit and two Residence Units). An application for advanced standing must be completed during the first semester of study. Ph.D. candidates will be required to complete not less than 30 additional points of credit in residence for a letter grade beyond the M.S.

Ph.D. candidates should obtain a copy of the bulletin of the Graduate School of Arts and Sciences, in which are printed the requirements of the department of major interest.

#### For the Eng.Sc.D. Degree

A student must obtain the master's degree (M.S.) before enrolling as a candidate for the Eng.Sc.D. degree. The minimum requirement in coursework for the doctoral degree is 60 points of credit beyond the bachelor's degree. Eng.Sc.D. candidates will be required to complete not less than 30 additional points of credit in residence beyond the M.S. for a letter grade. A master's degree from an accredited institution may be accepted in the form of advanced standing as the equivalent of 30 points of credit. Candidates for the Eng.Sc.D. degree must, in addition to the 60-point requirement, accumulate 12 points of credit in the departmental course *E9800: Doctoral research instruction* (see below). The candidate for the degree of Doctor of Engineering Science must submit evidence that his or her dissertation has been filed in compliance with requirements set by the faculty of Engineering and Applied Science.

#### Doctoral Research Instruction

An Eng.Sc.D. candidate is required to complete 12 credits in the departmental course *E9800: Doctoral research instruction* in accordance with the following guidelines:

1. After obtaining a master's degree or advanced standing, at which time the student begins doctoral research, the student is eligible to register for *E9800* (3, 6, 9, or 12 points of credit).
2. Registration for *E9800* at a time other than that prescribed above is not permitted, except by written permission of the Dean.
3. The 12 points of *E9800* required for the Eng.Sc.D. degree do not count toward the minimum residence requirements, e.g., 30 points beyond the master's degree or 60 points beyond the bachelor's degree.
4. If a student is required to take coursework beyond the minimum residence requirements, the 12 points of doctoral research instruction must still be taken in addition to the required coursework.
5. A student must register continuously through the fall and the spring terms. This requirement does not include the summer session.

#### Completion of Requirements

The requirements for the Eng.Sc.D. degree must be completed in no more than seven years. The seven-year time period begins at the time of enrollment and extends to the date on which the dissertation defense is held.

Extension of the time allowed for completion of the degree may be granted on recommendation of the student's sponsor and the department chair to the Dean when special circumstances warrant. Such extensions are initiated by submitting a statement of work in progress and a schedule for completion together with the sponsor's recommendation to the department chair.

Please contact the Office of Graduate Student Affairs for more information.

#### NONDEGREE STUDENTS

Qualified persons who are not interested in a degree program but who wish only to take certain courses may be permitted to register as nondegree students, provided facilities are available.

Many graduate courses in The Fu Foundation School of Engineering and Applied Science are offered in the late afternoon and evening in order to make them available to working individuals who wish to further their knowledge in the areas of engineering and applied science. Individuals who find it difficult or impossible to attend classes on the Columbia campus may be able to receive instruction from the School through the Columbia Video Network without leaving their work sites. Individuals interested in this program should read the section describing the distance learning Columbia Video Network (CVN), which follows in this bulletin.

Nondegree students receive grades and must maintain satisfactory attendance and performance in classes or laboratories and will be subject to the same rules as degree candidates. Should a nondegree student decide to pursue a degree program, work completed as a nondegree student may be considered for advanced standing, but no more than 15 points of coursework completed as a nondegree student may be counted toward a graduate degree.

For additional information and regulations pertaining to nondegree students, see Graduate Admissions.

Columbia Video Network  
540 S. W. Mudd, MC 4719  
500 West 120th Street  
New York, NY 10027

Phone: 212-854-6447  
Email: info@cvn.columbia.edu  
cvn.columbia.edu

### BACKGROUND

Continuing a long-standing tradition of academic excellence and innovation, Columbia University's Fu Foundation School of Engineering and Applied Science established the Columbia Video Network (CVN) in 1986 to meet a growing need within the engineering community for a graduate distance education program. Classes and degrees offered through CVN are fully accredited; the degrees are granted by Columbia University.

Classes available through CVN are taught on campus by Columbia University faculty in electronic classrooms. Faculty and students meet in classrooms equipped with cameras and electronic writing tablets. The recorded lectures are fully downloadable for study at home, office, or on the road.

CVN students take the same classes, have the same homework assignments, take the same exams, and earn the same degrees as on-campus students in Master of Science (M.S.) programs.

### COURSE OFFERINGS AND DEGREE PROGRAMS

CVN makes select SEAS graduate courses available to off-campus students in autumn (September–December), spring (January–May), and summer (June–August) terms. CVN administrators work closely with faculty representatives from each department to select the classes that best fit the needs of new and continuing students around the world. During the summer semester (and on request in the autumn and

spring terms), CVN makes prerecorded courses available. SEAS currently offers M.S. degrees in the following disciplines through CVN:

- Applied physics
- Applied math
- Biomedical engineering
- Chemical engineering
- Civil engineering
- Computer science
- Earth and environmental engineering
- Electrical engineering
- Industrial engineering—Systems engineering
- Materials science and engineering
- Mechanical engineering
- Operations research
- Operations research—Methods in finance

In addition, students admitted to the Doctor of Engineering Science can complete the coursework component of the program via CVN.

### STUDENT REGISTRATION

Students who have earned an undergraduate degree in engineering, mathematics, or related field can apply to take classes for credit or audit without first enrolling in a degree program at the University or taking the GRE or TOEFL exams by registering as nondegree students. CVN also offers Certification of Professional Achievement programs in various fields, which may lead to study in a related M.S. program.

Although you need not be admitted to a degree program to begin taking classes through CVN, you should apply as soon as possible if you would like to earn a degree from Columbia University; up to 6 credits taken as a CVN nondegree student may be counted toward a degree when applying through CVN, subject to the approval of the student's departmental adviser. Earning credit as a nondegree student does not

guarantee acceptance into a degree program.

Only CVN students may transfer up to 6 credits from another university toward an M.S., subject to the approval of the student's adviser and the department.

Columbia University students admitted to an on-campus program are not eligible to take CVN courses.

### PROGRAM BENEFITS

The CVN program allows working professionals to enroll in courses and earn graduate engineering degrees without leaving their communities, their families, or their jobs. The key component of CVN is flexibility without compromise to the high-caliber teaching, resources, and standards inherent in The Fu Foundation School of Engineering and Applied Science. CVN students are a part of the Columbia community and may take classes on campus. To further enhance the sense of community, CVN uses the Canvas Learning Management System to provide a place where CVN students and faculty can communicate. Homework and exams are submitted and graded there, and course notes and other reference materials are available for downloading.

Professors and teaching assistants are available via email, phone, or online office hours to address academic questions. CVN's administrative staff is available to assist with registration procedures, technical queries, and academic advising, so working professionals can devote their energies to their studies, their families, and their careers.

Office of Graduate Student Affairs  
530 S. W. Mudd, MC 4708  
500 West 120th Street  
New York, NY 10027

Phone: 212-854-6438  
Fax: 212-854-5900  
Email: seasgradmit@columbia.edu  
gradengineering.columbia.edu

The basic requirement for admission as a graduate student is a bachelor's degree received from an institution of acceptable standing. Ordinarily, the applicant will have majored in the field in which graduate study is intended, but in certain programs, preparation in a related field of engineering or science is acceptable. The applicant will be admitted only if the undergraduate record shows promise of productive and effective graduate work.

Students who hold an appropriate degree in engineering may apply for admission to study for the Ph.D. degree. However, students are required to obtain the master's degree first. Students currently enrolled in the School's M.S. program may apply for admission to the doctoral program after completing 15 points of coursework. Completion of a relevant master's degree is required prior to entry into the Ph.D. program.

Students may be admitted in one of the following five classifications: candidate for the M.S. degree, candidate for the M.S. degree leading to the Ph.D. degree, candidate for the Doctor of Engineering Science degree, candidate for the Doctor of Philosophy degree (see also the bulletin of the Graduate School of Arts and Sciences), or nondegree student (not a degree candidate). Note: Not more than 15 points of credit completed as a nondegree student may be counted toward a degree.

### APPLICATION REQUIREMENTS

Applicants can only apply to one degree

program per admission term. Applicants must submit an online application and required supplemental materials, as described below. An official transcript from each postsecondary institution attended, personal statement, and resume or curriculum vitae must be submitted. Consideration for admission will be based not only on the completion of an earlier course of study, but also upon the quality of the record presented and upon such evidence as can be obtained concerning the applicant's personal fitness to pursue professional work.

Additionally, applicants must provide three letters of recommendation and the results of required standardized exams. The Graduate Record Examination (general) is required for all candidates. Applicants to the doctoral program in applied physics are also required to submit official GRE Physics Test scores. GRE general and subject test scores are valid for five years from the test administration date according to the Educational Testing Service (ETS). English language test scores are required of all applicants who received their bachelor's degree in a country in which English is not the official and widely spoken language. The Test of English as a Foreign Language (TOEFL), International English Language Testing System (IELTS), or Pearson Test of English (PTE) scores satisfy the test requirement and are valid for two years according to the test organizations.

### ENGLISH PROFICIENCY

The Office of Graduate Student Affairs no longer requires students to demonstrate English proficiency as a graduation requirement at The Fu Foundation School of Engineering and Applied Science. Regardless of TOEFL, IELTS, or PTE scores submitted for admission, students should continue to work on maintaining adequate verbal and/or written abilities for successful

integration within their classes and future professional endeavors. Students are highly encouraged to be proactive about addressing their English proficiency by utilizing the many resources available within Columbia University and throughout New York City.

Students have the option of enrolling in courses offered through the American Language Program (ALP) at Columbia University. However, course credits earned through ALP do not count toward the minimum engineering academic coursework requirements. Enrollment in ALP courses is solely the financial responsibility of the student. As a rule, ISSO will not permit students to drop courses or fall below full-time registration for language proficiency deficiencies.

### APPLICATION FEE

The nonrefundable application fee for all graduate degree and nondegree programs is \$85.

### GRADUATE ADMISSION CALENDAR

Applicants are admitted twice yearly, for the fall and spring semesters.

- Fall admission application deadlines: *December 15* for Ph.D., Eng.Sc.D., and M.S. leading to Ph.D. programs and *February 15* for most M.S. only and nondegree applicants. Please visit the Office of Graduate Student Affairs website for specific M.S. only program deadlines.
- Spring admission application deadline: *October 1* for all departments and degree levels.

Applicants who wish to be considered for scholarships, fellowships, and assistantships should file complete applications for fall admission.

### **EXPRESS APPLICATION**

Columbia Engineering, Columbia College, General Studies, and Barnard seniors as well as alumni from the same schools, who have graduated within three years, may be eligible to apply to a master's program using the express application process. A minimum cumulative GPA of 3.50 in an approved undergraduate program is required to be eligible to submit an M.S. Express application. For more information about eligibility, visit the Office of Graduate Student Affairs website.

The M.S. Express online application, which waives the submission of GRE scores, letters of recommendation, and official transcripts, streamlines and simplifies the application process for graduate study. Contact your academic department or the Office of Graduate Student Affairs for further details.

### **ONE-TERM NONDEGREE STUDENT STATUS**

Individuals who meet the eligibility requirements, who are U.S. citizens, U.S. permanent residents, or hold an appropriate visa, and who wish to take courses for enrichment, may secure faculty approval to take up to two graduate-level courses for one term only as a one-term nondegree student. This option is also appropriate for individuals who missed application deadlines. Applications for the one-term nondegree student status are available at the Office of Graduate Student Affairs and must be submitted during the first week of the fall or spring semester.

If a one-term nondegree student subsequently wishes either to continue taking classes the following term or to become a degree candidate, a formal application must be made through the Office of Graduate Student Affairs.

### **TRANSFER APPLICANTS**

Master's degree students are not eligible for transfer credits.

Students admitted to the doctoral program who have been conferred an appropriate M.S. degree may be awarded two residence units toward their Ph.D., as well as 30 points of advanced standing toward their Ph.D. or Eng.Sc.D. with approval from the academic department and the Office of Graduate Student Affairs.

**T**he 2018–2019 tuition and fees are estimated. Tuition and fees are prescribed by statute and are subject to change at the discretion of the Trustees.

University charges such as tuition, fees, and residence hall and meal plans are billed in the first Student Account Statement of the term, which is sent out in July and December of each year for the upcoming term. This account is payable and due in full on or before the payment due date announced in the Statement, typically at the end of August or early January before the beginning of the billed term. Any student who does not receive the first Student Account Statement is expected to pay at registration.

If the University does not receive the full amount due for the term on or before the payment due date of the first Statement, a late payment charge of \$150 will be assessed. An additional charge of 1.5 percent per billing cycle may be imposed on any amount past due thereafter.

Students with an overdue account balance may be prohibited from registering, changing programs, or obtaining a diploma or transcripts. In the case of persistently delinquent accounts, the University may utilize the services of an attorney and/or collection agent to collect any amount past due. If a student's account is referred for collection, the student may be charged an additional amount equal to the cost of collection, including reasonable attorney's fees and expenses incurred by the University.

### TUITION

Graduate students enrolled in M.S. and Eng.Sc.D. programs pay \$2,018 per credit, except when a special fee is fixed. Graduate tuition for Ph.D. students is \$23,108 per Residence Unit. The Residence Unit, full-time registration for one semester rather than for individual courses (whether or not the student is taking courses), provides the basis for tuition charges. Ph.D. students should consult the bulletin for the Graduate School of Arts and Sciences.

### COMPREHENSIVE FEE/ MATRICULATION AND FACILITIES

Eng.Sc.D. candidates engaged only in research, and who have completed their twelve (12) credits of Doctoral Research Instruction (see "The Graduate Programs" in this bulletin), are assessed a Comprehensive Fee of \$2,210 per term by The Fu Foundation School of Engineering and Applied Science.

Ph.D. candidates engaged only in research are assessed \$2,210 per term for Matriculation and Facilities by the Graduate School of Arts and Sciences.

### MANDATORY FEES

University facilities fee:

- Full-time master's programs: \$535 per term
- All other full-time programs: \$496 per term

Health and Related Services fee: \$562 per term

International Services charge:

\$90 per term (international students only)

Document fee: \$105 (one-time charge)

### OTHER FEES

Activities fees for master's programs:

- First-year full-time student (12 or more credits): \$200
- Continuing full-time students (12 or more credits): \$150
- First-year part-time students (less than 12 credits): \$100
- Continuing part-time students (less than 12 credits): \$75

All full-time and part-time M.S.-Ph.D. track and Ph.D. students shall be charged, per term, a student activities fee of \$25.

Application and late fees:

- Application for graduate admission: \$85
- Late registration fee:
  - during late registration: \$50
  - after late registration: \$100

Books and course materials:

Depends upon course

Laboratory fees: See course listings

IEOR master's program fee:

- Full-time master's program: \$1,000
- Part-time master's program: \$500

### HEALTH INSURANCE

Columbia University offers the Student Medical Insurance Plan, which provides both Basic and Comprehensive levels of coverage. Full-time students are automatically enrolled in the Basic

level of the Plan and billed for the insurance premium in addition to the Health Service fee. Visit the Columbia Health website at health.columbia.edu for detailed information about medical insurance coverage options and directions for making confirmation, enrollment, or waiver requests.

**PERSONAL EXPENSES**

Students should expect to incur miscellaneous personal expenses for such items as food, clothing, linen, laundry, dry cleaning, and so forth.

The University advises students to open a local bank account upon arrival in New York City. Since it often takes as long as three weeks for the first deposit to clear, students should plan to cover immediate expenses using either a credit card, traveler's checks, or cash draft drawn on a local bank. Students are urged not to arrive in New York without sufficient start-up funds.

**LABORATORY CHARGES**

Students may need to add another \$100 to \$300 for drafting materials or laboratory fees in certain courses. Each student taking laboratory courses must furnish, at his or her own expense, the necessary notebooks, blank forms, and similar supplies. In some laboratory courses, a fee is charged to cover expendable materials and equipment maintenance; the amount of the fee is shown with the descriptions in the course listings. Students engaged in special tests, investigations, theses, or research work are required to meet the costs of expendable materials as may be necessary for this work and in accordance with such arrangements as may be made between the student and the department immediately concerned.

**DAMAGES**

All students will be charged for damage to instruments or apparatus caused by their carelessness. The amount of the charge will be the actual cost of repair, and, if the damage results in total loss of the apparatus, adjustment will be made in the charge for age or condition. To ensure that there may be no question as to the liability for damage, students should note whether the apparatus is in

good condition before use and, in case of difficulty, request instruction in its proper operation. Where there is danger of costly damage, an instructor should be requested to inspect the apparatus. Liability for breakage will be decided by the instructor in charge of the course. When the laboratory work is done by a group, charges for breakage will be divided among the members of the group. The students responsible for any damage will be notified that a charge is being made against them. The amount of the charge will be stated at that time or as soon as it can be determined.

**TUITION AND FEE REFUNDS**

Students who make a complete withdrawal from a term, including the summer, are assessed a withdrawal fee of \$75. Late fees, application fees, withdrawal fees, tuition deposits, special fees, computer fees, special examination fees, and transcript fees are not refundable.

The Health Service Fee, Health Insurance Premium, University facilities fees, and student activity fees are not refundable.

Students who withdraw within the first 60 percent of the academic period are subject to a *pro rata* refund calculation, which refunds a portion of tuition based on the percentage of the term remaining after the time of withdrawal. This calculation is made from the date the student's written notice of withdrawal is received by the Office of Graduate Student Affairs.

**Percentage Refund for Withdrawal during First Nine Weeks of Term**

*Prorated for calendars of a different duration, if the entire program is dropped:*

1st week	100%
2nd week	100%
3rd week	90%
4th week	80%
5th week	70%
6th week	60%
7th week	50%
8th week	40%
9th week and after	0%

**Refund Policy When Dropping Individual Courses**

Tuition for courses dropped by the last

day of the Change-of-Program period is refunded in full. There is no refund of tuition for individual courses dropped after the last day of the Change-of-Program period. The Change-of-Program period is usually the first two weeks of the fall or spring semesters (please note that the first week of the semester usually begins on a Tuesday).

*Please note:* The prorated schedule above does not pertain to individual classes dropped (unless your entire schedule consists of only one class). The prorated schedule pertains to withdrawals. Withdrawal is defined as dropping one's entire program.

For students receiving federal student aid, refunds will be made to the federal aid programs in accordance with Department of Education regulations. Refunds will be credited in the following order:

- Federal Unsubsidized Stafford Loans
- Federal Stafford Loans
- Federal Perkins Loans
- Federal PLUS Loans (when disbursed through the University)
- Federal Pell Grants
- Federal Supplemental Educational Opportunity Grants
- Other Title IV funds

Withdrawing students should be aware that they will not be entitled to any portion of a refund until all Title IV programs are credited and all outstanding charges have been paid.

## FINANCING GRADUATE EDUCATION

The academic departments of Columbia Engineering and the Office of Financial Aid and Educational Financing seek to ensure that all academically qualified students have enough financial support to enable them to work toward their degree. Possible forms of support for tuition, fees, books, and living expenses are: institutional grants, fellowships, teaching and research assistantships, on- or off-campus employment, and student loans. The Office of Financial Aid and Educational Financing assists students with developing financing plans for completing a degree.

Columbia University graduate funds are administered by two separate branches of the University, and the application materials required by the two branches differ. Institutional grants, fellowships, and teaching and research assistantships are all departmentally-administered funds. Questions regarding these awards should be directed to your academic department. Federal Student Loans (Unsubsidized, Graduate PLUS, and Perkins) and private student loans are administered by the Office of Financial Aid and Educational Financing. Questions about loans should be directed to the financial aid office.

## INSTRUCTIONS FOR FINANCIAL AID APPLICANTS

### Deadlines

Apply for financial aid at the same time

that you apply for admission. Your admissions application must be received by the December 15 deadline to be eligible for The Fu Foundation School of Engineering and Applied Science departmental funding (institutional grants, fellowships, and teaching and research assistantships). Spring admissions applicants will not be considered for departmental funding.

Incoming applicants and continuing students should complete the FAFSA by May 5 for fall enrollment.

Guidelines for continuing students are available from departmental advisers in advance of the established deadline. All continuing supported students must preregister for classes during the preregistration period.

## GRADUATE SCHOOL DEPARTMENTAL FUNDING

The graduate departments of Columbia Engineering offer an extensive array of funding. Funding decisions, based solely on merit, and contingent upon making satisfactory academic progress, are made by the departments. All applicants for admission and continuing students maintaining satisfactory academic standing will be considered for departmental funds. Applicants should contact their department directly for information. Columbia Engineering prospective and continuing graduate students must complete their FAFSA in order to be considered for all forms of graduate financing (both departmentally-administered and financial aid-administered funds). The application for admission to Columbia Engineering

graduate programs is also used to apply for departmental funding. Outside scholarships for which you qualify must be reported to your department and the Office of Financial Aid and Educational Financing. The School reserves the right to adjust your institutional award if you hold an outside scholarship, fellowship, or other outside funding.

### Institutional Grants

Institutional grants are awarded to graduate students on the basis of academic merit. Recipients must maintain satisfactory academic standing.

### Fellowships

Fellowships are financial and intellectual awards for academic merit that provide stipends to be used by fellows to further their research. If you are awarded a fellowship, you are expected to devote time to your own work, and you are not required to render any service to the University or donor. You may publish research produced by your fellowship work. As a fellow, you may not engage in remunerative employment without consent of the Dean. Applicants should contact the department directly for information. See the complete listing of fellowships on pages 234–236.

### Assistantships

Teaching and research assistantships, available to doctoral students in all departments, provide tuition exemption and a living stipend. Duties may include teaching, laboratory supervision, participation in faculty research, and other related activities.

Teaching and research assistantships require up to twenty hours of work per week. If you are participating in faculty research that fulfills degree requirements, you may apply for a research assistantship. Assistantships are awarded on the basis of academic merit.

## ALTERNATIVE FUNDING SOURCES

### External Awards

Because it is not possible to offer full grant and fellowship support to all graduate students and because of the prestige inherent in holding an award through open competition, applicants are encouraged to consider major national and international fellowship opportunities. It is important that prospective graduate students explore every available source of funding for graduate study.

In researching outside funding you may look to faculty advisers, career services offices, deans of students, and offices of financial aid where frequently you may find resource materials, books, and grant applications for a wide variety of funding sources. You must notify both your Columbia Engineering academic department and the Office of Financial Aid and Educational Financing of any outside awards that you will be receiving.

### Funding for International Students

To secure a visa, international students must demonstrate that they have sufficient funding to complete the degree. Many international students obtain support for their educational expenses from their government, a foundation, or a private agency.

International students who apply to doctoral programs of study by the December 15 deadline and are admitted to a Columbia Engineering doctoral program are automatically considered for departmental funding (institutional grants, fellowships, and teaching and research assistantships, upon completion of the required financial aid forms referred to above. Spring admissions applicants will not be considered for departmental funding. Continuing international students

must preregister for classes during the preregistration period and complete an enrollment status form to be considered for departmental funding.

Most private student loan programs are restricted to U.S. citizens and permanent residents. However, international students may be eligible to apply for these domestic loan programs with a creditworthy cosigner who is a citizen or permanent resident in the United States. Depending on the loan program, you may need a valid U.S. Social Security number.

Students who study at Columbia Engineering on temporary visas should fully understand the regulations concerning possible employment under those visas. Before making plans for employment in the United States, international students should consult with the International Students and Scholars Office (ISSO), located at 524 Riverside Drive, Suite 200; 212-854-3587. Its website is [columbia.edu/cu/isso](http://columbia.edu/cu/isso).

## OTHER FINANCIAL AID—FEDERAL AND PRIVATE PROGRAMS

U.S. citizens and permanent residents enrolled at least half-time in a degree-granting program are eligible to apply for federal student loans. To apply for federal student loans, students should complete the Free Application for Federal Student Aid (FAFSA) using Columbia University's school code 002707 by May 5 for fall enrollment

Several private student loan programs are available to both U.S. citizens and international students. These loans require that you have a good credit standing. International students may be eligible for a private loan with a creditworthy U.S. citizen or permanent resident cosigner.

Detailed information and application instructions for student loans may be found at the Office of Financial Aid and Educational Financing website at [cc-seas.financialaid.columbia.edu/content/graduate-engineering-aid](http://cc-seas.financialaid.columbia.edu/content/graduate-engineering-aid).

Determination of your eligibility for financial aid is based in part on the number of courses for which you register. If you enroll in fewer courses than you initially reported on the loan request form, your loan eligibility may be reduced.

The FAFSA and the online loan request form must be completed each academic year, and you must maintain satisfactory academic progress as defined in "The Graduate Programs" section in order to remain eligible for federal student loans.

## VETERAN'S BENEFITS

Various Department of Veterans Affairs programs provide educational benefits for sons, daughters, and spouses of deceased or permanently disabled veterans as well as for veterans and in-service personnel who served on active duty in the U.S. Armed Forces after January 1, 1955. In these programs the amount of benefits varies. Under most programs the student pays tuition and fees at the time of registration but receives a monthly allowance from Veterans Affairs.

Since interpretation of regulations governing veterans' benefits is subject to change, veterans and their dependents should keep in touch with the Department of Veterans Affairs. For additional information and assistance in completing the necessary forms, contact 1-800-827-1000, or consult their website ([www.va.gov](http://www.va.gov)).

Detailed information regarding the veteran population at Columbia and policies including the Veteran's Readmission Provision may be found on the Veterans Affairs website ([veteranaffairs.columbia.edu](http://veteranaffairs.columbia.edu)).

## EMPLOYMENT

Students on fellowship support must obtain the permission of the Dean before accepting remunerative employment.

Students who study at Columbia Engineering on temporary visas should fully understand the regulations concerning possible employment under those visas. Before making plans for employment in the United States, international students should consult with the International Students and Scholars Office (ISSO) located at 524 Riverside Drive, Suite 200; 212-854-3587. Its website is [columbia.edu/cu/isso](http://columbia.edu/cu/isso).

## On-Campus Employment

The Center for Career Education



maintains an extensive listing of student employment opportunities. The Center for Career Education (CCE) is located at East Campus, Lower Level, 212-854-5609, [careereducation.columbia.edu](http://careereducation.columbia.edu).

#### **Off-Campus Employment in New York City**

One of the nation's largest urban areas, the city offers a wide variety of opportunities for part-time work. Many students gain significant experience in fields related to their research and study while they meet a portion of their educational expenses.

#### **CONTACT INFORMATION**

For questions about institutional grants, fellowships, and teaching and research assistantships, contact your academic department.

For questions about on- or off-campus non-need-based employment, contact the Center for Career Education, located at East Campus, Lower Level, 212-854-5609, [careereducation.columbia.edu](http://careereducation.columbia.edu).

For questions about student loans, contact:  
Office of Financial Aid and Educational Financing  
618 Lerner Hall

Mailing: 100 Hamilton Hall, MC 2802  
1130 Amsterdam Avenue  
New York, NY 10027

Phone: 212-854-3711

Fax: 212-854-5353

Email: [gradseas-finaid@columbia.edu](mailto:gradseas-finaid@columbia.edu)  
[cc-seas.financialaid.columbia.edu/content/graduate-engineering-aid](http://cc-seas.financialaid.columbia.edu/content/graduate-engineering-aid).

# Faculty and Administration



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**John H. Coatsworth, Ph.D.**  
*Provost of the University*

**Mary C. Boyce, Ph.D.**  
*Dean*

**Andrew Laine, D.Sc.**  
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**Gerard A. Ateshian**

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# Departments and Academic Programs



This section contains a description of the curriculum of each department in the School, along with information regarding undergraduate and graduate degree requirements, elective courses, and suggestions about courses and programs in related fields. All courses are listed, whether or not they are being offered during the current year; if a course is not being given, that is indicated. Included as well are courses cross-listed with other departments and undergraduate divisions within the University.

### DESIGNATORS

Each course is preceded by a *four-letter designator*, which indicates the department or departments presenting the course.

Course Designator	Department Subject
AHIS	Art History
AMCS	Applied Math and Computer Science
AMST	American Studies
APAM	Applied Physics and Applied Math
APBM	Applied Physics and Biomedical Engineering
APCH	Applied Physics and Chemical Engineering
APMA	Applied Mathematics
APPH	Applied Physics
ARCH	Architecture
ASCE	Asian Civilization: East Asian
ASCM	Asian Civilization: Middle East
ASTR	Astronomy
BIOC	Biology and Chemistry
BIOL	Biology
BIST	Biostatistics

BMCH	Biomedical and Chemical Engineering
BMEB	Biomedical Engineering, Electrical Engineering, and Biology
BMEE	Biomedical Engineering and Electrical Engineering
BMEN	Biomedical Engineering
BMME	Biomedical Engineering and Mechanical Engineering
BUSI	Business
CBMF	Computer Science, Biomedical Engineering and Medical Informatics
CEOR	Civil Engineering and Operations Research
CHAP	Chemical Engineering and Applied Physics and Applied Math
CHBM	Chemical Engineering and Biomedical Engineering
CHCB	Chemistry, Biology and Computer Science
CHEE	Chemical Engineering and Earth and Environmental Engineering
CHEM	Chemistry
CHEN	Chemical Engineering
CIEE	Civil Engineering and Earth and Environmental Engineering
CIEN	Civil Engineering
CMBS	Cellular, Molecular, and Biophysical Studies
COCI	Contemporary Civilization
COMS	Computer Science
COSA	Computer Science, Industrial Engineering and Operations Research, Statistics, and Applied Physics and Applied Mathematics
CSEE	Computer Science and Electrical Engineering
CSEN	Computer Science and English

CSOR	Computer Science and Operations Research
DNCE	Dance
DRAN	Decision, Risk, and Operations
DROM	Decision, Risk, and Operations Management
EACE	Earth and Environmental Engineering and Chemical Engineering
EAEE	Earth and Environmental Engineering
EAIA	Earth and Environmental Engineering and International and Public Affairs
ECBM	Electrical Engineering, Computer Science and Biomedical Engineering
ECIA	Earth and Environmental and Civil Engineering and International and Public Affairs
ECIE	Economics and Industrial Engineering
ECON	Economics
EEBM	Electrical Engineering and Biomedical Engineering
EECS	Electrical Engineering and Computer Science
EEHS	Electrical Engineering and History
EEME	Electrical Engineering and Mechanical Engineering
EEOR	Electrical Engineering and Operations Research
EESC	Earth and Environmental Sciences
EHSC	Environmental Health Sciences
ELEN	Electrical Engineering
ENGI	Engineering
ENGL	English
EMME	Engineering Mechanics and Mechanical Engineering
ENME	Engineering Mechanics
FINC	Finance

FREN	French
GERM	German
GRAP	Graphics
HIST	History
HSAM	History and Applied Mathematics
HUMA	Humanities
IEME	Industrial Engineering and Mechanical Engineering
IEOR	Industrial Engineering and Operations Research
INAF	International Affairs
INTA	Earth and Environmental Engineering, Civil Engineering, and International and Public Affairs
MATH	Mathematics
MEBM	Mechanical Engineering and Biomedical Engineering
MECE	Mechanical Engineering
MECH	Mechanical Engineering and Chemical Engineering
MECS	Mechanical Engineering and Computer Science
MEEM	Mechanical Engineering and Engineering Mechanics
MEIE	Mechanical Engineering and Industrial Engineering
MSAE	Materials Science and Engineering
MSIE	Management Science and Industrial Engineering and Operations Research
MUSI	Music
ORCA	Operations Research, Computer Science and Applied Mathematics
PHED	Physical Education
PHIL	Philosophy
PHYS	Physics
PLAN	Planning

POLS	Political Science
PSLG	Physiology
PSYC	Psychology
RELI	Religion
SCNC	Science
SIEO	Statistics and Industrial Engineering and Operations Research
SOCI	Sociology
SPAN	Spanish
STAT	Statistics
STCS	Statistics and Computer Science
URBS	Urban Studies
VIAR	Visual Arts

### HOW COURSES ARE NUMBERED

The course number that follows each designator consists of one or two *capital letters* followed by four digits. The capital letter indicates the University division or affiliate offering the course:

B	Business
E	Engineering and Applied Science
P	Mailman School of Public Health
S	Summer Session
U	International and Public Affairs
W	Interfaculty course
Z	American Language Program
Arts and Sciences	
CC	Columbia College
UN	Undergraduate
GU	Undergraduate, Graduate
GR	Graduate Only

The *first digit* indicates the level of the course, as follows:

0	Course that cannot be credited toward any degree
1	Undergraduate course
2	Undergraduate course, intermediate
3	Undergraduate course, advanced
4	Graduate course that is open to qualified undergraduates
6	Graduate course
8	Graduate course, advanced
9	Graduate research course or seminar

An *x* following the course number means that the course meets in the fall semester; *y* indicates the spring semester.

### DIRECTORY OF CLASSES

Room assignments, days and hours, and course changes for all courses are available online at [columbia.edu/cu/bulletin/uwb](http://columbia.edu/cu/bulletin/uwb).

The School reserves the right to withdraw or modify the courses of instruction or to change the instructors at any time.

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## LECTURER IN

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The Department of Applied Physics and Applied Mathematics includes undergraduate and graduate studies in the fields of applied physics, applied mathematics, and materials science and engineering. The graduate program in applied physics includes plasma physics and controlled fusion; solid-state physics; optical and laser physics and medical physics. The graduate program in applied mathematics includes research in applied analysis and atmospheric, oceanic, and earth physics. The graduate programs in materials

science and engineering are described on pages 179–181.

### Current Research Activities in Applied Physics and Applied Mathematics

#### Plasma physics and fusion energy.

In experimental plasma physics, research is being conducted on (1) equilibrium, stability, and transport in fusion plasmas: high-beta tokamaks, spherical tokamaks, and levitated dipoles; (2) magnetospheric physics: trapped particle instabilities and stochastic particle motion; (3) confinement

of toroidal nonneutral plasmas; (4) plasma source operation and heating techniques; and (5) the development of new plasma measurement techniques. The results from our fusion science experiments are used as a basis for collaboration with large national and international experiments. For example, methods of active feedback control of plasma instability developed at Columbia University are guiding research on NSTX at the Princeton Plasma Physics Laboratory, on the DIII-D tokamak at General Atomics, and for the design of the next generation

burning plasma experiment, ITER. In theoretical plasma physics, research is conducted in the theory of plasma equilibrium and stability, active control of MHD instabilities, the kinetic theory of turbulence and transport, and the development of techniques based on the theory of general coordinates and dynamical systems. The work is applied to magnetic fusion, nonneutral and space plasmas.

**Optical and laser physics.** Active areas of research include inelastic light scattering in nanomaterials, optical diagnostics of film processing, new laser systems, nonlinear optics, ultrafast optoelectronics, photonic switching, optical physics of surfaces, laser-induced crystallization, and photon integrated circuits.

**Solid-state physics.** Research in solid-state physics covers nanoscience and nanoparticles, electronic transport and inelastic light scattering in low-dimensional correlated electron systems, fractional quantum Hall effect, heterostructure physics and applications, molecular beam epitaxy, grain boundaries and interfaces, nucleation in thin films, molecular electronics, nanostructure analysis, and electronic structure calculations. Research opportunities also exist within the Columbia Nano Initiative (CNI), including the NSF Nanoscale Science and Engineering Center, which focuses on electron transport in molecular nanostructures; and the DOE Energy Frontier Research Center, which focuses on conversion of sunlight into electricity in nanometer-sized thin films.

**Applied mathematics.** Current research encompasses analytical and numerical analysis of deterministic and stochastic partial differential equations, large-scale scientific computation, fluid dynamics, dynamical systems and chaos, as well as applications to various fields of physics and biology. The applications to physics include quantum and condensed-matter physics, materials science, electromagnets and optics, plasma physics, medical imaging, and the earth sciences, notably atmospheric, oceanic, and climate science, and solid earth geophysics (see below).

The applications to biology include machine learning and biophysical modeling, including collaborations with Columbia's Data Science Institute (DSI), the Department of Systems Biology, and the Department of Statistics. Extensive collaborations exist with national climate research centers (the Geophysical Fluid Dynamics Laboratory and the National Center for Atmospheric Research) and with national laboratories of the U.S. Department of Energy, custodians of the nation's most powerful supercomputers.

**Atmospheric, oceanic, and earth physics.** Current research focuses on the dynamics of the atmosphere and the ocean, climate modeling, cloud physics, radiation transfer, remote sensing, geophysical/geological fluid dynamics, geochemistry. The department engages in ongoing research with the NASA Goddard Institute for Space Studies and the Lamont-Doherty Earth Observatory. Six faculty members share appointments with the Department of Earth and Environmental Sciences.

In addition to the faculty and graduate students, many others participate in these projects, including full-time research faculty, faculty and students from other departments, and visiting scientists.

### Laboratory and Computation Facilities in Applied Physics and Applied Mathematics

The Plasma Physics Laboratory, founded in 1961, is one of the leading university laboratories for the study of plasma physics in the United States. There are four experimental facilities. The Columbia High-Beta Tokamak (HBT-EP) supports the national program to develop controlled fusion energy. It utilizes high voltage, pulsed power systems, and laser and magnetic diagnostics to study the properties of high-beta plasmas and the use of feedback stabilization to increase the achievable beta. A collaborative program with the Princeton Plasma Physics Laboratory and the DIII-D tokamak group at General Atomics is studying the properties of high-beta plasmas in order to maximize fusion power production in these large, neutral beam-heated tokamaks and spherical tori. The plasma physics group

and MIT conduct joint experiments with laboratory magnetospheres and advanced models for space weather and radiation belt dynamics. The stellarator known as Columbia Nonneutral Torus (CNT) conducts research on the magnetohydrodynamic stability, microwave heating, and microwave diagnostics of neutral stellarator plasmas. Two smaller devices investigate respectively an innovative tokamak-stellarator hybrid plasma confinement concept and the use of toroidal electron-heated plasmas as sources of ions for accelerators. The Columbia Linear Machine (CLM) is a continuously operating, linear mirror device for the study of collisionless plasma instabilities, plasma transport, and feedback stabilization. Columbia's Collisionless Terrella Experiment investigates plasma transport in magnetospheric geometry and the generation of strong plasma flow from nonlinear electrostatic potentials.

Experimental research in solid-state physics and laser physics is conducted within the department and also in association with the Columbia Nano Initiative. Facilities include laser processing and spectroscopic apparatus, ultrahigh vacuum chambers for surface analysis, picosecond and femtosecond lasers, a molecular beam epitaxy machine, and a clean room that includes photo-lithography and thin film fabrication systems. Within this field, the Laser Diagnostics and Solid-State Physics Laboratory conducts studies in laser spectroscopy of nanomaterials and semiconductor thin films, and laser diagnostics of thin film processing. The Laser Lab focuses on the study of materials under high pressure, laser surface chemical processing, and new semiconductor structures. Research is also conducted in the shared characterization laboratories and clean room operated by the NSF Nanoscale Science and Engineering Center.

The department maintains an extensive network of computing clusters and desktop computers. The research of the Plasma Lab is supported by a dedicated data acquisition/data analysis system, and the applied math group has access to a Beowulf cluster. Materials Science and Applied Physics built an intel-based

## APPLIED PHYSICS PROGRAM: FIRST AND SECOND YEARS

APPLIED PHYSICS PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS<sup>1</sup></b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>3</sup> either semester	
				<b>and ODE (3)<sup>2</sup></b>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	UN1403 (3) UN2601 (3.5) → Lab UN3081 (2)	→ Lab UN1494 (3)
<b>CHEMISTRY/ BIOLOGY</b> (choose one course)	CHEM UN1403 (3), or higher or BIOL UN2001 (4) or BIOL UN2005 (4), or higher			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			HUMA CC1001, COCI CC1101, or Global Core (3–4)  HUMA UN1121 or UN1123 (3)	HUMA CC1002, COCI CC1102, or Global Core (3–4)  ECON UN1105 (4) and UN1155 recitation (0)
<b>REQUIRED TECH ELECTIVES</b>	(3) Student's choice			
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) any semester			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> With the permission of the faculty adviser, students with advanced standing may start the calculus sequence at a higher level.

<sup>2</sup> Applied physics majors should satisfy their ODE requirement with the Mathematics Department (ordinarily MATH UN2030).

Students who take APMA E2101 prior to declaring their major in applied physics may use this course to satisfy their ODE requirement with the permission of the faculty adviser.

<sup>3</sup> Effective Class of 2021.

600 core computing cluster that is dedicated to performing first-principles computations of materials. Researchers in the department are additionally using supercomputing facilities at the National Center for Atmospheric Research; the San Diego Supercomputing Center; the National Energy Research Supercomputer Center in Berkeley, California; the National Leadership Class Facility at Oak Ridge, Tennessee; various allocations via XSEDE; and others. The Amazon Elastic Compute Cloud (EC2) is also utilized to supplement computing resources in times of high demand.

### Current Research Activities and Laboratory Facilities in Materials Science and Engineering

See page 176.

### UNDERGRADUATE PROGRAMS

The Department of Applied Physics and Applied Mathematics offers three undergraduate programs: applied physics, applied mathematics, and materials science. The materials science program is described on pages 176–179.

The applied physics and applied mathematics programs provide an excellent preparation for graduate study or for careers in which mathematical and technical sophistication are important. Using the large

number of electives in these programs, students can tailor their programs to fit their personal and career interests. By focusing their technical electives, students can obtain a strong base of knowledge in a specialized area. In addition to formal minors, some areas of specialization that are available are described on pages 55–56. All technical electives are normally at the 3000 level or above.

### UNDERGRADUATE PROGRAM IN APPLIED PHYSICS

The applied physics program stresses the basic physics that underlies most developments in engineering and the mathematical tools that are important

## APPLIED PHYSICS: THIRD AND FOURTH YEARS

APPLIED PHYSICS: THIRD AND FOURTH YEARS					
		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>		APPH E3200 (3) Mechanics  MSAE E3111 (3) Thermodynamics  APMA E3101 (3) Linear algebra  APPH E4901 (1) Seminar	APPH E3100 (3) Intro. to quantum mechanics  APPH E3300 (3) Applied electromagnetism  APMA E3102 (3) Partial differential equations	APPH E4300 (3) Applied electrodynamics  APPH E4100 (3) Quantum physics  Course in first AP area (3)  APPH E4903 (2) Seminar	Course in second AP area (3)  APPH E4018 (2) Laboratory
<b>ELECTIVES</b>	<b>TECH<sup>1</sup></b>	3 points	3 points	2 points	9 points
	<b>NONTECH OR TECH</b>	3 points	3 points	3 points	3 points
<b>TOTAL POINTS</b>		16	15	16	17

<sup>1</sup> They must include at least 2 points of laboratory courses. If PHYS UN3081 is taken as part of the first two years of the program, these technical electives need not include laboratory courses. Technical electives must be at the 3000 level or above unless prior approval is obtained.

to both physicists and engineers. Since the advances in most branches of technology lead to rapid changes in state-of-the-art techniques, the applied physics program provides the student with a broad base of fundamental science and mathematics while retaining the opportunity for specialization through technical electives.

The applied physics curriculum offers students the skills, experience, and preparation necessary for several career options, including opportunities to minor in economics and to take business-related courses. In recent years, applied physics graduates have entered graduate programs in many areas of applied physics or physics, enrolled in medical school, or been employed in various technical or financial areas immediately after receiving the B.S. degree.

Opportunities for undergraduate research exist in the many research programs in applied physics. These include fusion and space plasma physics, optical and laser physics, and condensed matter physics. Undergraduate students can receive course credit for research or an independent project with a faculty member. Opportunities also exist for undergraduate students in the applied physics program to participate in this research through part-time employment

during the academic year and full-time employment during the summer, either at Columbia or as part of the NSF REU program nationwide. Practical research experience is a valuable supplement to the formal course of instruction. Applied physics students participate in an informal undergraduate seminar to study current and practical problems in applied physics, and obtain hands-on experience in at least two advanced laboratory courses.

Majors are introduced to two areas of application of applied physics (AP) by a course in each of two areas. Approved areas and courses are

**DYNAMICAL SYSTEMS:**

APMA E4101 or PHYS GU4003

**OPTICAL OR LASER PHYSICS:**

APPH E4110 or E4112

**NUCLEAR SCIENCE:** APPH E4010

**PLASMA PHYSICS:** APPH E4301

**PHYSICS OF FLUIDS:** APPH E4200

**SOLID STATE/CONDENSED MATTER PHYSICS:** PHYS GU4018

**BIOPHYSICAL MODELING:** APMA E4400

In addition to these courses, courses listed in the Specialty Areas in Applied Physics can be used to satisfy this requirement with preapproval of the applied physics adviser.

All students must take 30 points of electives in the third and fourth years, of which 17 points must be technical courses approved by the adviser. The 17 points include 2 points of an advanced laboratory in addition to *APPH E4018*. Technical electives must be at the 3000 level or above unless prior approval is obtained from the department. A number of approved technical electives are listed in the section on specialty areas. The remaining points of electives are intended primarily as an opportunity to complete the absolutely mandatory four-year, 27-point nontechnical requirement for the B.S. degree, but if this 27-point nontechnical requirement has been met already, then any type of coursework can satisfy these elective points.

### UNDERGRADUATE PROGRAM IN APPLIED MATHEMATICS

The applied mathematics program is flexible and intensive. A student must take the required courses listed below, or prove equivalent standing, and then may elect the other courses from mathematics, computer science, physics, Earth and environmental sciences, biophysics, economics, business and finance, or other application fields. Each student tailors his or her own program in

## APPLIED MATHEMATICS PROGRAM: FIRST AND SECOND YEARS

APPLIED MATHEMATICS PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS<sup>1</sup></b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>3</sup> either semester	
				and ODE (3) <sup>2</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	UN1403 (3) <sup>4</sup> UN2601 (3.5) Lab UN3081 (2) <sup>5</sup>	→ Lab UN1494 (3) <sup>5</sup>
<b>CHEMISTRY/ BIOLOGY</b> (choose one course)	CHEM UN1403 (3), or higher <b>or</b> BIOL UN2001 (4) <b>or</b> BIOL UN2005 (4), or higher			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)  HUMA UN1121 <b>or</b> UN1123 (3)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)  ECON UN1105 (4) <b>and</b> UN1155 recitation (0)
<b>REQUIRED TECH ELECTIVES</b>	(3) Student's choice			
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) any semester			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	E1102 (4) either semester			

<sup>1</sup> With the permission of the faculty adviser, students with advanced standing may start the calculus sequence at a higher level.

<sup>2</sup> Applied mathematics majors should satisfy their ODE requirement with the Mathematics Department (ordinarily MATH UN2030). Students who take APMA E2101 prior to declaring their major in applied mathematics may use this course to satisfy their ODE requirement with the permission of the faculty adviser.

<sup>3</sup> Effective Class of 2021.

<sup>4</sup> Transfer students who have not fulfilled the physics requirement prior to enrolling at Columbia may substitute this course with PHYS BC3001.

<sup>5</sup> Or a lab course in Astronomy, Astrophysics, Biology, or Chemistry.

close collaboration with an adviser. He or she must also register for the applied mathematics seminar during both the junior and senior years. During the junior year, the student attends the seminar lectures for 0 points; during the senior year, he or she attends the seminar lectures as well as tutorial problem sessions for 3 or 4 points.

While it is common for students in the program to go on to graduate school, many graduating seniors will find employment directly in industry, government, education, or other fields.

Of the 27 points of elective content in the third and fourth years, at least 15 points of technical courses approved

by the adviser must be taken. The remaining points of electives are intended primarily as an opportunity to complete the absolutely mandatory four-year, 27-point nontechnical requirement for the B.S. degree, but if this 27-point nontechnical requirement has been met already, then any type of coursework can satisfy these elective points.

Transfers into the applied mathematics program from other majors require a GPA of 3.0 or above, and the approval of the applied mathematics program chair.

### UNDERGRADUATE DOUBLE MAJOR IN APPLIED PHYSICS AND APPLIED MATHEMATICS

Students satisfy all requirements for both majors, except for the seminar requirements. They are required to take both senior seminars, *APMA E4903* and *APPH E4903* (taking one in the junior year and one in the senior year, due to timing conflicts), but not the junior seminars, *APMA E4901* and *APPH E4901*. A single foundational course may be used to fulfill a requirement in both majors. Students must maintain a GPA at or above 3.75, and must graduate with at least 143 points, 15 above the regular 128-point requirement. These

## APPLIED MATHEMATICS: THIRD AND FOURTH YEARS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>		APMA E3101 (3) <sup>1</sup> Linear algebra (Applied math, I)  APMA E4204 (3) <sup>1</sup> Complex variables  APMA E4300 (3) Introduction to numerical methods (Computational math, I)  APMA E4901 (0) Seminar	APMA E3102 (3) <sup>1</sup> Partial differential equations (Applied math, II)  Course from Group A <sup>2</sup>  Course from Group B <sup>2</sup>	MATH GU4061 (3) <sup>1</sup> Modern analysis  APMA E4101 (3) Introduction to dynamical systems (Applied math, III)  APMA E4903 (3 or 4) Seminar	APMA E3900 (3) <sup>3</sup> Research  Courses designated MATH, APMA, or STAT (3)
<b>ELECTIVES</b>	<b>TECH<sup>4</sup></b>	3 points	3 points	3 points	6 points
	<b>NONTECH</b>	3 points	3 points	3 points	3 points
<b>TOTAL POINTS</b>		15	15	16	15

<sup>1</sup> MATH UN2010 may be substituted for APMA E3101; MATH UN3028 may be substituted for APMA E3102; MATH UN3007 may be substituted for APMA E4204; MATH UN2500 may be substituted for MATH GU4061.

<sup>2</sup> One course from Group A and one course from Group B required for graduation. Group A: IEOR E3658: Probability for engineers; STAT GU4105: Probability; SIEO W3600: Introduction to probability and statistics; STAT GU4001: Introduction to probability and statistics; STAT UN3105: Introduction to probability; MATH W4155: Probability theory. Group B: STAT UN3107: Statistical inference; STAT GU4107: Statistical inference; STAT GU4109: Probability and statistical inference; SIEO GU4606: Elementary stochastic processes; IEOR E3106: Stochastic systems and applications; IEOR E4106: Stochastic models; IEOR E4703: Monte Carlo simulation; COMS W4771: Machine learning.

<sup>3</sup> With an adviser's permission, an approved technical elective may be substituted.

<sup>4</sup> Any course in science or engineering at the 3000 level or above qualifies as a technical elective, except for required or elective courses in the minor in entrepreneurship and innovation which do not count as technical electives unless authorized by an adviser. <sup>3</sup> Other elective courses may be chosen from other departments in SEAS and Arts and Sciences, e.g., the Departments of Mechanical Engineering, Electrical Engineering, Mathematics, and Statistics.

extra 15 points should be technical electives appropriate for one or both majors.

To apply, a student first obtains the approval of both the general undergraduate AP adviser and the general undergraduate AM adviser, and then the approval of the Dean.

### SPECIALTY AREAS IN APAM

Both applied physics and applied mathematics students can focus their technical electives and develop a strong base of knowledge in a specialty area. There is no requirement to focus electives, so students may take as many or as few of the recommended courses in a specialty area as is appropriate to their schedules and interests. Some specialties are given below, but this is not an exclusive list and others can be worked out in coordination with the

student's adviser. The courses that are often taken, or in some cases need to be taken, in the junior year are denoted with a "J."

#### Technical Electives

- Applications of Physics  
Courses that will give a student a broad background in applications of physics:

**ELEN E3000x:** Circuits, systems, and electronics (J)

**MSAE E3010x:** Introduction to materials science, I

**APPH E4010x:** Intro to nuclear science

**PHYS GU4018y:** Solid-state physics

**APMA E4101x:** Intro to dynamical systems

**APPH E4110y:** Modern optics

**APPH E4112x:** Laser physics

**APPH E4200x:** Physics of fluids

**APPH E4301y:** Intro to plasma physics

- Earth and Atmospheric Sciences

The Earth sciences provide a wide range of problems of interest to

physicists and mathematicians ranging from the dynamics of the Earth's climate to earthquake physics to dynamics of Earth's deep interior. The Lamont-Doherty Earth Observatory, which is part of Columbia University, provides enormous resources for students interested in this area.

#### ATMOSPHERE, OCEANS, AND CLIMATE

**EESC GU4008x:** Intro to atmospheric science

**APPH E4200x:** Physics of fluids

**APPH E4210y:** Geophysical fluid dynamics

**EESC GU4925x:** Principles of physical oceanography

**EESC GU4930y:** Earth's oceans and atmosphere

#### SOLID EARTH GEOPHYSICS

**EESC GU4001x:** Advanced general geology

**EESC GU4113x:** Intro to mineralogy

**APPH E4200x:** Physics of fluids

**EESC GU4701y:** Intro to igneous petrology

**EESC GU4941y:** Principles of geophysics

**EEEC GU4950x:** Mathematical methods in the Earth sciences

(See also courses listed under Scientific Computation and Computer Science below.)

- **Basic Physics and Astrophysics**

Fundamental physics and astrophysics can be emphasized. Not only is astrophysics providing a deeper understanding of the universe, but it is also testing the fundamental principles of physics.

**PHYS UN3002y:** From quarks to the cosmos: applications of modern physics

**ASTR UN3601x:** General relativity, black holes, and cosmology (J)

**ASTR UN3602y:** Physical cosmology (J)

**ASTR GU4001y:** Astrophysics, I

**APMA E4101x:** Intro to dynamical systems

- **Business and Finance**

The knowledge of physics and mathematics that is gained in the applied physics and applied mathematics programs is a strong base for a career in business or finance.

#### ECONOMICS

**ECON UN3211x,y:** Intermed microeconomics (J)

**ECON UN3213x,y:** Intermed macroeconomics(J)

#### INDUSTRIAL ENGINEERING AND OPERATIONS RESEARCH

**IEOR E4003x:** Industrial economics

**IEOR E4201x:** The eng of management, I

**IEOR E4202y:** The eng of management, II

#### FINANCE

**MATH GU4071x:** Mathematics of finance

**IEOR E4106y:** Intro to operations research: stochastic models (J)

**STAT GU4001x,y:** Probability and statistics (J)

**ECIE GU4280:** Corporate finance

**IEOR E4700x:** Intro to financial engineering

- **Mathematics Applicable to Physics**

Applied physics students can specialize in the mathematics that is applicable to physics. This specialization is particularly useful for students interested in theoretical physics.

**MATH UN3386x:** Differential geometry

**APMA E4001y:** Principles of applied mathematics

**APMA E4101x:** Intro to dynamical systems

**APMA E4301y:** Numerical methods for partial differential equations

**APMA E4302x:** Methods in computational science

**PHYS GU4019y:** Mathematical methods of physics

- **Fundamental Mathematics in Applied Mathematics**

This specialization is intended for

students who desire a more solid foundation in the mathematical methods and underlying theory. For example, this specialization could be followed by students with an interest in graduate work in applied mathematics.

**MATH UN3386x:** Differential geometry

**APMA E4101x:** Intro to dynamical systems

**APMA E4150x:** Applied functional analysis

**MATH GU4032x:** Fourier analysis

**MATH GU4062y:** Modern analysis, II

**STAT GU4001x, y:** Intro to probability and statistics (J)

**PHYS GU4386x-GU4387y:** Geometrical concepts in physics

- **Quantitative Biology**

Traditionally biology was considered a descriptive science in contrast to the quantitative sciences that are based on mathematics, such as physics. This view no longer coincides with reality. Researchers from biology as well as from the physical sciences, applied mathematics, and computer science are rapidly building a quantitative base of biological knowledge. Students can acquire a strong base of knowledge in quantitative biology, both biophysics and computational biology, while completing the applied physics or applied mathematics programs.

#### RECOMMENDED:

**BIOL UN2005x-UN2006y:** Intro biology, I and II

**APPH E3400y:** Physics of the human body

**APMA E4400y:** Intro to biophysical modeling

#### OTHER TECHNICAL ELECTIVES (A COURSE IN AT LEAST TWO AREAS RECOMMENDED):

##### BIOLOGICAL MATERIALS

**BIOL GU4070x:** The biology and physics of single molecules

**CHEN E4650x:** Biopolymers

##### BIOMECHANICS

**BMEN E3320y:** Fluid biomechanics (J)

**BMEN E4300y:** Solid biomechanics (J)

##### GENOMICS AND BIOINFORMATICS

**BIOL UN3037y:** Whole genome bioinformatics (J)

**ECBM E3060x:** Intro to genomic information science and technology (J)

**CBMF W4761y:** Computational genomics

##### NEUROBIOLOGY

**BIOL UN3004x:** Neurobiology, I (J)

**BIOL UN3005y:** Neurobiology, II (J)

**ELEN E4011x:** Computational neuroscience

The second term of biology will be considered a technical elective if a student has credits from at least two

other of the recommended courses in quantitative biology at the 3000 level or above.

- **Scientific Computation and Computer Science**

Advanced computation has become a core tool in science, engineering, and mathematics and provides challenges for both physicists and mathematicians. Courses that build on both practical and theoretical aspects of computing and computation include:

**MATH UN3020x:** Number theory and cryptography (J)

**COMS W3137x,y:** Data structures and algorithms (or COMS W3139y: Honors data structures and algorithms) (J)

**COMS W3157x,y:** Advanced programming (J)

**COMS W3203x,y:** Discrete mathematics: intro to combinatorics and graph theory (J)

**COMS W4203y:** Graph theory

**APMA E4300x:** Intro to numerical methods

**APMA E4301y:** Numerical methods for partial differential equations

**APMA E4302x:** Methods in computational science

**COMS W4701x,y:** Artificial intelligence

**COMS W4771y:** Machine learning

- **Solid-State Physics**

Much of modern technology is based on solid-state physics, the study of solids and liquids. Courses that will build a strong base for a career in this area are

**PHYS UN3083y:** Electronics laboratory (J)

**MSAE E3110x:** Introduction to materials science, I

**ELEN E3106x:** Solid-state devices and materials (J)

**MSAE E4100x:** Crystallography

**PHYS GU4018y:** Solid-state physics

**MSAE E4206x:** Electronic and magnetic properties of solids

## UNDERGRADUATE PROGRAM IN MATERIALS SCIENCE

See page 176.

## GRADUATE PROGRAMS

Financial aid is available for students pursuing a doctorate. Fellowships, scholarships, teaching assistantships, and graduate research assistantships are awarded on a competitive basis. The Aptitude Test of the Graduate Record Examination is required of candidates for admission to the department and

for financial aid; the Advanced Tests are recommended.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

Ethics training is required of all students pursuing a doctorate.

### M.S. Program in Applied Physics

The program of study leading to the degree of Master of Science, while emphasizing continued work in basic physics, permits many options in several applied physics specialties. The program may be considered simply as additional education in areas beyond the bachelor's level, or as preparatory to doctoral studies in the applied physics fields of plasma physics, laser physics, or solid-state physics. Specific course requirements for the master's degree are determined in consultation with the program adviser, but must include four of the six core courses listed below.

The core courses provide a student with a solid foundation in the fundamentals of applied physics, but with the approval of the faculty adviser, other graduate-level courses with APPH designators not listed below may also count as core courses.

**APPH E4100:** Quantum physics of matter  
**APPH E4110:** Modern optics  
**APPH E4112:** Laser physics  
**APPH E4200:** Physics of fluids  
**APPH E4300:** Applied electrodynamics  
**APPH E4301:** Introduction to plasma physics

### M.S. Program in Applied Mathematics

This 30-point program leads to a Master of Science degree. Students must complete five core courses and five electives. All degree requirements must be completed within five years. A candidate is required to maintain at least a 2.5 grade point average. If a student admitted to the Applied Mathematics M.S. only program is interested in the Ph.D. program, the student must reapply for admission. The core courses provide a student with a foundation in the fundamentals of applied mathematics and contribute 15 points of graduate credit toward the degree. Students must complete five of the following nine courses:

**APMA E4001:** Principles of applied mathematics  
**APMA E4101:** Intro to dynamical systems  
**APMA E4150:** Applied functional analysis  
**APMA E4200:** Partial differential equations  
**APMA E4204:** Functions of a complex variable  
**APMA E4300:** Intro to numerical methods  
**APMA E4301:** Numerical methods for partial differential equations  
**APMA E6301:** Analytic methods for partial differential equations  
**APMA E6302:** Numerical analysis for partial differential equations

Students must also take a required Research Seminar course, APMA E6100 x or y

A student must select five elective courses from those listed below (or any of those not used to satisfy the core requirements from the list above) for a total of 15 points of graduate credit. Additional courses not listed below can be applied toward the elective requirements, subject to the approval of the faculty adviser\*.

\*Courses from the Department of Economics, School of Business, School of International and Public Affairs, or quantitative courses offered by the School of Professional Studies may not be counted as electives toward the degree.

Computer science elective courses include:

**CSOR W4231:** Analysis of algorithms, I  
**COMS W4236:** Intro to computational complexity  
**COMS W4241:** Numerical algorithms and complexity  
**COMS W4252:** Computational learning theory

Industrial engineering/operations research elective courses include\*\*:

**IEOR E4003:** Industrial economics  
**IEOR E4004:** Intro to operations research: deterministic models  
**IEOR E4007:** Optimization: models and methods  
**IEOR E4106:** Stochastic models  
**STAT GU4001:** Intro to probability and statistics  
**IEOR E4403:** Advanced engineering and corporate economics  
**IEOR E4407:** Game theoretic models of operations  
**STAT GU4606:** Elementary stochastic processes  
**IEOR E4700:** Intro to financial engineering

\*\*Please check IEOR website for registration procedures required of non-IEOR students.

Other elective courses may be chosen from other departments in SEAS and Arts and Sciences, e.g., the

Departments of Mechanical Engineering, Electrical Engineering, Mathematics, and Statistics.

### M.S. Program in Materials Science and Engineering

See page 179.

### M.S. Program in Medical Physics

This CAMPEP-approved 36-point program in medical physics leads to the M.S. degree. It is administered by faculty from the School of Engineering and Applied Science in collaboration with faculty from the College of Physicians and Surgeons and the Mailman School of Public Health. It provides preparation toward certification by the American Board of Radiology. The program consists of a core curriculum of medical and nuclear physics courses, anatomy, lab, seminar, a tutorial, one elective, and two practicums. Specific course requirements are *APPH E4010, E4330, E4710, E4500, E4550, E4600, E6319, E6330, E6335, and APBM E4650*. Approved electives include *APPH E4711, APPH E6336, APAM E6650*, and a third practicum. Up to 6 points of this 36-point program may be waived based on prior equivalent academic work. A student who enters the 36-point M.S. Program in Medical Physics having satisfactorily completed, prior to beginning the Program, a course determined by the faculty to be equivalent in content to a required course within the Program may be considered to have satisfied that content requirement, may be allowed to have that requirement waived, and may be permitted to graduate from the M.S. Program in Medical Physics with fewer than 36 points, but not fewer than the 30-point minimum required by the School of Engineering and Applied Science. Evaluation of prior coursework may include review of syllabi, comparison of textbooks, consultation with instructors, and/or written or oral examination administered by Program faculty. A passing grade on a comprehensive examination is required for graduation. This examination, on subjects covered in the curriculum, is taken after two terms of study.

### Certification of Professional Achievement in Medical Physics

This graduate program of instruction leads to the Certification of Professional

Achievement and requires satisfactory completion of six of the following courses:

- APPH E4330:** Radiobiology
- APPH E4500:** Health physics
- APPH E4600:** Dosimetry
- APBM E4650:** Anatomy for physicists and engineers
- APPH E6319:** Clinical nuclear medicine physics  
or **APPH E6330:** Diagnostic radiology physics
- APPH E6335:** Radiation therapy physics  
or **APPH E6336:** Advanced topics in radiation therapy

This is a part-time nondegree program. Students are admitted to the department as certificate-track students.

## PH.D. AND ENG.SC.D. PROGRAMS

After completing the M.S. program, doctoral students specialize in one area of their programs. Some specializations have specific course requirements for the doctorate; elective courses are determined in consultation with the program adviser. Successful completion of an approved 30-point program of study is required in addition to successful completion of a written qualifying examination taken after two semesters of graduate study. An oral examination, taken within one year after the written qualifying examination, and a thesis proposal examination, taken within two years after the written qualifying examination, are required of all doctoral candidates, as is training in research and professional ethics in the first two years of the doctoral program.

### Applied Mathematics

Applied mathematics deals with mathematical concepts and techniques used in various fields of science and engineering. Historically, mathematics was first applied with great success in astronomy and mechanics. Then it developed into a main tool for physics, other physical science, and engineering. It is now becoming important in the biological, geological, economics, business, etc. With the coming of age of the computer, applied mathematics transcended its traditional style and now assumes an even greater importance and a new vitality.

Compared with the pure mathematician, the applied mathematician is more interested in problems coming from other fields. Compared with the engineer and the physical scientist, the applied mathematician is more concerned with the formulation of problems and the nature of solutions. Compared with

the computer scientist, the applied mathematician is more concerned with the accuracy of approximations and the interpretation of results. Needless to say, even in this age of specialization, the work of mathematicians, scientists, and engineers frequently overlaps. Applied mathematics, by its very nature, has occupied a central position in this interplay and has remained a field of fascination and excitement for active minds.

The Applied Analysis specialty includes research on analytical and numerical partial differential equations; mathematical foundations of data analytics, uncertainty quantification, stochastic analysis; large-scale scientific computation; fluid dynamics and continuum mechanics; dynamical systems and chaos; and applications to various fields of data and physical and life sciences.

The Atmospheric, Oceanic, and Earth Physics specialty includes research on dynamics of the atmosphere and the ocean; climate modeling; cloud physics; radiation transfer; remote sensing; geophysical/geological fluid dynamics; and geochemistry.

#### Courses:

- APMA E4001:** Principles of applied mathematics
- APMA E4101:** Intro to dynamical systems
- APMA E4150:** Applied functional analysis
- APMA E4200:** Partial differential equations
- APMA E4204:** Functions of a complex variable
- APMA E4300:** Intro to numerical methods
- APMA E4301:** Numerical methods for partial differential equations
- APMA E4302:** Intro to computational science
- APMA E4400:** Intro to biophysical modeling
- APMA E6301:** Analytic methods for partial differential equations
- APMA E6302:** Numerical analysis for partial differential equations

#### Related courses of specialization:

- APMA E6901:** Special topics in applied mathematics
- APMA E8308:** Asymptotic methods in applied mathematics
- APPH E4210:** Geophysical fluid dynamics
- APMA E9815:** Geophysical fluid dynamics seminar
- COMS E4205:** Combinatorial theory
- COMS E4241:** Numerical algorithms and complexity
- EESC GU4008:** Introduction to atmospheric science
- PHYS GU4019:** Mathematical methods in physics
- MATH GU4032:** Fourier analysis

### Materials Science and Engineering Program

See page 175.

### Applied Physics

This doctoral program has three specialties. APPH E4018 Applied physics laboratory is required for each of the three specialties in the first year of the doctoral program, in addition to any specific courses required of each specialty.

### Plasma Physics

This graduate specialty is designed to emphasize preparation for professional careers in plasma research, controlled fusion, and space research. This includes basic training in relevant areas of applied physics, with emphasis on plasma physics and related areas leading to extensive experimental and theoretical research in the Columbia University Plasma Physics Laboratory. Specific course requirements for the plasma physics doctoral program are *APPH E4018, E4200, E4300, E6101, E6102, and E9142 or E9143*, or equivalents taken at another university.

### Optical and Laser Physics

This graduate specialty involves a basic training in relevant areas of applied physics with emphasis in quantum mechanics, quantum electronics, and related areas of specialization. Some active areas of research in which the student may concentrate are laser modification of surfaces, optical diagnostics of film processing, inelastic light scattering in nanomaterials, nonlinear optics, ultrafast optoelectronics photonic switching, optical physics of surfaces, and photon integrated circuits. Specific course requirements for the optical and laser physics doctoral specialization are set with the academic adviser.

### Solid-State Physics

This graduate specialty encompasses the study of the electrical, optical, magnetic, thermal, high-pressure, and ultrafast dynamical properties of solids, with an aim to understanding them in terms of the atomic and electronic structure. The field emphasizes the formation, processing, and properties of thin films, low-dimensional structures—such as one- and two-dimensional electron gases, nanocrystals, surfaces of electronic and optoelectronic interest, and molecules. Facilities include a microelectronics laboratory, high-pressure diamond anvil cells,

a molecular beam epitaxy machine, ultrahigh vacuum systems, lasers, equipment for the study of optical properties and transport on the nanoscale, and the instruments in the shared facilities overseen by the Columbia Nano Initiative (CNI). There are also significant resources for electrical and optical experimentation at low temperatures and high magnetic fields. Specific course requirements for the solid-state physics doctoral specialization are set with the academic adviser, in consultation with the Committee on Materials Science and Engineering/Solid-State Science and Engineering.

## COURSES IN APPLIED PHYSICS

### APPH E3100y Introduction to quantum mechanics

3 pts. Lect: 3. Professor Herman.

Prerequisites: PHYS UN1403 or equivalent, and differential and integral calculus. Corequisites: APMA E3101 or equivalent. Basic concepts and assumptions of quantum mechanics, Schrodinger's equation, solutions for one-dimensional problems, including square wells, barriers, and the harmonic oscillator, introduction to the hydrogen atom, atomic physics and X-rays, electron spin.

### APPH E3200x Mechanics: fundamentals and applications

3 pts. Lect: 3. Professor Cole.

Prerequisites: PHYS UN1402, MATH UN2030, or equivalent. Basic non-Euclidean coordinate systems, Newtonian mechanics, oscillations, Green's functions, Newtonian gravitation, Lagrangian mechanics, central force motion, two-body collisions, noninertial reference frames, rigid body dynamics. Applications, including GPS and feedback control systems, are emphasized throughout.

### APPH E3300y Applied electromagnetism

3 pts. Lect: 3. Professor Navratil.

Corequisite: APMA E3102. Vector analysis, electrostatic fields, Laplace's equation, multipole expansions, electric fields in matter: dielectrics, magnetostatic fields, magnetic materials, and superconductors. Applications of electromagnetism to devices and research areas in applied physics.

### APPH E3400y Physics of the human body

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: PHYS UN1201 or UN1401, and Calculus I. Corequisites: PHYS UN1202 or UN1402, and Calculus II. This introductory course analyzes the human body from the basic principles of physics. Topics covered include the energy balance in the body, the mechanics of motion, fluid dynamics of the heart and circulation, vibrations in speaking and hearing, muscle mechanics, gas exchange and transport in the lungs, vision, structural properties and limits, electrical properties and the development and sensing of magnetic fields, and basics of

equilibrium and regulatory control. In each case, a simple model of the body organ, property, or function will be derived and then applied.

### APPH E3900x and y Undergraduate research in applied physics

0–4 pts. Members of the faculty.

Prerequisite: Written permission from instructor and approval from adviser. May be repeated for credit, but no more than 6 points may be counted toward the satisfaction of the B.S. degree requirements. Candidates for the B.S. degree may conduct an investigation in applied physics or carry out a special project under the supervision of the staff. Credit for course is contingent upon the submission of an acceptable thesis or final report.

### APAM E3999x, y or s Undergraduate fieldwork

1–2 pts. Members of the faculty.

Prerequisite(s): Obtained internship and approval from adviser. Restricted to ENAPMA, ENAPPH, ENMSAE. May be repeated for credit, but no more than 3 total points may be used toward the 128-credit degree requirement. Only for APAM undergraduate students who include relevant off-campus work experience as part of their approved program of study. Final report and letter of evaluation required. Fieldwork credits may not count toward any major core, technical, elective, and nontechnical requirements. May not be taken for pass/fail credit or audited.

### APPH E4008x Introduction to atmospheric science

3 pts. Lect: 3. Professor Polvani.

Prerequisites: Advanced calculus and general physics, or instructor's permission. Basic physical processes controlling atmospheric structure: thermodynamics; radiation physics and radiative transfer; principles of atmospheric dynamics; cloud processes; applications to Earth's atmospheric general circulation, climatic variations, and the atmospheres of the other planets.

### APPH E4010x Introduction to nuclear science

3 pts. Lect: 3. Professor Ostrow.

Prerequisites: MATH UN1202 and UN2030 and PHYS UN1403 or equivalents. Introductory course for individuals with an interest in medical physics and other branches of radiation science. Topics include basic concepts, nuclear models, semiempirical mass formula, interaction of radiation with matter, nuclear detectors, nuclear structure and instability, radioactive decay process and radiation, particle accelerators, and fission and fusion processes and technologies.

### APPH E4018y Applied physics laboratory

2 pts. Lab: 4. Professor Cole.

Prerequisite: APPH E3300 or ELEN E3401 or equivalent. Typical experiments are in the areas of plasma physics, microwaves, laser applications, optical spectroscopy physics, and superconductivity.

### APCH E4080 Soft condensed matter

3 pts. Lect: 3. Professor Gang.

Prerequisite(s): MSAE E3111, CHEE E3010, or CHEN E3120 or equivalent. Course is aimed at senior undergraduate and graduate students. Introduces fundamental ideas, concepts, and

approaches in soft condensed matter with emphasis on biomolecular systems. Covers the broad range of molecular, nanoscale and colloidal phenomena with revealing their mechanisms and physical foundations. The relationship between molecular architecture and interactions and macroscopic behavior are discussed for the broad range of soft and biological matter systems, from surfactants and liquid crystals to polymers, nanoparticles, and biomolecules. Modern characterization methods for soft materials, including X-ray scattering, molecular force probing, and electron microscopy are reviewed. Example problems, drawn from the recent scientific literature, link the studied materials to the actively developed research areas. Course grade based on midterm and final exams, weekly homework assignments, and final individual/team project.

### APPH E4090y Nanotechnology

3 pts. Lect: 3. Professor Wind.

Prerequisites: APPH E3100 and MSAE E3010 or their equivalents with instructor's permission. The science and engineering of creating materials, functional structures and devices on the nanometer scale. Carbon nanotubes, nanocrystals, quantum dots, size dependent properties, self-assembly, nanostructured materials. Devices and applications, nanofabrication. Molecular engineering, bionanotechnology. Imaging and manipulating at the atomic scale. Nanotechnology in society and industry.

### APPH E4100x Quantum physics of matter

3 pts. Lect: 3. Professor Venkataraman.

Prerequisite: APPH E3100. Corequisite: APMA E3102 or equivalent. Basic theory of quantum mechanics, well and barrier problems, the harmonic oscillator, angular momentum identical particles, quantum statistics, perturbation theory and applications to the quantum physics of atoms, molecules, and solids.

### APPH E4110y Modern optics

3 pts. Lect: 3. Professor Yu.

Prerequisite: APPH E3300. Ray optics, matrix formulation, wave effects, interference, Gaussian beams, Fourier optics, diffraction, image formation, electromagnetic theory of light, polarization and crystal optics, coherence, guided wave and fiber optics, optical elements, photons, selected topics in nonlinear optics.

### APPH E4112x Laser physics

3 pts. Lect: 3. Professor Yu.

Recommended but not required: APPH E3100 and E3300 or their equivalents. Optical resonators, interaction of radiation and atomic systems, theory of laser oscillation, specific laser systems, rate processes, modulation, detection, harmonic generation, and applications.

### CHAP E4120y Statistical mechanics

3 pts. Lect: 3. Professor O'Shaughnessy.

Prerequisite: CHEN E3210 or equivalent thermodynamics course, or instructor's permission. Fundamental principles and underlying assumptions of statistical mechanics. Boltzmann's entropy hypothesis and its restatement in terms of Helmholtz and Gibbs free energies and for open systems.

Correlation times and lengths. Exploration of phase space and observation time scale. Correlation functions. Fermi-Dirac and Bose-Einstein statistics. Fluctuation-response theory. Applications to ideal gases, interfaces, liquid crystals, microemulsions and other complex fluids, polymers, Coulomb gas, interactions between charged polymers and charged interfaces, ordering transitions.

#### **APPH E4130x Physics of solar energy**

*3 pts. Lect: 3. Professor Chen.*

Prerequisites: General physics (PHYS UN1403 or UN1602) and mathematics, including ordinary differential equations and complex numbers (such as MATH UN1202 or UN2030) or instructor's permission. The physics of solar energy including solar radiation, the analemma, atmospheric efforts, thermodynamics of solar energy, physics of solar cells, energy storage and transmission, and physics and economics in the solar era.

#### **APPH E4200x Physics of fluids**

*3 pts. Lect: 3. Professor Volpe.*

Prerequisites: APMA E3102 or equivalent; PHYS UN1401 or UN1601 or equivalent. An introduction to the physical behavior of fluids for science and engineering students. Derivation of basic equations of fluid dynamics: conservation of mass, momentum, and energy. Dimensional analysis. Vorticity. Laminar boundary layers. Potential flow. Effects of compressibility, stratification, and rotation. Waves on a free surface; shallow water equations. Turbulence.

#### **APPH E4210y Geophysical fluid dynamics**

*3 pts. Lect: 3. Professor Abernathy.*

Prerequisites: APMA E3101, E3102 (or equivalents) and APPH E4200 (or equivalent), or permission from instructor. Fundamental concepts in the dynamics of rotating, stratified flows. Geostrophic and hydrostatic balances, potential vorticity,  $f$  and beta plane approximations, gravity and Rossby waves, geostrophic adjustment and quasigeostrophy, baroclinic and barotropic instabilities, Sverdrup balance, boundary currents, Ekman layers.

#### **APPH E4300x Applied electrodynamics**

*3 pts. Lect: 3. Professor Gaeta.*

Prerequisite: APPH E3300. Overview of properties and interactions of static electric and magnetic fields. Study of phenomena of time dependent electric and magnetic fields including induction, waves, and radiation as well as special relativity. Applications are emphasized.

#### **APPH E4301y Introduction to plasma physics**

*3 pts. Lect: 3. Instructor to be announced.*

Prerequisite: PHYS UN3008 or APPH E3300. Definition of a plasma. Plasmas in laboratories and nature, plasma production. Motion of charged particles in electric and magnetic fields, adiabatic invariants. Heuristic treatment of collisions, diffusion, transport, and resistivity. Plasma as a conducting fluid. Electrostatic and magnetostatic equilibria of plasmas. Waves in cold plasmas. Demonstration of laboratory plasma behavior, measurement of plasma properties. Illustrative problems in fusion, space, and nonneutral or beam plasmas.

#### **APPH E4330y Radiobiology for medical physicists**

*3 pts. Lect: 3. Professor Zaider.*

Prerequisite: APPH E4010 or equivalent or Corequisite: APPH E4010. Interface between clinical practice and quantitative radiation biology. Microdosimetry, dose-rate effects and biological effectiveness thereof; radiation biology data, radiation action at the cellular and tissue level; radiation effects on human populations, carcinogenesis, genetic effects; radiation protection; tumor control, normal-tissue complication probabilities; treatment plan optimization.

#### **APPH E4500x Health physics**

*3 pts. Lect: 3. Professor Caracappa.*

Prerequisite: APPH E4600 or Corequisite: APPH E4600. Fundamental principles and objectives of health physics (radiation protection), quantities of radiation dosimetry (the absorbed dose, equivalent dose, and effective dose) used to evaluate human radiation risks, elementary shielding calculations and protection measures for clinical environments, characterization and proper use of health physics instrumentation, and regulatory and administrative requirements of health physics programs in general and as applied to clinical activities.

#### **APPH E4550y Medical physics seminar**

*0 pts. Lect: 1. Professor Wu.*

Required for all graduate students in the Medical Physics Program. Practicing professionals and faculty in the field present selected topics in medical physics.

#### **APPH E4600x Fundamentals of radiological physics and radiation dosimetry**

*3 pts. Lect: 3. Professor Meli.*

Prerequisite: APPH E4010 or equivalent or Corequisite: APPH E4010. Basic radiation physics: radioactive decay, radiation producing devices, characteristics of the different types of radiation (photons, charged and uncharged particles) and mechanisms of their interactions with materials. Essentials of the determination, by measurement and calculation, of absorbed doses from ionizing radiation sources used in medical physics (clinical) situations and for health physics purposes.

#### **APBM E4650x Anatomy for physicists and engineers**

*3 pts. Lect: 3. Professors Rozenshtein and Katz.*

Prerequisite: Engineering or physics background. Systemic approach to the study of the human body from a medical imaging point of view: skeletal, respiratory, cardiovascular, digestive, and urinary systems, breast and women's issues, head and neck, and central nervous system. Lectures are reinforced by examples from clinical two- and three-dimensional and functional imaging (CT, MRI, PET, SPECT, U/S, etc.).

#### **APPH E4710x Radiation instrumentation and measurement laboratory, I**

*3 pts. Lect: 1. Lab: 4. Professor Ostrow.*

Prerequisite or corequisite: APPH E4010. Lab fee: \$50. Theory and use of alpha, beta, gamma, and X-ray detectors and associated electronics

for counting, energy spectroscopy, and dosimetry; radiation safety; counting statistics and error propagation; mechanisms of radiation emission and interaction. (Topic coverage may be revised.)

#### **APPH E4711x or y Radiation instrumentation and measurement laboratory, II**

*3 pts. Lect: 1. Lab: 4. Not offered in 2018–2019.*

Prerequisite: APPH E4710. Lab fee: \$50. Additional detector types; applications and systems including coincidence, low-level, and liquid scintillation counting; neutron activation; TLD dosimetry, gamma camera imaging. (Topic coverage may be revised.)

#### **APPH E4901x Seminar: problems in applied physics**

*1 pt. Lect: 1. Professor Mauel.*

Required for, and can be taken only by, all applied physics majors and minors in the junior year. Discussion of specific and self-contained problems in areas such as applied electrodynamics, physics of solids, and plasma physics. Topics change yearly.

#### **APPH E4903x Seminar: problems in applied physics**

*2 pts. Lect: 1. Tutorial: 1. Professor Mauel.*

Required for, and can be taken only by, all applied physics majors in the senior year. Discussion of specific and self-contained problems in areas such as applied electrodynamics, physics of solids, and plasma physics. Formal presentation of a term paper required. Topics change yearly.

#### **APPH E4990x and y Special topics in applied physics**

*1–3 pts. Instructor to be announced.*

Prerequisite: Instructor's permission. May be repeated for credit. Topics and instructors change from year to year. For advanced undergraduate students and graduate students in engineering, physical sciences, and other fields.

#### **APAM E4999x and y–S4999 Supervised internship**

*1–3 pts. Members of the faculty.*

Prerequisite: Obtained internship and approval from adviser. Only for master's students in the Department of Applied Physics and Applied Mathematics who may need relevant work experience as paMay not be taken for pass/fail or audited.

#### **APPH E6081x Solid state physics, I**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: APPH E3100 or the equivalent. Knowledge of statistical physics on the level of MSAE E3111 or PHYS GU4023 strongly recommended. Crystal structure, reciprocal lattices, classification of solids, lattice dynamics, anharmonic effects in crystals, classical electron models of metals, electron band structure, and low-dimensional electron structures.

#### **APPH E6082y Solid state physics, II**

*3 pts. Lect: 3. Professor Altshuler.*

Prerequisite: APPH E6081 or instructor's permission. Semiclassical and quantum mechanical electron dynamics and conduction, dielectric

properties of insulators, semiconductors, defects, magnetism, superconductivity, low-dimensional structures, and soft matter.

**APPH E6085y Computing the electronic structure of complex materials**

*3 pts. Lect: 3. Professor Wentzcovitch.*

Prerequisite: APPH E3100 or equivalent. Basics of density functional theory (DFT) and its application to complex materials. Computation of electronics and mechanical properties of materials. Group theory, numerical methods, basis sets, computing, and running open source DFT codes. Problem sets and a small project.

**APPH E6091y Magnetism and magnetic materials**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: MSAE E4206, APPH E6081, or equivalent. Types of magnetism. Band theory of ferromagnetism. Magnetic metals, insulators, and semiconductors. Magnetic nanostructures: ultrathin films, superlattices, and particles. Surface magnetism and spectroscopies. High speed magnetization dynamics. Spin electronics.

**APPH E6101x Plasma physics, I**

*3 pts. Lect: 3. Professor Navratil.*

Prerequisite: APPH E4300. Debye screening. Motion of charged particles in space- and time-varying electromagnetic fields. Two-fluid description of plasmas. Linear electrostatic and electromagnetic waves in unmagnetized and magnetized plasmas. The magnetohydrodynamic (MHD) model, including MHD equilibrium, stability, and MHD waves in simple geometries.

**APPH E6102y Plasma physics, II**

*3 pts. Lect: 3. Professor Volpe.*

Prerequisite: APPH E6101. Magnetic coordinates. Equilibrium, stability, and transport of toroidal plasmas. Ballooning and tearing instabilities. Kinetic theory, including Vlasov equation, Fokker-Planck equation, Landau damping, kinetic transport theory. Drift instabilities.

**APPH E6110x Laser interactions with matter**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: APPH E4112 or equivalent, and quantum mechanics. Principles and applications of laser-matter coupling, nonlinear optics, three- and four-wave mixing, harmonic generation, laser processing of surfaces, laser probing of materials, spontaneous and stimulated light scattering, saturation spectroscopy, multiphoton excitation, laser isotope separation, transient optical effects.

**APPH E6319y Clinical nuclear medicine physics**

*3 pts. Lect: 3. Professor Zanonico.*

Prerequisite: APPH E4010 or equivalent recommended. Introduction to the instrumentation and physics used in clinical nuclear medicine and PET with an emphasis on detector systems, tomography and quality control. Problem sets, papers, and term project.

**APPH E6330y Diagnostic radiology physics**

*3 pts. Lect: 3. Professor Jambalwalikar.*

Prerequisite: APPH E4600. Physics of medical

imaging. Imaging techniques: radiography, fluoroscopy, computed tomography, mammography, ultrasound, magnetic resonance. Includes conceptual, mathematical/theoretical, and practical clinical physics aspects.

**APPH E6333x or y Radiation therapy physics practicum**

*3 pts. Lab: 6. Professor Wu.*

Prerequisites: Grade of B+ or better in APPH E6335 and instructor's permission. Students spend two to four days per week studying the clinical aspects of radiation therapy physics. Projects on the application of medical physics in cancer therapy within a hospital environment are assigned; each entails one or two weeks of work and requires a laboratory report. Two areas are emphasized: 1. computer-assisted treatment planning (design of typical treatment plans for various treatment sites including prostate, breast, head and neck, lung, brain, esophagus, and cervix) and 2. clinical dosimetry and calibrations (radiation measurements for both photon and electron beams, as well as daily, monthly, and part of annual QA).

**APPH E6335y Radiation therapy physics**

*3 pts. Lect: 3. Professors Wu and Homacher.*

Prerequisites: APPH E4600; APPH E4330 recommended. Review of X-ray production and fundamentals of nuclear physics and radioactivity. Detailed analysis of radiation absorption and interactions in biological materials as specifically related to radiation therapy and radiation therapy dosimetry. Surveys of use of teletherapy isotopes and X-ray generators in radiation therapy plus the clinical use of interstitial and intracavitary isotopes. Principles of radiation therapy treatment planning and isodose calculations. Problem sets taken from actual clinical examples are assigned.

**APPH E6336x Advanced topics in radiation therapy**

*3 pts. Lect: 3. Professors Wu and Amols.*

Prerequisite: APPH E6335. Advanced technology applications in radiation therapy physics, including intensity modulated, image guided, stereotactic, and hypofractionated radiation therapy. Emphasis on advanced technological, engineering, clinical and quality assurance issues associated with high-technology radiation therapy and the special role of the medical physicist in the safe clinical application of these tools.

**APPH E6340x or y Diagnostic radiology practicum**

*3 pts. Lab: 6. Members of the faculty.*

Prerequisites: Grade of B+ or better in APPH E6330 and instructor's permission. Practical applications of diagnostic radiology for various measurements and equipment assessments. Instruction and supervised practice in radiation safety procedures, image quality assessments, regulatory compliance, radiation dose evaluations and calibration of equipment. Students participate in clinical QC of the following imaging equipment: radiologic units (mobile and fixed), fluoroscopy units (mobile and fixed), angiography units, mammography units, CT scanners, MRI units and

ultrasound units. The objective is familiarization in routine operation of test instrumentation and QC measurements utilized in diagnostic medical physics. Students are required to submit QC forms with data on three different types of radiology imaging equipment.

**APPH E6365x or y Nuclear medicine practicum**

*3 pts. Lab: 6. Members of the faculty.*

Prerequisites: Grade of B+ or better in APPH E6319 and instructor's permission. Practical applications of nuclear medicine theory and application for processing and analysis of clinical images and radiation safety and quality assurance programs. Topics may include tomography, instrumentation, and functional imaging. Reports.

**APPH E6380x or y Health physics practicum**

*3 pts. Lab: 6. Professor Caracappa*

Prerequisites: Grade of B+ or better in APPH E4500 and instructor's permission or Corequisite: APPH E4500 and permission of the instructor. Radiation protection practices and procedures for clinical and biomedical research environments. Includes design, radiation safety surveys of diagnostic and therapeutic machine source facilities, the design and radiation protection protocols for facilities using unsealed sources of radioactivity—nuclear medicine suites and sealed sources—brachytherapy suites. Also includes radiation protection procedures for biomedical research facilities and the administration of programs for compliance to professional health physics standards and federal and state regulatory requirements for the possession and use of radioactive materials and machine sources of ionizing and nonionizing radiations in clinical situations. Individual topics are decided by the student and the collaborating Clinical Radiation Safety Officer.

**APAM E6650x and y—S6650 Research project**

*1–6 pts. Members of the faculty.*

Prerequisite: Written permission from instructor and approval from adviser. May be repeated for credit. A special investigation of a problem in nuclear engineering, medical physics, applied mathematics, applied physics, and/or plasma physics consisting of independent work on the part of the student and embodied in a formal report.

**APPH E9142x-E9143y Applied physics seminar**

*3 pts. Sem: 3. Not offered in 2018–2019.*

These courses may be repeated for credit. Selected topics in applied physics. Topics and instructors change from year to year.

**APAM E9301x and y—S9301 Doctoral research**

*0–15 pts. Members of the faculty.*

Prerequisite: Qualifying examination for the doctorate. Required of doctoral candidates.

**APAM E9800x and y—S9800 Doctoral research instruction**

*3, 6, 9, or 12 pts. Members of the faculty.*

A candidate for the Eng.Sc.D. degree must register for 12 points of doctoral research instruction. Registration for APAM E9800 may not be used

to satisfy the minimum residence requirement for the degree.

**APAM E9900x and y—S9900 Doctoral dissertation**

0 pts. *Members of the faculty.*

A candidate for the doctorate may be required to register for this course every term after the coursework has been completed, and until the dissertation has been accepted.

**COURSES IN APPLIED MATHEMATICS**

**APMA E2000x and y Multivariable calculus for engineers and applied scientists**

4 pts. *Lect: 3. Professor Youngren.*

Differential and integral calculus of multiple variables. Topics include partial differentiation; optimization of functions of several variables; line, area, volume, and surface integrals; vector functions and vector calculus; theorems of Green, Gauss, and Stokes; applications to selected problems in engineering and applied science.

**APMA E2101y Introduction to applied mathematics**

3 pts. *Lect: 3. Professor Tippett.*

Prerequisite: Calculus III. A unified, single-semester introduction to differential equations and linear algebra with emphases on (1) elementary analytical and numerical technique and (2) discovering the analogs on the continuous and discrete sides of the mathematics of linear operators: superposition, diagonalization, fundamental solutions. Concepts are illustrated with applications using the language of engineering, the natural sciences, and the social sciences. Students execute scripts in Mathematica and MATLAB (or the like) to illustrate and visualize course concepts (programming not required).

**APAM - HSAM W2901y Data: past, present and future**

3 pts. *Lect: 1.5. Lab: 1.5. Professors Wiggins and Jones.*

Prerequisites: Instructor's permission. Critical thinking and practice regarding the past, present, and future of data. Readings covering how students, scholars, and citizens can make sense of data in science, public policy, and our personal lives. Labs covering descriptive, predictive, and prescriptive modeling of data.

**APMA E3101x Linear algebra**

3 pts. *Lect: 3. Professor Tippett.*

Matrix algebra, elementary matrices, inverses, rank, determinants. Computational aspects of solving systems of linear equations: existence-uniqueness of solutions, Gaussian elimination, scaling, ill-conditioned systems, iterative techniques. Vector spaces, bases, dimension. Eigenvalue problems, diagonalization, inner products, unitary matrices.

**APMA E3102y Partial differential equations**

3 pts. *Lect: 3. Professor Rim.*

Prerequisite: MATH UN2030 or equivalent. Introduction to partial differential equations;

integral theorems of vector calculus. Partial differential equations of engineering in rectangular, cylindrical, and spherical coordinates. Separation of the variables. Characteristic-value problems. Bessel functions, Legendre polynomials, other orthogonal functions; their use in boundary value problems. Illustrative examples from the fields of electromagnetic theory, vibrations, heat flow, and fluid mechanics.

**APAM E3105x Programming methods for scientists and engineers**

3 pts. *Lect: 2.5. Lab: 1. Not offered in 2018–2019.*

Introduction to modern techniques of computer programming for the numerical solutions to familiarity with basic and advanced concepts of modern numerical programming and acquire practical experience solving representative problems in math and physics.

**APMA E3900x and y Undergraduate research in applied mathematics**

0–4 pts. *Members of the faculty.*

Prerequisite: Written permission from instructor and approval from adviser. May be repeated for credit, but no more than 6 points may be counted toward the satisfaction of the B.S. degree requirements. Candidates for the B.S. degree may conduct an investigation in applied mathematics or carry out a special project under the supervision of the staff. Credit for the course is contingent upon the submission of an acceptable thesis or final report.

**APMA E4001y Principles of applied mathematics**

3 pts. *Lect: 3. Professor Spiegelman.*

Prerequisites: Introductory Linear Algebra required. Ordinary Differential Equations recommended. Review of finite-dimensional vector spaces and elementary matrix theory. Linear transformations, change of basis, eigenspaces. Matrix representation of linear operators and diagonalization. Applications to difference equations, Markov processes, ordinary differential equations, and stability of nonlinear dynamical systems. Inner product spaces, projection operators, orthogonal bases, Gram-Schmidt orthogonalization. Least squares method, pseudo-inverses, singular value decomposition. Adjoint operators, Hermitian and unitary operators, Fredholm Alternative Theorem. Fourier series and eigenfunction expansions. Introduction to the theory of distributions and the Fourier Integral Transform. Green's functions. Application to Partial Differential Equations.

**APMA E4101x Introduction to dynamical systems**

3 pts. *Lect: 3. Professor Spiegelman.*

Prerequisites: APMA E2101 (or MATH UN1201) and APMA E3101 or their equivalents, or instructor's permission. An introduction to the analytic and geometric theory of dynamical systems; basic existence, uniqueness and parameter dependence of solutions to ordinary differential equations; constant coefficient and parametrically forced systems; Fundamental solutions; resonance; limit points, limit cycles and classification of flows in the plane (Poincare-

Bendixson Theorem); conservative and dissipative systems; linear and nonlinear stability analysis of equilibria and periodic solutions; stable and unstable manifolds; bifurcations, e.g., Andronov-Hopf; sensitive dependence and chaotic dynamics; selected applications.

**APMA E4150x Applied functional analysis**

3 pts. *Lect: 3. Professor Weinstein.*

Prerequisites: Advanced calculus and course in basic analysis, or instructor's permission. Introduction to modern tools in functional analysis that are used in the analysis of deterministic and stochastic partial differential equations and in the analysis of numerical methods: metric and normed spaces, Banach space of continuous functions, measurable spaces, the contraction mapping theorem, Banach and Hilbert spaces bounded linear operators on Hilbert spaces and their spectral decomposition, and time permitting distributions and Fourier transforms.

**APMA E4200x Partial differential equations**

3 pts. *Lect: 3. Professor Rim.*

Prerequisite: Course in ordinary differential equations. Techniques of solution of partial differential equations. Separation of the variables. Orthogonality and characteristic functions, nonhomogeneous boundary value problems. Solutions in orthogonal curvilinear coordinate systems. Applications of Fourier integrals, Fourier and Laplace transforms. Problems from the fields of vibrations, heat conduction, electricity, fluid dynamics, and wave propagation are considered.

**APMA E4204x Functions of a complex variable**

3 pts. *Lect: 3. Professor Ren.*

Prerequisite: MATH UN1202 or equivalent. Complex numbers, functions of a complex variable, differentiation and integration in the complex plane. Analytic functions, Cauchy integral theorem and formula, Taylor and Laurent series, poles and residues, branch points, evaluation of contour integrals. Conformal mapping. Schwarz-Christoffel transformation. Applications to physical problems.

**APMA E4300x Computational math: introduction to numerical methods**

3 pts. *Lect: 3. Professor Mandli.*

Prerequisites: MATH UN1201, UN2030, and APMA E3101 and ENGI E1006 or their equivalents. Programming experience in Python extremely useful. Introduction to fundamental algorithms and analysis of numerical methods commonly used by scientists, mathematicians, and engineers. Designed to give a fundamental understanding of the building blocks of scientific computing that will be used in more advanced courses in scientific computing and numerical methods for PDEs (e.g., APMA E4301, APMA E4302). Topics include numerical solutions of algebraic systems, linear least-squares, eigenvalue problems, solution of nonlinear systems, optimization, interpolation, numerical integration and differentiation, initial value problems, and boundary value problems for systems of ODE's. All programming exercises will be in Python.

**APMA E4301y Numerical methods for partial differential equations**

3 pts. *Lect: 3. Professor Mandli.*

Prerequisites: APMA E4300 and APMA E3102 or APMA E4200 or equivalents. Numerical solution of differential equations, in particular partial differential equations arising in various fields of application. Presentation emphasizes finite difference approaches to present theory on stability, accuracy, and convergence with minimal coverage of alternate approaches (left for other courses). Method coverage includes explicit and implicit time-stepping methods, direct and iterative solvers for boundary-value problems.

**APMA E4302x Methods in computational science**

3 pts. *Lect: 3. Professor Du.*

Prerequisites: APMA E4300, application and knowledge in C, Fortran or similar compiled language. Introduction to the key concepts and issues in computational science aimed at getting students to a basic level of understanding where they can run simulations on machines aimed at a range of applications and sizes from a single workstation to modern super-computer hardware. Topics include but are not limited to basic knowledge of unix shells, version control systems, reproducibility, OpenMP, MPI, and many-core technologies. Applications will be used throughout to demonstrate the various use cases and pitfalls of using the latest computing hardware.

**APMA E4400y Introduction to biophysical modeling**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: PHYS UN1401 or equivalent, and APMA E2101 or MATH UN2030 or equivalent. Introduction to physical and mathematical models of cellular and molecular biology. Physics at the cellular scale (viscosity, heat, diffusion, statistical mechanics). RNA transcription and regulation of genetic expression. Genetic and biochemical networks. Bioinformatics as applied to reverse-engineering of naturally-occurring networks and to forward-engineering of synthetic biological networks. Mathematical and physical aspects of functional genomics.

**APMA E4901x Seminar: problems in applied mathematics**

0 pts. *Lect: 1. Professor Wiggins.*

Required for, and can be taken only by, all applied mathematics majors in the junior year. Prerequisites or corequisites: APMA E4200 and E4204 or their equivalents. Introductory seminars on problems and techniques in applied mathematics. Typical topics are nonlinear dynamics, scientific computation, economics, operations research, etc.

**APMA E4903x Seminar: problems in applied mathematics**

3–4 pts. *Lect: 1. Tutorial: 2. Professor Wiggins.* Required for all applied mathematics majors in the senior year. Prerequisites or corequisites: APMA E4200 and E4204 or their equivalents. For 4 pts. Credit, term paper required. Examples of problem areas are nonlinear dynamics, asymptotics,

approximation theory, numerical methods, etc. Approximately three problem areas are studied per term.

**APMA E4990x and y Special topics in applied mathematics**

1–3 pts. *Lect: 3. Instructor to be announced.*

Prerequisites: Advanced calculus and junior year applied mathematics, or their equivalents. May be repeated for credit. Topics and instructors from the Applied Mathematics Committee and the staff change from year to year. For advanced undergraduate students and graduate students in engineering, physical sciences, biological sciences, and other fields.

**APMA E6100 x or y Research Seminar**

0 pts. *Lect: 3. Members of the faculty.*

Prerequisites: MATH UN3027 or APMA E4101, MATH UN3028 or APMA E4200, MATH UN2010 or APMA E3101 or their equivalents. An M.S. degree requirement. Students attend at least three Applied Mathematics research seminars within the Department of Applied Physics and Applied Mathematics and submit reports on each.

**APMA E6209x Approximation theory**

3 pts. *Lect: 2. Not offered in 2018–2019.*

Prerequisite: MATH GU4061 or some knowledge of modern analysis. Theory and application of approximate methods of analysis from the viewpoint of functional analysis. Approximate numerical and analytical treatment of linear and nonlinear algebraic, differential, and integral equations. Topics include function spaces, operators in normed and metric spaces, fixed point theorems and their applications.

**APMA E6301y Analytic methods for partial differential equations**

3 pts. *Lect: 2. Professor Weinstein.*

Prerequisites: Advanced calculus, basic concepts in analysis, APMA E3101 or E4200 or their equivalents, or instructor's permission. Introduction to analytic theory of PDEs of fundamental and applied science; wave (hyperbolic), Laplace and Poisson equations (elliptic), heat (parabolic) and Schroedinger (dispersive) equations; fundamental solutions, Green's functions, weak/distribution solutions, maximum principle, energy estimates, variational methods, method of characteristics; elementary functional analysis and applications to PDEs; introduction to nonlinear PDEs, shocks; selected applications.

**APMA E6302x Numerical analysis of partial differential equations**

3 pts. *Lect: 2. Professor Du.*

Prerequisite: APMA E3102 or E4200. Numerical analysis of initial and boundary value problems for partial differential equations. Convergence and stability of the finite difference method, the spectral method, the finite element method and applications to elliptic, parabolic, and hyperbolic equations.

**APMA E6304y Integral transforms**

3 pts. *Lect: 2. Not offered in 2018–2019.*

Prerequisites: APMA E4204 and MATH UN2030, or their equivalents. Laplace, Fourier, Hankel,

and Mellin transforms. Selection of suitable transform for a given partial differential equation boundary value problem. Operational properties of transforms. Inversion theorems. Approximate evaluation of inversion integrals for small and large values of parameter. Application to the solution of integral equations.

**APMA E6901x and y–E6901y Special topics in applied mathematics**

3 pts. *Lect: 3. Members of the faculty.*

Prerequisites: Advanced calculus and junior year applied mathematics, or their equivalents. May be repeated for credit. Topics and instructors from the Applied Mathematics Committee and the staff change from year to year. For students in engineering, physical sciences, biological sciences, and other fields.

**APMA E8308y Asymptotic methods in applied mathematics**

3 pts. *Lect: 2. Not offered in 2018–2019.*

Prerequisite: APMA E4204 or equivalent. Asymptotic treatment of ordinary and partial differential equations in problems arising in applied mathematics. Asymptotic series. Asymptotic evaluation of integrals. Expansion of solutions of ordinary differential equations: connection problem and turning points. Stoke's phenomenon. Differential equations with a parameter: "boundary layer" phenomenon. Application to partial differential equations: problems from fluid dynamics, wave propagation theory, electromagnetic theory.

**APMA E9101x–E9102y Research**

1–4 pts. *Members of the faculty.*

Prerequisite: Permission of the supervising faculty member. May be repeated. Advanced study in a special area.

**APMA E9810x or y SEAS colloquium in climate science**

0 pts. *Lect: 1. Professors Polvani and Sobel.*

Prerequisite: Instructor's permission. Current research in problems at the interface between applied mathematics and earth and environmental sciences.

**APMA E9815x or y Geophysical fluid dynamics seminar**

1–3 pts. *May be repeated for up to 10 points of credit. Not offered in 2018–2019.*

Prerequisite: Instructor's permission. Problems in the dynamics of geophysical fluid flows.

**COURSES IN MATERIALS SCIENCE AND ENGINEERING**

See page 181.

# BIOMEDICAL ENGINEERING

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**B**iomedical engineering is an evolving discipline in engineering that draws on collaboration among engineers, physicians, and scientists to provide interdisciplinary insight into medical and biological problems. The field has developed its own knowledge base and principles that are the foundation for the academic programs designed by the Department of Biomedical Engineering at Columbia.

The programs in biomedical engineering at Columbia (B.S., M.S., Ph.D., Eng.Sc.D., and M.D./Ph.D.) prepare students to apply engineering and applied science to problems in biology, medicine, and the understanding of living systems and their behavior, and to develop biomedical systems and devices. Modern engineering encompasses sophisticated approaches to measurement, data acquisition and

analysis, simulation, and systems identification. These approaches are useful in the study of individual cells, organs, entire organisms, and populations of organisms. The increasing value of mathematical models in the analysis of living systems is an important sign of the success of contemporary activity. The programs offered in the Department of Biomedical Engineering seek to emphasize the confluence of basic engineering science and applied engineering with the physical and biological sciences, particularly in the areas of biomechanics, cell and tissue engineering, and biosignals and biomedical imaging.

Programs in biomedical engineering are taught by its own faculty, members of other Engineering departments, and faculty from other University divisions who have strong interests and involvement in biomedical engineering.

Several of the faculty hold joint appointments in Biomedical Engineering and other University departments.

Courses offered by the Department of Biomedical Engineering are complemented by courses offered by other departments in The Fu Foundation School of Engineering and Applied Science and by many departments in the Faculty of Medicine, the College of Dental Medicine, and the Mailman School of Public Health, as well as the science departments within the Graduate School of Arts and Sciences. The availability of these courses in a university that contains a large medical center and enjoys a basic commitment to interdisciplinary research is important to the quality and strength of the program.

Educational programs at all levels are based on engineering and biological fundamentals. From this

basis, the program branches into concentrations of contemporary biomedical engineering fields. The intrinsic breadth of these concentrations, and a substantial elective content, prepare bachelor's and master's students to commence professional activity in any area of biomedical engineering or to go on to graduate school for further studies in related fields. The program also provides excellent preparation for the health sciences and the study of medicine. Graduates of the doctoral program are prepared for research activities at the highest level.

Areas of particular interest to Columbia faculty include biomechanics (Professors Ateshian, Guo, Hess, Jacobs, Morrison, and Mow), cellular and tissue engineering and artificial organs (Professors Danino, Hung, Kam, Leonard, Leong, Lu, Morrison, Sia, and Vunjak-Novakovic), auditory biophysics (Professor Olson), and biosignals and biomedical imaging (Professors Guo, Hielscher, Hillman, Jacobs, Juchem, Konofagou, Laine, Sajda, Vaughan, and Wang).

### Facilities

The Department of Biomedical Engineering has been supported by grants obtained from NIH, NSF, DoT, DoD, New York State, numerous research foundations, and University funding. The extensive new facilities that have recently been added both at the Medical Center and Morningside campus include teaching and research laboratories that provide students with unusual access to contemporary research equipment specially selected for its relevance to biomedical engineering. Another addition is an undergraduate wet laboratory devoted to biomechanics and cell and tissue engineering, together with a biosignals and biomedical imaging and data processing laboratory. Each laboratory incorporates equipment normally reserved for advanced research and provides exceptional access to current practices in biomedical engineering and related sciences.

Research facilities of the Biomedical Engineering faculty include the Synthetic Biological Systems Laboratory (Professor Danino), the Liu Ping Laboratory for

Functional Tissue Engineering (Professor Mow), the Heffner Biomedical Imaging Laboratory (Professor Laine), the Laboratory for Intelligent Imaging and Neural Computing (Professor Sajda), the Biophotonics and Optical Radiology Laboratory (Professor Hielscher), the Bone Bioengineering Laboratory (Professor Guo), the Cellular Engineering Laboratory (Professor Hung), the Biomaterial and Interface Tissue Engineering Laboratory (Professor Lu), the Neurotrauma and Repair Laboratory (Professor Morrison), the Laboratory for Stem Cells and Tissue Engineering (Professor Vunjak-Novakovic), the Ultrasound and Elasticity Imaging Laboratory (Professor Konofagou), the Magnetic Resonance Scientific Engineering for Clinical Excellence Laboratory (Professor Juchem), the Microscale Biocomplexity Laboratory (Professor Kam), the Molecular and Microscale Bioengineering Laboratory (Professor Sia), the Laboratory for Functional Optical Imaging (Professor Hillman), the Cell and Molecular Biomechanics Laboratory (Professor C. Jacobs), the Cognitive Electrophysiology Laboratory (Professor J. Jacobs), the Nanobiotechnology and Synthetic Biology Laboratory (Professor Hess), the Raymond and Beverly Sackler Laboratory for Neural Engineering and Control (Professor Wang), the Morphogenesis and Developmental Biomechanics Lab (Professor Nerurkar), and the Laboratory for Nanomedicine and Regenerative Medicine (Professor Leong). These laboratories are supplemented with core facilities, including a tissue culture facility, a histology facility, a confocal microscope, an atomic force microscope, a 2-photon microscope, epifluorescence microscopes, a freezer room, biomechanics facilities, a machine shop, and a specimen preparation room.

### UNDERGRADUATE PROGRAM

The objectives of the undergraduate program in biomedical engineering are as follows:

1. Professional employment in areas such as the medical device industry, engineering consulting, and biotechnology;

2. Graduate studies in biomedical engineering or related fields;
3. Attendance at medical, dental, or other professional schools.

The undergraduate program in biomedical engineering will prepare graduates who will have:

- (a) an ability to apply knowledge of mathematics, science, and engineering
- (b) an ability to design and conduct experiments, as well as to analyze and interpret data
- (c) an ability to design a system, component, or process to meet desired needs within realistic constraints such as economic, environmental, social, political, ethical, health and safety, manufacturability, and sustainability
- (d) an ability to function on multidisciplinary teams
- (e) an ability to identify, formulate, and solve engineering problems
- (f) an understanding of professional and ethical responsibility
- (g) an ability to communicate effectively
- (h) the broad education necessary to understand the impact of engineering solutions in a global, economic, environmental, and societal context
- (i) a recognition of the need for, and an ability to engage in life-long learning
- (j) a knowledge of contemporary issues
- (k) an ability to use the techniques, skills, and modern engineering tools necessary for engineering practice
- (l) an understanding of biology and physiology
- (m) the capability to apply advanced mathematics (including differential equations and statistics), science, and engineering, to solve the problems at the interface of engineering and biology
- (n) the ability to make measurements on and interpret data from living systems, addressing the problems associated with the interaction between living and nonliving materials and systems.

The undergraduate curriculum is designed to provide broad knowledge of the physical and engineering sciences and their application to the solution of biological and medical problems. Students are strongly encouraged to

take courses in the order specified in the course tables; implications of deviations must be discussed with a departmental adviser before registration. The first two years provides a strong grounding in the physical and chemical sciences, engineering fundamentals, mathematics, and modern biology. This background is used to provide a unique physical approach to the study of biological systems. The last two years of the undergraduate program provide substantial exposure to fundamentals in biomedical engineering with emphasis on the integration of principles of biomedical engineering, quantitative analysis of physiology, and experimental quantification and measurements of biomedical systems.

The common core biomedical engineering curriculum provides a broad yet solid foundation in biomedical engineering. The flexible choice of technical electives in the Department of Biomedical Engineering, other departments in the Engineering School, as well as in other departments in the arts and sciences allows students to broaden their biomedical engineering education to their individualized interests for a personalized curriculum. These qualities allow the faculty to prepare students for activity in all contemporary areas of biomedical engineering. Graduates of the program are equipped for employment in the large industrial sector devoted to health care, which includes pharmaceuticals, medical devices, artificial organs, prosthetics and sensory aids, diagnostics, medical instrumentation, and medical imaging. Graduates also accept employment in oversight organizations (FDA, NIH, OSHA, and others), medical centers, and research institutes. They are prepared for graduate study in biomedical engineering and several related areas of engineering and the health sciences. Students can meet entrance requirements for graduate training in the various allied health professions. No more than three additional courses are required to satisfy entrance requirements for most U.S. medical schools.

All biomedical engineering students are expected to register for nontechnical electives, both those specifically required by the School of Engineering and

Applied Science and those needed to meet the 27-point total of nontechnical electives required for graduation.

### First and Second Years

As outlined in this bulletin, in the first two years, all engineering students are expected to complete a sequence of courses in mathematics, physics, chemistry, computer science, engineering, modern biology, English composition, and physical education, as well as nontechnical electives including the humanities. For most of these sequences, the students may choose from two or more tracks. If there is a question regarding the acceptability of a course as a nontechnical elective, please consult the approved listing of courses beginning on page 11 or contact your advising dean for clarification.

Please see the charts in this section for a specific description of course requirements.

For students who are interested in the biomedical engineering major, they must take *E1201: Introduction to electrical engineering*. For the computer science requirement, students must take *ENGI E1006*. They must take the two-semester *BIOL UN2005* and *UN2006: Introduction to Biology I & II* in the second year, which gives students a comprehensive overview of modern biology from molecular to organ system levels. In addition, all students must take *APMA E2101: Introduction to applied mathematics* in their second year.

### Third and Fourth Years

The biomedical engineering programs at Columbia are based on engineering and biological fundamentals. This is emphasized in our core requirements. All students must take the two-semester introduction to biomedical engineering courses, *BMEN E3010* and *E3020: Biomedical engineering I & II*, which provide a broad yet solid foundation in the biomedical engineering discipline. In parallel, all students take the two-semester *Quantitative physiology, I and II* sequence (*BMEN E4001-E4002*), which is taught by biomedical engineering faculty and emphasizes quantitative applications of engineering principles in understanding biological systems and phenomena from molecular to organ system levels. In the fields of biomedical

engineering, experimental techniques and principles are fundamental skills that good biomedical engineers must master. Beginning in junior year, all students take the two-semester sequence *Biomedical engineering laboratory, I & II (BMEN E3810, E3820)*. In this two-semester series, students learn through hands-on experience the principles and methods of biomedical engineering experimentation, measurement techniques, quantitative theories of biomedical engineering, data analysis, and independent design of biomedical engineering experiments, in parallel to the *Biomedical engineering I & II* and *Quantitative physiology I & II* courses. In addition, all students must take *BMEN E4110: Biostatistics for engineers*. In the senior year, students are required to take a two-semester capstone design course, *Biomedical engineering design (BMEN E3910 and E3920)*, in which students work within a team to tackle an open-ended design project in biomedical engineering. The underlying philosophy of these core requirements is to provide our biomedical engineering students with a broad knowledge and understanding of topics in the field of biomedical engineering.

Parallel to these studies in core courses, students are required to take flexible technical elective courses (21 points) to obtain an in-depth understanding of their chosen interests. A technical elective is defined as a 3000-level or above course taught in SEAS or 3000-level or above courses in biology, chemistry, biochemistry, or biotechnology. Exceptions include organic chemistry lecture courses and laboratory (which are 2000-level courses). At least 15 points (five courses) of these technical electives must have engineering content, while at least two of the five courses have to be from the Department of Biomedical Engineering. The curriculum prepares students who wish to pursue careers in medicine by satisfying most requirements in the premedical programs with no more than three additional courses. Some of these additional courses may also be counted as non-engineering technical electives. Please see the course tables for schedules leading to a bachelor's degree in biomedical engineering.

It is strongly advised that students take required courses during the specific

term that they are designated in the course tables, as scheduling conflicts may arise if courses are taken out of sequence.

### Technical Elective Requirements

Students are required to take at least 48 points of engineering content coursework toward their degree. The 48-point requirement is a criterion established by ABET. Taking into consideration the number of engineering content points conferred by the required courses of the BME curriculum, a portion of technical electives must be clearly engineering in nature (Engineering Content Technical Electives), specifically as defined below:

1. Technical elective courses with sufficient engineering content that can count toward the 48 units of engineering courses required for ABET accreditation:
  - a. All 3000-level or higher courses in the Department of Biomedical Engineering, except *BMEN E4010, E4103, E4104, E4105, E4106, E4107, and E4108*. (Note that only 3 points of *BMEN E3998* may be counted toward technical elective degree requirements.)
  - b. All 3000-level or higher courses in the Department of Mechanical Engineering, except *MECE E4007: Creative engineering and entrepreneurship*
  - c. All 3000-level or higher courses in the Department of Chemical Engineering, except *CHEN E4020: Safeguarding intellectual and business property*
  - d. All 3000-level or higher courses in the Department of Electrical Engineering, except *EEHS E3900: History of telecommunications: from the telegraph to the internet*
  - e. All 3000-level or higher courses in the Civil Engineering and Engineering Mechanics program, except *CEN E4128, E4129, E4130, E4131, E4132, E4133, E4134, E4135, E4136, E4138, and E4140*
  - f. All 3000-level or higher courses in the Earth and Environmental Engineering program
2. Courses from the following departments are not allowed to

count toward the required 48 units of engineering courses:

- a. Department of Applied Physics and Applied Mathematics
- b. Department of Computer Science
- c. Department of Industrial Engineering and Operations Research
- d. Program of Materials Science and Engineering

Once 48 points of engineering content are satisfied, students may choose any course above the 3000 level in Columbia Engineering as well as biology, chemistry, biochemistry, and biotechnology as technical electives.

If the 3000-level course is greater than or equal to the course cross listed, its eligibility as an engineering content technical elective is determined by the call letters of the first (owning) department in the course name designation. The department owning the course must be ABET accredited to be considered engineering.

For example, APBM E4560 Anatomy for physicists & engineers does not count as engineering content technical elective, since the course is owned by Applied Physics (and cross-listed with Biomedical Engineering). BMCH E4810 Artificial organs is counted as an engineering content technical elective, as the course is owned by Biomedical Engineering (and cross listed with Chemical Engineering).

Based on the above for Engineering Technical Electives, a cross-listed course that is greater than or equal to 3000 level and with BMEN as its starting call letters will qualify as a BME Engineering Technical Elective.

The accompanying charts describe the eight-semester degree program schedule of courses leading to the bachelor's degree in biomedical engineering.

The undergraduate Biomedical Engineering program is designed to provide a solid biomedical engineering curriculum through its core requirements while providing flexibility to meet the individualized interests of the students. The following are suggested sample courses for various topic areas that students may consider. Note that students are not limited to these

choices. All students are encouraged to design their own educational paths through flexible technical electives while meeting the following requirements: (1) courses must be at the 3000-level or above; (2) five of the seven electives must meet the above criteria to be considered engineering content; and (3) two of the seven electives must be biomedical engineering courses. To help students choose their electives, the following *suggested* sample curricula in various interest fields in biomedical engineering are provided. *Students do not need to follow them rigidly* and may substitute other courses, provided they meet the requirements above.

### CELL AND TISSUE ENGINEERING

<b>CHEM UN2443:</b> Organic chemistry I	(3.5)
<b>CHEM UN2444:</b> Organics chemistry II	(3.5)
<b>BMCH E4500:</b> Biological transport and rate process	(3)
<b>BMEN E4510:</b> Tissue engineering	(3)
<b>BMEN E4590:</b> BioMems: cellular and molecular applications	(3)
<b>BMEN E4210:</b> Thermodynamics of biological systems	(3)
<b>BMEN E4550:</b> Micro- and nanostructures in cellular engineering	(3)

### BIOMECHANICS

<b>MECE E3100:</b> Introduction to mechanics of fluids	(3)
<b>EMEN E3105:</b> Mechanics	(4)
<b>MECE E3113:</b> Mechanics of solids	(3)
<b>MECE E3301:</b> Thermodynamics	(3)
<b>BMEN E4310:</b> Solid biomechanics	(3)
<b>BMEN E4320:</b> Fluid biomechanics	(3)
<b>BMEN E4340:</b> Biomechanics of cells	(3)

### BIOSIGNALS AND BIOMEDICAL IMAGING

<b>ELEN E3810:</b> Signals and systems	(3.5)
<b>BMEN E4410:</b> Ultrasound imaging	(3)
<b>BMEN E4420:</b> Biosignal process and modeling	(3)
<b>BMEN E4430:</b> Principles of MRI	(3)
<b>ELEN E4810:</b> Digital signal processing	(3)
<b>BMEN E4894:</b> Biomedical imaging	(3)
<b>BMEN E4898:</b> Biophotonics	(3)

### NEURAL ENGINEERING

<b>ELEN E3810:</b> Signals and systems	(3.5)
<b>BMBE W4020:</b> Computational neuroscience: circuits in the brain	(3)
<b>BMEE E4030:</b> Neural control engineering	(3)
<b>BMEN E4420:</b> Biosignal process and modeling	(3)
<b>BMEN E4430:</b> Principles of MRI	(3)
<b>ELEN E4810:</b> Digital signal processing	(3)
<b>BMEN E4894:</b> Biomedical imaging	(3)

**GENOMICS AND SYSTEMS BIOLOGY**

<b>ECBN E4060:</b> Introduction to genomic information science and technology	(3)
<b>BMEN E4150:</b> The cell as a machine	(3)
<b>CHBM E4321:</b> The genome and the cell	(3)
<b>BMEN E4420:</b> Biosignal process and modeling	(3)
<b>CHEN E4700:</b> Principles of genomic technologies	(3)
<b>CHEN E4760:</b> Genomics sequence laboratory	(3)
<b>CHEN E4800:</b> Protein engineering	(3)

**QUANTITATIVE BIOLOGY**

<b>MBEB W4020:</b> Computational neuroscience: circuits in the brain	(3)
<b>ECBN E4060:</b> Introduction to genomic information science and technology	(3)
<b>BIOL GU4070:</b> The biology and physics of single molecules	(3.5)
<b>BMEN E4310:</b> Solid biomechanics	(3)
<b>BMEN E4320:</b> Fluid biomechanics	(3)
<b>APMA E4400:</b> Introduction to biological modeling	(3)
<b>CHEN E4650:</b> Biopolymers	(3)

**BIOINDUCTIVE AND BIOMIMETIC MATERIALS**

<b>CHEM UN2443:</b> Organic chemistry I	(3.5)
<b>BMCH E4500:</b> Biological transport process	(3)
<b>BMEN E4510:</b> Tissue engineering	(3)
<b>BMEN E4590:</b> BioMems: cellular and molecular applications	(3)
<b>CHEN E4620:</b> Introduction to polymers and soft materials	(3)
<b>CHEN E4640:</b> Polymer surface and interface	(3)
<b>CHEN E4800:</b> Protein engineering	(3)

**BIOMATERIALS**

<b>CHEM UN2443:</b> Organic chemistry I	(3.5)
<b>BMCH E3000:</b> Biological transport process	(3)
<b>BMEN E4301:</b> Structure, mechanics, and adaptation of bone	(3)
<b>BMEN E4310:</b> Solid biomechanics	(3)
<b>BMEN E4510:</b> Tissue engineering	(3)
<b>BMEN E4590:</b> BioMems: cellular and molecular applications	(3)
<b>ELEN E4944:</b> Principles of device microfabrication	(3)

**BIOMEMS AND NANOTECHNOLOGY**

<b>MECE E3100:</b> Introduction to mechanics of fluids	(3)
<b>EMEN E3105:</b> Mechanics	(4)
<b>MECE E3113:</b> Mechanics of solids	(3)
<b>MSAE E4090:</b> Nanotechnology	(3)
<b>MECE E4212:</b> Microelectromechanical systems	(3)
<b>BMEN E4550:</b> Micro- and nanostructures in cellular engineering	(3)
<b>BMEN E4590:</b> BioMems: cellular and molecular applications	(3)

**ROBOTICS AND CONTROL OF BIOLOGICAL SYSTEMS**

<b>MECE E3100:</b> Introduction to mechanics of fluids	(3)
<b>EMEN E3105:</b> Mechanics	(4)
<b>MECE E3113:</b> Mechanics of solids	(3)
<b>BMEE E4030:</b> Neural control engineering	(3)

<b>MEBM E4439:</b> Modeling and identification of dynamic systems	(3)
<b>MECE E4602:</b> Introduction to robotics	(3)
<b>BMEE E4740:</b> Bioinstrumentation	(3)

**PREMED AND PRE-HEALTH PROFESSIONAL**

<b>MECE E3100:</b> Introduction to mechanics of fluids	(3)
<b>EMEN E3105:</b> Mechanics	(4)
<b>MECE E3113:</b> Mechanics of solids	(3)
<b>CHEM UN2443:</b> Organic chemistry I	(3.5)
<b>CHEM UN2444:</b> Organic chemistry II	(3.5)
<b>BMEN E4310:</b> Solid biomechanics	(3)
<b>BMEN E4320:</b> Fluid biomechanics	(3)

To meet entrance requirements of most U.S. medical schools, students will need to take BIOC UN3501 Biochemistry: Structure and metabolism (4), CHEM UN2545 Organic chemistry laboratory (3), PHYS UN1493: Introduction to experimental physics (3), and PSYC UN1001: The science of psychology (3) as well.

**DUAL DEGREE PROGRAMS****Integrated B.S./M.S. Program in Biomedical Engineering**

The B.S./M.S. degree program is open to a select group of Columbia juniors and makes possible the earning of both the B.S. and M.S. degrees in an integrated fashion. Benefits of this program include the matching of graduate courses with the corresponding prerequisites, a greater ability to plan ahead for optimal course planning, and a simplified application process with no GRE required. Up to 6 points from the B.S. degree requirements, specifically BMEN E4001 and E4002, will also count toward fulfilling the M.S. degree course requirements. To qualify for this program, students must have a cumulative GPA of at least 3.5 and should apply for the program by April 30 in their junior year. For more information on requirements and application process, please visit [bme.columbia.edu](http://bme.columbia.edu).

**M.D./M.S. Program in Biomedical Engineering**

The Doctor of Medicine/Master of Science in Biomedical Engineering (M.D./M.S.) program is an integrated program offered between The Fu Foundation School of Engineering and

Applied Science and the College of Physicians and Surgeons at Columbia University. The purpose of this program is to supplement the current training of medical students with world-class training in biomedical engineering at the graduate-level. This interdisciplinary educational experience will prepare students to become innovative leaders in science, engineering, and medicine. The program is open to a select group of Columbia medical students and makes possible the earning of both the M.D. and M.S. degree in 5 years (4 years for the M.D. program, 1 year for the M.S. program). Six points from the M.D. degree, through completion of anatomy coursework, will also count toward the Master of Science degree. Students must apply separately to the Department of Biomedical Engineering. For more information on requirements and application process, please visit [bme.columbia.edu](http://bme.columbia.edu).

**GRADUATE PROGRAMS**

The graduate curriculum in biomedical engineering is track-free at the master's level while at the doctoral level, it consists of three tracks: biomechanics, cell and tissue engineering, and biosignals and biomedical imaging. Initial graduate study in biomedical engineering is designed to expand the student's undergraduate preparation in the direction of the concentration of interest. In addition, sufficient knowledge is acquired in other areas to facilitate broad appreciation of problems and effective collaboration with specialists from other scientific, medical, and engineering disciplines. The Department of Biomedical Engineering offers a graduate program leading to the Master of Science degree (M.S.), the Doctor of Philosophy degree (Ph.D.), and the Doctor of Engineering Science degree (Eng.Sc.D.). Applicants who have a Master of Science degree or equivalent may apply directly to the doctoral degree program. All applicants are expected to have earned the bachelor's degree in engineering or in a cognate scientific program. The Graduate Record Examination (General Test only) is required of all applicants. Students whose bachelor's degree was not earned in a country where English is the dominant spoken language are required to take the TOEFL test. In addition, for

BIOMEDICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1102 (3)	APMA E2000 (4) <sup>3</sup> either semester		
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	UN1403 (3) UN2601 (3.5)	
<b>CHEMISTRY</b> (three tracks, choose one)	UN1403 (4) UN1604 (4) UN2045 (4)	UN1404 (4) UN1500 (3) (or semester 1) UN1507 (3) UN2046 (4), UN1507 (3)		
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) (or semester II)			
<b>PHYSICAL EDUCATION<sup>1</sup></b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING<sup>1</sup></b>		ENGI E1102 (4) (or in semester I)		
<b>NONTECHNICAL REQUIREMENTS<sup>1</sup></b>	HUMA UN1121 (3) or UN1123 (3)		HUMA CC1001, COCI CC1101, or Global Core (3–4)	HUMA CC1002, COCI CC1102, or Global Core (3–4)  ECON UN1105 (4) and UN1155 recitation (0)
<b>TECHNICAL REQUIREMENTS</b>			ELEN E1201 Intro. to EE (3.5)  BIOL UN2005 Intro. to Biology, I (4)	APMA E2101 (3) Intro. to applied math  BIOL UN2006 (4) Intro to Biology, II
<b>TOTAL POINTS</b>	20 <sup>2</sup>	19 <sup>2</sup>	14.5 <sup>2</sup>	15 <sup>2</sup>

<sup>1</sup> Students can mix these requirements according to what is available.

<sup>2</sup> Estimations

<sup>3</sup> Effective Class of 2021.

the doctoral program, the individual tracks require applicants to have taken the following foundation courses:

- **Biomechanics:** One year of biology and/or physiology, solid mechanics, statics and dynamics, fluid mechanics, ordinary differential equations.
- **Cell and Tissue Engineering:** One year of biology and/or physiology, one year of organic chemistry or biochemistry with laboratory, fluid mechanics, rate processes, ordinary differential equations.

- **Biosignals and Biomedical Imaging:**

One year of biology and/or physiology and/or biochemistry. Linear algebra, ordinary differential equations, Fourier analysis, digital signal processing.

Applicants lacking some of these courses may be considered for admission with stipulated deficiencies that must be satisfied in addition to the requirements of the degree program. Columbia Engineering does not admit students holding the bachelor's degree directly to doctoral studies; admission

is offered either to the M.S. program or to the M.S. program/doctoral track. The Department of Biomedical Engineering also admits students into the 4-2 program, which provides the opportunity for students holding a bachelor's degree from certain physical sciences to receive the M.S. degree after two years of study at Columbia.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

## BIOMEDICAL ENGINEERING: THIRD AND FOURTH YEARS

	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>	BMEN E3010 (3) Biomedical eng., I  BMEN E3810 (3) BME laboratory, I  BMEN E4001 (3) Quantitative physiol., I  BMEN E4110 (4) Biostat. for engineers	BMEN E3020 (3) Biomedical eng., II  BMEN E3820 (3) BME laboratory, II  BMEN E4002 (3) Quantitative physiol., II  Technical elective (3) <sup>1</sup>	BMEN E3910 (4) BME design, I  Technical elective (3) <sup>1</sup>  Technical elective (3) <sup>1</sup>  Technical elective (3) <sup>1</sup>	BMEN E3920 (4) BME design, II  Technical elective (3) <sup>1</sup>  Technical elective (3) <sup>1</sup>  Technical elective (3) <sup>1</sup>
<b>NONTECH ELECTIVES</b>	3 points	3 points	3 points	
<b>TOTAL POINTS</b>	16	15	16	13

<sup>1</sup> Five of seven technical electives must have engineering content, and two of them must be from the Biomedical Engineering Department.

### CURRICULUM AND EXAM REQUIREMENTS

#### Master's Degree

In consultation with an appointed faculty adviser, M.S. students should select a program of 30 points of credit of graduate courses (4000 level or above) appropriate to their career goals. This program must include the course in computational modeling of physiological systems (*BMEN E6003*); two semesters of *BMEN E9700: Biomedical engineering seminar*; at least four other biomedical engineering courses; and at least one graduate-level mathematics course (excluding statistics). Up to 6 credits of *Master's Research BMEN E9100* may be taken to fulfill degree requirements. Students with deficiency in physiology coursework are required to take the *BMEN E4001-E4002* sequence before taking *BMEN E6003*. Candidates must achieve a minimum grade-point average of 2.5. A thesis based on experimental, computational, or analytical research is optional. Students wishing to pursue the Master's Thesis option should register for *BMEN E9100 Master's Research* and consult with their BME faculty adviser.

#### Doctoral Degree

Doctoral students must complete a program of 30 points of credit beyond the M.S. degree. The core course requirements (9 credits) for the

doctoral program include the course in computational modeling of physiological systems (*BMEN E6003*), plus at least two graduate mathematics courses (one of these can be a graduate-level Biostatistics course). If *BMEN E6003* or a graduate-level mathematics course has already been taken for the master's degree, a technical elective can be used to complete the core course requirements. Students must register for *BMEN E9700: Biomedical engineering seminar* and for research credits during the first two semesters of doctoral study. Remaining courses should be selected in consultation with the student's faculty adviser to prepare for the doctoral qualifying examination and to develop expertise in a clearly identified area of biomedical engineering.

All graduate students admitted to the doctoral degree program must satisfy the equivalent of two semesters' experience in teaching (one semester for M.D./Ph.D. students). This may include supervising and assisting undergraduate students in laboratory experiments, grading, and preparing lecture materials to support the teaching mission of the department. The Department of Biomedical Engineering is the only engineering department that offers Ph.D. training to M.D./Ph.D. students. These candidates are expected to complete their Ph.D. program within 3.5 years, with otherwise

the same requirements as those outlined for the Doctoral Degree program.

#### Doctoral Qualifying Examination

Doctoral candidates are required to pass a qualifying examination. This examination is given once a year, and it should be taken after the student has completed 30 points of graduate study. The qualifying examination consists of an oral exam during which the student presents an analysis of assigned scientific papers, as well as answers to questions in topics covering applied mathematics, quantitative biology and physiology, and track-specific material. A written analysis of the assigned scientific papers must be submitted prior to the oral exam. A minimum cumulative grade-point average of 3.2 is required to register for this examination.

#### Doctoral Committee and Thesis

Students who pass the qualifying examination choose a faculty member to serve as their research adviser. Each student is expected to submit a research proposal and present it to a committee that consists of three BME faculty members before the end of year 4. The committee considers the scope of the proposed research, its suitability for doctoral research and the appropriateness of the research plan. The committee may approve the proposal without reservation or

may recommend modifications. In general, the student is expected to submit his/her research proposal after five semesters of doctoral studies. In accordance with regulations of the Graduate School of Arts and Sciences, each student is expected to submit a thesis and defend it before a committee of five faculty, one of whom holds primary appointment in another department or school or university. Every doctoral candidate is required to have had accepted at least one first-author full-length paper for publication in a peer-reviewed journal prior to recommendation for award of the degree.

## COURSES IN BIOMEDICAL ENGINEERING

### BMEN E1001x Engineering in medicine

3 pts. Lect: 3. Not offered in 2018–2019.

The present and historical role of engineering in medicine and health care delivery. Engineering approaches to understanding organismic and cellular function in living systems. Engineering in the diagnosis and treatment of disease. Medical imaging, medical devices: diagnostic and surgical instruments, drug delivery systems, prostheses, artificial organs. Medical informatics and organization of the health care system. Current trends in biomedical engineering research.

### BMEN E3010x Biomedical engineering, I

3 pts. Lect: 3. Professor Wang.

Prerequisites: BIOL UN2005 and UN2006, or instructor's permission. Corequisites: BMEN E4001, BMEN E3810. Various concepts within the field of biomedical engineering, foundational knowledge of engineering methodology applied to biological and/or medical problems through modules in biomechanics, biomaterials, and cell and tissue engineering.

### BMEN E3020y Biomedical engineering, II

3 pts. Lect: 3. Professor Hung.

Prerequisites: BIOL UN2005 and UN2006, or instructor's permission. Corequisites: BMEN E4002, BMEN E3820. Various concepts within the field of biomedical engineering, foundational knowledge of engineering methodology applied to biological and/or medical problems through modules in biomechanics, bioinstrumentation, and biomedical imaging.

### ECBM E3060x Introduction to genomic information science and technology

3 pts. Lect: 3. Professor Varadan.

Introduction to the information system paradigm of molecular biology. Representation, organization, structure, function and manipulation of the biomolecular sequences of nucleic acids and proteins. The role of enzymes and gene regulatory elements in natural biological functions as well as in biotechnology and genetic engineering.

Recombination and other macromolecular processes viewed as mathematical operations with simulation and visualization using simple computer programming. Shares lectures with ECBM E4060, but the work requirements differ somewhat.

### BMEN E3810x Biomedical engineering laboratory, I

3 pts. Lab: 4. Professor Kyle.

Fundamental considerations of wave mechanics; design philosophies; reliability and risk concepts; basics of fluid mechanics; design of structures subjected to blast; elements of seismic design; elements of fire design; flood considerations; advanced analysis in support of structural design.

### BMEN E3820y Biomedical engineering laboratory, II

3 pts. Lab: 4. Professor Kyle.

Biomedical experimental design and hypothesis testing. Statistical analysis of experimental measurements. Analysis of variance, post hoc testing. Fluid shear and cell adhesion, neuro-electrophysiology, soft tissue biomechanics, biomedical imaging and ultrasound, characterization of excitable tissues, microfluidics.

### BMEN E3910x-E3920y Biomedical engineering design, I and II

4 pts. Lect: 1. Lab: 3. Professor Kyle.

A two-semester design sequence to be taken in the senior year. Elements of the design process, with specific applications to biomedical engineering: concept formulation, systems synthesis, design analysis, optimization, biocompatibility, impact on patient health and comfort, health care costs, regulatory issues, and medical ethics. Selection and execution of a project involving the design of an actual engineering device or system. Introduction to entrepreneurship, biomedical startups, and venture capital. Semester I: statistical analysis of detection/classification systems (receiver operation characteristic analysis, logistic regression), development of design prototype, need, approach, benefits and competition analysis. Semester II: spiral develop process and testing, iteration and refinement of the initial design/prototype, and business plan development. A lab fee of \$100 each is collected.

### BMEN E3998x or y Projects in biomedical engineering

1–3 pts. Hours to be arranged. Members of the faculty.

Independent projects involving experimental, theoretical, computational, or engineering design work. May be repeated, but no more than 3 points of this or any other projects or research course may be counted toward the technical elective degree requirements as engineering technical electives.

### BMEN E3999x, y or s Fieldwork

1–2 pts. Members of the faculty.

Prerequisites: Obtained internship and approval from faculty adviser. BMEN undergraduate students only. May be used toward the 128-credit degree requirement. Only for BMEN undergraduate students who include relevant off-campus work experience as part of their

approved program of study. Final report and letter of evaluation required. Fieldwork credits may not count toward any major core, technical, elective, and nontechnical requirements. May not be taken for pass/fail credit or audited.

### BMEN E4000x Special topics: gene and drug delivery

3 pts. Lect: 3. Professor Leong.

Additional current topics in biomedical engineering taught by regular or visiting faculty. The same subject matter is not usually considered in different years.

### BMEN E4000x Special topics: deep learning for biomedical applications

3 pts. Lect: 3. Professor Sajda.

### BMEN E4001x Quantitative physiology, I: cells and molecules

3 pts Lect: 3. Professor Kam.

Prerequisites: BIOL UN2005 and UN2006.

Corequisites: BMEN E3010 and E3810.

Physiological systems at the cellular and molecular level are examined in a highly quantitative context. Topics include chemical kinetics, molecular binding and enzymatic processes, molecular motors, biological membranes, and muscles.

### BMEN E4002y Quantitative physiology, II: organ systems

3 pts. Lect: 3. Professor Morrison.

Prerequisites: BIOL UN2005 and UN2006.

Corequisites: BMEN E3020, E3820. Students are introduced to a quantitative, engineering approach to cellular biology and mammalian physiology. Beginning with biological issues related to the cell, the course progresses to considerations of the major physiological systems of the human body (nervous, circulatory, respiratory, renal).

### BMEN E4010y Ethics for biomedical engineers

2 pts. Lect: 2. Not offered in 2018–2019.

Prerequisite: senior status in biomedical engineering or the instructor's permission.

Covers a wide range of ethical issues expected to confront graduates as they enter the biotechnology industry, research, or medical careers. Topics vary and incorporate guest speakers from Physicians and Surgeons, Columbia Law School, Columbia College, and local industry.

### BMEB W4020x Computational neuroscience: circuits in the brain

3 pts. Lect: 3. Professor Lazar.

Prerequisite: ELEN E3801 or BIOL UN3004.

The biophysics of computation: modeling biological neurons, the Hodgkin-Huxley neuron, modeling channel conductances and synapses as memristive systems, bursting neurons and central pattern generators, I/O equivalence and spiking neuron models. Information representation and neural encoding: stimulus representation with time encoding machines, the geometry of time encoding, encoding with neural circuits with feedback, population time encoding machines. Dendritic computation: elements of spike processing and neural computation, synaptic plasticity and

learning algorithms, unsupervised learning and spike time-dependent plasticity, basic dendritic integration. Projects in MATLAB.

**BMEE E403x Neural control engineering**  
3 pts. Lect: 3. *Not offered in 2018–2019.*

Prerequisites: ELEN E3801. Topics include basic cell biophysics, active conductance and the Hodgkin-Huxley model, simple neuron models, ion channel models and synaptic models, statistical models of spike generation, Wilson-Cowan model of cortex, large-scale electrophysiological recording methods, sensorimotor integration and optimal state estimation, operant conditioning of neural activity, nonlinear modeling of neural systems, sensory systems: visual pathway and somatosensory pathway, neural encoding model: spike triggered average (STA) and spike triggered covariance (STC) analysis, neuronal response to electrical micro-stimulation, DBS for Parkinson's disease treatment, motor neural prostheses, and sensory neural prostheses.

**BMEN E405x or y Electrophysiology of human memory and navigation**

3 pts. Lect: 3. *Not offered in 2018–2019.*  
Prerequisites: Instructor's permission. Human memory, including working, episodic, and procedural memory. Electrophysiology of cognition, noninvasive and invasive recordings. Neural basis of spatial navigation, with links to spatial and episodic memory. Computational models of memory, brain stimulation, lesion studies.

**ECBM E406x Introduction to genomic information**

3 pts. Lect: 3. *Professor Anastassiou.*  
Prerequisites: None. Introduction to the information system paradigm of molecular biology. Representation, organization, structure, function and manipulation of the biomolecular sequence of nucleic acids and proteins. The role of enzymes and gene regulatory elements in natural biological functions as well as in biotechnology and genetic engineering. Recombination and other macromolecular processes viewed as mathematical operations with simulation and visualization using simple computer programming.

**ECBM E409x or y Brain computer interfaces (BCI) laboratory**

3 pts. Lect: 2. Lab: 3. *Professor Mesgarani.*  
Prerequisite: ELEN E3801. Hands-on experience with basic neural interface technologies. Recording EEG (electroencephalogram) signals using data acquisition systems (noninvasive scalp recordings). Real-time analysis and monitoring of brain responses. Analysis of intention and perception of external visual and audio signals.

**BMEN E4103x Anatomy of the thorax and abdomen**

2 pts. Lect: 2. *Not offered in 2018–2019.*  
Prerequisite: Graduate standing in Biomedical Engineering. Designed for the Biomedical Engineering graduate student interested in acquiring in-depth knowledge of anatomy relevant

to his/her doctoral research. Lectures and tutorial sessions may be taken with or without the associated laboratory (BMEN E4104).

**BMEN E4104x Anatomy laboratory: thorax and abdomen**

2 pts. Lect: 2. *Not offered in 2018–2019.*  
Prerequisites: Graduate standing in Biomedical Engineering. Corequisites: BMEN E4103.

**BMEN E4105x Anatomy of the extremities**

2 pts. Lect: 2. *Not offered in 2018–2019.*  
Prerequisite: Graduate standing in Biomedical Engineering. Designed for the Biomedical Engineering graduate student interested in acquiring in-depth knowledge of anatomy relevant to his/her doctoral research. Lectures and tutorial sessions may be taken with or without the associated laboratory (BMEN E4106).

**BMEN E4106x Anatomy laboratory: extremities**

2 pts. Lab: 2. *Not offered in 2018–2019.*  
Prerequisites: Graduate standing in Biomedical Engineering. Corequisites: BMEN E4105.

**BMEN E4107x Anatomy of the head and neck**

2 pts. Lect: 2. *Not offered in 2018–2019.*  
Prerequisite: Graduate standing in Biomedical Engineering. Designed for the Biomedical Engineering graduate student interested in acquiring in-depth knowledge of anatomy relevant to his/her doctoral research. Lectures and tutorial sessions may be taken with or without the associated laboratory (BMEN E4108).

**BMEN E4108x Anatomy laboratory: head and neck**

2 pts. Lab: 2. *Not offered in 2018–2019.*  
Prerequisites: Graduate standing in Biomedical Engineering. Corequisites: BMEN E4107.

**BMEN E4110x Biostatistics for engineers**

4 pts. Lect: 4. *Professor Jacobs.*  
Prerequisites: MATH UN1202 and APMA E2101. Fundamental concepts of probability and statistics applied to biology and medicine. Probability distributions, hypothesis testing and inference, summarizing data and testing for trends. Signal detection theory and the receiver operator characteristic. Lectures accompanied by data analysis assignments using MATLAB and R as well as discussion of bioethics and case studies in biomedicine.

**BMEN E4150x The cell as a machine**

3 pts. Lect: 3. *Professors Sheetz and Kam.*  
Prerequisite: MATH UN1101 or equivalent. Corequisites: One semester of BIOL UN2005 or BIOC UN3501, and one semester of PHYS UN1401 or equivalent. Cells as complex micron-sized machines, basic physical aspects of cell components (diffusion, mechanics, electrostatics, hydrophobicity), energy transduction (motors, transporters, chaperones, synthesis complexes), basic cell functions. Biophysical principles, feedback controls for robust cell function, adaptation to environmental perturbations.

**BMEN E4210y Thermodynamics of biological systems**

4 pts. Lect: 4. *Not offered in 2018–2019.*  
Prerequisites: CHEM UN1404 and MATH UN1202. Corequisite: BIOL UN2005 or equivalent. Introduction to the thermodynamics of biological systems, with a focus on connection microscopic molecular properties to macroscopic states. Both classical and statistical thermodynamics are applied to biological systems; phase equilibria, chemical reactions, and colligative properties. Topics in modern biology, macromolecular behavior in solutions and interfaces, protein-ligand binding, and the hydrophobic effect.

**BMEN E4301x Structure, mechanics, and adaptation of bone**

3 pts. Lect: 3. *Not offered in 2018–2019.*  
Introduction to structure, physiology, and biomechanics of bone. Structure, function, and physiology of skeletal bones; linear elastic properties of cortical and trabecular bone; anisotropy and constitutive models of bone tissue; failure and damage mechanics of bone; bone adaptation and fracture healing; experimental determination of bone properties; and morphological analysis of bone microstructure.

**BMEN E4302x Biomechanics of musculoskeletal soft tissues**

3 pts. Lect: 3. *Professors Reuther and Thomopoulos.*  
Prerequisite: ENME E3113 or equivalent. Restricted to seniors and graduate students. Biomechanics of orthopaedic soft tissues (cartilage, tendon, ligament, meniscus, etc.). Basic and advanced viscoelasticity applied to the musculoskeletal system. Topics include mechanical properties, applied viscoelasticity theory, and biology of orthopaedic soft tissues.

**BMEN E4305y Cardiac mechanics**

3 pts. Lect: 3. *Not offered in 2018–2019.*  
Prerequisites: BMEN E4310 and BMEN E4320 or equivalents. Cardiac anatomy, passive myocardial constitutive properties, electrical activation, ventricular pump function, ventricular-vascular coupling, invasive and noninvasive measures of regional and global function, models for predicting ventricular wall stress. Alterations in muscle properties and ventricular function resulting from myocardial infarction, heart failure, and left ventricular assist.

**BMEN E4310x or y Solid biomechanics**

3 pts. Lect: 3. *Professor Guo.*  
Prerequisites: ENME-MECE E3105 and ENME E3113. Applications of continuum mechanics to the understanding of various biological tissues properties. The structure, function, and mechanical properties of various tissues in biological systems, such as blood vessels, muscle, skin, brain tissue, bone, tendon, cartilage, ligaments, etc., are examined. The establishment of basic governing mechanical principles and constitutive relations for each tissue. Experimental determination of various tissue properties. Medical and clinical implications of tissue mechanical behavior.

**BMEN E4320x or y Fluid biomechanics**

3 pts. Lect: 3. Professor Guo.

Prerequisites: APMA E2101, ENME E3105, and MECE E4100. The principles of continuum mechanics as applied to biological fluid flows and transport. Continuum formulations of basic conservation laws, Navier-Stokes equations, mechanics of arterial and venous blood flow, blood rheology and non-Newtonian properties, flow and transport in the microcirculation, oxygen diffusion, capillary filtration.

**CHBM E4321y The genome and the cell**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: BIOL UN2005 and MATH UN2030.

The utility of genomic information lies in its capacity to predict the behavior of living cells in physiological, developmental, and pathological situations. The effect of variations in genome structure between individuals within a species, including those deemed healthy or diseased, and among species, can be inferred statistically by comparisons of sequences with behaviors and mechanistically, by studying the action of molecules whose structure is encoded within the genome. Examines known mechanisms that elucidate the combined effect of environmental stimulation and genetic makeup on the behavior of cells in homeostasis, disease states, and during development, and includes assessments of the probable effect of these behaviors on the whole organism. Quantitative models of gene translation and intracellular signal transduction will be used to illustrate switching of intracellular processes, transient and permanent gene activation, and cell commitment, development, and death.

**BMEN E4340x Biomechanics of cells**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: BMEN E3320 and BMEN E4300 or equivalents. Survey of experiments and theoretical analyses of the mechanical behavior of individual living nonmuscle cells. Emphasis on quantitative analytic description using continuum mechanics and molecular level theory from the standpoint of statistical mechanics and mechanical models. Mechanics of erythrocytes, leukocytes, endothelial cells, and fibroblasts; models of aggregation, adhesion, locomotion, amoeba motility, cell division and morphogenesis; molecular level models of actin, myosin, microtubules, and intermediate filaments and relation to mechanical properties of cells and cytoskeleton. Alternative models of cytoskeletal mechanics, foam theory, tensegrity. Analysis of experimental techniques including micropipette studies, optical and magnetic cytometry, and nanoindentation.

**BMEE E4400x Wavelet applications in biomedical image and signal processing**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisite: APMA E2101 or E3101 or equivalent.

An introduction to methods of wavelet analysis and processing techniques for the quantification of biomedical images and signals. Topics include frames and overcomplete representations, multiresolution algorithms for denoising and image restoration, multiscale texture segmentation

and classification methods for computer aided diagnosis.

**BMEN E4410y Ultrasound in diagnostic imaging**

3 pts. Lect: 3. Professor Konofagou.

Prerequisites: MATH UN1202 or equivalent.

Fourier analysis. Physics of diagnostic ultrasound and principles of ultrasound imaging instrumentation. Propagation of plane waves in lossless medium; ultrasound propagation through biological tissues; single-element and array transducer design; pulse-echo and Doppler ultrasound instrumentation, performance evaluation of ultrasound imaging systems using tissue-mimicking phantoms, ultrasound tissue characterization; ultrasound nonlinearity and bubble activity; harmonic imaging; acoustic output of ultrasound systems; biological effects of ultrasound.

**BMEN E4420y Biomedical signal processing and signal modeling**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: ELEN E3801 and either APMA E2101 or E3101 or instructor's permission.

Fundamental concepts of signal processing in linear systems and stochastic processes. Estimation, detection, and filtering methods applied to biomedical signals. Harmonic analysis, autoregressive model, Wiener and Matched filters, linear discriminants, and independent components. Methods are developed to answer concrete questions on specific data sets in modalities such as ECG, EEG, MEG, ultrasound. Lectures accompanied by data analysis assignments using MATLAB.

**BMEN E4430x Principles of magnetic resonance imaging**

3 pts. Lect: 3. Professor Juchem.

Prerequisites: PHYS UN1403 and APMA E2101, or instructor's permission. Fundamental principles of Magnetic Resonance Imaging (MRI), including the underlying spin physics and mathematics of image formation with an emphasis on the application of MRI to neuroimaging, both anatomical and functional. The course examines both theory and experimental design techniques.

**MEBM E4439x Modeling and identification of dynamic systems**

3 pts. Lect: 3. Professor Chbat.

Prerequisites: APMA E2101, ELEN E3801 or corequisite EEME E3601, or instructor's permission. Generalized dynamic system modeling and simulation. Fluid, thermal, mechanical, diffusive, electrical, and hybrid systems are considered. Nonlinear and high order systems. System identification problem and Linear Least Squares method. State-space and noise representation. Kalman Filter. Parameter estimation via prediction-error and subspace approaches. Iterative and bootstrap methods. Fit criteria. Wide applicability: medical, energy, others. MATLAB and Simulink environments.

**BMEN E4440y Physiological control systems**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: MEBM E4439 and APMA E2101, or instructor's permission. Fundamentals of time and frequency domains analyses and stability. Frequency domain controller design. Cardiovascular and respiratory systems simulation. Endogenous control systems: baroreflex, chemoreflex, thermoregulation, pupillary light reflex. Open and closed loop physiological systems. Exogenous control systems: ventilators, infusion pumps. Nonlinear actuators and delayed feedback systems. Acute disease simulation and clinical decision support in the intensive care unit. MATLAB and Simulink environments utilized.

**BMEN E4450y Dental and craniofacial tissue engineering**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: MSAE E3103, BMEN E4210, E4501, or equivalent. Principles of dental and craniofacial bioengineering, periodontal tissue engineering: beyond guided tissue regeneration, craniofacial regeneration by stem cells and engineered scaffolds, biomaterials: Engineering approaches in tissue regeneration, bone biology and development: instructive cues for tissue engineers.

**BMCH E4500x or y Biological transport and rate processes**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: CHEM UN2443, APMA E2101.

Corequisites: BIOL UN2005. Convective and diffusive movement and reaction of molecules in biological systems. Kinetics of homogeneous and heterogeneous reactions in biological environments. Mechanisms and models of transport across membranes. Convective diffusion with and without chemical reaction. Diffusion in restricted spaces. Irreversible thermodynamic approaches to transport and reaction in biological systems.

**BMEN E4501 Biomaterials**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: BIOL UN2005, UN2006, BMEN E4001, and E4002. An introduction to the strategies and fundamental bioengineering design criteria in the development of biomaterials and tissue engineered grafts. Materials structural-functional relationships, biocompatibility in terms of material and host responses. Through discussions, readings, and a group design project, students acquire an understanding of cell-material interactions and identify the parameters critical in the design and selection of biomaterials for biomedical applications.

**BMEN E4510x or y Tissue engineering**

3 pts. Lect: 3. Professor Hung.

Prerequisites: BIOL UN2005, UN2006, BMEN E4001, E4002.

An introduction to the strategies and fundamental bioengineering design criteria behind the development of cell-based tissue substitutes. Topics include biocompatibility, biological grafts, gene therapy-transfer, and bioreactors.

**BMEN E4520x Synthetic biology: principles of genetic circuits**

3 pts. Lect: 3. Not offered in 2018–2019.

Basic principles of synthetic biology and survey of the field. Fundamentals of biological circuits, including circuit design, modern techniques for DNA assembly, quantitative characterization of genetic circuits, and ODE modeling of biological circuits with MATLAB. Knowledge of biology, ordinary differential equations, and MATLAB will be assumed. Intended for advanced undergraduate and graduate students.

#### **BMEN E4530x Drug and gene delivery**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: BMEN E3010. Application of polymers and other materials in drug and gene delivery, with focus on recent advances in field. Basic polymer science, pharmacokinetics, and biomaterials, cell-substrate interactions, drug delivery system fabrication from nanoparticles to microparticles and electrospun fibrous membranes. Applications include cancer therapy, immunotherapy, gene therapy, tissue engineering, and regenerative medicine. Course readings include textbook chapters and journal papers. Homework assignments take format of essay responding to open-ended question. Term paper and 30-minute PowerPoint presentation required at end of semester.

#### **BMEN E4540y Bioelectrochemistry**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: CHEM UN3079 and UN2443 or equivalent. Application of electrochemical kinetics to interfacial processes occurring in biomedical systems. Basics of electrochemistry, electrochemical instrumentation, and relevant cell and electrophysiology reviewed. Applications to interpretation of excitable and nonexcitable membrane phenomena, with emphasis on heterogeneous mechanistic steps. Examples of therapeutic devices created as a result of bioelectrochemical studies.

#### **BMEN E4550y Micro- and nanostructures in cellular engineering**

3 pts. Lect: 3. Professor Kam.

Prerequisites: BIOL UN2005 and UN2006 or equivalent. Design, fabrication, and application of micro-/nanostructured systems for cell engineering. Recognition and response of cells to spatial aspects of their extracellular environment. Focus on neural, cardiac, coculture, and stem cell systems. Molecular complexes at the nanoscale.

#### **BMEN E4560y Dynamics of biological membranes**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: BIOL UN2005, BMEN E4001, or equivalent. The structure and dynamics of biological (cellular) membranes are discussed, with an emphasis on biophysical properties. Topics include membrane composition, fluidity, lipid asymmetry, lipid-protein interactions, membrane turnover, membrane fusion, transport, lipid phase behavior. In the second half of the semester, students will lead discussions of recent journal articles.

#### **BMEN E4570x Science and engineering of body fluids**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: General chemistry, organic chemistry, and basic calculus. Body fluids as a dilute solution of polyelectrolyte molecules in water. Study of physical behavior as affected by the presence of ions in surrounding environments. The physics of covalent, ionic, and hydrogen bonds are reviewed, in relation to the structure/properties of the body fluid. Selected physiological processes are examined in physical-chemical terms for polymers.

#### **BMEN E4590x BioMems: cellular and molecular applications**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: Chemistry, CHEM UN3443, or CHEM UN3545 or equivalent, MATH UN1201, BIOL UN2005, and UN2006. Topics include biomicroelectromechanical, microfluidic, and lab-on-a-chip systems in biomedical engineering, with a focus on cellular and molecular applications. Microfabrication techniques, biocompatibility, miniaturization of analytical and diagnostic devices, high-throughput cellular studies, microfabrication for tissue engineering, and in vivo devices.

#### **BMEN E4601y Cellular electricity**

3 pts. Lect: 2. Lab: 1. Not offered in 2018–2019.

Bioelectricity of the cell membrane. Basis of cell resting voltage, voltage changes that lead to the action potential and electrical oscillations used in sensing systems. Laboratory includes building electronic circuits to measure capacitance of artificial membranes and ion pumping in frog skin. Lab required.

#### **APBM E4650x Anatomy for physicists and engineers**

3 pts. Lect: 3. Professors Katz and Rozenshtein.

Prerequisite: Engineering or physics background. A systemic approach to the study of the human body from a medical imaging point of view: skeletal, respiratory, cardiovascular, digestive, and urinary systems, breast and women's issues, head and neck, and central nervous system. Lectures are reinforced by examples from clinical two- and three-dimensional and functional imaging (CT, MRI, PET, SPECT, U/S, etc.).

#### **BMME E4702x Advanced musculoskeletal biomechanics**

3 pts. Lect: 2.5. Lab: 0.5. Not offered in 2018–2019.

Advanced analysis and modeling of the musculoskeletal system. Topics include advanced concepts of 3D segmental kinematics, musculoskeletal dynamics, experimental measurements of joint kinematics and anatomy, modeling of muscles and locomotion, multibody joint modeling, introduction to musculoskeletal surgical simulations.

#### **MEBM E4703y Molecular mechanics in biology**

3 pts. Lect: 3. Professor Chbat.

Prerequisites: ENME E3105, APMA E2101, or instructor's permission. Mechanical understanding of biological structures including proteins, DNA and RNA in cells and tissues. Force response of proteins and DNA, mechanics of membranes, biophysics of molecular motors, mechanics of protein-protein interactions. Introduction to modeling and simulation techniques, and modern biophysical techniques such as single molecule FRET, optical traps, AFM, and superresolution imaging, for understanding molecular mechanics and dynamics.

#### **MEBM E4710x or y Morphogenesis: shape and structure in biological materials**

3 pts. Lect: 2.5. Professor Kasza

Prerequisites: Courses in mechanics, thermodynamics, and ordinary differential equations at the undergraduate level or instructor's permission. Introduction to how shape and structure are generated in biological materials using engineering approach emphasizing application of fundamental physical concepts to a diverse set of problems. Mechanisms of pattern formation, self-assembly, and self-organization in biological materials, including intracellular structures, cells, tissues, and developing embryos. Structure, mechanical properties, and dynamic behavior of these materials. Discussion of experimental approaches and modeling. Course uses textbook materials as well as collection of research papers.

#### **BMEN E4737x Computer control of medical instrumentation**

3 pts. Lect: 2. Lab: 1. Not offered in 2018–2019.

Prerequisite: Basic knowledge of the C programming language. Acquisition and presentation of data for medical interpretation. Operating principles of medical devices: technology of medical sensors, algorithms for signal analysis, computer interfacing and programming, interface design. Laboratory assignments cover basic measurement technology, interfacing techniques, use of Labview software instrument interrogation and control, automated ECG analysis, ultrasonic measurements, image processing applied to X-ray images and CAT scans.

#### **BMEN E4738y Transduction and acquisition of biomedical data**

3 pts. Lect: 2. Lab: 1. Not offered in 2018–2019.

Data transduction and acquisition systems used in biomedicine. Assembly of biotransducers and the analog/digital circuitry for acquiring electrocardiogram, electromyogram, and blood pressure signals. Each small group will develop and construct a working data acquisition board, which will be interfaced with a signal generator to elucidate the dynamics of timing constraints during retrieval of biodata. Lab required.

#### **BMEE E4740y Bioinstrumentation**

3 pts. Lect: 3. Professor Kyle.

Prerequisites: ELEN E1201, COMS W1005. Hands-on experience designing, building, and testing the various components of a benchtop

cardiac pacemaker. Design instrumentation to measure biomedical signals as well as to actuate living tissues. Transducers, signal conditioning electronics, data acquisition boards, the Arduino microprocessor, and data acquisition and processing using MATLAB will be covered. Various devices will be discussed throughout the course, with laboratory work focusing on building an emulated version of a cardiac pacemaker.

#### **BMEN E4750y Sound and hearing**

3 pts. Lect: 3. Professor Olson.

Prerequisites: PHYS UN1401 and MATH UN1105-MATH UN1106. Introductory acoustics, basics of waves and discrete mechanical systems. The mechanics of hearing—how sound is transmitted through the external and middle ear to the inner ear, and the mechanical processing of sound within the inner ear.

#### **CBMF W4761y Computational genomics**

3 pts. Lect: 3. Professor Pe'er.

Prerequisites: Working knowledge of at least one programming language, and some background in probability and statistics. Computational techniques for analyzing and understanding genomic data, including DNA, RNA, protein and gene expression data. Basic concepts in molecular biology relevant to these analyses. Emphasis on techniques from artificial intelligence and machine learning. String-matching algorithms, dynamic programming, hidden Markov models, expectation-maximization, neural networks, clustering algorithms, support vector machines. Students with life sciences backgrounds who satisfy the prerequisites are encouraged to enroll.

#### **BMCH E4810y Artificial organs**

3 pts. Lect: 3. Professor Leonard.

Analysis and design of replacements for the heart, kidneys, and lungs. Specification and realization of structures for artificial organ systems.

#### **BMEN E4840y Functional imaging for the brain**

3 pts. Lect: 3. Lab: 1. Not offered in 2018–2019.

Prerequisites: APMA E2101, APMA E4200, ELEN E3801, or instructor's permission. Fundamentals of modern medical functional imaging. In-depth exploration of functional magnetic resonance imaging (fMRI), arterial spin labeling (ASL), and positron emission tomography (PET). Human brain anatomy, physiology, and neurophysiological bases underlying each functional imaging. Statistical and digital signal processing methods specific for functional image analysis. Final cumulative project requiring coding in MATLAB, Python, R, or C.

#### **BMEN E4894x Biomedical imaging**

3 pts. Lect: 3. Professor Hielscher.

Covers image formation, methods of analysis, and representation of digital images. Measures of qualitative performance in the context of clinical imaging. Algorithms fundamental to the construction of medical images via methods of computed tomography, magnetic resonance, and ultrasound. Algorithms and methods for the enhancement and quantification of specific

features of clinical importance in each of these modalities.

#### **BMEN E4895x or y Analysis and quantification of medical images**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisite: BMEN E4894. Corequisite: BMEN E4894. Novel methods of mathematical analysis applied to problems in medical imaging. Design requirements for screening protocols, treatment therapies, and surgical planning. Sensitivity and specificity in screening mammography and chest radiographs, computer-aided diagnosis systems, surgical planning in orthopaedics, quantitative analysis of cardiac performance, functional magnetic resonance imaging, positron emission tomography, and echocardiography data.

#### **BMEN E4898y Biophotonics**

3 pts. Lect: 3. Professor Kim.

Prerequisites: BMEN E4894 Biomedical imaging, PHYS UN1403 Classical and quantum waves, or instructor's permission. Provides a broad-based introduction into the field of Biophotonics. Fundamental concepts of optical, thermal, and chemical aspects of the light-tissue interactions will be presented. The application of these concepts for medical therapy and diagnostics will be discussed. The course includes theoretical modeling of light-tissue interactions as well as optical medical instrument design and methods of clinical data interpretation.

#### **BMEN E4999x and y Graduate fieldwork**

1–2 pts. Professor Reuther.

Prerequisites: Obtained internship and approval from faculty adviser. BMEN graduate students only. Only for BMEN graduate students who need relevant work experience as part of their program of study. Final reports required. May not be taken for pass/fail credit or audits.

#### **BMEN E6000x and y Graduate special topic: magnetic resonance spectroscopy**

3 pts. Lect: 3. Professor Juchem.

Current topics in biomedical engineering. Subject matter will vary by year. Instructors may impose prerequisites depending on the topic.

#### **BMEN E6001y Current topics in nanobiotechnology and synthetic biology**

3 pts. Lect: 3. Not offered in 2018–2019.

Targeted toward graduate students; undergraduate students may participate with permission of the instructor. Review and critical discussion of recent literature in nanobiotechnology and synthetic biology. Experimental and theoretical techniques, critical advances. Quality judgments of scientific impact and technical accuracy. Styles of written and graphical communication, the peer review process.

#### **BMEN E6003y Computational modeling of physiological systems**

3 pts. Lect: 3. Professor Reuther.

Prerequisites: BMEN E4001 and E4002 or equivalent, and APMA E4200 or equivalent. Advanced computational modeling and quantitative analysis of selected physiological

systems from molecules to organs. Selected systems are analyzed in depth with an emphasis on modeling methods and quantitative analysis. Topics may include cell signaling, molecular transport, excitable membranes, respiratory physiology, nerve transmission, circulatory control, auditory signal processing, muscle physiology, data collection and analysis.

#### **BMEN E6005x Biomedical innovation I**

3 pts. Lect: 3. Professor Reuther.

Master's students only. Project-based design experience for graduate students. Elements of design process, including need identification, concept generation, concept selection, and implementation. Development of design prototype and introduction to entrepreneurship and implementation strategies. Real-world training in biomedical design and innovation.

#### **BMEN E6006y Biomedical innovation II**

3 pts. Lect: 3. Professor Reuther.

Second semester of project-based design experience for graduate students. Elements of design process, with focus on skills development, prototype development and testing, and business planning. Real-world training in biomedical design, innovation, and entrepreneurship.

#### **BMEN E6007y Lab-to-market: commercializing biomedical innovations**

3 pts. Lect: 3. Professor Reuther and Nye.

Introduction to and application of commercialization of biomedical innovations. Topics include needs clarification, stakeholder analysis, market analysis, value proposition, business models, intellectual property, regulatory, and reimbursement. Development of path-to-market strategy and pitch techniques.

#### **EEBM E6020y Methods of computational neuroscience**

4.5 pts. Lect: 3. Not offered in 2018–2019.

Prerequisite: BMEB W4020. Formal methods in computational neuroscience including methods of signal processing, communications theory, information theory, systems and control, system identification and machine learning. Molecular models of transduction pathways. Robust adaptation and integral feedback. Stimulus representation and groups. Stochastic and dynamical systems models of spike generation. Neural diversity and ensemble encoding. Time encoding machines and neural codes. Stimulus recovery with time decoding machines. MIMO models of neural computation. Synaptic plasticity and learning algorithms. Major project(s) in MATLAB.

#### **BMEE E6030x Neural modeling and neuroengineering**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: ELEN E3801, and either APMA E2101 or E3101, or equivalent, or instructor's permission. Engineering perspective on the study of multiple levels of brain organization, from single neurons to cortical modules and systems. Mathematical models of spiking neurons, neural

dynamics, neural coding, and biologically-based computational learning. Architectures and learning principles underlying both artificial and biological neural networks. Computational models of cortical processing, with an emphasis on the visual system. Applications of principles in neuroengineering; neural prostheses, neuromorphic systems and biomimetics. Course includes a computer simulation laboratory. Lab required.

**ECBM E6070-6079x or y Topics in neuroscience and deep learning**

3 pts. Lect: 2. *Members of the faculty.*

Prerequisites: the instructor's permission. Selected advanced topics in neuroscience and deep learning. Content varies from year to year, and different topics rotate through the course numbers 6070 to 6079.

**EEBM E6090-6099x or y Topics in computational neuroscience and neuroengineering**

3 pts. Lect: 2. *Professor Sajda.*

Prerequisite: Instructor's permission. Selected advanced topics in computational neuroscience and neuroengineering. Content varies from year to year, and different topics rotate through the course numbers 6090-6099.

**BMEN E6301y Modeling of biological tissues with finite elements**

3 pts. Lect: 3. *Not offered in 2018–2019.*

Prerequisite: MECE E6422, or ENME E6315, or equivalent. Structure-function relations and linear/nonlinear constitutive models of biological tissues: anisotropic elasticity, viscoelasticity, porous media theories, mechano-electrochemical models, infinitesimal and large deformations. Emphasis on the application and implementation of constitutive models for biological tissues into existing finite element software packages. Model generation from biomedical images by extraction of tissue geometry, inhomogeneity and anisotropy. Element-by-element finite element solver for large-scale image based models of trabecular bone. Implementation of tissue remodeling simulations in finite element models.

**MEBM E6310x-E6311y Mixture theories for biological tissues, I and II**

3 pts. Lect: 3. *Not offered in 2018–2019.*

Prerequisites: MECE E6422 and APMA E4200, or equivalent. Development of governing equations for mixtures with solid matrix, interstitial fluid, and ion constituents. Formulation of constitutive models for biological tissues. Linear and nonlinear models of fibrillar and viscoelastic porous matrices. Solutions

to special problems, such as confined and unconfined compression, permeation, indentation and contact, and swelling experiments.

**BMEN E6400x Analysis and quantification of medical images**

3 pts. Lect: 3. *Not offered in 2018–2019.*

Novel methods of mathematical analysis applied to problems in medical imaging. Design requirements for screening protocols, treatment therapies, and surgical planning. Sensitivity and specificity in screening mammography and chest radiographs, computer aided diagnosis systems, surgical planning in orthopaedics, quantitative analysis of cardiac performance, functional magnetic resonance imaging, positron emission tomography, and echocardiography data.

**BMEN E6500x Tissue and molecular engineering laboratory**

4 pts. Lect: 1. Lab: 4. *Professor Reuther.*

Prerequisites: Biology BIOL UN2005 and BIOL UN2006 or permission of instructor. Hands-on experiments in molecular and cellular techniques, including fabrication of living engineered tissues. Covers sterile technique, culture of mammalian cells, microscopy, basic subcloning and gel electrophoresis, creation of cell-seeded scaffolds, and the effects of mechanical loading on the metabolism of living cells or tissues. Theory, background, and practical demonstration for each technique will be presented. Lab required.

**BMEN E6505x Advanced scaffold design and engineering complex tissues**

3 pts. Lect: 2.5. Lab: 0.5. *Professor Lu.*

Prerequisites: BMEN E4501 or equivalent. Corequisites: BMEN E4001 or E4002. Advanced biomaterial selection and biomimetic scaffold design for tissue engineering and regenerative medicine. Formulation of bio-inspired design criteria, scaffold characterization and testing, and applications on forming complex tissues or organogenesis. Laboratory component includes basic scaffold fabrication, characterization and *in vitro* evaluation of biocompatibility. Group projects target the design of scaffolds for select tissue engineering applications.

**EEBM E9070y Massively parallel neural computation**

3 pts. Lect: 3. *Professor Mesgarani.*

Prerequisites: BMEB W4020 or permission of instructor. *Drosophila* connectomics. Detailed description of the fruit fly's olfactory and vision systems. Parallel processing on GPUs.

**BMEN E9100x or y Master's research**

1–6 pts. *Members of the faculty.*

Candidates for the M.S. degree may conduct an investigation of some problem in biomedical engineering culminating in a thesis describing the results of their work. No more than 6 points may be counted for graduate credit, and this credit is contingent upon the submission of an acceptable thesis.

**BMEN E9500x or y Doctoral research**

1–6 pts. *Members of the faculty.*

Doctoral candidates are required to make an original investigation of a problem in biomedical engineering, the results of which are presented in the dissertation.

**BMEN E9700x or y Biomedical engineering seminar**

0 pts. Sem: 1. *Professors Wang and Olson.*

All matriculated graduate students are required to attend the seminar as long as they are in residence. No degree credit is granted. The seminar is the principal medium of communication among those with biomedical engineering interests within the University. Guest speakers from other institutions, Columbia faculty, and students within the department who are advanced in their studies frequently offer sessions.

**BMEN E9800x or y Doctoral research instruction**

3–12 pts. *Members of the faculty.*

A candidate for the Eng.Sc.D. degree in biomedical engineering must register for 12 points of doctoral research instruction. Registration may not be used to satisfy the minimum residence requirement for the degree.

**BMEN E9900x or y Doctoral dissertation**

0 pts. *Members of the faculty.*

A candidate for the doctorate in biomedical engineering or applied biology may be required to register for this course in every term after the student's coursework has been completed and until the dissertation has been accepted.

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Chemical engineering is a highly interdisciplinary field concerned with materials and processes at the heart of a broad range of technologies. Practicing chemical engineers are the experts in charge of the development and production of diverse products in traditional chemical industries as well as many emerging new technologies. The chemical engineer guides the passage of the product from the laboratory to the marketplace, from ideas and prototypes to functioning articles and processes, from theory to reality. This requires a remarkable depth and breadth of understanding of physical and chemical aspects of materials and their production.

The expertise of chemical engineers is essential to production, marketing, and application in such areas as pharmaceuticals, high-performance materials in the aerospace and automotive industries, biotechnologies, semiconductors in the electronics industry, paints and plastics, petroleum refining, synthetic fibers, artificial organs, biocompatible implants and prosthetics and numerous others. Increasingly, chemical engineers are involved in new technologies employing highly novel materials whose unusual response at the molecular level endows them with unique properties. Examples include environmental technologies, emerging biotechnologies of major medical importance employing DNA- or protein-

based chemical sensors, controlled-release drugs, new agricultural products, nanoparticle-based materials, bio-nanoparticle conjugates, and many others.

Driven by this diversity of applications, chemical engineering is perhaps the broadest of all engineering disciplines: chemistry, physics, mathematics, biology, and computing are all deeply involved. The research of the faculty of Columbia's Chemical Engineering Department is correspondingly broad. Some of the areas under active investigation are the fundamental physics, chemistry, and engineering of polymers and other soft materials; the electrochemistry of fuel cells and other interfacial engineering phenomena; the bioengineering of artificial organs and immune cell activation; the engineering and biochemistry of sequencing the human genome; the chemistry and physics of surface-polymer interactions; the biophysics of cellular processes in living organisms; the physics of thin polymer films; the chemistry of smart polymer materials with environment-sensitive surfaces; biosensors with tissue engineering applications; the physics and chemistry of DNA-DNA hybridization and melting; the chemistry and physics of DNA microarrays with applications in gene expression and drug discovery; the physics and chemistry of nanoparticle-polymer composites with novel electronic and

photonic properties; programmable assembly of nanoparticle systems; DNA-guided assembly of inorganic and biological nanoscale objects. Many experimental techniques are employed, from neutron scattering to fluorescence microscopy, and the theoretical work involves both analytical mathematical physics and numerical computational analysis.

Students enrolling in the Ph.D. program will have the opportunity to conduct research in these and other areas. Students with degrees in chemical engineering and other engineering disciplines, in chemistry, in physics, in biochemistry, and in other related disciplines are all natural participants in the Ph.D. program and are encouraged to apply. The Department of Chemical Engineering at Columbia is committed to a leadership role in research and education in frontier areas of research and technology where progress derives from the conjunction of many different traditional research disciplines. Increasingly, new technologies and fundamental research questions demand this type of interdisciplinary approach.

The undergraduate program provides a chemical engineering degree that is a passport to many careers in directly related industries as diverse as biochemical engineering, environmental management, and pharmaceuticals. The degree is also used by many students as a springboard from which to launch

careers in medicine, law, management, banking and finance, politics, and so on. For those interested in the fundamentals, a career of research and teaching is a natural continuation of their undergraduate studies. Whichever path the student may choose after graduation, the program offers a deep understanding of the physical and chemical nature of things and provides an insight into an exploding variety of new technologies that are rapidly reshaping the society we live in.

### Facilities for Teaching and Research

The Department of Chemical Engineering is continually striving to provide access to state-of-the-art research instrumentation and computational facilities for its undergraduate and graduate students, postdoctoral associates, and faculty. Departmental equipment is considered to be in most cases shared, which means that equipment access is usually open to all qualified individuals with a need to use particular instrumentation.

The most extensive collection of instrumentation in the department is associated with the polymer and soft matter research faculty. Faculty banded together to create a unique shared-facilities laboratory, completed at the end of 2001. The shared facilities include a fully equipped polymer synthesis lab with four fumes hoods, a 10'x16' soft wall clean room, metal evaporator system, a Milligen 9050 peptide synthesizer, and polymer thin film preparation and substrate cleaning stations. Also installed are new, computer-controlled thermal analysis, rheometric, and light-scattering setups. Specialized instrumentation for surface analysis includes an optical/laser system dedicated to characterization of polymer surface dynamics by Fluorescence Recovery after Photobleaching and a PHI 5500 X-ray photoelectron spectrophotometer with monochromator that is capable of angle-dependent depth profiling and XPS imaging. The system can also perform SIMS and ion scattering experiments. A digital image analysis system for the characterization of sessile and pendant drop shapes is also available for the purpose of polymer surface and interfacial tension measurements as well as contact angle

analysis. An X-ray reflectometer that can perform X-ray standing wave-induced fluorescence measurements is also housed in the new shared equipment laboratory, along with instrumentation for characterizing the friction and wear properties of polymeric surfaces. The laboratory also houses an infrared spectrometer (Nicolet Magna 560, MCT detector) with a variable angle grazing incidence, temperature-controlled attenuated-total-reflectance, transmission, and liquid cell accessories. These facilities are suitable for mid-IR, spectroscopic investigations of bulk materials as well as thin films.

The laboratory also has a UV-Vis spectrometer (a Cary 50), an SLM Aminco 8000 spectrofluorimeter, and a high-purity water system (Millipore Biocel) used for preparation of biological buffers and solutions. Facilities are available for cell tissue culture and for experiments involving biocompatibilization of materials or cellular engineering. In addition, gel electrophoresis apparatus is available for the molecular weight characterization of nucleic acids. A total-internal-reflection-fluorescence (TIRF) instrument with an automated, temperature-controlled flow cell has been built for dedicated investigations of surface processes involving fluorescently tagged biological and synthetic molecules. The instrument can operate at different excitation wavelengths (typically HeNe laser, 633 nm, using Cy5 labeled nucleic acids). Fluorescence is collected by a highly sensitive photomultiplier tube and logged to a personal computer. Because fluorescence is only excited in the evanescent wave region near an interface, signals from surface-bound fluorescent species can be determined with minimal background interference from fluorophores in bulk solution.

**Chemistry Department.** Access to NMR and mass spectrometry facilities is possible through interactions with faculty members who also hold appointments in the Chemistry Department. The NMR facility consists of a 500 MHz, a 400 MHz, and two 300 MHz instruments that are operated by students and postdocs after training. The mass spectrometry facility is run by students for routine samples and by a professional mass spectrometrist for more difficult

samples. The Chemistry Department also provides access to the services of a glass blower and machine shop and to photochemical and spectroscopic facilities. These facilities consist of (1) two nanosecond laser flash photolysis instruments equipped with UV-VIS, infrared, EPR, and NMR detection; (2) three EPR spectrometers; (3) two fluorescence spectrometers; (4) a single photon counter for analysis of the lifetimes and polarization of fluorescence and phosphorescence; and (5) a high-performance liquid chromatographic instrument for analysis of polymer molecular weight and dispersity.

**Columbia Genome Center.** Because of its affiliation with the Columbia Genome Center (CGC), the Department of Chemical Engineering also has access to more than 3,000 sq. ft. of space equipped with a high-throughput DNA sequencer (Amersham Pharmacia Biotech Mega-Bace 1000), a nucleic acid synthesizer (PE Biosystems 8909 Expedite Nucleic Acid/Peptide Synthesis System), an UV/VIS spectrophotometer (Perkin-Elmer Lambda 40), a fluorescence spectrophotometer (Jobin Yvon, Inc. Fluorolog-3), Waters HPLC, and a sequencing gel electrophoresis apparatus (Life Technologies Model S2), as well as the facilities required for state-of-the-art synthetic chemistry. The division of DNA sequencing and chemical biology at the Columbia Genome Center consists of 6,000 sq. ft. of laboratory space and equipment necessary for carrying out the state-of-the-art DNA analysis. The laboratory has one Amersham Pharmacia Biotech MegaBace 1000 sequencer, three ABI 377 sequencers with complete 96 land upgrades, a Qiagen 9600 Biorobot, a Hydra 96 microdispenser robot, and standard molecular biology equipment.

## UNDERGRADUATE PROGRAM

### Chemical Engineering

The undergraduate program in chemical engineering at Columbia has five formal educational objectives:

1. Prepare students for careers in industries that require technical expertise in chemical engineering.

2. Prepare students to assume leadership positions in industries that require technical expertise in chemical engineering.
3. Enable students to pursue graduate-level studies in chemical engineering and related technical or scientific fields (e.g., biomedical or environmental engineering, materials science).
4. Provide a strong foundation for students to pursue alternative career paths, especially careers in business, management, finance, law, medicine, or education.
5. Establish in students a commitment to life-long learning and service within their chosen profession and society.

The expertise of chemical engineers is essential to production, marketing, and application in such areas as pharmaceuticals, high performance materials as in the automotive and aerospace industries, semiconductors in the electronics industry, paints and plastics, consumer products such as food and cosmetics, petroleum refining, industrial chemicals, synthetic fibers, and just about every bioengineering and biotechnology area from artificial organs to biosensors. Increasingly, chemical engineers are involved in exciting new technologies employing highly novel materials, whose unusual response at the molecular level endows them with unique properties. Examples include controlled release drugs, materials with designed interaction with *in vivo* environments, “nanomaterials” for electronic and optical applications, agricultural products, and a host of others. This requires a depth and breadth of understanding of physical and chemical aspects of materials and their production that is without parallel.

The chemical engineering degree also serves as a passport to exciting careers in directly related industries as diverse as biochemical engineering, environmental management, and pharmaceuticals. Because the deep and broad-ranging nature of the degree has earned it a high reputation across society, the chemical engineering degree is also a natural platform from

which to launch careers in medicine, law, management, banking and finance, politics, and so on. Many students choose it for this purpose, to have a firm and respected basis for a range of possible future careers. For those interested in the fundamentals, a career of research and teaching is a natural continuation of undergraduate studies.

The first and sophomore years of study introduce general principles of science and engineering and include a broad range of subjects in the humanities and social sciences. Although the program for all engineering students in these first two years is to some extent similar, there are a few important differences for chemical engineering majors. Those wishing to learn about, or major in, chemical engineering should take the professional elective *CHEN E2100 Introduction to chemical engineering* in term III, taught by the Chemical Engineering Department. This course is a requirement for the chemical engineering major. It can also possibly serve as a technical elective for other engineering majors. Those wishing to major in chemical engineering should also take *ENGI E1006 Introduction to computing for engineering and applied scientists* in term II. Chemical engineering majors receive additional instruction in their junior year on the use of computational methods to solve chemical engineering problems.

In the junior-senior sequence one specializes in the chemical engineering major. The table on page 81 spells out the core course requirements, which are split between courses emphasizing engineering science and those emphasizing practical and/or professional aspects of the discipline. Throughout, skills required of practicing engineers are developed (e.g., writing and presentation skills, competency with computers).

The table also shows that a significant fraction of the junior-senior program is reserved for electives, both technical and nontechnical. Nontechnical electives are courses that are not quantitative, such as those taught in the humanities and social sciences. These provide an opportunity to pursue interests in areas other than engineering. A crucial part of the junior-senior

program is the 21-point (7 courses) technical elective requirement. Technical electives are science and/or technology based and feature quantitative analysis. Generally, technical electives must be 3000 level or above but there are a few exceptions: *PHYS UN1403*, *PHYS UN2601*, *BIOL UN2005*, *BIOL UN2006*, *BIOL UN2501*, and *CHEM UN2444*. The technical electives are subject to the following constraints:

- Two technical electives must be within chemical engineering (e.g., with the designator *BMCH*, *CHEN*, *CHEE*, or *CHAP*).
- One technical elective must be within SEAS but taken outside of chemical engineering (that is, a course with a designator other than *BMCH*, *CHEN*, *CHEE*, or *CHAP*).
- Two technical electives must be within SEAS (may or may not be within chemical engineering).
- Two technical electives must contain “advanced science” coursework, which can include chemistry, physics, biology, and certain engineering courses. Qualifying engineering courses are determined by Chemical Engineering Department advisers. At least one of these classes must be taken outside of SEAS (e.g., in a science department at Columbia; see listing of possible courses above).
- At most, only two computer science (COMS) or industrial engineering and operations research (IEOR) classes can be counted toward the technical elective requirement.

The junior-senior technical electives provide the opportunity to explore new, interesting areas beyond the core requirements of the degree. Often, students satisfy the technical electives by taking courses from another SEAS department in order to obtain a minor from that department. Alternately, you may wish to take courses in several new areas, or perhaps to explore familiar subjects in greater depth, or you may wish to gain experience in actual laboratory research. Up to 6 points of *CHEN E3900: Undergraduate research project* may be counted toward the technical elective content. (Note that

if more than 3 points of research are pursued, an undergraduate thesis is required.)

The program details discussed above apply to undergraduates who are enrolled at Columbia as first-years and declare the chemical engineering major in the sophomore year. However, the chemical engineering program is designed to be readily accessible to participants in any of Columbia's Combined Plans and to transfer students. In such cases, the guidance of one of the departmental advisers in planning your program is required (contact information for the departmental UG advisers is listed on the department's website: [cheme.columbia.edu](http://cheme.columbia.edu)).

Columbia's program in chemical engineering leading to the B.S. degree is fully accredited by the Engineering Accreditation Commission of ABET.

### Requirements for a Minor in Chemical Engineering

See page 203.

## GRADUATE PROGRAMS

The graduate program in chemical engineering, with its large proportion of elective courses and independent research, offers experience in any of the fields of departmental activity mentioned in previous sections. For both chemical engineers and those with undergraduate educations in other related fields such as physics, chemistry, and biochemistry, the Ph.D. program provides the opportunity to become expert in research fields central to modern technology and science.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### M.S. Degree

The requirements are (1) the core courses: Mathematical methods in chemical engineering (CHEN E4010)/ Partial differential equations (APMA E4200), Transport phenomena, III (CHEN E4110), Advanced chemical kinetics (CHEN E4130), and Advanced chemical engineering thermodynamics

(CHEN E4130)/Statistical mechanics (CHAP E4120); and (2) 18 points of 4000- or 6000-level courses, approved by the graduate coordinator or research adviser, of which up to 6 may be Master's research (CHEN 9400). Students with undergraduate preparation in physics, chemistry, biochemistry, pharmacy, and related fields may take advantage of a special program leading directly to the master's degree in chemical engineering. This program enables such students to avoid having to take all undergraduate courses in the bachelor's degree program.

### Doctoral Degrees

The Ph.D. and D.E.S. degrees have essentially the same requirements. All students in a doctoral program must (1) earn satisfactory grades in the four core courses (CHEN E4010, E4110, E4330, E4130/CHAP E4120); (2) pass a qualifying exam; (3) defend a proposal of research within 12 months of passing the qualifying exam; (4) defend their thesis; and (5) satisfy course requirements beyond the four core courses. For detailed requirements, please consult the departmental office or graduate coordinator. Students with degrees in related fields such as physics, chemistry, biochemistry, and others are encouraged to apply to this highly interdisciplinary program.

## COURSES IN CHEMICAL ENGINEERING

*Note:* Check the department website for the most current course offerings and descriptions.

### CHEN E2100x Introduction to chemical engineering

*3 pts. Lect: 3. Professor Banta.*  
Prerequisites: First-year chemistry and physics, or equivalent. Serves as an introduction to the chemical engineering profession. Students are exposed to concepts used in the analysis of chemical engineering problems. Rigorous analysis of material and energy balances on open and closed systems is emphasized. An introduction to important processes in the chemical and biochemical industries is provided.

### CHEE E3010x Principles of chemical engineering thermodynamics

*3 pts. Lect: 3. Professor Kumar.*  
Prerequisite: CHEM UN1403. Corequisite: CHEN E3020. Introduction to thermodynamics. Fundamentals are emphasized: the laws of

thermodynamics are derived and their meaning explained and elucidated by applications to engineering problems. Pure systems are treated, followed by an introduction to mixtures and phase equilibrium.

### CHEN E3020x Analysis of chemical engineering problems

*3 pts. Lect: 1.5. Lab: 1.5. Professor West.*  
Prerequisites: Vector calculus, ordinary differential equations. Corequisites: CHEE E3010, CHEN E3110. Computational solutions of chemical engineering problems in thermodynamics, transport phenomena, and reaction design.

### CHEN E3110x Transport phenomena, I

*3 pts. Lect: 3. Professor McNeill.*  
Prerequisites: mechanics, vector calculus, ordinary differential equations. Corequisite: CHEN E3020. Analysis of momentum and energy transport processes at molecular, continuum, and system scales for systems of simple fluids (gases and low-molecular-weight liquids). Molecular-level origins of fluid viscosity, continuum fluid mechanics analysis of laminar flows, and the resulting dimensionless correlations of kinematic and mechanical characteristics of a system needed for engineering design (e.g., friction factor vs. Reynolds number correlations). Molecular origins of fluid conductivity, continuum heat transfer analysis, and the resulting correlations of a system's thermal characteristics useful in engineering design (e.g., Nusselt number correlations). Examples are reviewed of analyses typical in chemical engineering technologies. Essential mathematical methods are reviewed or introduced in context.

### CHEN E3120y Transport phenomena, II

*3 pts. Lect: 3. Professor Durning.*  
Prerequisite: CHEN E3110. Corequisite: CHEN E3220. Developments in Transport I are extended to handle turbulence. Topics include turbulent energy cascade, wall-bounded turbulent shear flow, time-averaging of the equations of change, Prandtl's mixing length hypothesis for the Reynolds stress, the Reynolds analogy, continuum modeling of turbulent flows and heat transfer processes, friction factor, and Nusselt number correlations for turbulent conditions. Then, macroscopic (system-level) mass, momentum, and energy balances for one-component systems are developed and applied to complex flows and heat exchange processes. The final part focuses on mass transport in mixtures of simple fluids: Molecular-level origins of diffusion phenomena, Fick's law and its multi-component generalizations, continuum-level framework for mixtures and its application to diffusion dominated processes, diffusion with chemical reaction, and forced/free convection mass transport.

### CHEN E3210y Chemical engineering thermodynamics

*3 pts. Lect: 3. Professor Obermeyer.*  
Prerequisites: CHEE E3010 and CHEN E2100. Corequisite: CHEN E3220. Deals with fundamental and applied thermodynamic principles that form the basis of chemical engineering practice. Topics include phase equilibria, methods to treat ideal and

## CHEMICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS

CHEMICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>4</sup> either semester	
			MATH elective (3) <sup>5</sup>	MATH UN2030 (3) ODE or APMA E2101 (3)
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Lab UN1494 (3) Lab UN3081 (2)	
<b>CHEMISTRY</b> (three tracks, choose one)	UN1403 (4) and Lab UN1500 (3) UN1604 (4) UN2045 (4)	UN1404 (4) UN1507 (3) UN2046 (4) and Lab UN1507 (3)	UN2443 (4)	
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			One core humanities elective (3–4 points) <sup>1</sup>	Three core humanities electives (11 points) <sup>1</sup>
<b>CHEM. ENG. REQUIREMENT</b>			CHEN E2100 (3) <sup>2</sup> Intro to chemical engineering	
<b>COMPUTER SCIENCE</b>		ENGI E1006 (3)		
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			
<b>TOTAL POINTS<sup>3</sup></b>	17	18	16.5	18

<sup>1</sup> Four core humanities electives should be taken as follows: In Semester III, HUMA CC1001, CC1101 (4), or any initial course in one of the Global Core sequences offered by the College (3–4); in Semester IV, HUMA CC1002, CC1102 (4), or the second course in the Global Core sequence elected in Semester III (3–4); also in Semester IV, ECON UN1105 (4) with UN1105 recitation (0) and either HUMA UN1121 or UN1123 (3).

<sup>2</sup> Should be taken in Semester III, but may be moved upon adviser's approval to Semester V if CHEM UN2543: Organic chemistry lab is taken in Semester III. This course fulfills the SEAS professional engineering elective requirement.

<sup>3</sup> Taking the first track in each row and E1102 in Semester II.

<sup>4</sup> Effective Class of 2021.

<sup>5</sup> Elective options include APMA E3101, MATH UN2010, APMA E3102, APMA E4001, APMA E4150, APMA E4300, STAT GU4001, or another course approved by the major adviser.

nonideal mixtures, and estimation of properties using computer-based methods.

### **BMCH E3500y Transport in biological systems**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: CHEM UN2443 and MATH UN2030.

Corequisite: BIOL UN2005. Convective and diffusive movement and reaction of molecules in biological systems. Kinetics of homogeneous and heterogeneous reactions in biological environments. Mechanisms and models of transport across

membranes. Convective diffusion with and without chemical reaction. Diffusion in restricted spaces. Irreversible thermodynamic approaches to transport and reaction in biological systems.

### **CHEN E3810y Chemical engineering laboratory**

*3 pts. Lab: 3. Professors Ju and Bedrossian.*

Prerequisites: Completion of core chemical engineering curricula through the fall semester of senior year (includes: CHEN E3110, E3120, E4230, E2100, E3210, E4140, E4500, CHEE E3010), or instructor's permission. Emphasizes

active, experiment-based resolution of open-ended problems involving use, design, and optimization of equipment, products, or materials. Under faculty guidance students formulate, carry out, validate, and refine experimental procedures, and present results in oral and written form. Develops analytical, communications, and cooperative problem-solving skills in the context of problems that span from traditional, large scale separations and processing operations to molecular level design of materials or products. Sample projects include scale up of apparatus, process control, chemical separations, microfluidics, surface

## CHEMICAL ENGINEERING: THIRD AND FOURTH YEARS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>		CHEN E3110 (3) Transp. phenomena, I  CHEE E3010 (3) Principles of chem. eng. thermodynamics  CHEN E3020 (3) Analysis of chem. eng. problems, I	CHEN E3120 (3) Transp. phenomena, II  CHEN E3210 (3) Chem. eng. thermodynamics  CHEN E3230 (3) Reaction kinetics and reactor design	CHEN E4500 (4) Process and product design, I  CHEE E4140 (3) Eng. separations processes	
<b>REQUIRED LABS</b>		CHEM UN2495 (1.5) <sup>1</sup> Org. chem. lab I <b>and</b> CHEM UN2493 (0)	CHEM UN2496 (1.5) <sup>1</sup> Org. chem. lab II <b>and</b> CHEM UN2494 (0)	CHEN E4300 (3) Chem. eng. control	CHEN E3810 (3) Chem. eng. lab
<b>REQUIRED ELECTIVES</b>	<b>NONTECH</b>	3 points	3 points	3 points	
	<b>TECH<sup>2</sup></b>	3 points	3 points	3 points	12 points
<b>TOTAL POINTS (normal track)</b>		16.5	16.5	16	15

<sup>1</sup> May be taken in Semester III and IV with adviser's permission. Additional option is that Intensive organic chemistry lab course UN2545 (3) can count in place of UN2495 and UN2496.

<sup>2</sup> The total of 21 points (7 courses) of required technical electives must include five engineering courses, two of which must be in chemical engineering and one must be outside chemical engineering, and 6 points (2 courses) of "advanced science" (i.e., courses in chemistry, physics, biology, and certain engineering courses, one of which must be outside engineering).

engineering, molecular sensing, and alternative energy sources. Safety awareness is integrated throughout the course.

### CHEN E3900x and y Undergraduate research project

1–6 pts. *Members of the faculty.*

Candidates for the B.S. degree may conduct an investigation of some problem in chemical engineering or applied chemistry or carry out a special project under the supervision of the staff. Credit for the course is contingent upon the submission of an acceptable thesis or final report. No more than 6 points may be counted toward the satisfaction of the B.S. degree requirements.

### CHEN E3999x, y, or s Undergraduate fieldwork

1–2 pts. *Members of the faculty.*

Prerequisites: Obtained internship and approval from adviser. Restricted to CHEN undergraduate students. Provides work experience on chemical engineering in relevant intern or fieldwork experience as part of their program of study as determined by the instructor. Written application must be made prior to registration outlining proposed internship/study program. A written report describing the experience and how it relates to the chemical engineering core curriculum is required. Employer feedback on student performance and the quality of the report are the basis of the grade. May not be taken for pass/fail or audited. May not be used as a technical or nontechnical elective.

May be repeated for credit, but no more than 3 points total of CHEN E3999 may be used for degree credit.

### CHEN E4001x Essentials of chemical engineering—A

3 pts. *Lect: 3. Professor Banta.*

Prerequisites: First-year chemistry and physics, vector calculus, ordinary differential equations, and the instructor's permission. Part of an accelerated consideration of the essential chemical engineering principles from the undergraduate program, including topics from Reaction Kinetics and Reactor Design, Chemical Engineering Thermodynamics, I and II, and Chemical and Biochemical Separations. While required for all M.S. students with Scientist to Engineer status, the credits from this course may not be applied toward any chemical engineering degree.

### CHEN E4002x Essentials of chemical engineering—B

3 pts. *Lect: 3. Professor Banta.*

Prerequisites: First-year chemistry and physics, vector calculus, ordinary differential equations, and the instructor's permission. Part of an accelerated consideration of the essential chemical engineering principles from the undergraduate program, including topics from Chemical Engineering Thermodynamics, I and II, Chemical and Biochemical Separations, Reaction Kinetics and Reactor Design. While required for all M.S. students with Scientist to

Engineer status, the credits from this course may not be applied toward any chemical engineering degree.

### CHEN E4010y Mathematical methods in chemical engineering

3 pts. *Lect: 3. Professor Bozic.*

Prerequisites: CHEN E3120 and E4230, or equivalent, or instructor's permission. Mathematical description of chemical engineering problems and the application of selected methods for their solution. General modeling principles, including model hierarchies. Linear and nonlinear ordinary differential equations and their systems, including those with variable coefficients. Partial differential equations in Cartesian and curvilinear coordinates for the solution of chemical engineering problems.

### CHEN E4020y Protection of industrial and intellectual property

3 pts. *Lect: 3. Professor Spall.*

To expose engineers, scientists and technology managers to areas of the law they are most likely to be in contact with during their career. Principles are illustrated with various case studies together with active student participation.

### CHEE E4050y Principles of industrial electrochemistry

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: CHEE E3010 or equivalent. A presentation of the basic principle underlying electrochemical processes. Thermodynamics,

electrode kinetics, and ionic mass transport. Examples of industrial and environmental applications illustrated by means of laboratory experiments: electroplating, refining, and winning in aqueous solutions and in molten salts; electrolytic treatment of wastes; primary, secondary, and fuel cells.

**APCH E4080 Soft condensed matter**  
3 pts. Lect: 3. Professor Gang.

Prerequisites: MSAE E3111, CHEE E3010, or CHEN E3120 or equivalent. Course is aimed at senior undergraduate and graduate students. Introduces fundamental ideas, concepts, and approaches in soft condensed matter with emphasis on biomolecular systems. Covers the broad range of molecular, nanoscale, and colloidal phenomena with revealing their mechanisms and physical foundations. The relationship between molecular architecture and interactions and macroscopic behavior are discussed for the broad range of soft and biological matter systems, from surfactants and liquid crystals to polymers, nanoparticles, and biomolecules. Modern characterization methods for soft materials, including X-ray scattering, molecular force probing, and electron microscopy are reviewed. Example problems, drawn from the recent scientific literature, link the studied materials to the actively developed research areas. Course grade based on midterm and final exams, weekly homework assignments, and final individual/team project.

**CHEN E4110y Mechanisms of transport phenomena in fluids**

3 pts. Lect: 3. Professor Durning.  
Prerequisite: CHEN E3110 and E3120 or equivalent. Continuum framework for modeling non-equilibrium phenomena in fluids with clear connections to molecular/microscopic mechanisms for "conductive" transport. Continuum balances of mass and momentum; continuum-level development of conductive momentum flux (stress tensor) for simple fluids; applications of continuum framework for simple fluids (lubrication flows, creeping flows). Microscopic developments of stress for simple and/or complex fluids; kinetic theory and/or liquid state models for transport coefficients in simple fluids; Langevin/Fokker-Planck/Smoluchowski framework for stress in complex fluids; stress in active matter; applications for complex fluids.

**CHEN E412y Transport phenomena in fluids and mixtures**

3 pts. Lect: 3. Professor Durning.  
Prerequisites: CHEN E3110 and E3120 or equivalent. Develops and applies non-equilibrium thermodynamics for modeling of transport phenomena in fluids and their mixtures. Continuum balances of mass, energy, and momentum for pure fluids; non-equilibrium thermodynamic development of Newton's law of viscosity and Fourier's law; applications (conduction dominated energy transport, forced and free convection energy transport in fluids); balance laws for fluid mixtures; non-equilibrium thermodynamic development of Fick's law;

applications (diffusion-reaction problems, analogy between energy and mass transport process, transport in electrolyte solutions, sedimentation).

**CHEN E4115y Topics in transport phenomena**  
3 pts. Instructor to be announced.

Prerequisites: Undergraduate fluid mechanics, or transport phenomena, or instructor's permission. Self-contained treatments of selected topics in transport phenomena (e.g., rheology, non-equilibrium thermodynamics, molecular-level aspects of transport turbulence). Topics and instructor may change from year to year. Intended for junior/senior level undergraduates and graduate students in engineering and the physical sciences.

**CHAP E4120y Statistical mechanics**

3 pts. Lect: 3. Professor O'Shaughnessy.  
Prerequisites: CHEE E3010 or equivalent thermodynamics course, or instructor's permission. Fundamental principles and underlying assumptions of statistical mechanics. Boltzmann's entropy hypothesis and its restatement in terms of Helmholtz and Gibbs free energies and for open systems. Correlation times and lengths. Exploration of phase space and observation timescale. Correlation functions. Fermi-Dirac and Bose-Einstein statistics. Fluctuation-response theory. Applications to ideal gases, interfaces, liquid crystals, microemulsions and other complex fluids, polymers, Coulomb gas, interactions between charged polymers and charged interfaces, ordering transitions.

**CHEN E4130x Advanced chemical engineering thermodynamics**

3 pts. Lect: 3. Professor O'Shaughnessy.  
Prerequisite: Successful completion of an undergraduate thermodynamics course. The course provides a rigorous and advanced foundation in chemical engineering thermodynamics suitable for chemical engineering Ph.D. students expected to undertake diverse research projects. Topics include intermolecular interactions, nonideal systems, mixtures, phase equilibria and phase transitions and interfacial thermodynamics.

**CHEN E4140x Engineering separations processes**

3 pts. Lect: 3. Professor Durning.  
Prerequisites: CHEN E2100, E3120, and E3210 or permission of instructor. Design and analysis of unit operations employed in chemical engineering separations. Fundamental aspects of single and multistaged operations using both equilibrium and rate-based methods. Examples include distillation, absorption and stripping, extraction, membranes, crystallization, bioseparations, and environmental applications.

**CHEN E4150y Computational fluid dynamics in chemical engineering**

3 pts. Lect: 3. Professor Boyce.  
Fundamentals of numerical algorithms for modeling dynamics of fluid flow computationally. Includes various approaches to discretize time and space on structured and unstructured grids with a variety of boundary conditions. Involves programming

of basic CFD codes in MATLAB or Python to test example problems in fluid mechanics with different discretization schemes. Uses open-source software OpenFOAM to investigate more complex geometries and numerical approaches. Introduction to simulation of multiphase flow. Course grade based on homework assignments and final project report and presentation.

**CHEN E4201x Engineering applications of electrochemistry**

3 pts. Lect: 3. Professor West.  
Prerequisites: Physical chemistry and a course in transport phenomena. Engineering analysis of electrochemical systems, including electrode kinetics, transport phenomena, mathematical modeling, and thermodynamics. Common experimental methods are discussed. Examples from common applications in energy conversion and metallization are presented.

**CHEN E4231x Solar fuels**

3 pts. Lect: 3. Professors Esposito and West.  
Prerequisite: Graduate standing or CHEN E4230. Fundamentals and applications of solar energy conversion, especially technologies for conversion of sunlight into storable chemical energy or solar fuels. Topics include fundamentals of photoelectrochemistry, kinetics of solar fuels production, solar harvesting technologies, solar reactors, and solar thermal production of solar fuels. Applications include solar fuels technology for grid-scale energy storage, chemical industry, manufacturing, environmental remediation.

**CHEN E4235 Surface reactions and kinetics**

3 pts. Lect: 3. Professor Chen.  
Fundamental concepts and techniques to describe electronic, structural, chemical, and catalytic properties of surfaces. Kinetics and thermodynamics in adsorption, reaction, desorption, and diffusion processes on surfaces. Effects of transport in modifying surface reaction kinetics. Applies basic concepts in the chemical engineering curriculum (mathematical modeling, reaction kinetics, thermodynamics, transport) to surface reactions.

**CHEE E4252x Introduction to surface and colloid chemistry**

3 pts. Lect: 3. Professor Somasundaran.  
Prerequisites: Elementary physical chemistry. Thermodynamics of surfaces, properties of surfactant solutions and surface films, electrostatic and electrokinetic phenomena at interfaces, adsorption; interfacial mass transfer and modern experimental techniques.

**CHEN E4300x Chemical process control and process safety**

3 pts. Lect: 1. Lab: 2. Professor Venkatasubramanian.  
Prerequisites: Material and energy balances. Ordinary differential equations including Laplace transforms. Reactor Design. An introduction to process control applied to chemical engineering through lecture and laboratory. Concepts include the dynamic behavior of chemical engineering systems, feedback control, controller tuning, and

process stability. Introduction to the fundamentals of process safety and hazard analysis.

**CHEN E4320y Molecular phenomena in chemical engineering**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: CHEN E3120 or instructor's permission. Introduces a molecular-level understanding of topics in modern chemical engineering. It builds upon and validates the concepts presented in the rest of the chemical engineering curriculum via a molecular perspective.

**CHBM E4321x The genome and the cell**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: BIOL UN2005, MATH UN2030. The utility of genomic information lies in its capacity to predict the behavior of living cells in physiological, developmental, and pathological situations. The effect of variations in genome structure between individuals within a species, including those deemed healthy or diseased, and among species, can be inferred statistically by comparisons of sequences with behaviors, and mechanistically, by studying the action of molecules whose structure is encoded within the genome. Examines known mechanisms that elucidate the combined effect of environmental stimulation and genetic makeup on the behavior of cells in homeostasis, disease states, and during development, and includes assessments of the probable effect of these behaviors on the whole organism. Quantitative models of gene translation and intracellular signal transduction will be used to illustrate switching of intracellular processes, transient and permanent gene activation, and cell commitment, development, and death.

**CHEN E4325y Bioseparations**

*3 pts. Lect: 3. Professor Hartounian.*

Prerequisites: CHEN E2100 or CHEN E3230, or equivalent. Design and analysis of the concentration, recovery, and isolation of biological molecules relevant in biotechnology. The unit operations used in recovery and purification of biological molecules. Theory and design of filtration, microfiltration, centrifugation, cell disruption, extraction, adsorption, chromatography, precipitations, ultrafiltration, crystallization, and drying. Students will have an understanding of basic principles of downstream processing, design and operations of various unit operations used in recovery of biological products, examining traditional unit operations, as well as new concepts and emerging technologies that are likely to benefit biochemical product recovery in the future.

**CHEN E4330y Advanced chemical kinetics**

*3 pts. Lect: 3. Professor Esposito.*

Prerequisite: CHEN E4230 or instructor's permission. Complex reactive systems, molecular view of reaction kinetics, reactions in liquid, reactions at surfaces, diffusion-reaction systems. Applications to the design of batch and continuous reactors.

**CHEN E4400x Chemical process development**

*3 pts. Lect: 3. Professor Mattas.*

Prerequisites: CHEM UN2443 or equivalent or

instructor's permission. Process development for new compounds, including fine and specialty chemicals, pharmaceuticals, biologicals, and agrochemicals. Experimental strategy and methods for process scale-up from bench to pilot plant. Evaluation of process economics. Hazard and risk evaluation for environmental and industrial hygiene safety. Capture and use of process know-how for process and plant design, regulatory approvals, and technology transfer to first manufacture.

**CHEN E4410x Environmental control technology**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: CHEN E3010 or the equivalent. Causes of pollution and effect on life. Legal aspects, OSHA and EPA rules. Pollution at home and at work; radon, fumes, and dust; ventilation, dust collection, carbon adsorption. Fuel and acid gases, smog and dispersion. Treatment of ground, saline, and wastewater. Primary and secondary (biological) treatment. Tertiary water treatment with membranes, ion exchange, carbon, and sieves. Solid hazardous waste. Visit New York City wastewater treatment plant.

**CHEN E4500x Process and product design, I**

*4 pts. Lect: 4. Professor Bozic.*

Prerequisites: CHEN E2100, CHEN E4140. The practical application of chemical engineering principles for the design and economic evaluation of chemical processes and plants. Use of ASPEN Plus for complex material and energy balances of real processes. Students are expected to build on previous coursework to identify creative solutions to two design projects of increasing complexity. Each design project culminates in an oral presentation, and in the case of the second project, a written report.

**CHEN E4501y Chemical engineering process safety**

*3 pts. Lect.: 3. Professor Bozic.*

Aimed at seniors and graduate students. Provides classroom experience on chemical engineering process safety as well as Safety in Chemical Engineering certification. Process safety and process control emphasized. Application of basic chemical engineering concepts to chemical reactivity hazards, industrial hygiene, risk assessment, inherently safer design, hazard operability analysis, and engineering ethics. Application of safety to full spectrum of chemical engineering operations.

**CHEN E4510y Process and product design, II**

*4 pts. Lect: 4. Professor Venkatasubramanian.*

Prerequisite: CHEN E4500. Students carry out a semester long process or product design course with significant industrial involvement. The project culminates with a formal written design report and a public presentation. Recitation section required.

**CHEE E4530y Corrosion of metals**

*3 pts. Lect: 3.*

Prerequisite: CHEE E3010 or equivalent. The theory of electrochemical corrosion, corrosion tendency, rates, and passivity. Application to

various environments. Cathodic protection and coatings. Corrosion testing.

**CHEN E4600x Atmospheric aerosols**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: CHEN E3120 or instructor's permission. Atmospheric aerosols and their effects on atmospheric composition and climate. Major topics are aerosol sources and properties, field and laboratory techniques for characterization, gas-aerosol interactions, secondary organic aerosols, aerosol direct and indirect effects on climate.

**CHEN E4610y Chemical product design**

*3 pts. Lect: 3. Instructor to be announced.*

Prerequisite: CHEN E3210 and CHEM UN2443 or equivalent, or instructor's permission. Application of chemical and engineering knowledge to the design of new chemical products. Relationships between composition and physical properties. Strategies for achieving desired volumetric, rheological, phase equilibrium, thermal, and environmental behavior. Case studies, including separation solvents, blood substitutes, refrigerants, and aircraft deicing fluids.

**CHEN E4620y Introduction to polymers and soft materials**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: An elementary course in physical chemistry or thermodynamics. Organic chemistry, statistics, calculus and mechanics are helpful, but not essential. An introduction to the chemistry and physics of soft material systems (polymers, colloids, organized surfactant systems and others), emphasizing the connection between microscopic structure and macroscopic physical properties. To develop an understanding of each system, illustrative experimental studies are discussed along with basic theoretical treatments. High molecular weight organic polymers are discussed first (basic notions, synthesis, properties of single polymer molecules, polymer solution and blend thermodynamics, rubber and gels). Colloidal systems are treated next (dominant forces in colloidal systems, flocculation, preparation and manipulation of colloidal systems) followed by a discussion of self-organizing surfactant systems (architecture of surfactants, micelles and surfactant membranes, phase behavior).

**CHEN E4630y Topics in soft materials**

*3 pts. Instructor to be announced.*

Prerequisite: Physical chemistry or instructor's permission. Self-contained treatments of selected topics in soft materials (e.g., polymers, colloids, amphiphiles, liquid crystals, glasses, powders). Topics and instructor may change from year to year. Intended for junior/senior level undergraduates and graduate students in engineering and the physical sciences.

**CHEN E4650y Polymer physics**

*3 pts. Lect.: 3. Professor Kumar.*

Prerequisites: CHEN E3110, CHEN E3120 and E4620. Senior undergraduate/first-year graduate course on the physics of polymer systems. Topics include scaling behavior of chains under different

conditions, mixing thermodynamics, networks and entanglements. Special topics: nanocomposites.

#### **CHEN E4660x Biochemical engineering**

*3 pts. Lect.: 3. Professor Obermeyer.*

Prerequisite: CHEN E4320 or instructor's permission. Engineering of biochemical and microbiological reaction systems. Kinetics, reactor analysis, and design of batch and continuous fermentation and enzyme processes. Recovery and separations in biochemical engineering systems.

#### **CHEN E4670 Chemical engineering data analysis**

*3 pts. Lect.: 3. Professor Bishop.*

Prerequisites: CHEN E4230, CHEN E3120, and CHEN E3210 or equivalents. Course is aimed at senior undergraduate and graduate students. Introduces fundamental concepts of Bayesian data analysis as applied to chemical engineering problems. Covers basic elements of probability theory, parameter estimation, model selection, and experimental design. Advanced topics such as nonparametric estimation and Markov chain Monte Carlo (MEME) techniques are introduced. Example problems and case studies drawn from chemical engineering practice are used to highlight the practical relevance of the material. Theory reduced to practice through programming in Mathematica. Course grade based on midterm and final exams, biweekly homework assignments, and final team project.

#### **CHOR E4690y Managing systemic risk in complex systems**

*3 pts. Lect.: 3. Professor Venkatasubramanian.*

Prerequisites: Senior or graduate standing in SEAS or instructor's permission. Course will introduce systems engineering concepts and tools such as digraphs, fault trees, probabilistic risk assessment, HAZOP, FMEA, etc., for modeling enterprise-wide risk in complex systems. Important industrial accidents will be discussed as case studies.

#### **CHEN E4700x Principles of genomic technologies**

*3 pts. Lect.: 3. Professor Ju.*

Prerequisites: Undergraduate-level biology, organic chemistry, and instructor's permission. Chemical and physical aspects of genome structure and organization, genetic information flow from DNA to RNA to protein. Nucleic acid hybridization and sequence complexity of DNA and RNA. Genome mapping and sequencing methods. The engineering of DNA polymerase for DNA sequencing and polymerase chain reaction. Fluorescent DNA sequencing and high-throughput DNA sequencer development. Construction of gene chip and micro array for gene expression analysis. Technology and biochemical approach for functional genomics analysis. Gene discovery and genetics database search method. The application of genetic database for new therapeutics discovery.

#### **CHEN E4740x Biological transport and rate phenomena, II**

*3 pts. Lect.: 3. Not offered in 2018–2019.*

Prerequisites: Any two of the following: CHEN E3110; BIOL UN2005; CHEN E3210 or BMCH E3500. Analysis of transport and rate phenomena in biological systems and in the design of biomimetic transport-reaction systems for technological and therapeutic applications. Modeling of homogeneous and heterogeneous biochemical reactions. The Bases of biological transport: roles of convection, ordinary diffusion, forced diffusion. Systems where reaction and transport interact strongly. Applications to natural and artificial tissue beds, tumor modeling, controlled release, natural and artificial organ function.

#### **CHEN E4760y Genomics sequencing laboratory**

*3 pts. Lect.: 1. Lab: 2. Not offered in 2018–2019.*

Prerequisites: Undergraduate level biology, organic chemistry, and instructor's permission. The chemical, biological and engineering principles involved in the genomics sequencing process will be illustrated throughout the course for engineering students to develop the hands-on skills in conducting genomics research.

#### **CHEN E4780x or y Quantitative methods in cell biology**

*3 pts. Lect.: 3. Professor O'Shaughnessy.*

Prerequisites: Elementary calculus, physics and biology, or instructor's permission. Quantitative statistical analysis and mathematical modeling in cell biology for an audience with diverse backgrounds. The course presents quantitative methods needed to analyze complex cell biological experimental data and to interpret the analysis in terms of the underlying cellular mechanisms. Optical and electrical experimental methods to study cells and basic image analysis techniques are described. Methods of statistical analysis of experimental data and techniques to test and compare mathematical models against measured statistical properties will be introduced. Concepts and techniques of mathematical modeling will be illustrated by applications to mechanosensing in cells, the mechanics of cytokinesis during cell division and synaptic transmission in the nervous system. Image analysis, statistical analysis, and model assessment will be illustrated for these systems.

#### **CHEN E4800x Protein engineering**

*3 pts. Lect.: 3. Professor Banta.*

Prerequisite: CHEN E4230, may be taken concurrently, or the instructor's permission. Fundamental tools and techniques currently used to engineer protein molecules. Methods used to analyze the impact of these alterations on different protein functions with specific emphasis on enzymatic catalysis. Case studies reinforce concepts covered, and demonstrate the wide impact of protein engineering research. Application of basic concepts in the chemical engineering curriculum (reaction kinetics, mathematical

modeling, thermodynamics) to specific approaches utilized in protein engineering.

#### **BMCH E4810y Artificial organs**

*3 pts. Lect.: 3. Professor Leonard.*

Analysis and design of replacements for the heart, kidneys, and lungs. Specification and realization of structures for artificial organ systems.

#### **CHEN E4850x or y Contaminated site cleanup**

*3 pts. Lect.: 3. Professor Tsiamis.*

Aimed at senior undergraduate and graduate students. Introduces the science fundamentals and the policies and regulations that govern the cleanup of sites contaminated with hazardous materials and discusses the processes used for their treatment and safe disposal. Covers the methods used to investigate the extent of contamination in soil and groundwater and to quantify the impacts on human health and the environment. Uses case studies from current projects to illustrate the application of state-of-the-art technologies used to address different categories of contaminants (metals, volatile organics, semivolatiles) in order to reinforce the concepts covered. Applies basic concepts in the chemical engineering curriculum (such as material balances, thermodynamics, transport phenomena, unit operations, applied chemistry, engineering economics) to specific approaches utilized in characterizing the fate and transport of contaminants and for designing the engineering processes utilized for their treatment.

#### **CHEN E4860x NMR for bio, soft, and energy materials**

*3 pts. Lect.: 3. Professor Marbella.*

Prerequisites: PHYS UN1401, CHEE E3010, or instructor's permission. Note: junior/senior undergraduates and graduate (M.S.) students only. Course focuses on the fundamentals of nuclear magnetic resonance (NMR) spectroscopy and imaging in fields ranging from biomedical engineering to electrochemical energy storage. Covers basic NMR theory, instrumentation (including in situ/operando setup), data interpretation, and experimental design to couple with other materials characterization strategies. Course grade based on problem sets, quizzes, and final project presentation.

#### **CHEN E4890 Biopharmaceuticals, entrepreneurship, and chemical engineering**

*3 pts. Lect.: 3. Professor Hartounian.*

Aimed at graduate students of Chemical Engineering. Examines the application of Chemical Engineering fundamentals and entrepreneurship in starting up a biopharmaceutical company and in developing a biopharmaceutical product. Serves as a description of the major stages of developing a biopharmaceutical product. Topics presented will include drug discovery, preclinical and clinical development, IP, manufacturing, and regulatory process. In addition, implementation of the lean startup methodology, business valuation, and financial considerations for a biopharmaceutical

startup will be offered. Basic topics in the chemical engineering curriculum (reaction kinetics, mathematical modeling, unit operations, thermodynamics), as well as specific topics in developing biopharmaceuticals will be discussed in this course.

**CHEN E4900x or y Topics in chemical engineering**

*3 pts. Members of the faculty.*

Prerequisite: Instructor's permission. Additional current topics in chemical engineering taught by regular or visiting faculty. Special topics arranged as the need and availability arise. Topics usually offered on a one-time basis. Since the content of this course changes each time it is offered, it may be repeated for credit.

**CHEN E4999x and y Fieldwork**

*1.5–3 pts. Lect: 3. Members of the faculty.*

Prerequisite: M.S. student. Intended only for M.S. students. Provides work experience on chemical engineering in relevant intern or fieldwork experience as part of their program of study as determined by the instructor. A written report describing their experience and how it relates to the chemical engineering core curriculum is required. Employer feedback on student performance and the quality of the report are the basis of the grade. May not be taken for pass/fail credit or audited. Only 3 points of CHEN E4999 can be credited toward the M.S. degree. Furthermore, students who take CHEN E4999 can use a only maximum of 3 points of CHEN E 9400 in fulfillment of the M.S. degree.

**CHEN E6050x Advanced electrochemistry**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: Instructor's permission. An advanced overview of the fundamentals of electrochemistry, with examples taken from modern applications. An emphasis is placed on mass transfer and scaling phenomena. Principles are reinforced through the development of mathematical models of electrochemical systems. Course projects will require computer simulations. The course is intended for advanced graduate students, conducting research involving electrochemical technologies.

**CHEE E6220y Equilibria and kinetics in hydrometallurgical systems**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: Instructor's permission. An advanced overview of the fundamentals of electrochemistry, with examples taken from modern applications. An emphasis is placed on mass transfer and scaling phenomena. Principles are reinforced

through the development of mathematical models of electrochemical systems. Course projects will require computer simulations. The course is intended for advanced graduate students, conducting research involving electrochemical technologies.

**CHEE E6252y Applied surface and colloid chemistry**

*3 pts. Lect: 2. Lab: 3. Professors Somasundaran and Farinato.*

Prerequisites: CHEE E4252. Applications of surface chemistry principles to wetting, flocculation, flotation, separation techniques, catalysis, mass transfer, emulsions, foams, aerosols, membranes, biological surfactant systems, microbial surfaces, enhanced oil recovery, and pollution problems. Appropriate individual experiments and projects. Lab required.

**CHEN E8100y Topics in biology**

*3 pts. Lect: 3. Professor O'Shaughnessy.*

Prerequisites: Instructor's permission. This research seminar introduces topics at the forefront of biological research in a format and language accessible to quantitative scientists and engineers lacking biological training. Conceptual and technical frameworks from both biological and physical science disciplines are utilized. The objective is to reveal to graduate students where potential lies to apply techniques from their own disciplines to address pertinent biological questions in their research. Classes entail reading, criticism and group discussion of research papers and textbook materials providing overviews to various biological areas including: evolution, immune system, development and cell specialization, the cytoskeleton and cell motility, DNA transcription in gene circuits, protein networks, recombinant DNA technology, aging, and gene therapy.

**CHEN E9000x and y Chemical engineering colloquium**

*0 pts. Col: 1. Professor Esposito.*

Graduate students on the Ph.D. track are required to attend the department colloquium as long as they are in residence. No degree credit is granted.

**CHEN E9001x M.S. Chemical engineering colloquium**

*0 pts. Lect.: 1. Professor Bozic.*

Required for all M.S. students in residence in their first semester. Topics related to professional development and the practice of chemical engineering. No degree credits granted. Intended for M.S. students only.

**CHEN E9400x and y Master's research**

*1–6 pts. Members of the faculty.*

Prescribed for M.S. and Ch.E. candidates; elective for others with the approval of the Department. Degree candidates are required to conduct an investigation of some problem in chemical engineering or applied chemistry and to submit a thesis describing the results of their work. No more than 6 points may be counted for graduate credit, and this credit is contingent upon the submission of an acceptable thesis. The concentration in pharmaceutical engineering requires a 2-point thesis internship.

**CHEN E9500x and y–S9500 Doctoral research**

*1–15 pts. Members of the faculty.*

Prerequisites: The qualifying examinations for the doctorate. Open only to certified candidates for the Ph.D. and Eng.Sc.D. degrees. Doctoral candidates in chemical engineering are required to make an original investigation of a problem in chemical engineering or applied chemistry, the results of which are presented in their dissertations. No more than 15 points of credit toward the degree may be granted when the dissertation is accepted by the department.

**CHEN E9600x and y Advanced research problems**

*2–10 pts. Members of the faculty.*

Prerequisites: Recommendation of the professor concerned and approval of the master's research department. For postdoctoral students and other qualified special students who wish to pursue research under the guidance of members of the department. Not open to undergraduates or to candidates for the degrees of Ch.E., M.S., Ph.D., or Eng.Sc.D.

**CHEN E9800x and y Doctoral research instruction**

*3, 6, 9 or 12 pts. Members of the faculty.*

A candidate for the Eng.Sc.D. degree in chemical engineering must register for 12 points of doctoral research instruction. Registration in CHEN E9800 may not be used to satisfy the minimum residence requirement for the degree.

**CHEN E9900x and y–S9900 Doctoral dissertation**

*0 pts. Members of the faculty.*

Open only to certified doctoral candidates. A candidate for the doctorate in chemical engineering may be required to register for this course in every term after the student's coursework has been completed, and until the dissertation has been accepted.

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 Liming Li

**SENIOR LABORATORY TECHNICIAN**  
 Travis Simmons

**LAB MANAGER, PAO SUSTAINABLE ENGINEERING LAB**  
 Freddie E. Wheeler

The Department of Civil Engineering and Engineering Mechanics focuses on two broad areas of instruction and research. The first, the classical field of civil engineering, deals with the planning, design, construction, and maintenance of the built environment. This includes buildings, foundations, bridges, transportation facilities, nuclear and conventional power plants, hydraulic structures, and other facilities essential to society. The second is the science of mechanics and its applications to various engineering disciplines. Frequently referred to as applied mechanics, it includes the study of the mechanical and other properties of materials, stress analysis of stationary and movable structures, the dynamics and vibrations of complex structures, aero- and hydrodynamics, and the mechanics of biological systems.

## MISSION

The department aims to provide students with a technical foundation anchored in theory together with the breadth needed to follow diverse career

paths, whether in the profession via advanced study or apprenticeship, or as a base for other pursuits.

## Current Research Activities

Current research activities in the Department of Civil Engineering and Engineering Mechanics are centered in the areas outlined below. A number of these activities impact directly on problems of societal importance, such as rehabilitation of the infrastructure, mitigation of natural or man-made disasters, and environmental concerns.

**Solid mechanics:** mechanical properties of new and exotic materials, constitutive equations for geologic materials, failure of materials and components, properties of fiber-reinforced cement composites, damage mechanics.

**Multihazard risk assessment and mitigation:** integrated risk studies of the civil infrastructure from a multihazard perspective, including earthquake, wind, flooding, fire, blast, and terrorism. The engineering, social, financial, and decision-making perspectives of the

problem are examined in an integrated manner.

**Probabilistic mechanics:** random processes and fields to model uncertain loads and material/soil properties, nonlinear random vibrations, reliability and safety of structural systems, computational stochastic mechanics, stochastic finite element and boundary element techniques, Monte Carlo simulation techniques, random micromechanics.

**Structural control and health monitoring:** topics of research in this highly cross-disciplinary field include the development of “smart” systems for the mitigation and reduction of structural vibrations, assessment of the health of structural systems based on their vibration response signatures, and the modeling of nonlinear systems based on measured dynamic behavior.

**Fluid mechanics:** numerical and theoretical study of fluid flow and transport processes, non-equilibrium fluid dynamics and thermodynamics,

turbulence and turbulent mixing, boundary-layer flow, urban and vegetation canopy flow, particle-laden flow, wind loading, flow through porous media, and flow and transport in fractured rock.

**Environmental engineering/water resources:**

modeling of flow and pollutant transport in surface and subsurface waters, unsaturated zone hydrology, geoenvironmental containment systems, analysis of watershed flows including reservoir simulation.

**Structures:** dynamics, stability, and design of structures, structural failure and damage detection, fluid and soil structure interaction, ocean structures subjected to wind-induced waves, inelastic dynamic response of reinforced concrete structures, earthquake-resistant design of structures.

**Geotechnical engineering:** soil behavior, constitutive modeling, reinforced soil structures, geotechnical earthquake engineering, liquefaction and numerical analysis of geotechnical systems.

**Structural materials:** cement-based materials, micro- and macromodels of fiber-reinforced cement composites, utilization of industrial by-products and waste materials, beneficiation of dredged material.

**Earthquake engineering:** response of structures to seismic loading, seismic risk analysis, active and passive control of structures subject to earthquake excitation, seismic analysis of long-span cable-supported bridges.

**Flight structures:** composite materials, smart and multifunctional structures, multiscale and failure analysis, vibration control, computational mechanics and finite element analysis, fluid-structure interaction, aeroelasticity, optimal design, and environmental degradation of structures.

**Advanced materials:** multifunctional engineering materials, advanced energy materials, durable infrastructure materials, new concretes/composites

using nanotubes, nanoparticles, and other additives with alternative binders, sustainable manufacturing technologies, rheological characterization for advanced cement/concrete placement processes.

**Computational mechanics:** aimed at understanding and solving problems in science and engineering, topics include multiscale methods in space and time (e.g., homogenization and multigrid methods); multiphysics modeling; material and geometric nonlinearities; strong and weak discontinuities (e.g., cracks and inclusions); discretization techniques (e.g., extended finite element methods and mixed formulations); verification and validation (e.g., error analysis); software development and parallel computing.

**Multiscale mechanics:** solving various engineering problems that have important features at multiple spatial and temporal scales, such as predicting material properties or system behavior based on information from finer scales; focus on information reduction methods that provide balance between computational feasibility and accuracy.

**Transportation engineering:** understanding and modeling transportation systems that are radically evolving due to emerging communication and sensing technologies; leveraging large data collected from various traffic sensors to understand transformation in travel behavior patterns; modeling travel behavior using a game theory approach to help decision-makers understand upcoming changes and prepare for effective planning and management of next generation transportation systems.

**Construction engineering and management:** contracting strategies; alternative project delivery systems; minimizing project delays and disputes; advanced technologies to enhance productivity and efficiency; strategic decisions in global engineering and construction markets; industry trends and challenges.

**Infrastructure delivery and management:** decision support systems

for infrastructure asset management; assessing and managing infrastructure assets and systems; capital budgeting processes and decisions; innovative financing methods; procurement strategies and processes; data management practices and systems; indicators of infrastructure performance and service; market analysis.

## FACILITIES

The offices and laboratories of the department are in the S. W. Mudd Building and the Engineering Terrace.

### Computing

The department manages a substantial computing facility of its own in addition to being networked to all the systems operated by the University. The department facility enables its users to perform symbolic and numeric computation, three-dimensional graphics, and expert systems development. Connections to wide-area networks allow the facility's users to communicate with centers throughout the world. All faculty and student offices and department laboratories are hardwired to the computing facility, which is also accessible remotely to users. Numerous personal computers and graphics terminals exist throughout the department, and a PC lab is available to students in the department in addition to the larger school-wide facility.

### Laboratories

#### [Robert A. W. Carleton Strength of Materials Laboratory](#)

The Carleton Laboratory serves as the central laboratory for all experimental work performed in the Department of Civil Engineering and Engineering Mechanics. It is the largest laboratory at Columbia University's Morningside campus and is equipped for teaching and research in all types of engineering materials and structural elements, as well as damage detection, fatigue, vibrations, and sensor networks. The Laboratory has a full-time staff who provide assistance in teaching and research. The Laboratory is equipped with a strong floor that allows for the testing of full-scale structural components such as bridge decks, beams, and columns. Furthermore, it is equipped with universal testing machines ranging

in capacity from 150 kN (30,000 lbs.) to 3 MN (600,000 lbs.). The seamless integration of both research and teaching in the same shared space allows civil engineering students of all degree tracks to gain a unique appreciation of modern experimental approaches to material science and engineering mechanics.

The Carleton Laboratory serves as the hub of instruction for classes offered by the Department of Civil Engineering and Engineering Mechanics, most prominently ENME E3114 Experimental Mechanics of Materials, ENME E3106 Dynamics and Vibrations, and CIEN E3141 Soil Mechanics. The Laboratory also hosts and advises the AISC Steel Bridge Team in the design, fabrication, and construction phases of their bridge, which goes to regional and national competition annually.

Additionally, the Carleton Laboratory has a fully outfitted machine shop capable of machining parts, fittings, and testing enclosures in steel, nonferrous metals, acrylic, and wood. The Carleton Machine Shop's machine tool pool is state-of-the-art, either of the latest generation or recently rebuilt and modernized. The machine shop is open for use by undergraduate students performing independent research and is supported by the Lab's senior lab technician.

#### [The Donald M. Burmister Soil Mechanics Laboratory](#)

The Burmister Laboratory contains equipment and workspace to carry out all basic soil mechanics testing for our undergraduate and graduate programs. Several unique apparatuses have been acquired or fabricated for advanced soil testing and research: automated plain strain/triaxial apparatus for stress path testing at both drained and undrained conditions, direct shear device for minimum compliance, and a unique sand hopper which prepares foundations and slopes for small scale model testing. The Laboratory has established a link and cooperation for large-scale testing for earthquake and geosynthetic applications with NRIAE, the centrifuge facilities at the Rensselaer Polytechnic Institute and the Tokyo Institute of Technology.

#### [The Heffner Hydrologic Research Laboratory](#)

The Heffner Laboratory is a facility for

both undergraduate instruction and research in aspects of fluid mechanics, environmental applications, and water resources. The Heffner Laboratory houses the facilities for teaching the laboratory component of the ENME E3161 Fluid Mechanics course and includes multiple hydraulic benches with a full array of experimental modules.

#### [The Eugene Mindlin Laboratory for Structural Deterioration Research](#)

The Mindlin Laboratory has been developed for teaching and research dedicated to all facets of the assessment of structures, deterioration of structural performance and surface coatings, dynamic testing for earthquakes, and other applications. The commissioning of a state-of-the-art 150 kN Instron universal testing machine, a QUV ultraviolet salt spray corrosion system, a freeze-thaw tester, a Keyence optical microscope and surface analyzer have further expanded the Mindlin Laboratory's capabilities in material testing and characterization. The Mindlin Laboratory also serves as a state-of-the-art medium scale non-destructive structural health monitoring facility, allowing the conduct of research in the assessment of our nation's degrading civil infrastructure.

#### [The Institute of Flight Structures](#)

The Institute of Flight Structures was established within the department through a grant by the Daniel and Florence Guggenheim Foundation. It provides a base for graduate training in aerospace and aeronautical related applications of structural analysis and design.

## UNDERGRADUATE PROGRAMS

The Department of Civil Engineering and Engineering Mechanics focuses on two broad areas of instruction and research. The first, the classical field of civil engineering, deals with the planning, design, construction, and maintenance of structures and the infrastructure. These include buildings, foundations, bridges, transportation facilities, nuclear and conventional power plants, hydraulic structures, and other facilities essential to society. The second is the science of mechanics and its applications to various engineering disciplines. Frequently referred to as applied mechanics, it includes the

study of the mechanical properties of materials, stress analysis of stationary and movable structures, the dynamics and vibrations of complex structures, aero- and hydrodynamics, micro- and nanomechanics, and the mechanics of biological and energy systems.

### Program Objectives

1. Graduates with a broad and fundamental technical base will be able to enter the professional civil engineering workforce either with a B.S. to develop specialized expertise by way of apprenticeship or through the increasingly common path of a specialized M.S.
2. Graduates with a firm foundation in the basic math, science, and engineering science which underlie all technological development will be well equipped to adapt to changing technology in the profession.
3. Graduates equipped with a broad technical background will be able to follow other technical or nontechnical career paths.
4. Graduates will practice their profession with effective writing and communication skills, with professional ethics, as well as with awareness of societal issues.

### Engineering Mechanics

The prerequisites for this program are the courses listed in the First Year–Sophomore Program (page 92) or their equivalents, with the provision that *ENME E3105: Mechanics* be taken in the sophomore year and that the student have obtained a grade of B or better.

### Civil Engineering

The prerequisites for this program are the courses listed in the First Year–Sophomore Program (page 90) or their equivalents. The civil engineering program offers three areas of concentration: civil engineering and construction management, geotechnical engineering or structural engineering, and water resources/environmental engineering. In the junior and senior years, 15 credits of technical electives are allocated.

## CIVIL ENGINEERING PROGRAM: FIRST AND SECOND YEARS

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>1</sup>	APMA E2101 (3) Intro. to applied math.
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3)  UN1601 (3.5) UN2801 (4.5)	UN1402 (3)  UN1602 (3.5) UN2802 (4.5)	Lab UN1494 (3) or chem. lab  Lab UN3081 (2)	
<b>CHEMISTRY</b>	one-semester lecture (3–4): UN1403 <b>or</b> UN1404 <b>or</b> UN2045 <b>or</b> UN1604 Chem lab UN1500 (3) either semester <b>or</b> physics lab			
<b>GEOLOGY</b>	EESC UN1011 (4)			
<b>MECHANICS</b>	ENME-MECE E3105 (4) either semester			
<b>CIVIL ENGINEERING</b>	CIEN E3000 (3) (recommended but not required)			CIEE E3260 (3) Eng for dev communities
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)  HUMA UN1121 <b>or</b> UN1123 (3)	HUMA CC1C002, COCI CC1102, <b>or</b> Global Core (3–4)  ECON UN1105 (4) <b>and</b> UN1155 recitation (0)
<b>COMPUTER SCIENCE</b>	Computer Language: W1005 (3) (any semester)			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> Effective Class of 2021.

### Minor in Architecture

Civil engineering program students may want to consider a minor in architecture (see page 202).

### GRADUATE PROGRAMS

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### Master of Science Degree Program

The Department of Civil Engineering and Engineering Mechanics offers a graduate program leading to the degree of Master

of Science (M.S.) in Civil Engineering and Engineering Mechanics. The Master of Science degree is awarded upon the satisfactory completion of a minimum of 30 points of credit of approved graduate study extending over at least two semesters.

The M.S. program is very flexible and includes concentrations in Construction Engineering and Management, Engineering Mechanics, Environmental Engineering and Water Resources, Forensic (Structural) Engineering, Geotechnical Engineering, and Structural Engineering, or combinations of these areas. There are no required courses at the M.S. level. Every student is

assigned a faculty member as an academic advisor. Student and advisor meet regularly and plan together the sequence of courses that best fit the student's interests. While a suitable M.S. program will necessarily entail some degree of specialization, the program of study established between the student and the advisor should be well balanced, including basic subjects of broad importance as well as theory and applications. Students may take graduate-level courses from across various concentrations within the department.

The Master of Science concentrations are:

## CIVIL ENGINEERING: THIRD AND FOURTH YEARS

	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>CORE REQUIRED COURSES</b>	ENME E3113 (3) Mech. of solids  ENME E3161 (4) Fluid mech.	CIEN E3125 (3) Structural design  CIEN E3126 (1) Computer-aided struct. design  CIEN E3141 (4) Soil mech.	CIEN E3111 (3.5) Uncertainty and risk in civil infrastructure systems  CIEN E3129 (3) Proj. mgmt. for construction	CIEN E3128 (4) Design projects
CONCENTRATIONS	<b>GEOTECH ENG. (GE) OR STRUCT. ENG. (SE)</b>	ENME E3106 (3) Dynamics and vibrations  ENME E3114 (4) Exper. mech. of materials  CIEN E3121 (3) Struct. anal.	ENME E3332 (3) A first course in finite elements  CIEN E3127 (3) Struct. design projects (SE) <b>or</b> CIEN E4241 (3) Geotech. eng. fund. (GE)	
	<b>TECH ELECTIVES</b>	3 points	3 points	9 points
	<b>CIVIL ENG. AND CONSTR. MGMT.</b>	ENME E3114 (4) Exper. mech. of materials  CIEN E3121 (3) Struct. anal. <b>or</b> CIEE E3250 (3) Hydrosystems eng.	CIEN E4133 (3) Capital facility planning and financing  CIEN E3127 (3) Struct. design projects <b>or</b> CIEN E4241 (3) Geotech. eng. fund.)	CIEN E4131 (3) Princ. of constr. tech.
	<b>TECH ELECTIVES</b>	6 points	3 points	6 points
	<b>WATER RES./ ENVIRON. ENG.</b>	CIEE E3255 (3) Environ. control / pollution  CIEE E3250 (3) Hydrosystems eng.  CIEN E3303 (1) Independent studies	CIEE E4163 (3) Environ. eng. wastewater  CIEE E4257 (3) contam. transport in subsurface sys.	EAAE E4006 (3) Field methods for environ. eng.
<b>TECH ELECTIVES</b>	6 points	3 points	6 points	
<b>NONTECH ELECTIVES</b>	3 points	3 points	3 points	
<b>TOTAL POINTS</b>	13	21	18.5	13

- Construction Engineering and Management
- Engineering Mechanics
- Environmental Engineering and Water Resources
- Forensic (Structural) Engineering

- Geotechnical Engineering
  - Structural Engineering
- See pages 92–93 for brief descriptions of the concentrations.

### Doctoral Degree Programs

Two doctoral degrees in engineering are offered within the department: the Doctor of Engineering science (Eng.Sc.D.), administered by The Fu Foundation School of Engineering and

## ENGINEERING MECHANICS PROGRAM: FIRST AND SECOND YEARS

ENGINEERING MECHANICS PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>1</sup>	APMA E2101 (3) Intro. to applied math.
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Lab UN1494 (3) or chem. lab Lab UN3081 (2)	
<b>CHEMISTRY</b>	one-semester lecture (3–4): UN1403 or UN1404 or UN2045 or UN1604 Chem lab UN1500 (3) either semester or physics lab			
<b>MECHANICS</b>	ENME-MECE E3105 (4) any semester			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			HUMA CC1001, COCI CC1101, or Global Core (3–4)  HUMA UN1121 or UN1123 (3)	HUMA CC1002, COCI CC1102 or Global Core (3–4)  ECON UN1105 (4) and UN1155 recitation (0)
<b>REQUIRED TECH ELECTIVES</b>	(3) Student's choice, see list of first- and second-year technical electives			
<b>COMPUTER SCIENCE</b>	Computer Language: W1005 (3) (any semester)			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> Effective Class of 2021.

Applied Science, and the Doctor of Philosophy (Ph.D.), administered by the Graduate School of Arts and Science. The Eng.Sc.D. and Ph.D. programs have nearly identical academic requirements with regard to courses, qualifying examinations, and the dissertation, but differ in residence requirements and in certain administrative details. A student must obtain the master's degree (M.S.) before enrolling as a candidate for either the Ph.D. or Eng.Sc.D. degree.

In conjunction with their faculty advisor, doctoral degree students plan an appropriate course of study related to their area of focus within the department. Doctoral degree students must complete a minimum of 30 credits of graduate-level coursework beyond

the M.S. degree. Candidates for the Eng.Sc.D. degree must also accumulate 12 credits in the departmental course CIEN E9800 Doctoral Research Instruction. In addition to coursework requirements, doctoral degree students must write a dissertation embodying original research under the sponsorship of their faculty adviser.

Major research thrusts for the doctoral degree programs include:

- Computational mechanics
- Multiscale mechanics
- Poromechanics
- Dynamics and vibrations
- Infrastructure monitoring
- Cementitious materials and concrete
- Advanced infrastructure materials
- Structural safety and reliability

- Probabilistic mechanics
- Sustainable/green infrastructure
- Geomechanics
- Fluid mechanics
- Autonomous transportation
- Disasters and natural hazards

### Civil Engineering

By selecting technical electives, students may focus on one of several areas of concentration or prepare for future endeavors such as architecture.

- **Construction Engineering and Management:** capital facility planning and financing, strategic management, managing engineering and construction processes, construction industry law, construction techniques, managing civil infrastructure systems,

## ENGINEERING MECHANICS: THIRD AND FOURTH YEARS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>CORE REQUIRED COURSES</b>		ENME E3113 (3) Mech. of solids  ENME E3161 (4) Fluid mechanics  APMA E3101 (3) Applied math., I	APMA E3102 (3) Applied math., II  ENME E3106 (3) Dynamics and vibrations  ENME E3114 (4) Experimental mech.  CIEN E3121 (3) Structural analysis	ENME E3332 (3) A first course in finite elements  ENME E4113 (3) Advanced solids  ENME E4215 (3) Theory of vibrations	ENME E4202 (3) Advanced mech.
<b>ELECTIVES</b>	<b>TECH</b>	3 points	6 points	6 points	9 points
	<b>NONTECH</b>			3 points	3 points
<b>TOTAL POINTS</b>		13	19	18	15

civil engineering and construction entrepreneurship

- **Environmental Engineering and Water Resources:** transport of water-borne substances, hydrology, sediment transport, hydrogeology, and geoenvironmental design of containment systems
- **Geotechnical Engineering:** soil mechanics, foundation engineering, earth-retaining structures, slopes, and geotechnical earthquake engineering
- **Forensic (Structural) Engineering:** investigation and determination of the causes of structural failures of buildings, bridges, and other constructed facilities
- **Structural Engineering:** applications to steel and concrete buildings, bridges, and other structures

### Engineering Mechanics

Programs in engineering mechanics offer comprehensive training in the principles of applied mathematics and continuum mechanics and in the application of these principles to the solution of engineering problems. The emphasis is on basic principles, enabling students to choose from among a wide range of technical areas. Students may work on problems in such disciplines as systems analysis, acoustics, and stress analysis, and in fields as diverse as

transportation, environmental, structural, nuclear, and aerospace engineering. Program areas include:

- **Continuum mechanics:** solid and fluid mechanics, theories of elastic and inelastic behavior, and damage mechanics
- **Vibrations:** nonlinear and random vibrations; dynamics of continuous media, of structures and rigid bodies, and of combined systems, such as fluid-structure interaction; active, passive, and hybrid control systems for structures under seismic loading; dynamic soil-structure interaction effects on the seismic response of structures
- **Random processes and reliability:** problems in design against failure under earthquake, wind, and wave loadings; noise, and turbulent flows; analysis of structures with random properties
- **Fluid mechanics:** turbulent flows, two-phase flows, fluid-structure interaction, fluid-soil interaction, flow in porous media, computational methods for flow and transport processes, and flow and transport in fractured rock under mechanical loading
- **Computational mechanics:** finite element and boundary element techniques, symbolic computation, and bioengineering applications.

A flight structures program is designed to meet the needs of industry in the fields of high-speed and space flight. The emphasis is on mechanics, mathematics, fluid dynamics, flight structures, and control. The program is a part of the Guggenheim Institute of Flight Structures in the department. Specific information regarding degree requirements is available in the department office.

### COURSES IN CIVIL ENGINEERING

See also Courses in Engineering Mechanics at the end of this section.

#### CIEN E3000y The art of structural design 3 pts. Lect: 3. Professor Deodatis.

Basic scientific and engineering principles for the design of buildings, bridges, and other parts of the built infrastructure. Application of principles to analysis and design of actual large-scale structures. Coverage of the history of major structural design innovations and of the engineers who introduced them. Critical examination of the unique aesthetic/artistic perspectives inherent in structural design. Consideration of management, socioeconomic, and ethical issues involved in design and construction of large-scale structures. Introduction to recent developments in sustainable engineering, including green building design and adaptable structural systems.

#### CIEN E3111x Uncertainty and risk in civil infrastructure systems

3.5 pts. Lect: 3. Professor Deodatis.

Prerequisite: Working knowledge of calculus. Introduction to basic probability; hazard function; reliability function; stochastic models of natural and technological hazards; extreme value distributions; statistical inference methods; Monte Carlo simulation techniques; fundamentals of integrated risk assessment and risk management; topics in risk-based insurance; case studies involving civil infrastructure systems, environmental systems, mechanical and aerospace systems, construction management.

#### **CIEN E3121y Structural analysis**

3 pts. Lect: 3. Professor Panayotidi.

Methods of structural analysis. Trusses, arches, cables, frames; influence lines; deflections; force method; displacement method; computer applications.

#### **CIEN E3125y Structural design**

3 pts. Lect: 3. Professor Panayotidi.

Prerequisite: ENME E3113. Design criteria for varied structural applications, including buildings and bridges; design of elements using steel, concrete, masonry, wood, and other materials.

#### **CIEN E3126y Computer-aided structural design**

1 pt. Lect: 1. Lab: 1. Professor Deodatis.

Corequisite: CIEN E3125. Introduction to software for structural analysis and design with lab. Applications to the design of structural elements and connections. Lab required.

#### **CIEN E3127x Structural design projects**

3 pts. Lect: 3. Professor Panayotidi.

Prerequisites: CIEN E3125 and E3126 or the instructor's permission. Design of steel members in accordance with AISC 360: moment redistribution in beams; plastic analysis; bearing plates; beam-columns: exact and approximate second-order analysis; design by the Effective Length method and the Direct Analysis method. Design of concrete members in accordance with ACI 318: bar anchorage and development length, bar splices, design for shear, short columns, slender columns. AISC/ASCE NSSBC design project: design of a steel bridge in accordance with National Student Steel Bridge Competition rules; computer simulation and design by using SAP2000.

#### **CIEN E3128y Design projects**

4 pts. Lect: 4. Professor Panayotidi.

Prerequisites: CIEN E3125 and E3126. Capstone design project in civil engineering. This project integrates structural, geotechnical and environmental/water resources design problems with construction management tasks and sustainability, legal and other social issues. Project is completed in teams, and communication skills are stressed. Outside lecturers will address important current issues in engineering practice. Every student in the course will be exposed with equal emphasis to issues related to geotechnical engineering, water resources/environmental engineering, structural engineering, and construction engineering and management.

#### **CIEN E3129x Project management for construction**

3 pts. Lect: 3. Professor Chang.

Prerequisite: Senior standing in Civil Engineering or instructor's permission. Introduction to Project Management for design and construction processes. Elements of planning, estimating, scheduling, bidding, and contractual relationships. Computer scheduling and cost control. Critical path method. Design and construction activities. Field supervision.

#### **CIEN E3141y Soil mechanics**

4 pts. Lect: 3. Lab 3. Professor W. Sun.

Prerequisite: ENME E3113. Index properties and classification; compaction; permeability and seepage; effective stress and stress distribution; shear strength of soil; consolidation; slope stability.

#### **CIEE E3250y Hydrosystems engineering**

3 pts. Lect: 3. Instructor to be announced.

Prerequisites: CHEN E3110 or ENME E3161 or equivalent, SIEO W3600 or equivalent, or the instructor's permission. A quantitative introduction to hydrologic and hydraulic systems, with a focus on integrated modeling and analysis of the water cycle and associated mass transport for water resources and environmental engineering. Coverage of unit hydrologic processes such as precipitation, evaporation, infiltration, runoff generation, open channel and pipe flow, subsurface flow and well hydraulics in the context of example watersheds and specific integrative problems such as risk-based design for flood control, provision of water, and assessment of environmental impact or potential for nonpoint source pollution. Spatial hydrologic analysis using GIS and watershed models. Note: This course is to be joint listed with CIEN and replaces the previous CIEN 3250.

#### **CIEE E3255y Environmental control and pollution reduction systems**

3 pts. Lect: 3. Professor Farrauto.

Prerequisite: EAEE E3200 or ENME E3161 or MECE E3100. Review of engineered systems for prevention and control of pollution. Fundamentals of material and energy balances and reaction kinetics. Analysis of engineered systems to address environmental problems, including solid and hazardous waste, and air, water, soil and noise pollution. Life cycle assessments and emerging technologies.

#### **CIEE E3260y Engineering for developing communities**

3 pts. Lect: 3. Professor Sisul.

Introduction to engineering problems faced by developing communities and exploration of design solutions in the context of a real project with a community client. Emphasis is on the design of sustainable solutions that take account of social, economical, and governance issues, and that can be implemented now or in the near future. The course is open to all undergraduate engineering students. Multidisciplinary teamwork and approaches are stressed. Outside lecturers are used to address issues specific

to developing communities and the particular project under consideration.

#### **CIEN E3303x and y Independent studies in civil engineering for juniors**

1–3 pts. By conference. Members of the faculty.

A project on civil engineering subjects approved by the chairman of the department.

#### **CIEN E3304x and y Independent studies in civil engineering for seniors**

1–3 pts. By conference. Members of the faculty.

A project on civil engineering subjects approved by the chairman of the department.

#### **CIEN E3999x, y or s Fieldwork**

1–2 pts. Professor Deodatis.

Prerequisites: Obtained internship and approval from faculty adviser. CEEM undergraduate students only. Written application must be made prior to registration outlining proposed internship/study program. Final reports required. May not be taken for pass/fail credit or audited. International students must also consult with the International Students and Scholars Office.

#### **CIEN E4010y Transportation engineering**

3 pts. Lect: 3. Professor Peterson.

An overview of the planning, design, operation, and construction of urban highways and mass transportation systems. Transportation planning and traffic studies; traffic and highway engineering; rapid transit and railroad engineering.

#### **CEOR E4011x Infrastructure systems optimization**

3 pts. Lect: 3. Professor Di.

Prerequisites: Basic linear algebra. Basic probability and statistics. Engineering economic concepts. Basic spreadsheet analysis and programming skills. Subject to instructor's permission. Infrastructure design and systems concepts, analysis, and design under competing/conflicting objectives, transportation network models, traffic assignments, optimization, and the simplex algorithm.

#### **CIEN E4011y Big data analytics in transportation**

3 pts. Lect: 3. Professor Di.

Prerequisite: ENME E3105 or instructor's permission. Major elements of transportation analytics. Develop basic skills in applying fundamentals of data analytics to transportation data analysis. Apply coding languages (e.g., MATLAB, Python) and visualization tools (e.g., Excel, Carto, R, Processing) to analyze transportation data. Infer policy implications from analytics results.

#### **CIEN E4021x Elastic and plastic analysis of structures**

3 pts. Lect: 3. Professor Kawashima.

Prerequisite: CIEN E3121 or the equivalent. Overview of classical indeterminate structural analysis methods (force and displacement methods), approximate methods of analysis, plastic analysis methods, collapse analysis, shakedown theorem, structural optimization.

**CIEEN E4022y Bridge design and management**

3 pts. *Lect: 3. Professor Yanev.*

Prerequisite: CIEEN E3125 or the equivalent. Bridge design history, methods of analysis, loads: static, live, dynamic. Design: allowable stress, ultimate strength, load resistance factor, supply/demand. Steel and concrete superstructures: suspension, cable stayed, prestressed, arches. Management of the assets, life-cycle cost, expected useful life, inspection, maintenance, repair, reconstruction. Bridge inventories, condition assessments, data acquisition and analysis, forecasts. Selected case histories and field visits.

**CIEEN E4100y Earthquake and wind engineering**

3 pts. *Lect: 3. Professor Ashrafi.*

Prerequisite: ENME E3106 or the equivalent. Basic concepts of seismology. Earthquake characteristics, magnitude, response spectrum, dynamic response of structures to ground motion. Base isolation and earthquake-resistant design. Wind loads and aeroelastic instabilities. Extreme winds. Wind effects on structures and gust factors.

**CIEEN E4111x Uncertainty and risk in infrastructure systems**

3 pts. *Lect: 3. Professor Smyth.*

Introduction to basic probability, hazard function, reliability function, stochastic models of natural and technological hazards, extreme value distributions, Monte Carlo simulation techniques, fundamentals of integrated risk assessment and risk management, topics in risk-based insurance, case studies involving civil infrastructure systems, environmental systems, mechanical and aerospace systems, construction management. Not open to undergraduate students.

**CIEEN E4128y Civil engineering management**

3 pts. *Not offered in 2018–2019.*

Principles of engineering management with a strong emphasis on planning of infrastructure systems. The course stresses leadership, creativity, and management analysis. Program planning with optimization under financial and environmental constraints; project planning and scheduling using deterministic and stochastic network theories; production rate development and control using statistical, heuristic, simulation, and queuing theory approaches. Students prepare and formally present term projects.

**CIEEN E4129x and y Managing engineering and construction processes**

3 pts. *Lect: 3. Professors Odeh and Nagaraja.*

Prerequisite: Graduate standing in Civil Engineering, or instructor's permission. Introduction to the principles, methods and tools necessary to manage design and construction processes. Elements of planning, estimating, scheduling, bidding and contractual relationships. Valuation of project cash flows. Critical path method. Survey of construction procedures. Cost control and effectiveness. Field supervision.

**CIEEN E4130x Design of construction systems**

3 pts. *Lect: 3. Professor Tirola.*

Prerequisite: CIEEN E3125 or the equivalent, or the instructor's permission. Introduction to the design of systems that support construction activities and operations. Determination of design loads during construction. Design of excavation support systems, earth retaining systems, temporary supports and underpinning, concrete formwork and shoring systems. Cranes and erection systems. Tunneling systems. Instrumentation and monitoring. Students prepare and present term projects.

**CIEEN E4131x and y Principles of construction techniques**

3 pts. *Lect: 3. Professors Hart and Odeh.*

Prerequisite: CIEEN E4129 or equivalent. Current methods of construction, cost-effective designs, maintenance, safe work environment. Design functions, constructability, site and environmental issues.

**CIEEN E4132x Prevention and resolution of construction disputes**

3 pts. *Lect: 3. Professor White.*

Prerequisite: CIEEN E4129 or equivalent. Contractual relationships in the engineering and construction industry and the actions that result in disputes. Emphasis on procedures required to prevent disputes and resolve them quickly and cost-effectively. Case studies requiring oral and written presentations.

**CIEEN E4133x Capital facility planning and financing**

3 pts. *Lect: 3. Professor Chang.*

Prerequisite: CIEEN E4129 or equivalent. Planning and financing of capital facilities with a strong emphasis upon civil infrastructure systems. Project feasibility and evaluation. Design of project delivery systems to encourage best value, innovation and private sector participation. Fundamentals of engineering economy and project finance. Elements of life cycle cost estimation and decision analysis. Environmental, institutional, social and political factors. Case studies from transportation, water supply and wastewater treatment.

**CIEEN E4134y Construction industry law**

3 pts. *Lect: 3. Professors Carbajal and Rubin.*

Prerequisite: Graduate standing or the instructor's permission. Practical focus upon legal concepts applicable to the construction industry. Provides sufficient understanding to manage legal aspects, instead of being managed by them. Topics include contractual relationships, contract performance, contract flexibility and change orders, liability and negligence, dispute avoidance/resolution, surety bonds, insurance and site safety.

**CIEEN E4135y Strategic management in global design and construction**

3 pts. *Lect: 3. Professor Peña-Mora.*

Core concepts of strategic planning, management and analysis within the construction industry. Industry analysis, strategic planning models and industry trends. Strategies for information technology, emerging markets and globalization. Case studies to demonstrate key concepts in real-world environments.

**CIEEN E4136y Global entrepreneurship in civil engineering**

3 pts. *Lect: 3. Professor Peña-Mora.*

Capstone practicum where teams develop strategies and business plans for a new enterprise in the engineering and construction industry. Identification of attractive market segments and locations; development of an entry strategy; acquisition of financing, bonding and insurance; organizational design; plans for recruiting and retaining personnel; personnel compensation/incentives. Invited industry speakers.

**CIEEN E4137y Managing civil infrastructure systems**

3 pts. *Lect: 3. Professor Chang.*

Prerequisites: IEOR E4003, CIEEN E4133, or equivalent. Examination of the fundamentals of infrastructure planning and management, with a focus on the application of rational methods that support infrastructure decision-making. Institutional environment and issues. Decision-making under certainty and uncertainty. Capital budgeting and financing. Group decision processes. Elements of decision and finance theory.

**CIEEN E4138y Real estate finance for construction management**

3 pts. *Lect: 3. Professor Latt.*

Prerequisites: IEOR E2261, CIEEN E3129 or permission of instructor. Introduction to financial mechanics of public and private real estate development and management. Working from perspectives of developers, investors and taxpayers, financing of several types of real estate and infrastructure projects are covered. Basics of real estate accounting and finance, followed by in-depth studies of private, public, and public/private-partnership projects and their financial structures. Focused on U.S.-based financing, with some international practices introduced and explored. Financial risks and rewards, and pertinent capital markets and their financing roles. Impacts and incentives of various government programs, such as LEED certification and solar power tax credits. Case studies provide opportunity to compare U.S. practices to several international methods.

**CIEEN E4139y Theory and practice of virtual design and construction**

3 pts. *Lect: 3. Professors Asfina and David.*

Prerequisites: CIEEN E4129 or instructor's permission. History and development of Building Information Modeling (BIM), its uses in design and construction, and introduction to the importance of planning in BIM implementation. Role of visual design and construction concepts and methodologies, including integrated project delivery form in architecture, engineering, and construction industries from project design, cost estimating, scheduling, coordination, fabrication, installation, and financing.

**CIEEN E4140x Environmental, health, and safety concepts in construction processes**

3 pts. *Lect: 3. Professor Haining.*

Prerequisite: Graduate standing in Civil

Engineering and Engineering Mechanics. A definitive review of and comprehensive introduction to construction industry best practices and fundamental concepts of environmental health and safety management systems (EH&S) for the construction management field. How modern EH&S management system techniques and theories not only result in improved safe work environments but ultimately enhance operational processes and performance in construction projects.

**CIEE E4141x or y Public-private partnerships in global infrastructure development**

*3 pts. Professor Chang.*

Graduate students only. Delivery of infrastructure assets through Public-Private Partnerships (PPP). Value for Money analysis. Project organization. Infrastructure sector characterization. Risk analysis, allocation, and mitigation. Monte Carlo methods and Real Options. Project finance and financing instruments. Case studies from transportation, water supply, and energy sectors.

**CIEE E4142x International construction management: theory and practice**

*3 pts. Lect: 3. Professor Odeh.*

Prerequisites: CIEE E4129 or instructor's permission. Complex global construction industry environment. Social, cultural, technological, and political risks; technical, financial, and contractual risk. Understanding of successful global project delivery principals and skills for construction professionals. Industry efforts and trends to support global operational mechanism. Global Case Studies. Engage with industry expert professionals.

**CIEE E4163x Sustainable water treatment and reuse**

*3 pts. Lect: 3. Professor Becker.*

Prerequisites: Introductory chemistry (with laboratory) and fluid mechanics. Fundamentals of water pollution and wastewater characteristics. Chemistry, microbiology, and reaction kinetics. Design of primary, secondary, and advanced treatment systems. Small community and residential systems.

**CIEE E4210x Forensic structural engineering**

*3 pts. Lect: 3. Professor Ratay.*

Prerequisites: Working knowledge of structural analysis and design; graduate student standing or instructor's permission. Review of significant failures, civil/structural engineering design and construction practices, ethical standards and the legal positions as necessary background to forensic engineering. Discussion of standard-of-care. Study of the process of engineering evaluation of structural defects and failures in construction and in service. Examination of the roles, activities, conduct and ethics of the forensic consultant and expert witness. Students are assigned projects of actual cases of nonperformance or failure of steel, concrete, masonry, geotechnical, and temporary structures, in order to perform, discuss, and report their own investigations under the guidance of the instructor.

**CIEE E4212y Structural failures: cases, causes, lessons learned**

*3 pts. Lect: 3. Professor Ratay.*

Prerequisites: A course each in engineering materials, structural analysis, concrete design, steel design, soil mechanics and foundations, and construction; graduate student standing or instructor's permission. The nature and causes of structural failures and the lessons learned from them; insight into failure investigation in the practice of forensic structural engineering. Case histories of actual failures of real-life structures during construction and in service are introduced, examined, analyzed, and discussed. Students are assigned documented cases of failures of structures of various types and materials to review, discuss, and, in some cases, to conduct investigations of the causes and responsibilities. Students are required to prepare written reports and make oral presentations of selected cases.

**CIEE E4213x Elastic and inelastic buckling of structures**

*3 pts. Lect: 3. Professor Valenti.*

Stability of framed structures in the elastic and inelastic ranges. Lateral buckling of beams. Torsional buckling of compression members. Buckling of plates of plate-stiffener combinations. Linear stability analysis of cylindrical shells and discussion of its limitations. Discussion of the semiempirical nature of the elastoplastic relations used in the case of plates and shells.

**CIEE E4226y Advanced design of steel structures**

*3 pts. Lect: 3. Professors Aly and Woelke.*

Prerequisite: CIEE E3125 or equivalent. Review of loads and structural design approaches. Material considerations in structural steel design. Behavior and design of rolled steel, welded, cold-formed light-gauge, and composite concrete/steel members. Design of multistory buildings and space structures.

**CIEE E4232x Advanced design of concrete structures**

*3 pts. Lect: 3. Professor Panayotidi.*

Prerequisite: CIEE E3125 or equivalent. Design of concrete beams for combined torsion, shear and flexure; moment-curvature relation; bar cut-off locations; design of two-way slabs; strut-and-tie method for the design of deep beams and corbels; gravity and shear wall design; retaining wall design.

**CIEE E4233x Design of large-scale bridges**

*3 pts. Lect: 3. Professor Zoli.*

Prerequisites: CIEE E3121 or equivalent, and CIEE E3127 or equivalent. Design of large-scale and complex bridges with emphasis on cable-supported structures. Static and dynamic loads, component design of towers, superstructures and cables; conceptual design of major bridge types including arches, cable stayed bridges and suspension bridges.

**CIEE E4234y Design of large-scale building structures**

*3 pts. Lect: 3. Professor Tomasetti.*

Prerequisites: CIEE E3121 and E3127. Modern challenges in the design of large-scale building structures will be studied. Tall buildings, large convention centers, and major sports stadiums present major opportunities for creative solutions and leadership on the part of engineers. This course is designed to expose the students to this environment by having them undertake the complete design of a large structure from initial design concepts on through all the major design decisions. The students work as members of a design team to overcome the challenges inherent in major projects. Topics include overview of major projects, project criteria and interface with architecture, design of foundations and structural systems, design challenges in the post 9/11 environment, and roles, responsibilities, and legal issues.

**CIEE E4235x Multihazard design of structures**

*3 pts. Lect: 3. Professor Daddazio.*

Prerequisite: CIEE E3125 or E4232 or instructor's permission. Fundamental considerations of wave mechanics; design philosophies; reliability and risk concepts; basics of fluid mechanics; design of structures subjected to blast; elements of seismic design; elements of fire design; flood considerations; advanced analysis in support of structural design.

**CIEE E4236y Design of prestressed concrete structures**

*3 pts. Lect: 3. Professor Panayotidi.*

Prerequisite: CIEE E4232 or instructor's permission. Properties of materials used in prestressed concrete; pretensioning versus posttensioning; loss of prestress due to elastic shortening, friction, anchorage slip, shrinkage, creep and relaxation; full versus partial prestressing; design of beams for flexure, shear, and torsion; method of load balancing; anchorage zone design; calculation of deflection by the lump-sum and incremental time-step methods; continuous beams; composite construction; prestressed slabs and columns.

**CIEE E4237x or y Architectural design, computation, and method**

*3 pts. Lect: 1.5. Lab: 1.5. Professor Calatrava.*

Prerequisites: CIEE E3121 or equivalent. CIEE E3125 or equivalent. Integrated methods of design and structural analysis between engineering and architecture. Lectures on historical precedents on material use, structural inventiveness, and social importance. Labs on drafting and modeling software; physical modeling techniques and virtual reality visualization.

**CIEE E4241x Geotechnical engineering fundamentals**

*3 pts. Lect: 3. Professor Ling.*

Prerequisite: CIEE E3141 or instructor's permission. Bearing capacity and settlement of shallow and deep foundations; earth pressure theories; retaining walls and reinforced soil retaining walls; sheet pile walls; braced excavation; slope stability.

**CIEE E4242y Geotechnical earthquake engineering**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisite: CIEN E3141 or equivalent. Seismicity, earthquake intensity, propagation of seismic waves, design of earthquake motion, seismic site response analysis, in situ and laboratory evaluation of dynamic soil properties, seismic performance of underground structures, seismic performance of port and harbor facilities, evaluation and mitigation of soil liquefaction and its consequences. Seismic earth pressures, slopes stability, safety of dams and embankments, seismic code provisions and practice. To alternate with E4244.

#### **CIEN E4243x Foundation engineering**

3 pts. *Lect: 3. Professor L. Sun.*

Prerequisite: CIEN E3141 or equivalent. Conventional types of foundations and foundation problems: subsurface exploration and testing. Performance of shallow and deep foundations and evaluation by field measurements. Case histories to illustrate typical design and construction problems. To alternate with CIEN E4246.

#### **CIEN E4244y Geosynthetics and waste containment**

3 pts. *Lect: 3. Professor Ling.*

Prerequisite: CIEN E4241 or the equivalent. Properties of geosynthetics. Geosynthetic design for soil reinforcement. Geosynthetic applications in solid waste containment system. To alternate with CIEN E4242.

#### **CIEN E4245x Tunnel design and construction**

3 pts. *Lect: 3. Professor Munfakh.*

Engineering design and construction of different types of tunnel, including cut and cover tunnel, rock tunnel, soft ground tunnel, immersed tub tunnel, and jacked tunnel. The design for the liner, excavation, and instrumentation are also covered. A field trip will be arranged to visit the tunneling site.

#### **CIEN E4246y Earth retaining structures**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisite: CIEN E3141. Retaining structures, bulkheads, cellular cofferdams, and braced excavations. Construction dewatering and underpinning. Instrumentation to monitor actual performances. Ground improvement techniques, including earth reinforcement, geotextiles, and grouting. To alternate with CIEN E4243.

#### **CIEN E4247y Design of large-scale deep foundation systems**

3 pts. *Lect.: 3. Professors Leventis and Frizzi.*

Prerequisite: CIEN E3141. Focus on deep foundations in difficult conditions and constraints of designing foundations. Design process from the start of field investigations through construction and the application of deep foundations.

#### **CIEN E4250y Waste containment design and practice**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: ENME E3161 and CIEN E3141, or equivalents. Strategies for the containment of buried wastes. Municipal and hazardous waste landfill design; bioreactor landfills; vertical barriers, evapotranspiration barriers and capillary

barriers; hydraulic containment; in situ stabilization and solidification techniques; site investigation; monitoring and stewardship of buried wastes; options for land reuse/redevelopment.

#### **CIIE E4252x Environmental engineering**

3 pts. *Lect: 3. Professor Chandran.*

Prerequisites: CHEM UN1403 or equivalent; ENME E3161 or the equivalent. Engineering aspects of problems involving human interaction with the natural environment. Review of fundamentals principles that underlie the discipline of environmental engineering, i.e., constituent transport and transformation processes in environmental media such as water, air and ecosystems. Engineering applications for addressing environmental problems such as water quality and treatment, air pollutant emissions, and hazardous waste remediation. Presented in the context of current issues facing practicing engineers and government agencies, including legal and regulatory framework, environmental impact assessments, and natural resource management.

#### **CIEN E4253x Finite elements and plasticity in geotechnical engineering**

3 pts. *Lect: 3. Professor Sun.*

Prerequisites: CIEN E3141 and ENME E4332. State-of-the-art computer solutions in geotechnical engineering; 3D consolidation, seepage flows, and soil-structure interaction; element and mesh instabilities.

#### **CIIE E4257x Groundwater contaminant transport and remediation**

3 pts. *Lect: 3. Professor Mutch.*

ENME E3161 and CIIE E3250 or instructor's permission. Sources and types of groundwater contamination. Groundwater hydrology. Groundwater contaminant fate and transport. Flow and transport in the unsaturated zone. Nonaqueous phase liquids and multiphase flow. Physical and numerical models for contaminant transport. Characterization and assessments of contaminated sites. Groundwater remediation alternatives. Regulations.

#### **CIIE E4260x Urban ecology studio**

3 pts. *Lect: 2.5. Lab 2.5. Professor Culligan.*

Prerequisites: Senior undergraduate or graduate student standing and instructor's permission. Conjoint studio run with the Graduate School of Architecture, Planning and Preservation (GSAPP) that explores solutions to problems of urban density. Engineering and GSAPP students will engage in a joint project that addresses habitability and sustainability issues in an urban environment, and also provides community service. Emphasis will be on the integration of science, engineering and design within a social context. Interdisciplinary approaches and communication will be stressed.

#### **CIEN E4300x Infrastructural materials: production, use, properties and performance**

3 pts. *Lect: 3. Professor Wheeler.*

Prerequisites: ENME E3113, E3114, or instructor's permission. Basic concepts of materials science for civil infrastructure

materials. Relate composition, structure, processing to engineering properties (e.g., strength, modulus, ductility-alleability, durability). Materials covered include stone, brick, terra cotta, concrete, cast stone, metals, and wood. Overview of sourcing and production, identification, fabrication, chemical, physical and mechanical properties.

#### **CIEN E4999x and y Fieldwork**

1–2 pts. *Professor Deodatis.*

Prerequisite: Instructor's written approval. May be repeated for credit, but no more than 3 total points may be used for degree credit. Only for Civil Engineering and Engineering Mechanics graduate students who include relevant off-campus work experience as part of their approved program of study. Final report and letter of evaluation required. May not be taken for pass/fail credit or audited.

#### **CIEN E6132y Advanced systems and technologies for global project collaboration**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisite: CIEN E4129 or the equivalent. Systems and technologies that support collaborative work in global projects. Information technologies for design, visualization, project management, and collaboration in globally distributed networks of design, fabrication, and construction organizations, including web-based, parametric computer-aided modeling, project organizational simulation, and other emerging applications. Global team project with students at collaborating universities abroad.

#### **CIEN E6133y Advanced construction and infrastructure risk management using real options**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisite: CIEN E6131. Advanced concepts of risk analysis and management applied to civil engineering systems. Identifying and valuing flexibility in construction and operation. Tools to perform risk analysis in flexible civil infrastructure systems. Valuation methods for real options. Risk flexibility analysis; integrating real options analysis with quantitative risk analysis. Applications to case studies on construction management, life-cycle cost analysis for infrastructure assets, public-private partnerships projects, real estate developments, and renewable energy infrastructure projects.

#### **CIEN E6232x Advanced topics in concrete engineering**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisite: CIEN E3125 or the equivalent. Behavior of concrete under general states of stress, numerical modeling of steel and concrete, finite element analysis of reinforced concrete, design of slabs and their shell concrete structures.

#### **CIEN E6246y Advanced soil mechanics**

3 pts. *Lect: 2.5. Professor Ling.*

Prerequisite: CIEN E3141. Stress-dilatancy of sand; failure criteria; critical state soil mechanics; limit analysis; finite element method and case histories of consolidation analysis.

**CIEN E6248x Experimental soil mechanics**

3 pts. *Lect: 2.5. Not offered in 2018–2019.*  
Prerequisite: CIEN E3141. Advanced soil testing, including triaxial and plane strain compression tests; small-strain measurement. Model testing; application (of test results) to design.

**CIEN E9101x and y–S9101 Civil engineering research**

1–4 pts. *Members of the faculty.*  
Advanced study in a specialized field under the supervision of a member of the department staff. Before registering, the student must submit an outline of the proposed work for approval of the supervisor and the department chair.

**CIEN E9120x and y–S9120 Independent studies in flight sciences**

3 pts. *Not offered in 2018–2019.*  
Prerequisite: Instructor's permission. Geared toward students interested in flight sciences and flight structures. Topics related to aerodynamics, propulsion, noise, structural dynamics, aeroelasticity, and structures may be selected for supervised study. A term paper is required.

**CIEN E9130x and y–S9130 Independent studies in construction**

3 pts. *Not offered in 2018–2019.*  
Prerequisites: Permission by department chair and instructor. Independent study of engineering and construction industry problems. Topics related to capital planning and financing, project management, contracting strategies and risk allocation, dispute mitigation and resolution, and infrastructure assessment and management may be selected for supervised study. A term paper is required.

**CIEN E9165x and y–S9165 Independent studies in environmental engineering**

4 pts. *Not offered in 2018–2019.*  
Prerequisite: CIEE E4252 or the equivalent. Emphasizes a one-on-one study approach to specific environmental engineering problems. Students develop papers or work on design problems pertaining to the treatment of solid and liquid waste, contaminant migration, and monitoring and sampling programs for remediation design.

**CIEN E9201x and y–S9201 Civil engineering reports**

1–4 pts. *Not offered in 2018–2019.*  
A project on some civil engineering subject approved by department chair.

**CIEN E9500x and y Departmental seminar**

0 pts. *Professor Ling.*  
All doctoral students are required to attend the department seminar as long as they are in residence. No degree credit is granted.

**CIEN E9800x and y–S9800 Doctoral research instruction**

3–12 pts. *May be taken for 3, 6, 9, or 12 points, dependent on instructor's permission.*  
A candidate for the Eng.Sc.D. degree in civil engineering must register for 12 points of doctoral research instruction. Registration in CIEN E9800

may not be used to satisfy the minimum residence requirement for the degree.

**CIEN E9900x and y–S9900 Doctoral dissertation**

0 pts. *Members of the faculty.*  
A candidate for the doctorate may be required to register for this course every term after the student's coursework has been completed and until the dissertation has been accepted.

**COURSES IN ENGINEERING MECHANICS**

See also Courses in Civil Engineering at the beginning of this section.

**ENME E3105x and y Mechanics**

4 pts. *Lect: 4. Professors Hone and Kougioumtzoglou.*  
Prerequisites: PHYS UN1401 and MATH UN1101, UN1102, and UN1201. Elements of statics; dynamics of a particle and systems of particles.

**ENME E3106y Dynamics and vibrations**

3 pts. *Lect: 2. Professor Smyth.*  
Prerequisite: MATH UN1201. Corequisite: ENME E3105. Kinematics of rigid bodies; momentum and energy methods; vibrations of discrete and continuous systems; eigenvalue problems, natural frequencies and modes. Basics of computer simulation of dynamics problems using MATLAB.

**ENME E3113x Mechanics of solids**

3 pts. *Lect: 3. Professor Betti.*  
Pre- or corequisite: ENME E3105 or equivalent. Stress and strain. Mechanical properties of materials. Axial load, bending, shear, and torsion. Stress transformation. Deflection of beams. Buckling of columns. Combined loadings. Thermal stresses.

**ENME E3114y Experimental mechanics of materials**

4 pts. *Lect: 2. Lab: 3. Professor Kawashima.*  
Prerequisite: ENME E3113. Material behavior and constitutive relations. Mechanical properties of metals and cement composites. Structural materials. Modern construction materials. Experimental investigation of material properties and behavior of structural elements including fracture, fatigue, bending, torsion, buckling.

**ENME E3161x Fluid mechanics**

4 pts. *Lect: 3. Lab: 3. Professor Giometto.*  
Prerequisites: ENME E3105 and ordinary differential equations. Fluid statics. Fundamental principles and concepts of flow analysis. Differential and finite control volume approach to flow analysis. Dimensional analysis. Application of flow analysis: flow in pipes, external flow, flow in open channels.

**ENME E3332x A first course in finite elements**

3 pts. *Lect: 3. Professor Fish.*  
Prerequisite: Senior standing or instructor's permission. Recommended corequisite: differential equations. Focus on formulation and application of the finite element to engineering problems such as stress analysis, heat transfer, fluid flow, and electromagnetics. Topics include finite element formulation for one-dimensional problems, such as trusses, electrical and hydraulic systems; scalar field problems in two

dimensions, such as heat transfer; and vector field problems, such as elasticity and finally usage of the commercial finite element program. Students taking ENME E3332 cannot take ENME E4332.

**ENME E4113x Advanced mechanics of solids**

3 pts. *Lect: 3. Professor Yin.*  
Stress and deformation formulation in two- and three-dimensional solids; viscoelastic and plastic material in one and two dimension energy methods.

**ENME E4114y Mechanics of fracture and fatigue**

3 pts. *Lect: 3. Not offered in 2018–2019.*  
Prerequisite: Undergraduate mechanics of solids course. Elastic stresses at a crack; energy and stress intensity criteria for crack growth; effect of plastic zone at the crack; fracture testing applications. Fatigue characterization by stress-life and strain-life; damage index; crack propagation; fail safe and safe life analysis.

**EMME E4115y Micromechanics of composite materials**

3 pts. *Lect: 3. Professor Yin.*  
Prerequisite: ENME E4113 or instructor's approval. An introduction to the constitutive modeling of composite materials: Green's functions in heterogenous media, Eshelby's equivalent inclusion methods, eigenstrains, spherical and ellipsoidal inclusions, dislocations, homogenization of elastic fields, elastic, viscoelastic and elastoplastic constitutive modeling, micromechanics-based models.

**ENME E4202y Advanced mechanics**

3 pts. *Lect: 3. Professor Smyth.*  
Prerequisite: ENME E3105 or equivalent. Differentiation of vector functions. Review of kinematics. Generalized coordinates and constraint equations. Generalized forces. Lagrange's equations. Impulsive forces. Collisions. Hamiltonian. Hamilton's principle.

**ENME E4214y Theory of plates and shells**

3 pts. *Lect: 3. Professor Dasgupta.*  
Prerequisite: ENME E3113. Static flexural response of thin, elastic, rectangular, and circular plates. Exact (series) and approximate (Ritz) solutions. Circular cylindrical shells. Axisymmetric and nonaxisymmetric membrane theory. Shells of arbitrary shape.

**ENME E4215x Theory of vibrations**

3 pts. *Lect: 3. Professor Betti.*  
Frequencies and modes of discrete and continuous elastic systems. Forced vibrations-steady-state and transient motion. Effect of damping. Exact and approximate methods. Applications.

**ENME E4332x Finite element analysis, I**

3 pts. *Lect: 3. Professor Waisman.*  
Prerequisites: Mechanics of solids, structural analysis, elementary computer programming (MATLAB) is recommended, linear algebra and ordinary differential equations. Direct stiffness approach for trusses. Strong and weak forms for one-dimensional problems. Galerkin finite element formulation, shape functions, Gauss quadrature, convergence.

Multidimensional scalar field problems (heat conduction), triangular and rectangular elements, Isoparametric formulation. Multidimensional vector field problems (linear elasticity). Practical FE modeling with commercial software (ABAQUS). Computer implementation of the finite element method. Advanced topics. Not open to undergraduate students.

**ENME E4363y Multiscale computational science and engineering**

*3 pts. Lect: 3. Professor Fish.*

Prerequisites: ENME E4332, elementary computer programming, linear algebra. Introduction to multiscale analysis. Information-passing bridging techniques: among them, generalized mathematical homogenization theory, the heterogeneous multiscale method, variational multiscale method, the discontinuous Galerkin method and the kinetic Monte Carlo-based methods. Concurrent multiscale techniques: domain bridging, local enrichment, and multigrid-based concurrent multiscale methods. Analysis of multiscale systems.

**ENME E6215x Principles and applications of sensors for structural health monitoring**

*3 pts. Lect: 2.5. Lab: 0.5. Professor Feng.*

Prerequisite: ENME E4215. Concepts, principles, and applications of various sensors for sensing structural parameters and nondestructive evaluation techniques for subsurface inspection, data acquisition, and signal processing techniques. Lectures, demonstrations, and hands-on laboratory experiments.

**ENME E6216y Structural health monitoring**

*3 pts. Lect: 3. Professor Betti.*

Prerequisites: ENME E4215 and ENME E4332. Principles of traditional and emerging sensors, data acquisition and signal processing techniques, experimental modal analysis (input-output), operational modal analysis (output-only), model-based diagnostics of structural integrity, long-term monitoring and intelligence maintenance. Lectures and demonstrations, hands-on laboratory experiments.

**ENME E6220y Random processes in mechanics**

*3 pts. Lect: 3. Professor Kougioumtzoglou.*

Prerequisites: CIEN E4111 and ENME E4215 (or equivalent). Review of random variables. Random process theory: stationary and ergodic processes, correlation functions, power spectra. Nonstationary and non-Gaussian processes. Linear random vibration theory. Crossing rates, peak distributions, and response analysis of nonlinear structures to random loading. Major emphasis on simulation of various types of random processes. Monte Carlo simulation.

**ENME E6315x Theory of elasticity**

*3 pts. Lect: 2.5. Not offered in 2018–2019.*

Foundations of continuum mechanics. General theorems of elasticity. Application to stress analysis and wave propagation.

**ENME E6320x Computational poromechanics**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: ENME E3332 or instructor's permission. A fluid infiltrating porous solid is a multiphase material whose mechanical behavior is significantly influenced by the pore fluid. Diffusion, advection, capillarity, heating, cooling, and freezing of pore fluid, buildup of pore pressure, and mass exchanges among solid and fluid constituents all influence the stability and integrity of the solid skeleton, causing shrinkage, swelling, fracture, or liquefaction. These coupling phenomena are important for numerous disciplines, including geophysics, biomechanics, and material sciences. Fundamental principles of poromechanics essential for engineering practice and advanced study on porous media. Topics include balance principles, Biot's poroelasticity, mixture theory, constitutive modeling of path independent and dependent multiphase materials, numerical methods for parabolic and hyperbolic systems, inf-sup conditions, and common stabilization procedures for mixed finite element models, explicit and implicit time integrators, and operator splitting techniques for poromechanics problems.

**ENME E6333y Finite element analysis, II**

*3 pts. Lect: 3. Professor Waisman.*

Prerequisite: ENME E4332. FE formulation for beams and plates. Generalized eigenvalue problems (vibrations and buckling). FE formulation for time-dependent parabolic and hyperbolic problems. Nonlinear problems, linearization, and solution algorithms. Geometric and material nonlinearities. Introduction to continuum mechanics. Total and updated Lagrangian formulations. Hyperelasticity and plasticity. Special topics: fracture and damage mechanics, extended finite element method.

**ENME E6364x Nonlinear computational mechanics**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: ENME E4332 or equivalent, elementary computer programming, linear algebra. The formulations and solution strategies for finite element analysis of nonlinear problems are developed. Topics include the sources of nonlinear behavior (geometric, constitutive, boundary condition), derivation of the governing discrete equations for nonlinear systems such as large displacement, nonlinear elasticity, rate independent and dependent plasticity and other nonlinear constitutive laws, solution strategies for nonlinear problems (e.g., incrementation, iteration), and computational procedures for large systems of nonlinear algebraic equations.

**ENME E8310y Advanced continuum mechanics**

*3 pts. Lect: 3. Professor Dasgupta.*

Prerequisites: MECE E6422 and E6423. Open to Ph.D. students and to M.S. students with instructor's permission. Review of continuum mechanics in Cartesian coordinates; tensor calculus and the calculus of variation; large deformations in curvilinear coordinates; electricity problems and applications.

**ENME E8320x Viscoelasticity and plasticity**

*4 pts. Lect: 3. Professor Dasgupta.*

Prerequisite: ENME E6315 or equivalent, or instructor's permission. Constitutive equations of viscoelastic and plastic bodies. Formulation and methods of solution of the boundary value problems of viscoelasticity and plasticity.

**ENME E8323y Nonlinear vibrations**

*3 pts. Lect: 2.5. Not offered in 2018–2019.*

Prerequisite: ENME E4215 or equivalent. Free and forced motion of simple oscillators with nonlinear damping and stiffness. Exact, perturbation, iteration, and graphical methods of solution. Stability of motion. Chaotic vibrations.

**COURSES IN GRAPHICS**

**GRAP E2005y Computer-aided engineering graphics**

*3 pts. Lect: 1.5. Lab: 2.5. Not offered in 2018–2019.*

Prerequisite: MATH UN1101. Basic concepts needed to prepare and understand engineering drawings and computer-aided representations: preparation of sketches and drawings, preparation and transmission of graphic information. Lectures and demonstrations, hands-on computer-aided graphics laboratory work. Term project.

**GRAP E4005x Computer graphics in engineering**

*3 pts. Lect: 3. Professor Dasgupta.*

Prerequisites: Any programming language and linear algebra. Numerical and symbolic (algebraic) problem solving with Mathematica. Formulation for graphics application in civil, mechanical, and bioengineering. Example of two- and three-dimensional curve and surface objects in C++ and Mathematica; special projects of interest to electrical and computer science.

# COMPUTER ENGINEERING PROGRAM

Administered by both the Electrical Engineering and Computer Science  
Departments through a joint Computer Engineering Committee.  
Student records are kept in the Electrical Engineering Department.

1300 S. W. Mudd, MC 4712  
450 Computer Sciences  
Phone: 212-854-3105  
compeng.columbia.edu



IN CHARGE  
Charles A. Zukowski  
1026 CEPSR

COMPUTER ENGINEERING COMMITTEE  
Luca Carloni,  
Professor of Computer Science  
Stephen A. Edwards,  
Associate Professor of Computer Science  
Xiaofang Fred Jiang,  
Assistant Professor of Electrical  
Engineering

Martha A. Kim,  
Associate Professor of Computer Science  
Vishal Misra,  
Professor of Computer Science  
Steven M. Nowick,  
Professor of Computer Science  
Daniel Rubenstein,  
Associate Professor of Computer Science  
Mingoo Seok,  
Professor of Electrical Engineering

Simha Sethumadhavan,  
Associate Professor of Computer Science  
Kenneth L. Shepard,  
Professor of Electrical Engineering and  
Biomedical Engineering  
Stephen H. Unger,  
Professor Emeritus of Computer Science  
and Electrical Engineering  
Charles A. Zukowski,  
Professor of Electrical Engineering  
Gil Zussman,  
Associate Professor of Electrical  
Engineering

**T**he computer engineering program is run jointly by the Computer Science and Electrical Engineering departments. It offers both B.S. and M.S. degrees.

The program covers some of engineering's most active, exciting, and critical areas, which lie at the interface between CS and EE. The focus of the major is on computer systems involving both digital hardware and software.

Some of the key topics covered are computer design (i.e., computer architecture); embedded systems (i.e., the design of dedicated hardware/software for cell phones, automobiles, robots, games, and aerospace); digital and VLSI circuit design; computer networks; design automation (i.e., CAD); and parallel and distributed systems (including architectures, programming, and compilers).

The undergraduate major includes one substantial senior design course, either designing an entire microprocessor (*EECS E4340*), or an

embedded system (*CSEE W4840*) (including both software and hardware components), or providing hands-on experience in designing and using a computer network (*CSEE W4140*).

Students in the programs have two "home" departments. The Electrical Engineering Department maintains student records and coordinates advising appointments.

## UNDERGRADUATE PROGRAM

This undergraduate program incorporates most of the core curricula in both electrical engineering and computer science so that students will be well prepared to work in the area of computer engineering, which substantially overlaps both fields. Both hardware and software aspects of computer science are included, and, in electrical engineering, students receive a solid grounding in circuit theory and in electronic circuits. The program includes several electrical engineering laboratory courses as well

as the Computer Science Department's advanced programming course. Detailed lists of requirements can be found at [compeng.columbia.edu](http://compeng.columbia.edu).

Students will be prepared to work on all aspects of the design of digital hardware, as well as on the associated software that is now often an integral part of computer architecture. They will also be well equipped to work in the growing field of telecommunications. Students will have the prerequisites to delve more deeply into either hardware or software areas, and enter graduate programs in computer science, electrical engineering, or computer engineering. For example, they could take more advanced courses in VLSI, communications theory, computer architecture, electronic circuit theory, software engineering, or digital design.

Minors in electrical engineering and computer science are not open to computer engineering majors, due to excessive overlap.

**COMPUTER ENGINEERING PROGRAM: FIRST AND SECOND YEARS  
EARLY-STARTING STUDENTS**

	<b>SEMESTER I</b>	<b>SEMESTER II</b>	<b>SEMESTER III</b>	<b>SEMESTER IV</b>
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>3</sup> either semester	
				APMA E2101 (3) <sup>1</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3)	UN1402 (3)	► Lab UN1494 (3) <b>or</b> chem. lab UN1500 (3)	
	UN1601 (3.5)	UN1602 (3.5)	► Lab UN1494 (3) <b>or</b> chem. lab UN1500 (3)	
	UN2801 (4.5)	UN2802 (4.5)	► Lab UN3081 (2) <b>or</b> chem. lab UN1500 (3)	
<b>CHEMISTRY</b>	one-semester lecture (3–4) UN1403 <b>or</b> UN1404 <b>or</b> UN2045 <b>or</b> UN1604  Lab UN1500 (3) either semester <b>or</b> physics lab UN1493 (3)			
<b>CORE REQUIRED COURSES</b>	ELEN E1201 (3.5) Intro. to elec. eng. (either semester)		ELEN E3801 (3.5) Signals and systems	COMS W3134 (3) <b>or</b> W3137 (4) Data structures  CSEE W3827 (3) Fund. of computer sys.
<b>REQUIRED LABS</b>			ELEN E3084 (1) Signals and systems lab	ELEN E3082 (1) Digital systems lab
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES<sup>2</sup></b>			HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)  HUMA UN1121 <b>or</b> UN1123 (3)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)  ECON UN1105 (4) <b>and</b> UN1155 recitation (0)
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3)	COMS W1004 (3) <b>or</b> W1007 (3)	COMS W3203 (3) Discrete math.	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> APMA E2101 may be replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101, or MATH UN2010, or COMS W3251.

<sup>2</sup> Some of these courses can be postponed to the junior or senior year to make room for taking the required core computer engineering courses.

<sup>3</sup> Effective Class of 2021.

## COMPUTER ENGINEERING: THIRD AND FOURTH YEARS EARLY-STARTING STUDENTS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>CORE REQUIRED COURSES</b>		IEOR E3658 (3) Probability  COMS W3157 (4) Advanced programming	ELEN E3331 (3) Electronic circuits  COMS W3261 (3) Computer sci. theory	COMS W4118 (3) Operating systems <b>or</b> COMS W4115 (3) Programming lang.	
		ELEN E3201 (3.5) Circuit analysis	(Choose 3 of 6) CSEE W4119 (3) Computer networks, EECS E4321 (3) Digital VLSI circuits, CSEE W4823 (3) Advanced logic design, CSEE W4824 (3) Computer architecture, CSEE W4840 (3) Embedded systems, CSEE W4868 (3) System-on-chip platforms		
<b>REQUIRED LABS</b>		ELEN E3081 (1) Circuit analysis lab	ELEN E3083 (1) Electronic circuits lab		
<b>ELECTIVES</b>	<b>TECH</b>	15 points required; see details below			
	<b>NONTECH</b>	Complete 27-point requirement; see page 10 or seas.columbia.edu for details (administered by the advising dean)			
<b>TOTAL POINTS<sup>1</sup></b>		17.5	17	15	15

For a discussion about programming languages used in the program, please see [compeng.columbia.edu](http://compeng.columbia.edu). Check the late-starting student chart for footnotes about various courses.

<sup>1</sup> "Total points" assumes that 20 points of nontechnical electives and other courses are included.

### Technical Electives

The Computer Engineering Program includes 15 points of technical electives. Any 3000-level or higher courses listed in the Computer Science or Electrical Engineering sections of this bulletin can be used for this requirement with the following exceptions: *COMS W3101*, *W3251*, courses used for other computer engineering requirements and courses that have significant overlap with other required or elective courses (e.g., *COMS W3134* and *W3137*), and courses with significant amounts of nontechnical content such as EEHS E3900. Courses at the 3000 level or higher in other areas of engineering, math, and science can be considered for approval, as long as they do not significantly overlap with other required or elective courses. Economics courses cannot be used as technical electives.

### Starting Early

Students are strongly encouraged to begin taking core computer engineering courses as sophomores. They start with *ELEN E1201: Introduction to electrical engineering* in the second semester of their first year and may continue with other core courses one semester after that. For sample "early-starting" and "late-starting" programs, see the degree track charts. It must be emphasized that these charts present examples only; actual schedules may be customized in consultation with academic advisers.

### GRADUATE PROGRAM

The Computer Engineering Program offers a course of study leading to the degree of Master of Science (M.S.). The basic courses in the M.S. program come from the Electrical Engineering and Computer Science Departments. Students completing the program are prepared to work (or study further) in

such fields as digital computer design, digital communications, and the design of embedded computer systems.

Applicants are generally expected to have a bachelor's degree in computer engineering, computer science, or electrical engineering with at least a 3.2 GPA in technical courses. The Graduate Record Examination (GRE), General Test only, is required of all applicants.

Students must take at least 30 points of courses at Columbia University at or above the 4000 level. These must include at least 15 points from the courses listed below that are deemed core to computer engineering. Other courses may be chosen with the prior approval of a faculty adviser in the Computer Engineering Program.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

**COMPUTER ENGINEERING PROGRAM: FIRST AND SECOND YEARS  
LATE-STARTING STUDENTS**

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>3</sup> either semester	
				APMA E2101 (3) <sup>1</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3)	UN1402 (3)	▶ Lab UN1494 (3) or chem. lab UN1500 (3)	
	UN1601 (3.5)	UN1602 (3.5)	▶ Lab UN1494 (3) or chem. lab UN1500 (3)	
	UN2801 (4.5)	UN2802 (4.5)	▶ Lab UN3081 (2) or chem. lab UN1500 (3)	
<b>CHEMISTRY</b>	one-semester lecture (3–4) UN1403 or UN1404 or UN2045 or UN1604  Lab UN1500 (3) either semester or physics lab UN1493 (3)			
<b>CORE REQUIRED COURSES</b>	ELEN E1201 (3.5) <sup>2</sup> Intro. to elec. eng. (either semester)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			HUMA CC1001, COCI CC1101, or Global Core (3–4)  HUMA UN1121 or UN1123 (3)	HUMA CC1002, COCI CC1102, or Global Core (3–4)  ECON UN1105 (4) and UN1155 recitation (0)
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3)	COMS W1004 (3) or W1007 (3)	W3203 (3) Discrete math.	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> APMA E2101 may be replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101, or MATH UN2010, or COMS W3251.

<sup>2</sup> Transfer and combined-plan students are expected to have completed the equivalent of the first- and second-year program listed above before starting their junior year. Note that this includes some background in discrete math (see COMS W3203) and electronic circuits (see ELEN E1201). Transfer and combined-plan students are also expected to be familiar with Java before they start their junior year. If students must take the one-point Java course (COMS W3101-03) junior year, prerequisite constraints make it difficult to complete the remaining computer engineering program by the end of the senior year.

<sup>3</sup> Effective Class of 2021.

## COMPUTER ENGINEERING: THIRD AND FOURTH YEARS LATE-STARTING STUDENTS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>CORE REQUIRED COURSES</b>		IEOR E3658 (3) <sup>1</sup> Probability	COMS W3157 (4) Advanced programming	COMS W4118 (3) Operating systems <b>or</b> COMS W4115 (3) Programming lang.	
		COMS W3134 (3) <b>or</b> W3137 (4) Data structures	ELEN E3331 (3) Electronic circuits	(Choose 3 of 6) CSEE W4119 (3) Computer networks, EECS E4321 (3) Digital VLSI circuits, CSEE W4823 (3) Advanced logic design, CSEE W4824 (3) Computer architecture, CSEE W4840 (3) Embedded systems, CSEE W4868 (3) System-on-chip platforms	
	ELEN E3201 (3.5) Circuit analysis	COMS W3261 (3) <sup>2</sup> Models of comp.			
	ELEN E3801 (3.5) Signals and systems	CSEE W3827 (3) Fund. of computer systems			
<b>REQUIRED LABS</b>		ELEN E3081 (1) <sup>3</sup> Circuit analysis lab	ELEN E3083 (1) <sup>3</sup> Electronic circuits lab		
		ELEN E3084 (1) <sup>3</sup> Signals and systems lab	ELEN E3082 (1) <sup>3</sup> Digital systems lab		
<b>ELECTIVES</b>	<b>TECH</b>	15 points required; see details on page 103			
	<b>NONTECH</b>	Complete 27-point requirement; see page 10 or seas.columbia.edu for details (administered by the advising dean)			
<b>TOTAL POINTS<sup>5</sup></b>		15	18	15	18

For a discussion about programming languages used in the program, please see compeng.columbia.edu.

<sup>1</sup> SIEO W3600, STAT GU4203, and STAT GU4001 can be used instead of IEOE E3658, but W3600 and GU4001 may not provide enough probability background for elective courses such as ELEN E3701. Students completing an economics minor who want such a background can take IEOE E3658 and augment it with IEOE E4307.

<sup>2</sup> COMS W3261 can be taken one semester later than pictured.

<sup>3</sup> If possible, ELEN E3081 and ELEN E3084 should be taken along with ELEN E3201 and ELEN 3801 respectively, and ELEN E3083 and ELEN E3082 taken with ELEN E3331 and CSEE W3827 respectively.

<sup>4</sup> The total points of technical electives is reduced to 12 if APMA E2101 has been replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101 or MATH UN2010, or COMS W3251.

<sup>5</sup> Assuming technical electives taken Semesters VII and VIII, and 9 points of nontechnical electives taken Semesters VI, VII, and VIII.

### Core Computer Engineering Courses

**CSEE W4119:** Computer networks  
**CSEE W4140:** Networking laboratory  
**EECS E4321:** Digital VLSI circuits  
**EECS E4750:** Hybrid comp. for sig. & data proc.  
**EECS E4764:** Iot—intelligent & connected sys.  
**CSEE W4823:** Advanced logic design  
**CSEE W4824:** Computer architecture  
**CSEE W4840:** Embedded systems  
**CSEE W4868:** Systems-on-chip platforms  
**EECS E4951:** Wireless networks & systems  
**EECS E6180:** Modeling & performance eval  
**EECS E6321:** Adv. digital electronic circuits  
**EECS E6322:** VLSI hard. arch. for sig. proc. & ml

**CSEE E6600:** From data to solutions  
**EECS E6765:** Internet of things—sys. & physical data analytics  
**CSEE E6824:** Parallel computer architecture  
**CSEE E6861:** CAD of digital systems  
**CSEE E6863:** Formal verification of hardware/software systems  
**CSEE E6868:** Embedded scalable platforms

The overall program must include at least 12 points of 6000-level *ELEN*, *EECS*, *CSEE*, or *COMS* courses (exclusive of seminars). No more than 9 points of research project may be taken for credit. No more than 3 points of a nontechnical elective (at or above the 4000 level and with adviser approval) may be included. A minimum GPA of at least 2.7 must be maintained, and all degree requirements must be completed within five years of the beginning of the first course credited toward the degree.

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The function and influence of the computer is pervasive in contemporary society. Today's computers process the daily transactions of international banks, the data from communications satellites, the images in video games, and even the fuel and ignition systems of automobiles.

Computer software is as commonplace in education and recreation as it is in science and business. There is virtually no field or profession that does not rely upon computer science for the problem-solving skills and the production expertise required in the efficient processing of information. Computer scientists, therefore, function in a wide variety of roles, ranging from pure theory and design to programming and marketing.

The computer science curriculum at Columbia places strong emphasis both on theoretical computer science and mathematics and on applied aspects of computer technology. A broad range of upper-level courses is available in such areas as artificial intelligence,

computational complexity and the analysis of algorithms, combinatorial methods, computer architecture, computer-aided digital design, computer communications, databases, mathematical models for computation, optimization, and software systems.

### Laboratory Facilities

The department has well-equipped lab areas for research in computer graphics, computer-aided digital design, computer vision, databases and digital libraries, data mining and knowledge discovery, distributed systems, mobile and wearable computing, natural-language processing, networking, operating systems, programming systems, robotics, user interfaces, real-time multimedia, and speech research.

The computer facilities include a shared infrastructure of Linux multiprocessor servers, NetApp file servers providing more than 300 terabytes of storage space, several high-end graphics workstations for teaching and research purposes, a load balanced web cluster with 8 servers

and business process servers, a large Linux computer cluster and a number of computing facilities for individual research labs. In addition, the data center houses a computer cluster consisting of a Linux cloud with more than 20 servers each with 12 cores and 128GB memory. This cloud can support thousands of VMware instances.

The labs for research in image processing, vision, graphics, and robotics contain specialized equipment such as Baxter Research Robot, PR2 mobile robot manipulator, Staubli RX-60L Robot arm, Kinova, MICO arm, custom-built overhead XYZ gantry robot, Toshiba FMA manipulator, Barrett Technology robotic hand, 2 RWI Pioneer mobile robots, 1 Evolution ER-1 robot, 1 RWI ATRV-2 mobile robot with RTK GPS, Leica HDS-500 and HDS-3000 100 meter range scanners, and real-time Imaging boards; a networking testbed with Cisco backbone routers, traffic generators; an IDS testbed with secured LAN, Cisco routers, EMC storage, and Linux servers; a simulation testbed with several Linux

## COMPUTER SCIENCE PROGRAM: FIRST AND SECOND YEARS

COMPUTER SCIENCE PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>1</sup>	
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) —————→ UN1601 (3.5) —————→ UN2801 (4.5) —————→	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Chemistry or physics lab: PHYS UN1494 (3) <b>or</b> PHYS UN3081 (2) <b>or</b> CHEM UN1500 (3) <b>or</b> CHEM UN1507 (3) <b>or</b> CHEM UN3085 (4)	
<b>CHEMISTRY/ BIOLOGY</b> (choose one course)	CHEM UN1403 (3) <b>or</b> higher <b>or</b> EEEB UN2001 (4) <b>or</b> UN2005 (4) <b>or</b> higher either semester			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ECON UN1105 (4) <b>and</b> UN1105 recitation (0) any semester			
			HUMA CC1001 <b>or</b> COCI CC1101 <b>or</b> Major Cultures (3–4)	HUMA CC1002 <b>or</b> COCI CC1102 <b>or</b> Major Cultures (3–4)
<b>REQUIRED TECH ELECTIVES</b>	ENGI E1006 Computing for EAS (3) either semester			HUMA UN1121 <b>or</b> UN1123 (3)
<b>COMPUTER SCIENCE</b>	COMS W1004 (3) Intro. to computer science <b>or</b> COMS W1007 (3) Object-oriented programming either semester		COMS W3134 (3) <b>or</b> COMS W3137 (4) Data structures <b>and</b> COMS W3203 (3) Discrete math	COMS W3157 (4) Adv. programming <b>and</b> CSEE W3827 (3) Fund. of computer systems
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> Effective Class of 2021.

servers and Cisco Catalyst routers. The department uses a SIP IP phone system. The protocol was developed in the department.

The department's computers are connected via a switched 1 Gb/s Ethernet network, which has direct connectivity to the campus OC-3 Internet and Internet2 gateways. The campus has 802.11b/g wireless LAN coverage.

The research facility is supported by a full-time staff of professional system administrators and programmers.

### UNDERGRADUATE PROGRAM

Computer science majors at Columbia study an integrated curriculum, partially in areas with an immediate relationship to the computer, such as programming languages, operating systems, and computer architecture, and partially in theoretical computer science and mathematics. Thus, students obtain the background to pursue their interests both in applications and in theoretical developments.

Practical experience is an essential component of the computer science

program. Undergraduate students are often involved in advanced faculty research projects using state-of-the-art computing facilities. Qualified majors sometimes serve as consultants at Columbia University Information Technology (CUIT), which operates several computer labs at convenient locations on the campus.

Upper-level students in computer science may assist faculty members with research projects, particularly in the development of software. Ongoing faculty projects include algorithmic analysis, computational

COMPUTER SCIENCE: THIRD AND FOURTH YEARS					
		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>		APMA E2101 (3) or MATH UN2010 (3) and COMS W3261 (3) Computer sci. theory and STAT GU4001 (3) or IEOR E4150 (3) Prob. and stat.			
<b>ELECTIVES</b>	<b>NONTECH</b>		3 points	6 points	3 points
	<b>TECH</b>	6 points	12 points	9 points	9 points
<b>TOTAL POINTS</b>		15-16	15	15	12

The primary programming languages for the undergraduate major are Python, C, and Java, and students are expected to learn all three at an early stage. The language for COMS W1004-W3134 and COMS W1007-3137 is Java. COMS W1004 may be waived for students who have scored 4 or 5 on the AP computer science A exam.

complexity, software tool design, distributed computation, modeling and performance evaluation, computer networks, computer architecture, CAD for digital systems, computer graphics, programming environments, expert systems, natural language processing, computer vision, robotics, computational biology, computer security, multicomputer design, user interfaces, VLSI applications, artificial intelligence, combinatorial modeling, virtual environments, and microprocessor applications. Students are strongly encouraged to arrange for participation by consulting individual faculty members and by attending the Computer Science Research Fair held at the beginning of each semester.

Most graduates of the computer science program at Columbia step directly into career positions in computer science with industry or government, or continue their education in graduate degree programs. Many choose to combine computer science with a second career interest by taking additional programs in business administration, medicine, or other professional studies.

For further information on the undergraduate computer science program, please see the home page

and the Quick Guide ([cs.columbia.edu/education/undergraduate/](http://cs.columbia.edu/education/undergraduate/)).

**Technical Electives**

Students are encouraged to select one of the following six preapproved groupings of electives called "tracks." An advanced version of each track is available by invitation for qualified students who desire an extra opportunity for advanced learning.

*An additional 15 points of adviser-approved technical elective points beyond those used to satisfy the track requirements are also required.*

The following courses are required as a preparation for all tracks: COMS W1004 or W1007, W3134 or W3137, W3157, W3203, W3261, MATH UN2010 or UN2020 or APMA E2101 or E3101, CSEE W3827, and STAT GU4001 (IEOR E4150 is an acceptable substitute for GU4001). Collectively these courses are called the CS Core Curriculum. In addition, all students are required to take ENGI E1006 *Introduction to computing for engineers and applied scientists* in their first year.

All technical electives except those noted in each track must be approved by the faculty adviser. Technical electives not noted in the track must be at the 3000 level or above and in mathematics,

science, engineering or closely related disciplines.

Students who pass the Computer Science Advanced Placement (AP) Exam with a 4 or 5 will receive 3 points of credit and exemption from COMS W1004.

Note: A maximum of one course worth no more than 4 points passed with a grade of D may be counted toward the major or minor.

**Track 1: Foundations of CS Track**

The foundations track is suitable for students who are interested in algorithms, computational complexity, and other areas of theoretical Computer Science.

**REQUIRED: 6 points**

- CSOR W4231:** Analysis of algorithms
- COMS W4236:** Introduction to computational complexity

**ELECTIVES: 15 points from the following list:**

- MATH UN3020:** Number theory and cryptography
- MATH UN3025:** Making, breaking codes
- COMS W3902:** Undergraduate thesis\*
- COMS W3998:** Projects in Computer Science\*
- COMS W4203:** Graph theory
- MATH GU4032:** Fourier analysis
- MATH GU4041:** Introduction to modern algebra I
- MATH GU4042:** Introduction to modern algebra II
- MATH GU4061:** Introduction to modern analysis I
- MATH GU4155:** Probability theory
- COMS W4252:** Computational learning theory

COMS W4261: Introduction to cryptography  
 APMA E4300: Numerical methods  
 IEOR E4407: Game theoretic models of operation  
 PHIL GU4431: Set theory  
 CSPH GU4801: Mathematical logic I  
 CSPH GU4802: Mathematical logic II: incompleteness  
 COMS W4901: Projects in computer science\*  
 COMS W4995: Special topics in computer science, I\*  
 COMS E6232: Analysis of algorithms, II  
 MATH GR6238: Enumerative combinatorics  
 COMS E6253: Advanced topics in computational learning theory  
 COMS E6261: Advanced cryptography  
 IEOR E6400: Scheduling: deterministic models  
 IEOR E6603: Combinatorial optimization  
 IEOR E6606: Advanced topics in network flows  
 IEOR E6608: Integer programming  
 IEOR E6610: Approximation algorithms  
 EEOR E6616: Convex optimization  
 IEOR E6613: Optimization I (4,5)  
 IEOR E6614: Optimization II (4,5)  
 IEOR E6711: Stochastic models I  
 IEOR E6712: Stochastic models II  
 ELEN E6717: Information theory  
 ELEN E6718: Algebraic coding theory  
 ELEN E6970: Resource allocation and networking games  
 COMS E6998: Topics in computer science\*

\* With adviser approval, may be repeated for credit

Note: No more than 6 units of project/thesis courses (COMS W3902, W3998, W4901) can count toward the track.

Students who declared their Computer Science major prior to Fall 2016 may also count COMS W4241, W4205, W4281, W4444, W4771, and W4772 as elective courses.

### Track 2: Software Systems Track

The software systems track is for students interested in networks, programming languages, operating systems, software engineering, databases, security, and distributed systems.

#### REQUIRED: 9 points

COMS W4115: Programming languages and translators  
 COMS W4118: Operating systems  
 CSEE W4119: Networking

#### ELECTIVES: 12 points from the following list:

COMS W3902: Undergraduate thesis\*  
 COMS W3998: Undergraduate projects in computer science\*  
 Any COMS W41xx course  
 COMS W4444: Programming and problem solving  
 Any COMS W48xx course  
 COMS W4901: Projects in computer science\*  
 COMS W4995-W4996: Special topics in computer science  
 Any COMS E61xx or E68xx course (with adviser approval)

\* With adviser approval, may be repeated for credit

Note: No more than 6 units of project/thesis courses (COMS W3902, W3998, W4901) can count toward the track.

### Track 3: Intelligent Systems Track

The intelligent systems track is for students interested in machine learning, robots, and systems capable of exhibiting "human-like" intelligence. A total of seven required breadth and elective courses are to be chosen from the following schedule.

#### REQUIRED: 9 Points from:

COMS W4701: Artificial intelligence  
 COMS W4705: Natural language processing  
 COMS W4706: Spoken language processing  
 COMS W4731: Computer vision  
 COMS W4733: Computational aspects of robotics  
 COMS W4771: Machine learning

#### ELECTIVES: 12 points required

##### Up to 12 points from the following list:

Any COMS W40xx course with adviser approval  
 COMS W4165: Pixel processing  
 COMS W4252: Computational learning theory  
 Any COMS W47xx course if not used as a required course  
 COMS W4995: Special topics, I\*  
 COMS W4996: Special topics, II\*  
 Any COMS W67xx course  
 COMS E6998: Topics in computer science, I (with adviser approval)  
 COMS E6999: Topics in computer science, II (with adviser approval)

##### Up to 6 points from the following list:

COMS W3902: Undergraduate thesis\*  
 COMS W3998: Undergraduate projects in computer science\*  
 COMS W4901: Projects in computer science\*

##### Up to 3 points from the following list:

COMS W4111: Database systems  
 COMS W4160: Computer graphics  
 COMS W4170: User interface design  
 COMS W4999: Computing and the humanities

\* With adviser approval, may be repeated for credit

Note: No more than 6 units of project/thesis courses (COMS W3902, W3998, W4901) can count toward the track.

### Track 4: Applications Track

The applications track is for students interested in the implementation of interactive multimedia applications for the internet and wireless networks.

#### REQUIRED: 6 points

COMS W4115: Programming languages and translators  
 COMS W4170: User interface design

#### ELECTIVES: 15 points from the following list:

COMS W3902: Undergraduate thesis\*

COMS W3998: Undergraduate projects in computer science\*

Any COMS W41xx course

Any COMS W47xx course

COMS W4901: Projects in computer science\*

COMS W4995-W4996: Special topics in computer science, I and II (with adviser approval)

Any COMS E69xx course (with adviser approval)

\* With adviser approval, may be repeated for credit

Note: No more than 6 units of project/thesis courses (COMS W3902, W3998, W4901) can count toward the track.

### Track 5: Vision, Interaction, Graphics, and Robotics Track

The vision, interaction, graphics, and robotics track focus on visual information with topics in vision, graphics, human-computer interaction, robotics, modeling, and learning. Students learn about fundamental ways in which visual information is captured, manipulated, and experienced.

#### REQUIRED: 6 points from:

COMS W4160: Computer graphics  
 COMS W4731: Computer vision  
 COMS W4167: Computer animation

#### ELECTIVES: 15 points from the following list:

COMS W3902: Undergraduate thesis\*  
 COMS W3998: Undergraduate projects in computer science\*  
 COMS W4162: Advanced computer graphics  
 COMS W4165: Pixel processing  
 COMS W4167: Computer animation  
 COMS W4170: User interface design  
 COMS W4172: 3D user interface design  
 COMS W4701: Artificial intelligence  
 COMS W4733: Computational aspects of robotics  
 COMS W4735: Visual interfaces to computers  
 COMS W4771: Machine learning  
 COMS W4901: Projects in computer science\*  
 COMS W4995: Video game technology and design  
 COMS W4995-W4996: Special topics in computer science, I and II (with adviser approval)  
 Any COMS E691x course (with adviser approval)

\* With adviser approval, may be repeated for credit

Note: No more than 6 units of project/thesis courses (COMS W3902, W3998, W4901) can count toward the track.

### Track 6: Digital Systems Track

The digital systems track is for students interested in working at the interface of hardware and software. Subjects include digital design, computer architecture (both sequential and parallel) and embedded systems.

**REQUIRED: 3 points**

**CSEE W4824:** Computer architecture

**Plus 3 points from:**

**CSEE W4823:** Advanced logic design

**CSEE W4840:** Embedded systems

**CSEE E4868:** System-on-chip platforms

**Plus 3 points from:**

**COMS W4115:** Programming languages and translators

**COMS W4118:** Operating systems

**COMS W4130:** Parallel programming

**ELECTIVES: 12 points from the following list:**

**COMS W3902:** Undergraduate thesis\*

**COMS E3998:** Undergraduate projects in computer science\*

Any **COMS/CSEE W41xx** course

Any **COMS/CSEE W48xx** course

**COMS E4901:** Projects in computer science

**CSEE E6824:** Parallel computer architecture\*

**CSEE E6847:** Distributed embedded systems\*

**CSEE E6861:** CAD of digital systems\*\*

**CSEE E6868:** Embedded scalable platforms\*\*

\* With adviser approval, may be repeated for credit

\*\*With adviser approval.

Note: No more than 6 units of project/thesis courses (**COMS W3902, W3998, W4901**) can count toward the track.

**Track 7: Advanced**

The advanced track of the B.S. in Computer Science provides extra opportunity for advanced learning. It comprises accelerated versions of the other six tracks. Entry is only by collective faculty invitation, extended to students who have already completed the core courses and the required courses for one of those tracks.

**REQUIRED TRACK COURSES**

A student designates one of the six other track areas and completes the set of required track courses for that track, prior to entry into the Advanced Track. There are two or three courses, depending on the designated area.

**ELECTIVES**

At least 6 points of 4000-level lecture courses from the menu for the designated track, plus 6 points of 6000-level courses in the designated track area.

**THESIS**

There is a required 6-point senior thesis.

**INVITATION**

Only the top 20 percent of computer science majors in course performance in computer science courses will be considered for invitation during the junior year. (A student in the advanced track who does not maintain this status may be required to return to his or her previously selected track area.)

**GRADUATE PROGRAMS**

The Department of Computer Science offers graduate programs leading to the degree of Master of Science and the degree of Doctor of Philosophy. The Graduate Record Examination (GRE) is required for admission to the department's graduate programs. Applicants for September admission should take the GREs by October of the preceding year. Applicants for January admission should take these exams by April of the preceding year.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

**M.S. in Computer Science Program**

The Master of Science (M.S.) program is intended for students with a bachelor's degree in Computer Science or a strongly related field who wish to broaden and deepen their understanding of computer science. Columbia University and the New York City environment provide excellent career opportunities with multiple industries. The program provides a unique opportunity to develop leading-edge in-depth knowledge of specific computer science disciplines.

Students in the M.S. program must complete a total of 30 points with a GPA of at least 2.7. Every student selects a track that focuses on a particular field of computer science. There are currently eight predefined M.S. tracks: Computational Biology; Computer Security; Foundations of Computer Science; Machine Learning; Natural Language Processing; Network Systems; Software Systems; Vision, Graphics, Interaction, and Robotics. Every track has a set of required courses and a wide range of elective courses that allow the students flexibility in designing their program according to their interests, under the guidance of a faculty adviser. Besides the eight predefined tracks, there is also the option of a M.S. Personalized track for students who want to study an area of computer science that is not covered by one of the eight tracks, and the option of the M.S. Thesis track for students who want to do extensive research in

a subfield and write an M.S. thesis, under the supervision of a faculty member. Students in all the tracks are encouraged to pursue research if they wish, and many participate in research projects with the faculty. The faculty in the department conduct research in all areas of computer science. For detailed information on the M.S. program, please see [cs.columbia.edu/education/ms/](http://cs.columbia.edu/education/ms/).

**Ph.D. in Computer Science Program**

The primary focus of the doctoral program is research, with the philosophy that students learn best by doing—beginning as apprentices and becoming junior colleagues working with faculty on scholarly research projects. The faculty in the department conduct research in all areas of computer science. The degree of Doctor of Philosophy requires a dissertation based on the candidate's original research, which is supervised by a faculty member, and all students in the Ph.D. program are actively engaged in research throughout the program. Ph.D. students spend at least half of their time on research under the direction of their faculty adviser from their first day in the program and devote themselves full time to research after coursework and other preliminaries have been completed. Ph.D. students are also expected to participate in departmental and laboratory activities full time on campus throughout the program, except possibly for summer internships elsewhere, and the department does not consider admission of part-time Ph.D. students. Further information is available at [cs.columbia.edu/education/phd/](http://cs.columbia.edu/education/phd/).

**DUAL DEGREE PROGRAM IN JOURNALISM AND COMPUTER SCIENCE**

The Graduate School of Journalism and the School of Engineering and Applied Science offer a dual degree program leading to the M.S. degree from the Graduate School of Journalism and the M.S. degree in Computer Science from the School of Engineering and Applied Science.

Admitted students will enroll for a total of four semesters consisting of 27 points of Computer Science coursework in which an overall 2.7

GPA must be maintained in addition to the Journalism requirements. In addition to taking classes already offered at the journalism and engineering schools, students will attend a seminar and workshop designed specifically for the joint program. The seminar will teach students about the impact of digital techniques on journalism; the emerging role of citizens in the news process; the influence of social media; and the changing business models that will support newsgathering. In the workshop, students will use a hands-on approach to delve deeply into information design, focusing on how to build a site, section, or application from concept to development, ensuring the editorial goals are kept uppermost in mind.

## COURSES IN COMPUTER SCIENCE

In the listing below, the designator COMS (Computer Science) is understood to precede all course numbers for which no designator is indicated. NOTE: Students may receive credit for only one of the following two courses: *COMS W1004 and W1005*. Likewise, students may receive credit for only one of the following three courses: *COMS W3134, W3136, or W3137*.

### COMS W1001x or y Introduction to information science

*3 pts. Lect: 3. Instructor to be announced.*  
Basic Introduction to concepts and skills in Information Sciences: human-computer interfaces, representing information digitally, organizing and searching information on the internet, principles of algorithmic problem solving, introduction to database concepts, introduction to programming in Python.

### COMS W1002x Computing in context

*4 pts. Lect: 4. Professor Cannon.*  
Introduction to elementary computing concepts and Python programming with domain-specific applications. Shared CS concepts and Python programming lectures with track-specific sections. Track themes will vary but may include computing for the social sciences, computing for economics and finance, digital humanities, and more. Intended for nonmajors. Students may receive credit for only one of the following two courses: *ENGI E1006 or COMS W1002*.

### COMS W1004x and y Introduction to computer science and programming in Java

*3 pts. Lect: 3. Professor Cannon.*

A general introduction to computer science for science and engineering students interested in majoring in computer science or engineering. Covers fundamental concepts of computer science, algorithmic problem-solving capabilities, and introductory Java programming skills. Assumes no prior programming background. Columbia University students may receive credit for only one of the following two courses: *1004 or 1005*.

### COMS W1005x or y Introduction to computer science and programming in MATLAB

*3 pts. Lect: 3. Professor Blaer.*  
A general introduction to computer science concepts, algorithmic problem-solving capabilities, and programming skills in MATLAB. Assumes no prior programming background. Columbia University students may receive credit for only one of the following two courses: *1004 or 1005*.

### ENGI E1006x and y Introduction to computing for engineers and applied scientists

*3 pts. Lect: 3. Professor Salieb-Aouissi.*  
An interdisciplinary course in computing intended for first year SEAS students. Introduces computational thinking, algorithmic problem solving and Python programming with applications in science and engineering. Assumes no prior programming background.

### COMS W1007x Honors introduction to computer science

*3 pts. Lect: 3. Professor Kender.*  
Prerequisite: AP Computer Science with a grade of 4 or 5 or similar experience. An honors-level introduction to computer science, intended primarily for students considering a major in computer science. Computer science as a science of abstraction. Creating models for reasoning about and solving problems. The basic elements of computers and computer programs. Implementing abstractions using data structures and algorithms. Taught in Java.

### COMS W1404x and y Emerging scholars program seminar

*1 pt. Sem: 1. Professor Cannon.*  
Corequisite: *COMS W1004/1007 or ENGI E1006*. Enrollment with instructor permission only. Peer-led weekly seminar intended for first- and second-year undergraduates considering a major in computer science. Pass/fail only.

### ECBM E3060x Introduction to genomic information science and technology

*3 pts. Lect: 3. Professor Anastassiou.*  
Introduction to the information system paradigm of molecular biology. Representation, organization, structure, function and manipulation of the biomolecular sequences of nucleic acids and proteins. The role of enzymes and gene regulatory elements in natural biological functions as well as in biotechnology and genetic engineering. Recombination and other macromolecular processes viewed as mathematical operations with simulation and visualization using simple computer

programming. Shares lectures with *ECBM E4060*, but the work requirements differ somewhat.

### COMS W3101x and y Programming languages

*1 pt. Lect: 1. Members of the faculty.*  
Prerequisite: Fluency in at least one programming language. Introduction to a programming language. Each section is devoted to a specific language. Intended only for those who are already fluent in at least one programming language. Sections may meet for one hour per week for the whole term, for three hours per week for the first third of the term, or for two hours per week for the first six weeks. May be repeated for credit if different languages are involved.

### COMS W3102x and y Development technologies

*1–2 pts. Lect: 2. Lab: 0–2. Members of the faculty.*  
Prerequisite: Fluency in at least one programming language. Introduction to software development tools and environments. Each section devoted to a specific tool or environment. One-point sections meet for two hours each week for half a semester, and two-point sections include an additional two-hour lab.

### COMS W3134x and y Data structures in Java

*3 pts. Lect: 3. Professor Blaer.*  
Prerequisite: *COMS W1004* or knowledge of Java. Data types and structures: arrays, stacks, singly and doubly linked lists, queues, trees, sets, and graphs. Programming techniques for processing such structures: sorting and searching, hashing, garbage collection. Storage management. Rudiments of the analysis of algorithms. Taught in Java. Note: Due to significant overlap, students may receive credit for only one of the following three courses: *COMS W3134, W3136, or W3137*.

### COMS W3136y Data structures with C/C++

*4 pts. Lect: 3. Professor Lee.*  
Prerequisite: *COMS W1004, W1005, W1007, or ENGI E1006*. A second programming course intended for nonmajors with at least one semester of introductory programming experience. Basic elements of programming in C and C++, array-based data structures, heaps, linked lists, C programming in UNIX environment, object-oriented programming in C++, trees, graphs, generic programming, hash tables. Due to significant overlap, students may only receive credit for either *COMS W3134, W3136, or W3137*.

### COMS W3137y Honors data structures and algorithms

*4 pts. Lect: 3. Professor Blaer.*  
Prerequisite: *COMS W1004 or W1007*. Corequisite: *COMS W3203*. An honors introduction to data types and structures: arrays, stacks, singly and doubly linked lists, queues, trees, sets, and graphs. Programming techniques for processing such structures: sorting and searching, hashing, garbage collection. Storage management. Design and analysis of algorithms. Taught in Java. Note:

Due to significant overlap, students may receive credit for only one of the following three courses: COMS W3134, W3136, or W3137.

**COMS W3157x and y Advanced programming**  
4 pts. Lect: 4. Professor Lee.

Prerequisite: Two terms of programming experience. COMS W3134 or 3137.

Recommended: Data Structures. C programming language and Unix systems programming. Also covers Git, Make, TCP/IP networking basics, C++ fundamentals.

**COMS W3203x and y Discrete mathematics: introduction to combinatorics and graph theory**  
3 pts. Lect: 3. Professor Salieb-Aouissi.

Prerequisite: Any introductory course in computer programming. Logic and formal proofs, sequences and summation, mathematical induction, binomial coefficients, elements of finite probability, recurrence relations, equivalence relations and partial orderings, and topics in graph theory (including isomorphism, traversability, planarity, and colorings).

**COMS W3210x or y Scientific computation**  
3 pts. Lect: 3. Instructor to be announced.

Prerequisites: Two terms of calculus. Introduction to computation on digital computers. Design and analysis of numerical algorithms. Numerical solution of equations, integration, recurrences, chaos, differential equations. Introduction to Monte Carlo methods. Properties of floating point arithmetic. Applications to weather prediction, computational finance, computational science, and computational engineering.

**COMS W3261x and y Computer science theory**  
3 pts. Lect: 3. Professor Malkin.

Prerequisite: COMS W3203. Corequisites: COMS W3134, W3136, or W3137. Regular languages: deterministic and nondeterministic finite automata, regular expressions. Context-free languages: context-free grammars, push-down automata. Turing machines, the Chomsky hierarchy, and the Church-Turing thesis. Introduction to complexity theory and NP-completeness.

**COMS W3410y Computers and society**  
3 pts. Lect: 3. Professor Bellocin.

Broader impact of computers. Social networks and privacy. Employment, intellectual property, and the media. Science and engineering ethics. Suitable for nonmajors.

**CSEE W3827x and y Fundamentals of computer systems**

3 pts. Lect: 3. Professor Kim.

Prerequisite: An introductory programming course. Fundamentals of computer organization and digital logic. Boolean algebra, Karnaugh maps, basic gates and components, flip-flops and latches, counters and state machines, basics of combinational and sequential digital design. Assembly language, instruction sets, ALUs, single-cycle and multi-cycle processor design, introduction to pipelined processors, caches, and virtual memory.

**COMS W3902x and y Undergraduate thesis**  
1–6 pts. Members of the faculty.

Prerequisite: Agreement by faculty member to serve as thesis adviser. An independent theoretical or experimental investigation by an undergraduate major of an appropriate problem in computer science carried out under the supervision of a faculty member. A formal written report is mandatory and an oral presentation may also be required. May be taken over more than one term, in which case the grade is deferred until all 6 points have been completed. Consult the department for section assignment.

**COMS W3995x or y Special topics in computer science**

3 pts. Lect: 3.

Prerequisite: Instructor's permission. Consult the department for section assignment. Special topics arranged as the need and availability arise. Topics are usually offered on a one-time basis. Since the content of this course changes each time it is offered, it may be repeated for credit.

**COMS W3998x and y Undergraduate projects in computer science**

1–3 pts. Members of the faculty.

Prerequisite: Approval by a faculty member who agrees to supervise the work. Independent project involving laboratory work, computer programming, analytical investigation, or engineering design. May be repeated for credit, but not for a total of more than 3 points of degree credit. Consult the department for section assignment.

**COMS E3999x, y or s Fieldwork**

1–2 pts. Members of the faculty.

Prerequisites: Obtained internship and approval from a faculty adviser. May be repeated for credit, but no more than 3 total points may be used toward the 128-credit degree requirement. Only for SEAS computer science undergraduate students who include relevant off-campus work experience as part of their approved program of study. Final report and letter of evaluation may be required. May not be used as a technical or nontechnical elective. May not be taken for pass/fail credit or audited.

**ECBM E4060x Introduction to genomic information**

3 pts. Lect: 3. Professor Anastassiou.

Introduction to the information system paradigm of molecular biology. Representation, organization, structure, function, and manipulation of the biomolecular sequences of nucleic acids and proteins. The role of enzymes and gene regulatory elements in natural biological functions as well as in biotechnology and genetic engineering. Recombination and other macromolecular processes viewed as mathematical operations with simulation and visualization using simple computer programming. Shares lectures with ECBM E3060, but the work requirements differ somewhat.

**COMS W4111x and y Introduction to databases**

3 pts. Lect: 3. Professor Biliris or Wu.

Prerequisites: COMS W3134, W3136, or W3137, fluency in Java; or instructor's permission. The

fundamentals of database design and application development using databases: entity-relationship modeling, logical design of relational databases, relational data definition and manipulation languages, SQL, XML, query processing, physical database tuning, transaction processing, security. Programming projects are required.

**COMS W4112y Database system implementation**

3 pts. Lect: 2.5. Professor Ross.

Prerequisites: COMS W4111; fluency in Java or C++. CSEE W3827 is recommended. The principles and practice of building large-scale database management systems. Storage methods and indexing, query processing and optimization, materialized views, transaction processing and recovery, object-relational databases, parallel and distributed databases, performance considerations. Programming projects are required.

**COMS W4113x Fundamentals of large-scale distributed systems**

3 pts. Lect: 3. Professor Geambasu.

Prerequisites: COMS W3134, W3136, or W3137. COMS W3157 or good working knowledge of C and C++. COMS W4118 or CSEE W4119. Design and implementation of large-scale distributed and cloud systems. Abstractions, design, and implementation techniques that enable the building of fast, scalable, fault-tolerant distributed systems. Topics include distributed communication models (e.g., sockets, remote procedure calls, distributed shared memory), distributed synchronization (clock synchronization, logical clocks, distributed mutex), distributed file systems, replication, consistency models, fault tolerance, distributed transactions, agreement and commitment, Paxos-based consensus, MapReduce infrastructures, scalable distributed databases. Combines concepts and algorithms with descriptions of real-world implementations at Google, Facebook, Yahoo, Microsoft, LinkedIn, etc.

**COMS W4115x and y Programming languages and translators**

3 pts. Lect: 3. Professor Edwards.

Prerequisites: COMS W3134, W3136, or W3137 (or equivalent), W3261, and CSEE W3827, or instructor's permission. Modern programming languages and compiler design. Imperative, object-oriented, declarative, functional, and scripting languages. Language syntax, control structures, data types, procedures and parameters, binding, scope, run-time organization, and exception handling. Implementation of language translation tools including compilers and interpreters. Lexical, syntactic, and semantic analysis; code generation; introduction to code optimization. Teams implement a language and its compiler.

**COMS W4117x or y Compilers and interpreters**

3 pts. Lect: 3. Professor Aho.

Prerequisite: COMS W4115 or instructor's

permission. Continuation of COMS W4115, with broader and deeper investigation into the design and implementation of contemporary language translators, be they compilers or interpreters. Topics include parsing, semantic analysis, code generation and optimization, run-time environments, and compiler-compilers. A programming project is required.

### **COMS W4118x and y Operating systems, I**

*3 pts. Lect: 3. Professor Nieh.*

Prerequisites: CSEE W3827 and knowledge of C and programming tools as covered in W3136, W3157, or W3101, or instructor's permission. Design and implementation of operating systems. Topics include process management, process synchronization and interprocess communication, memory management, virtual memory, interrupt handling, processor scheduling, device management, I/O, and file systems. Case study of the UNIX operating system. A programming project is required.

### **CSEE W4119x and y Computer networks**

*3 pts. Lect: 3. Professor Schulzrinne or Rubenstein.*

Corequisite: IEOR E4150 or IEOR E3658 or equivalent. Introduction to computer networks and the technical foundations of the internet, including applications, protocols, local area networks, algorithms for routing and congestion control, security, elementary performance evaluation. Several written and programming assignments required.

### **COMS W4130x Principles and practice of parallel programming**

*3 pts. Lect: 2.5. Professor Kim.*

Prerequisites: Experience in Java, basic understanding of analysis of algorithms. COMS W3134, W3136, or W3137 (or equivalent). Principles of parallel software design. Topics include task and data decomposition, load-balancing, reasoning about correctness, determinacy, safety, and deadlock-freedom. Application of techniques through semester-long design project implementing performant, parallel application in a modern parallel programming language.

### **CSEE W4140x or y Networking laboratory**

*3 pts. Lect: 3. Professor Zussman.*

Prerequisite: CSEE W4119 or equivalent. Students will learn how to put "principles into practice," in a hands-on networking lab course. The course will cover the technologies and protocols of the internet using equipment currently available to large internet service providers such as CISCO routers and end systems. A set of laboratory experiments provides hands-on experience with engineering wide-area networks and will familiarize students with the Internet Protocol (IP), Address Resolution Protocol (ARP), Internet Control Message Protocol (ICMP), User Datagram Protocol (UDP) and Transmission Control Protocol (TCP), the

Domain Name System (DNS), routing protocols (RIP, OSPF, BGP), network management protocols (SNMP, and application-level protocols (FTP, TELNET, SMTP).

### **COMS W4156x Advanced software engineering**

*3 pts. Lect: 3. Professor Kaiser.*

Prerequisite: COMS W3157 or equivalent. Software lifecycle using frameworks, libraries, and services. Major emphasis on software testing. Centers on a team project.

### **COMS W4160y Computer graphics**

*3 pts. Lect: 3. Professor Reed.*

Prerequisite: COMS W3134, W3136, or W3137; W4156 is recommended. Strong programming background and some mathematical familiarity including linear algebra is required. Introduction to computer graphics. Topics include 3D viewing and projections, geometric modeling using spline curves, graphics systems such as OpenGL, lighting and shading, and global illumination. Significant implementation is required: the final project involves writing an interactive 3D video game in OpenGL.

### **COMS W4162x or y Advanced computer graphics**

*3 pts. Lect: 3. Professor Zheng.*

Prerequisite: COMS W4160 or equivalent, or instructor's permission. A second course in computer graphics covering more advanced topics including image and signal processing, geometric modeling with meshes, advanced image synthesis including ray tracing and global illumination, and other topics as time permits. Emphasis will be placed both on implementation of systems and important mathematical and geometric concepts such as Fourier analysis, mesh algorithms and subdivision, and Monte Carlo sampling for rendering. Note: Course will be taught every two years.

### **COMS W4167x or y Computer animation**

*3 pts. Lect: 3. Professor Grinspun.*

Prerequisite: Multivariable calculus, linear algebra, C++ programming proficiency. COMS W4156 recommended. Theory and practice of physics-based animation algorithms, including animated clothing, hair, smoke, water, collisions, impact, and kitchen sinks. Topics covered: integration of ordinary differential equations, formulation of physical models, treatment of discontinuities including collisions/contact, animation control, constrained Lagrangian Mechanics, friction/dissipation, continuum mechanics, finite elements, rigid bodies, thin shells, discretization of Navier-Stokes equations. General education requirement: quantitative and deductive reasoning (QUA).

### **COMS W4170x User interface design**

*3 pts. Lect: 3. Professor Chilton or Feiner.*

Prerequisite: COMS W3134, W3136, or W3137. Introduction to the theory and practice of computer user interface design, emphasizing the software design of graphical user interfaces. Topics include basic interaction devices and techniques, human factors, interaction styles, dialogue design, and

software infrastructure. Design and programming projects are required.

### **COMS W4172y 3D user interfaces and augmented reality**

*3 pts. Lect: 3. Professor Feiner.*

Prerequisite: COMS W4160 or W4170 or instructor's permission. Design, development, and evaluation of 3D user interfaces. Interaction techniques and metaphors, from desktop to immersive. Selection and manipulation. Travel and navigation. Symbolic, menu, gestural, and multimodal interaction. Dialogue design. 3D software support. 3D interaction devices and displays. Virtual and augmented reality. Tangible user interfaces. Review of relevant 3D math.

### **COMS W4180x or y Network security**

*3 pts. Lect: 3. Professor Cook.*

Prerequisites: COMS W3134, W3136, or W3137, and CSEE W4119, or instructor's permission. Introduction to network security concepts and mechanisms. Foundations of network security and an in-depth review of commonly used security mechanisms and techniques, security threats and network-based attacks, applications of cryptography, authentication, access control, intrusion detection and response, security protocols (IPsec, SSL, Kerberos), denial of service, viruses and worms, software vulnerabilities, web security, wireless security, and privacy. Note: May not earn credit for both W4180 and W4181.

### **COMS W4181x Security I**

*3 pts. Lect: 3. Professor Jana.*

Prerequisites: COMS W3157 or equivalent. Introduction to security. Threat models. Operating system security features. Vulnerabilities and tools. Firewalls, virtual private networks, viruses. Mobile and app security. Usable security. Note: May not earn credit for both W4181 and W4180 or W4187.

### **COMS W4182y Security II**

*3 pts. Lect: 3. Professor Bellovin.*

Prerequisites: COMS W4181, COMS W4118, CSEE W4119. Advanced security. Centralized, distributed, and cloud system security. Cryptographic protocol design choices. Hardware and software security techniques. Security testing and fuzzing. Blockchain. Human security issues. Note: May not earn credit for both W4182 and W4180 or W4187.

### **COMS W4186x Malware analysis and reverse engineering**

*3 pts. Lect: 3. Lab 3. Professor Sikorski.*

Prerequisites: COMS W3157 or equivalent; CSEE W3827. Hands-on analysis of malware. How hackers package and hide malware and viruses to evade analysis. Disassemblers, debuggers, and other tools for reverse engineering. Deep study of Windows Internals and x86 assembly.

### **COMS W4187x or y Security architecture and engineering**

3 pts. Lect: 3. Professor Bellovin.

Prerequisite: COMS W4118; W4180 and/or CSEE W4119 recommended. Secure programming. Cryptographic engineering and key handling. Access controls. Trade-offs in security design. Design for security.

Note: May not earn credit for both W4187 and W4182.

#### COMS W4203y Graph theory

3 pts. Lect: 3. Instructor to be announced.

Prerequisite: COMS W3203. General introduction to graph theory. Isomorphism testing, algebraic specification, symmetries, spanning trees, traversability, planarity, drawings on higher-order surfaces, colorings, extremal graphs, random graphs, graphical measurement, directed graphs, Burnside-Polya counting, voltage graph theory.

#### CSOR W4231x Analysis of algorithms, I

3 pts. Lect: 3. Professor Yannakakis, Breton Bishop, Drinea, or Andoni.

Prerequisites: COMS W3134, W3136 or W3137, and W3203. Introduction to the design and analysis of efficient algorithms. Topics include models of computation, efficient sorting and searching, algorithms for algebraic problems, graph algorithms, dynamic programming, probabilistic methods, approximation algorithms, and NP-completeness.

#### COMS W4236y Introduction to computational complexity

3 pts. Lect: 3. Professor Chen.

Prerequisite: COMS W3261. Develops a quantitative theory of the computational difficulty of problems in terms of the resources

(e.g., time, space) needed to solve them. Classification of problems into complexity classes, reductions and completeness. Power and limitations of different modes of computation such as nondeterminism, randomization, interaction and parallelism.

#### COMS W4241y Numerical algorithms and complexity

3 pts. Lect: 3. Instructor to be announced.

Prerequisite: Knowledge of a programming language. Some knowledge of scientific computation is desirable. Modern theory and practice of computation on digital computers. Introduction to concepts of computational complexity. Design and analysis of numerical algorithms. Applications to computational finance, computational science, and computational engineering.

#### STCS W4242x or y Introduction to data science

3 pts. Lect: 3. Professor Salleb-Aouissi.

Practical techniques for working with large-scale data. Topics include statistical modeling and machine learning, data pipelines, programming languages, "big data" tools, and real-world topics and case studies. Statistical and data manipulation software required. Intended for nonquantitative graduate-level disciplines.

#### COMS W4252x or y Introduction to computational learning theory

3 pts. Lect: 3. Professor Servedio.

Prerequisites: CSOR W4231 or COMS W4236 or W3203 and instructor's permission or COMS W3261 and instructor's permission. Possibilities and limitations of performing

learning by computational agents. Topics include computational models of learning, polynomial time learnability, learning from examples and learning from queries to oracles. Computational and statistical limitations of learning. Applications to Boolean functions, geometric functions, automata.

#### COMS W4261x or y Introduction to cryptography

3 pts. Lect: 2.5. Professor Malkin.

Prerequisites: Comfort with basic discrete math and probability. Recommended: COMS W3261 or CSOR W4231. An introduction to modern cryptography, focusing on the complexity-theoretic foundations of secure computation and communication in adversarial environments; a rigorous approach, based on precise definitions and provably secure protocols. Topics include private and public key encryption schemes, digital signatures, authentication, pseudorandom generators and functions, one-way functions, trapdoor functions, number theory and computational hardness, identification and zero knowledge protocols.

#### COMS W4281x or y Introduction to quantum computing

3 pts. Lect: 3. Instructor to be announced.

Prerequisite: Knowledge of linear algebra. Prior knowledge of quantum mechanics is not required although helpful. Introduction to quantum computing. Shor's factoring algorithm, Grover's database search algorithm, the quantum summation algorithm. Relationship between classical and quantum computing. Potential power of quantum computers.



**EECS E4340x Computer hardware design**

*3 pts. Lect: 2. Lab: 3. Professor Sethumadhavan.*  
Prerequisites: ELEN E3331 and CSEE W3827. Practical aspects of computer hardware design through the implementation, simulation, and prototyping of a PDP-8 processor. High-level and assembly languages, I/O, interrupts, datapath and control design, pipelining, busses, memory architecture. Programmable logic and hardware prototyping with FPGAs. Fundamentals of VHDL for register-transfer level design. Testing and validation of hardware. Hands-on use of industry CAD tools for simulation and synthesis.

**COMS W4419x or y Technology, economics, and policy**

*3 pts. Lect: 3. Professor Schulzrinne.*  
Technology, economics, and policy aspects of the internet. Summarizes how the internet works technically, including protocols, standards, radio spectrum, global infrastructure and interconnection. Micro-economics with a focus on media and telecommunication economic concerns, including competition and monopolies, platforms, and behavioral economics. U.S. constitution, freedom of speech, administrative procedures act and regulatory process, universal service, role of FCC. Not a substitute for CSEE W4119. Suitable for non-majors. May not be used as a track elective for computer science major.

**COMS W4444x Programming and problem solving**

*3 pts. Lect: 3. Professor Ross.*  
Prerequisites: COMS W3134, W3136, or W3137, and CSEE W3827. Hands-on introduction to solving open-ended computational problems. Emphasis on creativity, cooperation, and collaboration. Projects spanning a variety of areas within computer science, typically requiring the development of computer programs. Generalization of solutions to broader problems, and specialization of complex problems to make them manageable. Team-oriented projects, student presentations, and in-class participation required.

**COMS W4460y Principles of innovation and entrepreneurship**

*3 pts. Lect: 3. Professor Yemini.*  
Prerequisites: COMS W3134, W3136, or W3137 (or equivalent), or instructor's permission. Team project-centered course focused on principles of planning, creating, and growing a technology venture. Topics include identifying and analyzing opportunities created by technology paradigm shifts, designing innovative products, protecting intellectual property, engineering innovative business models.

**MECS E4510x Evolutionary computation and design automation**

*3 pts. Lect: 3. Professor Lipson.*  
Prerequisite: Basic programming experience in any language. Fundamental and advanced topics in evolutionary algorithms and their application

to open-ended optimization and computational design. Covers genetic algorithms, genetic programming, and evolutionary strategies, as well as governing dynamic of coevolution and symbiosis. Includes discussions of problem representations and applications to design problems in a variety of domains including software, electronics, and mechanics.

**COMS W4560x Introduction to computer applications in health care and biomedicine**

*3 pts. Lect: 3.*  
Prerequisites: Experience with computers and a passing familiarity with medicine and biology. Undergraduates in their senior or junior years may take this course only if they have adequate background in mathematics and receive permission from the instructor. An overview of the field of biomedical informatics, combining perspectives from medicine, computer science, and social science. Use of computers and information in health care and the biomedical sciences, covering specific applications and general methods, current issues, capabilities and limitations of biomedical informatics. Biomedical Informatics studies the organization of medical information, the effective management of information using computer technology, and the impact of such technology on medical research, education, and patient care. The field explores techniques for assessing current information practices, determining the information needs of health care providers and patients, developing interventions using computer technology, and evaluating the impact of those interventions.

**MECS E4603x Applied robotics: algorithms and software**

*3 pts. Lect: 3. Professor Ciocarlie.*  
Prerequisites: Fundamental programming skills (e.g., COMS W1002, W1004, W1005, ENGI E1006, or equivalent). Science and systems aspects of Robotics from applied perspective, focusing on algorithms and software tools. Spatial reasoning; tools for manipulating and visualizing spatial relationships. Analysis of robotic manipulators; numerical methods for kinematic analysis. Motion planning, search-based and stochastic approaches. Applications for force and impedance control. Grading based on combination of exams and projects implemented using Robot Operating System (ROS) software framework and executed on real and simulated robotic manipulators.

**COMS W4701x or y Artificial intelligence**

*3 pts. Lect: 3. Professor Salleb-Aouissi.*  
Prerequisite: COMS W3134, W3136, or W3137 and any course on probability. Recommended: Prior knowledge of Python. Overview of Artificial Intelligence (AI) covering Search, Problem Solving, Game Playing, Knowledge Representation, Propositional logic, Predicate Calculus (first order logic), Reasoning under uncertainty, Machine Learning, and other topics in AI (including vision, natural language processing, and robotics) as time permits.

**COMS W4705x Natural language processing**

*3 pts. Lect: 3. Professor Collins or McKeown.*  
Prerequisite: COMS W3134, W3136, or W3137 (or equivalent), or instructor's permission. Computational approaches to natural language generation and understanding. Recommended preparation: Some previous or concurrent exposure to AI or machine learning. Topics include information extraction, summarization, machine translation, dialogue systems, and emotional speech. Particular attention is given to robust techniques that can handle understanding and generation for the large amounts of text on the web or in other large corpora. Programming exercises in several of these areas.

**COMS W4706y Spoken language processing**

*3 pts. Lect: 3. Professor Hirschberg.*  
Prerequisite: COMS W3134, W3136, or W3137 (or equivalent), or instructor's permission. Computational approaches to speech generation and understanding. Topics include speech recognition and understanding, speech analysis for computational linguistics research, and speech synthesis. Speech applications including dialogue systems, data mining, summarization, and translation. Exercises involve data analysis and building a small text-to-speech system.

**COMS W4725x or y Knowledge representation and reasoning**

*3 pts. Lect: 3.*  
Prerequisite: COMS W4701. General aspects of knowledge representation (KR). The two fundamental paradigms (semantic networks and frames) and illustrative systems. Topics include hybrid systems, time, action/plans, defaults, abduction, and case-based reasoning. Throughout the course particular attention is paid to design trade-offs between language expressiveness and reasoning complexity, and issues relating to the use of KR systems in larger applications.

**COMS W4731x or y Computer vision**

*3 pts. Lect: 3. Professor Nayar.*  
Prerequisites: The fundamentals of calculus, linear algebra, and C programming. Students without any of these prerequisites are advised to contact the instructor prior to taking the course. Introductory course in computer vision. Topics include image formation and optics, image sensing, binary images, image processing and filtering, edge extraction and boundary detection, region growing and segmentation, pattern classification methods, brightness and reflectance, shape from shading and photometric stereo, texture, binocular stereo, optical flow and motion, 2D and 3D object representation, object recognition, vision systems and applications.

**COMS W4733x or y Computational aspects of robotics**

*3 pts. Lect: 3. Professor Allen.*  
Prerequisite: COMS W3134, W3136, or W3137. Introduction to robotics from a computer science

perspective. Topics include coordinate frames and kinematics, computer architectures for robotics, integration and use of sensors, world modeling systems, design and use of robotic programming languages, and applications of artificial intelligence for planning, assembly, and manipulation.

**COMS W4735x or y Visual interfaces to computers**

*3 pts. Lect: 3. Professor Kender.*

Prerequisite: COMS W3134, W3136, or W3137. Visual input as data and for control of computer systems. Survey and analysis of architecture, algorithms, and underlying assumptions of commercial and research systems that recognize and interpret human gestures, analyze imagery such as fingerprint or iris patterns, generate natural language descriptions of medical or map imagery. Explores foundations in human psychophysics, cognitive science, and artificial intelligence.

**COMS W4737x or y Biometrics**

*3 pts. Lect: 3. Professor Belhumeur.*

Prerequisite: A background at the sophomore level in computer science, engineering, or like discipline. We will explore the latest advances in biometrics as well as the machine learning techniques behind them. Students will learn how these technologies work and how they are sometimes defeated. Grading will be based on homework assignments and a final project. There will be no midterm or final exam. Shares lectures with COMS E6737. Students taking COMS E6737 are required to complete additional homework problems and undertake a more rigorous final project. Students will only be allowed to earn credit for COMS W4737 or COMS E6737 but not both.

**EECS E4750x or y Heterogeneous computing for signal and data processing**

*3 pts. Lect: 2. Professor Marianetti.*

Prerequisites: ELEN E3801 and COMS W3134 or similar, recommended. Methods for deploying signal and data processing algorithms on contemporary general purpose graphics processing units (GPUs) and heterogeneous computing infrastructures. Using programming languages such as OpenCL and CUDA for computational speedup in audio, image, and video processing and computational data analysis. Significant design project.

**CBMF W4761x or y Computational genomics**

*3 pts. Lect: 3. Professor Pe'er.*

Prerequisites: Introductory probability and statistics and basic programming skills. Provides comprehensive introduction to computational techniques for analyzing genomic data including DNA, RNA and protein structures; microarrays; transcription and regulation; regulatory, metabolic and protein interaction networks. The course covers sequence analysis algorithms, dynamic programming, hidden Markov models, phylogenetic analysis, Bayesian network techniques, neural networks, clustering algorithms, support vector machines, Boolean models of regulatory networks, flux based analysis of metabolic networks and

scale-free network models. The course provides self-contained introduction to relevant biological mechanisms and methods.

**COMS W4771y Machine learning**

*3 pts. Lect: 3. Professor Kale or Hsu.*

Prerequisites: Any introductory course in linear algebra and any introductory course in statistics are both required. Highly recommended: COMS W4701 or knowledge of artificial intelligence.

Topics from generative and discriminative machine learning including least squares methods, support vector machines, kernel methods, neural networks, Gaussian distributions, linear classification, linear regression, maximum likelihood, exponential family distributions, Bayesian networks, Bayesian inference, mixture models, the EM algorithm, graphical models and hidden Markov models. Algorithms implemented in MATLAB.

**COMS W4772x Advanced machine learning**

*3 pts. Lect: 3. Professor Hsu.*

Prerequisites: COMS W4771 or instructor's permission; knowledge of linear algebra and introductory probability or statistics is required. An exploration of advanced machine learning tools for perception and behavior learning. How can machines perceive, learn from, and classify human activity computationally? Topics include appearance-based models, principal and independent components analysis, dimensionality reduction, kernel methods, manifold learning, latent models, regression, classification, Bayesian methods, maximum entropy methods, real-time tracking, extended Kalman filters, time series prediction, hidden Markov models, factorial HMMs, input-output HMMs, Markov random fields, variational methods, dynamic Bayesian networks, and Gaussian/Dirichlet processes. Links to cognitive science.

**COMS W4776x Machine learning for data science**

*3 pts. Lect: 3. Professor Jebara.*

Prerequisites: IEOR E4150 or STAT GU4001 or equivalent, COMS W3251 or equivalent. Introduction to machine learning, emphasis on data science. Topics include least square methods, Gaussian distributions, linear classification, linear regression, maximum likelihood, exponential family distributions, Bayesian networks, Bayesian inference, mixture models, the EM algorithm, graphical models, hidden Markov models, support vector machines kernel methods. Emphasizes methods and problems relevant to big data. Students may not receive credit for both COMS W4771 and W4776.

**CSEE W4823x or y Advanced logic design**

*3 pts. Lect: 3. Professor Nowick.*

Prerequisite: CSEE W3827, or a half-semester introduction to digital logic, or equivalent. An introduction to modern digital system design. Advanced topics in digital logic: controller synthesis (Mealy and Moore machines); adders and multipliers; structured logic

blocks (PLDs, PALs, ROMs); iterative circuits. Modern design methodology: register transfer level modeling (RTL); algorithmic state machines (ASMs); introduction to hardware description languages (VHDL or Verilog); system-level modeling and simulation; design examples.

**CSEE W4824x Computer architecture**

*3 pts. Lect: 3. Professor Sethumadhavan.*

Prerequisite: CSEE W3827 or equivalent. Focuses on advanced topics in computer architecture, illustrated by case studies from classic and modern processors. Fundamentals of quantitative analysis. Pipelining. Memory hierarchy design. Instruction-level and thread-level parallelism. Data-level parallelism and graphics processing units. Multiprocessors. Cache coherence. Interconnection networks. Multi-core processors and systems-on-chip. Platform architectures for embedded, mobile, and cloud computing.

**CSEE W4840y Embedded systems**

*3 pts. Lect: 3. Professor Edwards.*

Prerequisite: CSEE W4823. Embedded system design and implementation combining hardware and software. I/O, interfacing, and peripherals. Weekly laboratory sessions and term project on design of a microprocessor-based embedded system including at least one custom peripheral. Knowledge of C programming and digital logic required. Lab required.

**CSEE E4868x or y System-on-chip platforms**

*3 pts. Lect: 3. Professor Carloni.*

Prerequisites: COMS W3157 and CSEE W3827. Design and programming of System-on-Chip (SoC) platforms. Topics include overview of technology and economic trends, methodologies and supporting CAD tools for system-level design; models of computation, the SystemC language, transaction-level modeling, hardware-software partitioning, high-level synthesis, system programming, on-chip communication, memory organization, power management and optimization, integration of programmable processor cores and specialized accelerators. Case studies of modern SoC platforms for various classes of applications.

**COMS W4901x and y Projects in computer science**

*1–3 pts. Members of the faculty.*

Prerequisite: Approval by a faculty member who agrees to supervise the work. A second-level independent project involving laboratory work, computer programming, analytical investigation, or engineering design. May be repeated for credit, but not for a total of more than 3 points of degree credit. Consult the department for section assignment.

**COMS W4995x or y Special topics in computer science, I**

*3 pts. Lect: 3. Members of the faculty.*

Prerequisite: Instructor's permission. Special topics arranged as the need and availability arises. Topics are usually offered on a one-time basis. Since the content of this course changes each time it is

offered, it may be repeated for credit. Consult the department for section assignment.

**COMS W4996x or y Special topics in computer science, II**

3 pts. Lect: 3.

Prerequisite: Instructor's permission. A continuation of COMS W4995 when the special topic extends over two terms.

**COMS E6111y Advanced database systems**

3 pts. Lect: 2. Professor Gravano.

Prerequisites: COMS W4111 and knowledge of Java or instructor's permission. Continuation of COMS W4111, covers latest trends in both database research and industry: information retrieval, web search, data mining, data warehousing, OLAP, decision support, multimedia databases, and XML and databases. Programming projects required.

**COMS E6113y Topics in database systems**

3 pts. Lect: 2.

Prerequisite: COMS W4111. Concentration on some database paradigm, such as deductive, heterogeneous, or object-oriented, and/or some database issue, such as data modeling, distribution, query processing, semantics, or transaction management. A substantial project is typically required. May be repeated for credit with instructor's permission.

**COMS E6114y Advanced distributed systems**

3 pts. Lect: 2. Professor Geambasu.

Prerequisites: COMS W4113 and W4118. Reviews influential research that provides the basis of most large-scale, cloud infrastructures today. Students read, present, and discuss papers. Topics include distributed consensus, consistency models and algorithms, service-oriented architectures, large-scale data storage, distributed transactions, big-data processing frameworks, distributed systems security. Reviews established results and state-of-the-art research.

**COMS E6117x or y Topics in programming languages and translators**

3 pts. Lect: 2. Professor Aho.

Prerequisite: COMS W4115 or instructor's permission. Concentration on the design and implementation of programming languages, and tools focused on advanced applications in new areas in software verification, distributed systems, programming in the large, and web computing. A substantial project is typically required. May be repeated for credit.

**COMS E6118y Operating systems, II**

3 pts. Lect: 2. Professor Nieh.

Prerequisite: COMS W4118. Corequisite: CSEE W4119. Continuation of COMS W4118, with emphasis on distributed operating systems. Topics include interfaces to network protocols, distributed run-time binding, advanced virtual memory issues, advanced means of interprocess communication, file system design, design for extensibility, security in a distributed environment. Investigation is deeper and more hands-on than in COMS W4118. A programming project is required.

**COMS E6121x Reliable software**

3 pts. Lect: 3. Professor Yang.

Prerequisite: at least one of COMS W4118, W4115, or W4117, or significant software development experiences. Topics include automated debugging, automated software repair, concurrent software reliability, software error detection, and more.

**COMS E6123x or y Programming environments and software tools (PEST)**

3 pts. Lect: 2. Professor Kaiser.

Prerequisite: COMS W4156 or equivalent. Software methodologies and technologies concerned with development and operation of today's software systems. Reliability, security, systems management and societal issues. Emerging software architectures such as enterprise and grid computing. Term paper and programming project. Seminar focus changes frequently to remain timely.

**COMS E6125y Web-enhanced information management (WHIM)**

3 pts. Lect: 2. Professor Kaiser.

Prerequisites: At least one COMS W41xx or COMS E61xx course and/or COMS W4444, or instructor's permission. Strongly recommended: COMS W4111. History of hypertext, markup languages, groupware and the web. Evolving web protocols, formats and computation paradigms such as HTTP, XML and Web Services. Novel application domains enabled by the web and societal issues. Term paper and programming project. Seminar focus changes frequently to remain timely.

**COMS E6156y Topics in software engineering**

3 pts. Lect: 2. Professor Kaiser.

Topics in software engineering arranged as the need and availability arises. Topics are usually offered on a one-time basis. Since the content of this course changes, it may be repeated for credit with adviser approval. Consult the department for section assignment. Note: Example of a past course that would fit into this: Programming environments and software tools. Description: Software methodologies and technologies concerned with development and operation of today's software systems. Reliability, security, systems management, and societal issues. Emerging software architectures such as enterprise and grid computing. Term paper and programming project.

**COMS E6160x or y Topics in computer graphics**

3 pts. Lect: 2. Professor Belhumeur.

Prerequisite: COMS W4160 or instructor's permission. An advanced graduate course, involving study of an advanced research topic in Computer Graphics. Content varies between offerings, and the course may be repeated for credit. Recent offerings have included appearance models in graphics, and high quality real-time rendering.

**COMS E6174y Interaction design: a perceptual approach**

3 pts. Lect: 3.

Prerequisite: COMS W4170 or instructor's permission. Design methodology for special-purpose user interfaces. Emphasis on how psychology and perception inform good design. Interviewing and task modeling, participatory design, and low-fidelity prototyping. Applications of brain research, graphic design and art to develop custom user interfaces components, screen layouts, and interaction techniques for application-specific systems.

**COMS E6176x or y User interfaces for mobile and wearable computing**

3 pts. Lect: 2. Professor Feiner.

Prerequisite: COMS W4170 or instructor's permission. Introduction to research on user interfaces for mobile and wearable computing through lectures, invited talks, student-led discussions of important papers, and programming projects. Designing and authoring for mobility and wearability. Ubiquitous/pervasive computing. Collaboration with other users. Display, interaction, and communication technologies. Sensors for tracking position, orientation, motion, environmental context, and personal context. Applications and social consequences.

**CSEE E6180x or y Modeling and performance**

3 pts. Lect: 2. Professor Misra.

Prerequisites: COMS W4118 and STAT GU4001. Introduction to queuing analysis and simulation techniques. Evaluation of time-sharing and multiprocessor systems. Topics include priority queuing, buffer storage, and disk access, interference and bus contention problems, and modeling of program behaviors.

**COMS E6181x or y Advanced internet services**

3 pts. Lect: 2. Professor Schulzrinne.

In-depth survey of protocols and algorithms needed to transport multimedia information across the internet, including audio and video encoding, multicast, quality-of-service, voice-over IP, streaming media and peer-to-peer multimedia systems. Includes a semester-long programming project.

**COMS E6183x or y Advanced topics in network security**

3 pts. Lect: 3. Professor Jana.

Prerequisites: COMS W4180, CSEE W4119 and COMS W4261 recommended. Review the fundamental aspects of security, including authentication, authorization, access control, confidentiality, privacy, integrity, and availability. Review security techniques and tools, and their applications in various problem areas. Study the state of the art in research. A programming project is required.

**COMS E6184y Seminar on anonymity and privacy**

3 pts. Lect: 3.

Prerequisite: COMS W4261 or W4180 or CSEE

W4119 or instructor's permission. Covers the following topics: Legal and social framework for privacy. Data mining and databases. Anonymous commerce and internet usage. Traffic analysis. Policy and national security considerations. Classes are seminars with students presenting papers and discussing them. Seminar focus changes frequently to remain timely.

**COMS E6185x or y Intrusion and anomaly detection systems**

3 pts. Lect: 2. Professor Stolfo.

Pre- or corequisite: COMS W4180 Network security. The state of threats against computers, and networked systems. An overview of computer security solutions and why they fail. Provides a detailed treatment for network and host-based intrusion detection and intrusion prevention systems. Considerable depth is provided on anomaly detection systems to detect new attacks. Covers issues and problems in e-mail (spam, and viruses) and insider attacks (masquerading and impersonation).

**COMS E6232x or y Analysis of algorithms, II**

3 pts. Lect: 2.

Prerequisites: CSOR W4231. Continuation of CSOR W4231.

**COMS E6253y Advanced topics in computational learning theory**

3 pts. Lect: 3. Professor Servedio.

Prerequisite: CSOR W4231 or equivalent; COMS W4252 or W4236 helpful but not required.

In-depth study of inherent abilities and limitations of computationally efficient learning algorithms. Algorithms for learning rich Boolean function classes in online, Probably Approximately Correct, and exact learning models. Connections with computational complexity theory emphasized. Substantial course project or term paper required.

**COMS E6261x or y Advanced cryptography**

3 pts. Lect: 3. Professor Malkin or Breton Bishop.

Prerequisite: COMS W4261. A study of advanced cryptographic research topics such as: secure computation, zero knowledge, privacy, anonymity, cryptographic protocols. Concentration on theoretical foundations, rigorous approach, and provable security. Content varies between offerings. May be repeated for credit.

**COMS E6291x or y Theoretical topics in computer science**

3 pts. Lect: 3. Professor Rocco Servedio.

Prerequisite: Instructor's permission. Concentration on some theoretical aspect of computer science. Content varies from year to year. May be repeated for credit.

**EECS E6321y Advanced digital electronic circuits**

3 pts. Lect: 2. Professor Seok.

Prerequisite: EECS E4321. Advanced topics in the design of digital integrated circuits. Clocked and nonclocked combinational logic styles.

Timing circuits: latches and flip-flops, phase-locked loops, delay-locked loops. SRAM and DRAM memory circuits. Modeling and analysis of on-chip interconnect. Power distribution and power-supply noise. Clocking, timing, and synchronization issues. Circuits for chip-to-chip electrical communication. Advanced technology issues that affect circuit design. The class may include a team circuit design project.

**COMS E6424x Hardware security**

3 pts. Lect: 2. Professor Sethumadhayan.

Prerequisite: CSEE W3827 and COMS W3157; Recommended: CSEE W4824 and COMS W4187. Techniques for securing the foundational aspects of all computing devices and systems. Topics include hardware-up security, hardware supply chain trust and security, storing secrets in hardware, boot time trust and security, side channel attacks and defenses, hardware support for compartmentalization, fault attacks and defenses, hardware support to strengthen software: memory safety, control flow integrity, information flow tracking, diversity, obfuscation, anomaly detection. Hardware support for accelerating cryptography and applied cryptography.

**CSEE W6600x or y From data to solutions**

3 pts. Lect: 3. Professor Hirschberg or Chang.

Prerequisites: Familiarity with common data science methods and tools. Must be able to write coherent weekly summary. Intended for students interested in data science and interdisciplinary research. Covers problems in medical research, journalism, history, economics, business, English, psychology, and other areas amenable to computational approaches.

**EECS E6690-6699x or y Topics in data driven analysis and computation**

3 pts. Lect: 2. Members of the faculty.

Prerequisite: the instructor's permission. Selected advanced topics in data-driven analysis and computation. Content varies from year to year, and different topics rotate through the course numbers 6690 to 6699.

**STCS GU6701x or y Foundations of graphical models**

3 pts. Lect: 3. Professor Blei.

Prerequisites: Basic probability and statistics, calculus, and some optimization. Ability to write software to analyze data. Knowledge of programming language for statistics and machine learning, such as R or Python. PhD-level course on development and use of probability models. Covers mathematical properties, algorithms, and their application to real problems. In-depth understanding of cutting-edge modern probabilistic modeling. Weekly papers, assignments, and final project required.

**COMS E6731y Humanoid robots**

3 pts. Lect: 2. Professor Allen.

Prerequisite: A course in at least one of the following: AI, robotics, computer graphics, or computer vision. Seminar on humanoid robots.

Analysis of existing hardware and software platforms. Programming of multi-degree-of-freedom robots. Understanding sensor feedback in perceive-act-sense control paradigms. Task-level planning and reasoning. Final project includes implementing a humanoid robot task on either a simulated or physical robot.

**COMS E6732x or y Computational imaging**

3 pts. Lect: 3. Professor Nayar.

Prerequisite: COMS W4731 or instructor's permission. Computational imaging uses a combination of novel imaging optics and a computational module to produce new forms of visual information. Survey of the state-of-the-art in computational imaging. Review of recent papers on omnidirectional and panoramic imaging, catadioptric imaging, high dynamic range imaging, mosaicing and superresolution. Classes are seminars with the instructor, guest speakers, and students presenting papers and discussing them.

**COMS E6733x or y 3D photography**

3 pts. Lect: 2. Professor Allen.

Prerequisite: Experience with at least one of the following topics: Computer graphics, computer vision, pixel processing, robotics or computer-aided design, or permission of instructor. Programming proficiency in C, C++, or JAVA. 3D Photography—the process of automatically creating 3D, texture-mapped models of objects in detail. Applications include robotics, medicine, graphics, virtual reality, entertainment and digital movies etc. Topics include 3D data acquisition devices, 3D modeling systems and algorithms to acquire, create, augment, manipulate, render, animate and physically build such models.

**COMS E6734y Computational photography**

3 pts. Lect: 3. Professor Belhumeur.

Prerequisites: COMS W4160, W4731, or a working knowledge of photography are recommended. Students should have knowledge in any of three core areas: computer vision, computer graphics, or photography. Computational techniques are used to produce a new level of images and visual representations. Topics include HDR imaging, feature matching using RANSAC, image mosaics, image-based rendering, motion magnification, camera lens arrays, programmable lighting, face detection, single and multiview geometry, and more.

**COMS E6735y Visual databases**

3 pts. Lect: 3. Professor Kender.

Prerequisite: COMS W3134, W3136, or W3137 (or equivalent). COMS W4731 and W4735 helpful but not required. Contact instructor if uncertain. The analysis and retrieval of large collections of image and video data, with emphasis on visual semantics, human psychology, and user interfaces. Low-level processing: features and similarity measures; shot detection; key frame selection; machine learning methods for classification. Middle-level processing: organizational rules for videos, including unedited (home, educational), semimediated (sports, talk shows), edited (news, drama); human memory limits; progressive

refinement; visualization techniques; incorporation of audio and text. High-level processing: extraction of thematic structures; ontologies, semantic filters, and learning; personalization of summaries and interfaces; detection of pacing and emotions. Examples and demonstrations from commercial and research systems throughout. Substantial course project or term paper required.

#### **COMS E6737x or y Biometrics**

*3 pts. Lect: 3. Professor Belhumeur.*

Prerequisite: Background at the sophomore level in computer science, engineering, or like discipline. Corequisites: None. We will explore the latest advances in biometrics as well as the machine learning techniques behind them. Students will learn how these technologies work and how they are sometimes defeated. Grading will be based on homework assignments and a final project. There will be no midterm or final exam. Shares lectures with COMS W4737. Students taking COMS E6737 are required to complete additional homework problems and undertake a more rigorous final project. Students will only be allowed to earn credit for COMS W4737 or COMS E6737 but not both.

#### **CSEE E6824y Parallel computer architecture**

*3 pts. Lect: 2. Professor Sethumadhavan.*

Prerequisite: CSEE W4824. Parallel computer principles, machine organization and design of parallel systems including parallelism detection methods, synchronization, data coherence and interconnection networks. Performance analysis and special purpose parallel machines.

#### **CSEE E6847y Distributed embedded systems**

*3 pts. Lect: 2.*

Prerequisite: Any COMS W411X, CSEE W48XX, or ELEN E43XX course, or instructor's permission. An interdisciplinary graduate-level seminar on the design of distributed embedded systems. System robustness in the presence of highly variable communication delays and heterogeneous component behaviors. The study of the enabling technologies (VLSI circuits, communication protocols, embedded processors, RTOSs), models of computation, and design methods. The analysis of modern domain-specific applications including on-chip micro-networks, multiprocessor systems, fault-tolerant architectures, and robust deployment of embedded software. Research challenges such as design complexity, reliability, scalability, safety, and security. The course requires substantial reading, class participation and a research project.

#### **CSEE E6861y Computer-aided design of digital systems**

*3 pts. Lect: 2. Professor Nowick.*

Prerequisites: (i) one semester of advanced digital logic (CSEE W4823 or equivalent, or instructor's permission); and (ii) a basic course in data structures and algorithms COMS W3134, W3136, W3137, W3157, or equivalent, and familiarity with programming. Introduction to modern digital CAD synthesis and optimization techniques. Topics include modern digital system design (high-

level synthesis, register-transfer level modeling, algorithmic state machines, optimal scheduling algorithms, resource allocation and binding, retiming), controller synthesis and optimization, exact and heuristic two-level logic minimization, advanced multilevel logic optimization, optimal technology mapping to library cells (for delay, power and area minimization), advanced data structures (binary decision diagrams), SAT solvers and their applications, static timing analysis, and introduction to testability. Includes hands-on small design projects using and creating CAD tools.

#### **CSEE E6863 Formal verification of hardware and software systems**

*3 pts. Lect: 2. Professor Theobald or Ivancic.*

Prerequisite: COMS W3134, W3136, or W3137 and COMS W3261. Introduction to the theory and practice of formal methods for the design and analysis of correct (i.e., bug-free) concurrent and embedded hardware/software systems. Topics include temporal logics; model checking; deadlock and liveness issues; fairness; satisfiability (SAT) checkers; binary decision diagrams (BDDs); abstraction techniques; introduction to commercial formal verification tools. Industrial state-of-the-art, case studies, and experiences: software analysis (C/C++/Java), hardware verification (RTL).

#### **CSEE E6868x or y Embedded scalable platforms**

*3 pts. Lect: 2. Professor Carloni.*

Prerequisites: CSEE W4868 or instructor's permission. Interdisciplinary graduate-level seminar on design and programming of embedded scalable platforms. Content varies between offerings to cover timely relevant issues and latest advances in system-on-chip design, embedded software programming, and electronic design automation. Requires substantial reading of research papers, class participation, and semester-long project.

#### **EECS E6870x or y Speech recognition**

*3 pts. Lect: 3. Members of the faculty.*

Prerequisites: Basic probability and statistics. Theory and practice of contemporary automatic speech recognition. Gaussian mixture distributions, hidden Markov models, pronunciation modeling, decision trees, finite-state transducers, and language modeling. Selected advanced topics will be covered in more depth.

#### **COMS E6900x and y Tutorial in computer science**

*1–3 pts. Members of the faculty.*

Prerequisite: Instructor's permission. A reading course in an advanced topic for a small number of students, under faculty supervision.

#### **COMS E6901x Projects in computer science**

*1–12 pts. Members of the faculty.*

Prerequisite: Instructor's permission. Software or hardware projects in computer science. Before registering, the student must submit a written proposal to the instructor for review. The proposal should give a brief outline of the project, estimated

schedule of completion, and computer resources needed. Oral and written reports are required. May be taken over more than one semester, in which case the grade will be deferred until all 12 points have been completed. No more than 12 points of COMS E6901 may be taken. Consult the department for section assignment.

#### **COMS E6902x and y Thesis**

*1–9 pts. Members of the faculty.*

Available to M.S. and CSE candidates. An independent investigation of an appropriate problem in computer science carried out under the supervision of a faculty member. A formal written report is essential and an oral presentation may also be required. May be taken over more than one semester, in which case the grade will be deferred until all 9 points have been completed. No more than 9 points of COMS E6902 may be taken. Consult the department for section assignment.

#### **COMS E6910x and y Fieldwork**

*1 pt. Members of the faculty.*

Prerequisites: Obtained internship and approval from faculty adviser. Only for M.S. students in the Computer Science Department who need relevant work experience as part of their program of study. Final report required. May not be taken for pass/fail credit or audited.

#### **COMS E6915y Technical writing for computer scientists and engineers**

*1 pt. Members of the faculty.*

Available to M.S. or Ph.D. candidates in CS/CE. Topics to help CS/CE graduate students' communication skills. Emphasis on writing, presenting clear, concise proposals, journal articles, conference papers, theses, and technical presentations. May be repeated for credit. Credit may not be used to satisfy degree requirements.

#### **COMS E6998x and y Topics in computer science**

*3 pts. Members of the faculty.*

Prerequisite: Instructor's permission. Selected topics in computer science. Content varies from year to year. May be repeated for credit.

#### **COMS E6999x and y Topics in computer science, II**

*3 pts.*

Prerequisite: COMS E6998. Continuation of COMS E6998.

#### **COMS E9800x and y Directed research in computer science**

*1–15 pts. Members of the faculty.*

Prerequisites: Submission of outline of proposed research for approval by faculty member who will supervise. The department must approve the number of points. May be repeated for credit. Only for Eng.Sc.D. candidates.

#### **COMS E9910x and y Graduate research, I**

*1–6 pts. Members of the faculty.*

Prerequisites: Submission of an outline of the proposed research for approval by the faculty member who will supervise. The department must

approve the number of credits. May be repeated for credit. Only for M.S. candidates holding GRA or TA appointments. Note: It is NOT required that a student take Graduate research, I prior to taking Graduate research, II. Consult the department for section assignment.

**COMS E9911x and y Graduate research, II**

*1-15 pts. Members of the faculty.*

Prerequisites: Submission of an outline of the proposed research for approval by the faculty member who will supervise. The department must

approve the number of points. May be repeated for credit. Only for M.S./Ph.D. track and Ph.D. students. Note: It is NOT required that a student take Graduate research, I prior to taking Graduate research, II. Consult the department for section assignment.

Henry Krumb School of Mines  
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#### OUR MISSION

*Earth and Environmental Engineering at the Henry Krumb School of Mines fosters excellence in education and research for the development and application of science and technology to maximize the quality of life for all, through the sustainable use and responsible management of Earth's resources.*



DEPARTMENT CHAIR  
Upmanu Lall

DEPARTMENT  
ADMINISTRATOR  
Fernanda Gamez

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Xi Chen  
Upmanu Lall  
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SPECIAL RESEARCH  
SCIENTIST  
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SENIOR RESEARCH  
SCIENTIST  
Vasilis M. Fthenakis

ASSOCIATE RESEARCH  
SCIENTISTS  
Joon Ho Ahn  
Athanasios Boutsalas  
Irina Chernyshova  
Seungbu Park  
Partha Patra  
Xiaozhou Zhou

### EARTH RESOURCES AND THE ENVIRONMENT

The Earth and Environmental Engineering program fosters education and research in the development and application of technology for the sustainable development, use, and integrated management of Earth's resources. Resources are identified as minerals, energy, water, air, and land, as well as the physical, chemical, and biological components of the environment. There is close collaboration with other engineering disciplines, the Lamont-Doherty Earth Observatory, the International Research Institute for Climate Prediction, the Center for Environmental Research and Conservation, and other Columbia Earth Institute units.

### THE HENRY KRUMB SCHOOL OF MINES AT COLUMBIA UNIVERSITY

The School of Mines of Columbia University was the first mining and metallurgy school in the U.S. (1864). It became the foundation for Columbia's School of Engineering and Applied Science and later the home of the

Department of Mining, Metallurgical and Mineral Engineering. However, the title "School of Mines" was retained by Columbia University honoris causa. You can see the bronze statue of The Metallurgist (Le Marteleur) in front of Columbia's Mudd Hall that was named after an alumnus of the School of Mines.

One century after its formation, the School of Mines was renamed Henry Krumb School of Mines (HKSM) in honor of the generous alumnus of the School of Mines and his wife, Lavon Duddleson Krumb. HKSM has been a leader in mining and metallurgy research and education, including the first mining handbook by Professor Peel, the first mineral processing handbook by Professor Taggart, and other pioneering work in mineral beneficiation, chemical thermodynamics, kinetics, transport phenomena in mineral extraction and processing, ecological and environmentally responsible mining, and pursuit of state-of-the-art research advancing responsible use of our earth resources. The Henry Krumb School of Mines located in The Fu Foundation School of Engineering and Applied Science offers students interested in mining and metallurgy the opportunity

to focus their studies in these fields within the department of Earth and Environmental Engineering.

In 1986, HKSM was designated by Governor Cuomo as the mining and Mineral Resources Research Institute of the State of New York.

The Henry Krumb School of Mines within The Fu Foundation School of Engineering and Applied Science (SEAS) has three units:

- **The Department of Earth and Environmental Engineering (eee.columbia.edu) (EEE):**

With the creation of the Earth Institute at Columbia University, a major initiative in the study of Earth, its environment and society, the traditional programs of HKSM in mining, mineral processing, and extractive metallurgy were expanded in the late nineties to encompass environmental concerns related to the use of materials, energy and water resources, and to reflect one of nine departments of SEAS with a focus on the development and application of technology for the sustainable development, use and integrated management of Earth's resources.

- Columbia's interdepartmental program in **Materials Science and Engineering (matsci.columbia.edu) (MSE)** is the successor of the HKSM traditional physical metallurgy program and focuses on the design of advanced materials and the effects of composition and processing on material properties. This program, administered by the Department of Applied Physics and Applied Mathematics, is described on page 179.
- **The Earth Engineering Center (seas.columbia.edu/earth)** is the engineering unit of The Earth institute and is dedicated to directing engineering research toward the reconfiguring of industrial activities with full understanding of their environmental impacts. Several faculty of the HKSM are associated with the Earth Engineering Center.

As a result of the vast developments in the technologies and fields of environmental management, in 1996 and 1998 respectively, the engineering school created the M.S. program in Earth Resources Engineering and the B.S. program in Earth and Environmental Engineering to meet the needs of a changed society. Students interested in the traditional disciplines of mining, mineral engineering and metallurgy continue to study these fields through the Earth and Environmental Engineering department course offerings as well as the course offerings through the Material Science and Engineering program.

The B.S. program in Earth and Environmental Engineering was initiated in the fall of 1998 to replace the mining/mineral/extractive metallurgy programs of HKSM and is now accredited by ABET.

### **EARTH AND ENVIRONMENTAL ENGINEERING (EEE)**

Starting in 1996, the educational programs of Columbia University in mining and mineral engineering were transformed into the present program in Earth and Environmental Engineering (EEE). This program is concerned with the environmentally sound extraction and processing of primary materials

(minerals, fuels, water), the management and development of land and water resources, and the recycling or disposal of used materials. EEE offers the Bachelor of Science (B.S.) in Earth and Environmental Engineering, the Master of Science (M.S.) in Earth and Environmental Engineering, and the doctorate degrees (Ph.D., Eng.Sc.D.) in EEE.

The EEE program welcomes Combined Plan students. An EEE minor is offered to all Columbia engineering students who want to enrich their academic record by concentrating some of their technical electives on Earth/Environment subjects. There is close collaboration between EEE and the Departments of Civil Engineering and Earth and Environmental Sciences, including several joint appointments.

### **RESEARCH CENTERS ASSOCIATED WITH EARTH AND ENVIRONMENTAL ENGINEERING**

**Columbia Water Center.** The Center was established in 2008 to address issues of Global Water Security. It currently has 3 major initiatives:

*The Global Water Sustainability Initiative* is focused on an assessment of global water scarcity and risk, and innovations across scales, from farmer's field to reservoir optimization to national policy modifications to international trade, to develop real world solutions to an impending global water crisis. This includes the development of new agro-water and chemical sensor systems to improve water use efficiency and reduce non-point-source pollution as well as field studies on how to get farmers to use them; comprehensive modeling and optimization of regional crop and energy facility siting to improve water sustainability and income; field experiments of water/energy pricing policy changes; participatory reservoir management using climate scenarios, elicited stakeholder values, option contracts and insurance; and models for replicable community-managed rural drinking water systems. Active field research projects are in India, China, Brazil, and Peru.

*The Global Flood Initiative* recognizes that of all natural hazards, floods are responsible for the largest average annual loss of property and life. They

are also a significant contributor to pollutant loading and environmental impact in water bodies. In a globalized society the disruption of food, energy, and manufactured goods supply chains by floods has also emerged as an issue. The initiative is developing state-of-the-art climate analyses for global flood risk projection, its mapping onto supply chains, and risk management using novel structural and financial tools.

*America's Water* is the third major initiative. It is driven by the goal of developing sustainable water management and infrastructure design paradigms for the 21<sup>st</sup> century, recognizing the linkages between urban functioning, food, water, energy, and climate. It seeks to pull together a comprehensive understanding of the issues facing water infrastructure in the USA. These include the financing of and investment in the replacement of aging infrastructure; pricing and allocating water, given changing values and climate; the management of the total urban water cycle through new technologies and network topologies; groundwater depletion and national food and economic futures; and novel opportunities for flood risk management and non-point-source pollution mitigation.

In addition, the department has active research on improving the efficiency of water use, reclamation and recycling in natural resource processing industries, and on the use of environmental microbiology for wastewater treatment and energy conversion. State-of-the-art methods from molecular genomics are being developed and used to address nitrification and denitrification in wastewater treatment and energy production.

### **Center for Life Cycle Analysis (CLCA).**

The Center for Life Cycle Analysis (CLCA) of Columbia University was formed in the spring of 2006 with the objective of conducting comprehensive life cycle analyses of energy systems. CLCA provides a framework for quantifying the potential environmental impacts of material and energy inputs and outputs of a process or product from "cradle to grave." The mission of the Center is to guide technology and energy policy decisions with data-based, well-balanced, and transparent

descriptions of the environmental profiles of energy generation and storage systems in current and future electricity grids. Current research thrusts include:

- **Solar energy grid integration:** The CLCA is engaged in model development and technical and environmental systems analyses of renewable energy integration into electricity grids. It is developing models for evaluating and optimizing energy storage units for ramping rate control in photovoltaic power plants, optimizing penetration of solar and wind resources, and unit commitment and economic dispatch of conventional generators to compensate for solar and wind variability in large-scale penetrations.
- **Resource assessment and recycling of critical energy materials variability:** The CLCA, together with the Brookhaven National Laboratory are developing technologies for optimizing recycling of various elements from end-of-life photovoltaic systems and infrastructures for their collection.
- **Life-cycle environmental and environmental health and safety (EH&S) risk assessment:** Risk- and CLCA-based comparisons of solar electric and conventional energy technologies in collaboration with Brookhaven National Laboratory and several European, South American, and Asian institutions.

For more information: [clca.columbia.edu](http://clca.columbia.edu); e-mail: [vmf5@columbia.edu](mailto:vmf5@columbia.edu).

#### **Earth Engineering Center (EEC).**

EEC was formed in 1995 with the original mission to direct engineering research at Columbia on processes and products that balance the increasing use of materials by humanity with the need for clean air, water, and soil. EEC introduced the teaching of industrial ecology, was the first engineering unit of Columbia's Earth Institute, and co-organized the 1997 Global Warming International Conference (GW8) at Columbia University. As of 1998, EEC has concentrated on advancing the goals of sustainable waste management in the U.S. and globally. Economic development has resulted in the generation of billions

of tons of used materials that can be a considerable resource, but when not managed properly, constitute a major environmental problem both in developed and developing nations. In 2003, in collaboration with the Energy Recovery Council of the U.S., EEC founded the Waste to Energy Research and Technology Council (WTER). As of 2013, the Global WTER Council ([www.wtert.org](http://www.wtert.org)) has sister organizations in 14 countries including Canada, China, Germany, Greece, India, Italy, Mexico, and the U.K. Over the years, WTER research at Columbia has engaged many M.S. and Ph.D. students on all aspects of waste management (see [www.wtert.org](http://www.wtert.org), Publications, Theses). EEC conducts a biannual survey of waste management in the 50 states of the Union.

#### **Industry/University Cooperative Research Center for Particulate and Surfactant Systems (CPaSS).**

CPaSS was established in 1998 by the Henry Krumb School of Mines, Department of Chemical Engineering, and Department of Chemistry at Columbia University. The Center encompasses detailed structure-property assessment of several classes of surface-active molecules, including oligomeric, polymeric, and biomolecules. The aim of CPaSS is to develop and characterize novel surfactants for industrial applications such as coatings, dispersions, deposition, gas hydrate control, personal care products, soil decontamination, waste treatment, corrosion prevention, flotation, and controlled chemical reactions. The proposed research thus focuses on the design and development of specialty surfactants, characterization of their solution and interfacial behavior, and identification of suitable industrial application for these materials.

The goals of CPaSS are to perform industrially relevant research to address the technological needs in commercial surfactant and polymer systems, develop new and more efficient surface-active reagents for specific applications in the industry and methodologies for optimizing their performance, promote the use of environmentally benign surfactants in a wide array of technological processes, and build a resource center to perform

and provide state-of-the-art facilities for characterization of surface-active reagents: [columbia.edu/cu/iucrc](http://columbia.edu/cu/iucrc).

#### **International Research Institute for Climate Prediction (IRI).**

The IRI is the world's leading institute for the development and application of seasonal to interannual climate forecasts. The mission of the IRI is to enhance society's capability to understand, anticipate, and manage the impacts of seasonal climate fluctuations, in order to improve human welfare and the environment, especially in developing countries. This mission is to be conducted through strategic and applied research, education and capacity building, and provision of forecast and information products, with an emphasis on practical and verifiable utility and partnerships.

#### **Langmuir Center for Colloids and Interfaces (LCCI).**

This Center brings together experts from mineral engineering, applied chemistry, chemical engineering, biological sciences, and chemistry to probe complex interactions of colloids and interfaces with surfactants and macromolecules. LCCI activities involve significant interaction with industrial sponsors and adopt an interdisciplinary approach toward state-of-the-art research on interfacial phenomena. Major areas of research at LCCI are thin films, surfactant and polymer adsorption, environmental problems, enhanced oil recovery, computer tomography, corrosion and catalysis mechanisms, membrane technology, novel separations of minerals, biocolloids, microbial surfaces, and interfacial spectroscopy.

#### **Lenfest Center for Sustainable Energy.**

The mission of the Lenfest Center for Sustainable Energy is to develop technologies and institutions to ensure a sufficient supply of environmentally sustainable energy for all humanity. To meet this goal, the Center supports research programs in energy science, engineering, and policy across Columbia University to develop technical and policy solutions that will satisfy the world's future energy needs without threatening to destabilize Earth's natural systems.

The mission of the Lenfest Center is shaped by two global challenges. First, the Center seeks to reduce the

## EARTH AND ENVIRONMENTAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3) or MATH UN1207 (4)	MATH UN1102 (3) MATH UN1208 (4)	APMA E2000 (4) <sup>1</sup>	APMA E2101 (3) MATH UN2030 (3) ODE
<b>PHYSICS</b>	UN1401 (3) or UN1601 (3.5) or UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	CHEM UN2443 (3.5) or PHYS UN1403 (3) or PHYS UN2601 (3.5) or BIOL UN2005 (4)	
<b>CHEMISTRY</b>	UN1403 (3.5) and Lab UN1500 (3) either semester or UN1604 (3.5) or UN2045 (3.5)	UN1404 (3.5) UN1507 (3) UN2046 (3.5) and Lab UN1507 (3)		
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ENGL CC1010 (3)		HUMA CC1001, COCI CC1101, or Global Core (3-4)	HUMA CC1002, COCI CC1102, or Global Core (3-4)  ECON UN1105 (4) and UN1155 recitation (0)  HUMA UN1121 or UN1123 (3)
<b>REQUIRED PROFESSIONAL AND TECHNICAL ELECTIVES</b>			EESC GU4001 (4) or EESC UN2100 (4.5) or EESC UN2200 (4.5)  EEAE E2100 (3) A better planet by design	STAT GU4001 (3)
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) any semester			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> Effective Class of 2021.

emission of carbon dioxide into the atmosphere and to forestall a disruption of global climate systems that would impose negative consequences for human welfare. Second, the Center seeks to create energy options that will meet the legitimate energy demands of a larger and increasingly wealthy

world population. In order to meet these two challenges, the Center seeks to develop new sources, technologies, and infrastructures. The Lenfest Center focuses primarily on the technological and institutional development of the three energy resources sufficient to support the world's

projected population in 2100 without increased carbon emissions: solar, nuclear, and fossil fuels combined with carbon capture and storage. Although each of these options can, in theory, be developed on a scale to satisfy global demand, they each face a combination of technological and institutional obstacles

## EARTH AND ENVIRONMENTAL ENGINEERING: THIRD AND FOURTH YEARS

	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>	EAAE E3103 (3) Energy, minerals, and material systems	CIEE E3255 (3) Environmental control and pollution reduction systems	EAAE E3998 (2) Undergraduate design project	EAAE E3999 (2) Undergraduate design project
	CIEE E4252 (3) Environmental engineering	CIEE E3250 (3) Hydrosystems engineering	EAAE E4003 (3) Aquatic chemistry	
	EAAE E3200 (3) Applied transport and chemical rate phenomena	EAAE E4160 (3) Solid and hazardous waste management	EAAE E3801 (2) Earth and environmental engineering lab, II	
	CHEE E3010 (3) Principles of chemical engineering thermodynamics	EAAE E3800 (2) Earth and environmental engineering lab, I  EAAE E3901 (3) Environmental microbiology		
<b>TECHNICAL ELECTIVES</b>		3 points	6 points	9 points
<b>NONTECHNICAL ELECTIVES</b>	3 points		3 points	6 points
<b>TOTAL POINTS</b>	15	17	16	17

that demand research and development before they can be deployed.

The Center's main activities are based within the range of natural science and engineering disciplines. At the same time, it integrates technological research with analysis of the institutional, economic, and political context within which energy technologies are commercialized and deployed. For more information: [energy.columbia.edu](http://energy.columbia.edu).

### SCHOLARSHIPS, FELLOWSHIPS, AND INTERNSHIPS

The department arranges for undergraduate summer internships after the sophomore and junior years. Undergraduates can also participate in graduate research projects under the work-study program. Graduate research and teaching assistantships, as well as

fellowships funded by the Department, are available to qualified graduate students. GRE scores are required of all applicants for graduate studies.

### UNDERGRADUATE PROGRAM

The Bachelor of Science (B.S.) degree in Earth and Environmental Engineering prepares students for careers in the public and private sector concerned with primary materials (minerals, fuels, water) and the environment. Graduates are also prepared to continue with further studies in Earth/Environmental sciences and engineering, business, public policy, international studies, law, and medicine. The EEE program is accredited as an environmental engineering program by the Engineering Accreditation Commission of ABET.

### What Is Earth and Environmental Engineering?

It is now recognized by the U.S. and other nations that continuing economic development must be accompanied by intelligent use of Earth's resources and that engineers can contribute much to the global efforts for sustainable development. The technologies that have been developed for identifying, extracting, and processing primary materials are also being applied to the twenty-first-century problems of resource recovery from used materials, pollution prevention, and environmental remediation. The EEE undergraduate program encompasses these technologies.

### Undergraduate Program Objectives

1. Graduates equipped with the necessary tools (mathematics, chemistry, physics, Earth sciences,

and engineering science) will understand and implement the underlying principles used in the engineering of processes and systems.

2. Graduates will be able to pursue careers in industry, government agencies, and other organizations concerned with the environment and the provision of primary and secondary materials and energy, as well as continue their education as graduate students in related disciplines.
3. Graduates will possess the basic skills needed for the practice of Earth and Environmental Engineering, including measurement and control of material flows through the environment; assessment of environmental impact of past, present, and future industrial activities; and analysis and design of processes for remediation, recycling, and disposal of used materials.
4. Graduates will practice their profession with excellent written and communication skills and with professional ethics and responsibilities.

## The Curriculum

The first two years of the EEE program are similar to those of other engineering programs. Students are provided with a strong foundation in basic sciences and mathematics, as well as the liberal arts core. Specific to the EEE program is an early and sustained introduction to Earth science and environmental engineering, and options for a number of science courses to meet the specific interests of each student. The junior and senior years of the program consist of a group of required courses in engineering science and a broad selection of technical electives organized into three distinct concentrations, representing major areas of focus within the department.

Several Columbia departments, such as Civil Engineering, Mechanical Engineering, and Earth and Environmental Sciences (Lamont-Doherty Earth Observatory), as well as the Mailman School of Public Health, contribute courses to the EEE program. EEE students are strongly encouraged to work as summer interns in industry or agencies on projects related to Earth and Environmental Engineering. The department helps students get summer internships.

## Technical Elective Concentrations

Students majoring in Earth and Environmental Engineering select one of the following three preapproved technical elective concentrations. Note that the eight-course sequence for each preapproved concentration includes two science courses during sophomore year (fall semester) and six technical elective courses during junior and senior years.

Any deviations from a preapproved concentration must be approved by an undergraduate faculty adviser. Alternatives for junior/senior electives within each concentration are listed, and others may be considered among 3000- to 4000-level courses of any Columbia Engineering department, as well as courses listed in the section "Courses in Other Divisions" in this bulletin. However, at least four of the six junior/senior electives must consist of engineering topics. Alternatives for sophomore-year science courses are shown in the EEE program table.

A student may also choose to develop an individual concentration conforming to his/her specific interests, provided that it satisfies ABET engineering accreditation criteria. Therefore, this must be developed in close consultation with and approved by a faculty adviser.

## Water Resources and Climate Risks Concentration

### Preapproved course sequence:

- PHYS UN1403:** Introduction to classical and quantum waves (SEM III)  
**EESC UN2100:** Climate system (SEM III)  
**EAAE E4006:** Field methods for environmental engineering (SEM VI)  
**EAAE E4009:** GIS for resource, environmental, and infrastructure management (SEM VII)  
**EAAE E4350:** Planning and management of urban hydrologic systems (SEM VII)  
**EAAE E4257:** Environmental data analysis and modeling (SEM VIII)  
**ECIA W4100:** Management and development of water systems (SEM VIII)  
**CIEE E4257:** Groundwater contaminant transport and remediation

### Alternatives for junior/senior electives:

- EAAE E4001:** Industrial ecology of Earth resources  
**EESC GU4008:** Introduction to atmospheric science  
**CIEE E4163:** Environmental engineering: wastewater  
**APPH E4200:** Physics of fluids  
**CIEN E4250:** Waste containment design and practice  
**CIEN E4255:** Flow in porous media

**EESC GU4401:** Quantitative models of climate-sensitive natural and human systems

**EESC GU4404:** Regional dynamics, climate and climate impacts

## Sustainable Energy and Materials Concentration

### Preapproved course sequence:

- CHEM UN2443:** Organic chemistry (SEM III)  
**EESC UN2200:** Solid earth system (SEM III)  
**EESC GU4001:** Advanced general geology (SEM III)  
**MECE E3311:** Heat transfer (SEM VI)  
**EAAE E4001:** Industrial ecology of Earth resources (SEM VII)  
**EAAE E4361:** Economics of Earth resource industries (SEM VII)  
**EAAE E4190:** Photovoltaic systems engineering and sustainability (SEM VII)  
**MECE E4302:** Advanced thermodynamics (SEM VIII)  
**EESC UN3015:** The Earth's carbon cycle (SEM VIII)  
**MECE E4211:** Energy: sources and conversion (SEM VIII)  
**CHEE E4140:** Engineering separations processes

### Alternatives for junior/senior electives:

- CHEM UN3071:** Introduction to inorganic chemistry  
**MSAE E3103:** Elements of materials science  
**CHEN E3110:** Transport phenomena, I  
**CHEN E3120:** Transport phenomena, II  
**EESC GU4008:** Introduction to atmospheric science  
**EAAE E4210:** Thermal treatment of waste and biomass materials  
**CHEM GU4230:** Statistical thermodynamics  
**EAAE E4550:** Catalysis for emissions control  
**EACE E4560:** Particle technology

## Environmental Health Engineering Concentration

### Preapproved course sequence:

- CHEM UN2443:** Organic chemistry (SEM III)  
**EESC UN2100:** Climate system (SEM III)  
**EAAE E4006:** Field methods for environmental engineering (SEM VI)  
**EAAE E4009:** GIS for resource, environmental and infrastructure management (SEM VII)  
**EHSC P6300:** Environmental health sciences (SEM VII)  
**EAAE E4257:** Environmental data analysis and modeling (SEM VIII)  
**EAAE E4150:** Air pollution prevention and control (SEM VIII)  
**EHSC P6309:** Biochemistry basic to environmental health (SEM VIII)

### Alternatives for junior/senior electives:

- EAAE E4001:** Industrial ecology of Earth resources  
**CIEE E4163:** Environmental engineering: wastewater  
**CIEE E4257:** Groundwater contaminant transport and remediation  
**EAAE E4900:** Applied transport and chemical rate phenomena  
**EAAE E4950:** Environmental biochemical processes

## GRADUATE PROGRAMS

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### M.S. in Earth and Environmental Engineering (M.S.-EEE)

The M.S.-EEE program is designed for engineers and scientists who plan to pursue, or are already engaged in, environmental management/development careers. The focus of the program is the environmentally sound mining and processing of primary materials (minerals, energy, and water) and the recycling or proper disposal of used materials. The program also includes technologies for assessment and remediation of past damage to the environment. Students can choose a pace that allows them to complete the M.S.-EEE requirements while being employed.

M.S.-EEE graduates are specially qualified to work for engineering, financial, and operating companies engaged in mineral processing ventures, the environmental industry, environmental groups in all industries, and for city, state, and federal agencies responsible for the environment and energy/resource conservation. At the present time, the U.S. environmental industry comprises nearly 30,000 big and small businesses with total revenues of more than \$150 billion. Sustainable development and environmental quality has become a top priority of government and industry in the United States and many other nations.

This M.S. program is offered in collaboration with the Departments of Civil Engineering and Earth and Environmental Sciences. Many of the teaching faculty are affiliated with Columbia's Earth Engineering Center.

For students with a B.S. in engineering, at least 30 points (ten courses) are required. For students with a nonengineering B.S. or a B.A., preferably with a science major, up to 48 points (total of sixteen courses) may be required for makeup courses. Students may carry out a research project and write a thesis worth 3–6 points. A number of areas of study are available for the M.S.-EEE, and students may choose courses that match their interest

and career plans. The areas of study include:

- Alternative energy and carbon management
- Climate risk assessment and management
- Environmental health engineering
- Sustainable waste management
- Natural and mineral resource development and management
- Novel technologies: surfacial and colloidal chemistry and nanotechnology
- Urban environments and spatial analysis

Additionally, there are three optional concentrations in the program, in each of which there are a number of required specific core courses and electives. The concentrations are described briefly below; details and the lists of specific courses for each track are available from the department. Students interested in a specific focus in Mining Engineering or related fields should consult their faculty adviser for relevant course listings.

### Water Resources and Climate Risks

Climate-induced risk is a significant component of decision making for the planning, design, and operation of water resource systems, and related sectors such as energy, health, agriculture, ecological resources, and natural hazards control. Climatic uncertainties can be broadly classified into two areas: (1) those related to anthropogenic climate change; (2) those related to seasonal- to century-scale natural variations. The climate change issues impact the design of physical, social, and financial infrastructure systems to support the sectors listed above. The climate variability and predictability issues impact systems operation, and hence design. The goal of the M.S. concentration in water resources and climate risks is to provide (1) a capacity for understanding and quantifying the projections for climate change and variability in the context of decisions for water resources and related sectors of impact; and (2) skills for integrated risk assessment and management for operations and design, as well as for regional policy analysis and management. Specific areas of interest include:

- Numerical and statistical modeling of global and regional climate systems and attendant uncertainties
- Methods for forecasting seasonal to interannual climate variations and their sectoral impacts
- Models for design and operation of water resource systems, considering climate and other uncertainties
- Integrated risk assessment and management across water resources and related sectors

### Sustainable Energy

Building and shaping the energy infrastructure of the twenty-first century is one of the central tasks for modern engineering. The purpose of the sustainable energy concentration is to expose students to modern energy technologies and infrastructures and to the associated environmental, health, and resource limitations. Emphasis will be on energy generation and use technologies that aim to overcome the limits to growth that are experienced today. Energy and economic well-being are tightly coupled. Fossil fuel resources are still plentiful, but access to energy is limited by environmental and economic constraints. A future world population of 10 billion people trying to approach the standard of living of the developed nations cannot rely on today's energy technologies and infrastructures without severe environmental impacts. Concerns over climate change and changes in ocean chemistry require reductions in carbon dioxide emissions, but most alternatives to conventional fossil fuels, including nuclear energy, are too expensive to fill the gap. Yet access to clean, cheap energy is critical for providing minimal resources: water, food, housing, and transportation.

Concentration-specific classes will sketch out the availability of resources, their geographic distribution, the economic and environmental cost of resource extraction, and avenues for increasing energy utilization efficiency, such as cogeneration, district heating, and distributed generation of energy. Classes will discuss technologies for efficiency improvement in the generation and consumption sector; energy recovery from solid wastes; alternatives to fossil fuels, including solar and wind

energy, and nuclear fission and fusion; and technologies for addressing the environmental concerns over the use of fossil fuels and nuclear energy. Classes on climate change, air quality, and health impacts focus on the consequences of energy use. Policy and its interactions with environmental sciences and energy engineering will be another aspect of the concentration. Additional specialization may consider region-specific energy development.

### Sustainable Waste Management

Humanity generates nearly 2 billion tons of municipal solid wastes (MSW) annually. Traditionally, these wastes have been discarded in landfills that have a finite lifetime and then must be replaced by converting more greenfields to landfills. This method is not sustainable because it wastes land and valuable resources. Also, it is a major source of greenhouse gases and of various contaminants of air and water. In addition to MSW, the U.S. alone generates billions of tons of industrial and extraction wastes. Also, the by-product of water purification is a sludge or cake that must be disposed in some way. The IWM concentration prepares engineers to deal with the major problem of waste generation by exposing them to environmentally better means for dealing with wastes: waste reduction, recycling, composting, and waste-to-energy via combustion, anaerobic digestion, or gasification. Students are exposed not only to the technical aspects of integrated waste management but also to the associated economic, policy, and urban planning issues.

Since the initiation of the Earth and environmental engineering program in 1996, there have been several graduate research projects and theses that exemplify the engineering problems that will be encompassed in this concentration:

- Design of an automated materials recovery facility
- Analysis of the bioreactor landfill
- Generation of methane by anaerobic digestion of organic materials
- Design of corrosion inhibitors
- Flocculation modeling
- Analysis of formation of dioxins in high-temperature processes

- Combination of waste-to-energy and anaerobic digestion
- Application of GIS in siting new WTE facilities
- Corrosion phenomena in WTE
- Combustion chambers
- Mathematical modeling of transport phenomena in a combustion chamber
- Effect of oxygen enrichment on combustion of paper and other types of solid wastes
- Feasibility study and design of WTE facilities

### M.S. in Carbon Management

Interdisciplinary training with a core curriculum in engineering, ecology, decision science, business, and law and policy. Courses that individually embody the interdisciplinary nature of carbon management, from science to engineering, to business, law, policy, and psychology. Preparation of well-rounded professionals with an understanding of the science of climate change and carbon management, as well as their economic, financial, political, and societal implications. Graduates may serve as consultants, carbon market specialists, REDD program developers, financial planners, or policy staff, to name a few.

### Doctoral Programs

EEE offers two doctoral degrees:

- (1) the Eng.Sc.D. degree, administered by Columbia Engineering; and
- (2) the Ph.D. degree, administered by the Graduate School of Arts and Sciences.

### Doctoral Qualifying Examination and Research Proposal

Before the end of the first semester in the doctoral program, the student and her/his adviser will set up an advisory committee of two or three faculty members. This committee will meet at least once a semester to assess academic and research progress of the student and to recommend corrective action in case of emerging or existing deficiencies.

Doctoral students are required to pass a qualifying exam within their first year into the program. They will submit and defend their research proposal approximately one year after successful completion of the qualifying exam. Submission of the dissertation

and thesis defense will follow general University rules.

The qualifying examination will be an oral exam administered by three faculty members. The adviser of the student will be a member of the exam committee but may not be the chair. The students will be examined in their understanding of fundamentals as they apply in the areas of research of the department: water resources, materials processing, energy, and chemical and biochemical processes. It is expected that each question period will last about 20 minutes, of which 15 minutes will be led by the faculty member from the area and the remaining 5 minutes will be open for questions by all faculty present at the exam. There will be a final period of 20 minutes for general questions.

All graduate students are expected to have a background equivalent to the required core of our undergraduate program. They have, of course, an opportunity to make up for any deficiency in their master's program. In order to be prepared for the exam, students can take at least one course in each core area during their first two semesters at Columbia (see website for up-to-date course listing). In case the student declares an explicit minor in another department, the qualifying exam requirements will be modified in consultation with the graduate committee. The minor has to be approved by both departments.

The engineering objectives of EEE research and education include:

- **Provision and disposal of materials:** environmentally sustainable extraction and processing of primary materials; manufacturing of derivative products; recycling of used materials; management of industrial residues and used products; materials-related application of industrial ecology.
- **Management of water resources:** understanding, prediction, and management of the processes that govern the quantity and quality of water resources, including the role of climate; development/operation of water resource facilities; management of water-related hazards.
- **Energy resources and carbon management:** mitigation of environmental impacts of energy

production; energy recovery from waste materials; advancement of energy efficient systems; new energy sources; development of carbon sequestration strategies.

- **Sensing and remediation:** understanding of transport processes at different scales and in different media; containment systems; modeling flow and transport in surface and subsurface systems; soil/water decontamination and bioremediation.

## COURSES IN EARTH AND ENVIRONMENTAL ENGINEERING

### EAAE E2002x Alternative energy resources

*3 pts. Lect: 3. Instructor to be announced.*  
Unconventional, alternative energy resources. Technological options and their role in the world energy markets. Comparison of conventional and unconventional, renewable and nonrenewable energy resources and analysis of the consequences of various technological choices and constraints. Economic considerations, energy availability, and the environmental consequences of large-scale, widespread use of each particular technology. Introduction to carbon dioxide capture and carbon dioxide disposal as a means of sustaining the fossil fuel option.

### EAAE E2100x A better planet by design

*3 pts. Lect: 3. Professors Lall and Yip.*  
Introduction to design for a sustainable planet. Scientific understanding of the challenges. Innovative technologies for water, energy, food, materials provision. Multiscale modeling and conceptual framework for understanding environmental, resource, human, ecological, and economic impacts and design performance evaluation. Focus on the linkages between planetary, regional, and urban water, energy, mineral, food, climate, economic, and ecological cycles. Solution strategies for developed and developing country settings.

### CHEE E3010x Principles of chemical engineering thermodynamics

*3 pts. Lect: 3. Professor Kumar.*  
Prerequisite: CHEM UN1403. Corequisite: CHEN E3030. Introduction to thermodynamics. Fundamentals are emphasized: the laws of thermodynamics are derived and their meaning explained and elucidated by applications to engineering problems. Pure systems are treated, with an emphasis on phase equilibrium.

### EAAE E3101y Earth resource production systems

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Technologies and equipment common to a wide range of surface and subsurface engineering activities: mine reclamation, hazardous waste remediation, discovering and operating surface and underground mines, detection and removal

of hidden underground objects, waste disposal, dredging and harbor rehabilitation, and tunneling for transportation or water distribution systems. These methods and equipment are examined as they apply across the spectrum from mining to environmental engineering projects. The aim is to provide a broad background for earth and environmental engineers in careers involving minerals and industrial, large-scale environmental projects.

### EAAE E3103x Energy, minerals, and materials systems

*3 pts. Lect: 3. Professor Park.*  
Prerequisites: MSAE E3111 or MECE E3301 and ENME E3161 or MECE E3100 or equivalent  
Corequisites: MSAE E3111 or MECE E3301 and ENME E3161 or MECE E3100 or equivalent.  
Overview of energy resources, resource management from extraction and processing to recycling and final disposal of wastes. Resources availability and resource processing in the context of the global natural and anthropogenic material cycles; thermodynamic and chemical conditions including nonequilibrium effects that shape the resource base; extractive technologies and their impact on the environment and the biogeochemical cycles; chemical extraction from mineral ores, and metallurgical processes for extraction of metals. In analogy to metallurgical processing, power generation and the refining of fuels are treated as extraction and refining processes. Large scale of power generation and a discussion of its impact on the global biogeochemical cycles.

### EAAE E3112y Introduction to rock mechanics

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: EAAE E3101 and ENME 3111, or their equivalents. Rock as an engineering material, geometry and strength of rock joints, geotechnical classification of rock masses, strength and failure of rock, field investigations prior to excavation in rock, rock reinforcement, analysis and support of rock slopes and tunnels, and case histories.

### MSAE E3141y Processing of metals and semiconductors

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: MSAE E3103 or equivalent.  
Synthesis and production of metals and semiconductors with engineered microstructures for desired properties. Includes high-temperature, aqueous, and electrochemical processing; thermal and mechanical processing of metals and alloys; casting and solidification; diffusion, microstructural evolution, and phase transformations; modification and processing of surfaces and interfaces; deposition and removal of thin films. Processing of Si and other materials for elemental and compound semiconductor-based electronic, magnetic, and optical devices.

### EAAE E3185y Summer fieldwork for Earth and environmental engineers

*0.5 pt. Not offered in 2018–2019.*  
Undergraduates in Earth and environmental engineering may spend up to 3 weeks in the field under staff direction. The course consists of mine, landfill, plant, and major excavation site visits and

brief instruction of surveying methods. A final report is required.

### EAAE E3200x Applied transport and chemical rate phenomena

*3 pts. Lect: 3. Professor Chen.*  
Prerequisite: APMA E2101. Fluid statics. Basics of flow analysis. Dimensional analysis. Pipe flow. Fluid dynamics, heat and mass transfer. Effects of velocity, temperature, and concentration gradients and material properties on fluid flow, heat and mass transfer. Applications to environmental engineering problems.

### EAAE E3221x Environmental geophysics

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Introduction to applied and environmental geophysics methods. Overview of principles of geophysics, geophysical methods and techniques (seismic, ground penetrating radar, resistivity, frequency em, and magnetics), and theory and practical aspects of data processing and inversion. Examination of geophysical case studies for engineering and environmental purposes.

### CIEE E3250y Hydrosystems engineering

*3 pts. Lect: 3. Professor Gentine.*  
Prerequisites: CHEN E3110 or ENME E3161 or equivalent, or instructor's permission. A quantitative introduction to hydrologic and hydraulic systems, with a focus on integrated modeling and analysis of the water cycle and associated mass transport for water resources and environmental engineering. Coverage of unit hydrologic processes such as precipitation, evaporation, infiltration, runoff generation, open channel and pipe flow, subsurface flow and well hydraulics in the context of example watersheds and specific integrative problems such as risk-based design for flood control, provision of water, and assessment of environmental impact or potential for non-point source pollution. Spatial hydrologic analysis using GIS and watershed models.

### CIEE E3255y Environmental control and pollution reduction systems

*3 pts. Lect: 3. Professor Farrauto.*  
Prerequisite: EAAE E3200 or ENME E3161 or MECE E3100. Sources of solid/gaseous air pollution and the technologies used for modern methods of abatement. Air pollution and its abatement from combustion of coal, oil, and natural gas and the thermodynamics of heat engines in power generation. Catalytic emission control is contrasted to thermal processes for abating carbon monoxide, hydrocarbons, oxides of nitrogen and sulfur from vehicles and stationary sources. Processing of petroleum for generating fuels. Technological challenges of controlling greenhouse gas emissions. Biomass and the hydrogen economy coupled with fuel cells as future sources of energy.

### EAAE E3800y Earth and environmental engineering laboratory, I

*2 pts. Lect: 1. Lab: 3. Professor Farrauto and Bourtsalas.*  
Prerequisite: CHEE E3010. Corequisite: CIEE E3255. Experiments on fundamental aspects

of Earth and environmental engineering with emphasis on the applications of chemistry, biology and thermodynamics to environmental processes: energy generation, analysis and purification of water, environmental biology, and biochemical treatment of wastes. Students will learn the laboratory procedures and use analytical equipment firsthand, hence demonstrating experimentally the theoretical concepts learned in class.

**EAAE E3801x Earth and environmental engineering laboratory, II**

*2 pts. Lect: 1. Lab: 3. Professor Farrauto and Boutsalas.*

Prerequisite: EAAE E3800. Corequisite: EAAE E4003. A continuation of EAAE E3800, with emphasis on the principles underlying water analysis for inorganic, organic, and bacterial contaminants. Lab required.

**EAAE E3900x and y–S3900 Undergraduate research in Earth and environmental engineering**

*0–3 pts. Directed study. Members of the faculty.*

This course may be repeated for credit, but no more than 3 points of this course may be counted toward the satisfaction of the B.S. degree requirements. Candidates for the B.S. degree may conduct an investigation in Earth and Environmental Engineering, or carry out a special project under the supervision of EAAE faculty. Credit for the course is contingent on the submission of an acceptable thesis or final report. This course cannot substitute for the Undergraduate design project (EAAE E3999 or EAAE E3999).

**EAAE E3901y Environmental microbiology**

*3 pts. Lect: 3. Professor Brotto.*

Prerequisite: CHEM UN1404 or equivalent. Fundamentals of microbiology, genetics and molecular biology, principles of microbial nutrition, energetics and kinetics, application of novel and state-of-the-art techniques in monitoring the structure and function of microbial communities in the environment, engineered processes for biochemical waste treatment and bioremediation, microorganisms and public health, global microbial elemental cycles.

**EAAE E3998x-E3999y Undergraduate design project**

*2 pts. (each semester). Lect: 1. Lab: 2. Professor Farrauto.*

Prerequisite: senior standing. Students must enroll for both 3998x and 3999y during their senior year. Selection of an actual problem in Earth and environmental engineering, and design of an engineering solution including technical, economic, environmental, ethical, health and safety, social issues. Use of software for design, visualization, economic analysis, and report preparation. Students may work in teams. Presentation of results in a formal report and public presentation.

**EAAE E4001x Industrial ecology of earth resources**

*3 pts. Lect: 3. Professor Chen.*

Industrial ecology examines how to reconfigure industrial activities so as to minimize the adverse environmental and material resource effects on the planet. Engineering applications of methodology of industrial ecology in the analysis of current processes and products and the selection or design of environmentally superior alternatives. Home assignments of illustrative quantitative problems.

**EAAE E4003x Introduction to aquatic chemistry**

*3 pts. Lect: 3. Professor Yip.*

Prerequisite: CHEE E3010. Principles of physical chemistry applied to equilibria and kinetics of aqueous solutions in contact with minerals and anthropogenic residues. The scientific background for addressing problems of aqueous pollution, water treatment, and sustainable production of materials with minimum environmental impact. Hydrolysis, oxidation-reduction, complex formation, dissolution and precipitation, predominance diagrams; examples of natural water systems, processes for water treatment and for the production of inorganic materials from minerals.

**EAAE E4004x Physical processing and recovery of solids**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Generalized treatment of processes for solids separation. Applications to materials processing and handling; mining; solid waste, recycling, and resource recovery; construction materials and debris; scrap materials, yard and park wastes. Economic considerations and context. Relevant materials properties and bulk materials analyses. Process system flow-sheets and analysis. Solid/solid, solid/liquid, and solid/gas separation process. Liberation, concentration, and auxiliary processes. Design of separation machines: types and intensities of force involved; scaling-up factors. Laboratory demonstrations and a field trip will be included.

**EAAE E4005x Near-surface engineering geophysics**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Geophysical methods as applicable to engineering problems. Principles of geophysics and noninvasive imaging techniques (inversion technology) and benefits and pitfalls of geophysics vs. direct imaging methods. Discussion of theory of each method. Discussion of data acquisition, processing and interpretation for each method. Treatment of several case studies. Class-wide planning and execution of small-scale geophysical survey.

**EAAE E4006y Field methods for environmental engineering**

*3 pts. Lect: 1.5. Lab: 2. Professor McGillis.*

Prerequisite: ENME E3161 or equivalent or instructor's permission Principles and methods for designing, building and testing systems to sense the environment. Monitoring the atmosphere, water bodies and boundary interfaces between the two. Sensor systems for monitoring heat and mass flows, chemicals, and biota. Measurements of velocity, temperature, flux and concentration in the field. The class will involve planning and execution of a study to sense a local environmental system.

**EAAE E4007y Environmental geophysics field studies**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Application of geophysical methods to noninvasive assessment of the near surface. First part consists of series of two-hour lectures of physics and math involved in instrumental methods and data acquisition and processing. The field (nine field days) students plan surveys; collect and analyze geophysical data in teams; learn how to integrate geophysical data with invasive data, hydrological, geological, engineering, and contaminant transport models; and develop a comprehensive and justifiable model of the subsurface. Geophysical methods include GPR (Ground Penetrating Radar), conductivity, and magnetic and seismic methods. Field applications include infrastructure/environmental assessment, archeological studies, and high resolution geology.

**EAAE E4009x Geographic information systems (GIS) for resource, environmental and infrastructure management**

*3 pts. Lect: 3. Professor Gorokhovich.*

Prerequisite: Permission of the instructor. Basic concepts of geomatics, spatial data representation and organization, and analytical tools that comprise GIS are introduced and applied to a variety of problems including watershed protection, environmental risk assessment, material mass balance, flooding, asset management, and emergency response to natural or man-made hazards. Technical content includes geography and map projections, spatial statistics, database design and use, interpolation and visualization of spatial surfaces and volumes from irregularly spaced data, and decision analysis in an applied setting. Taught in a laboratory setting using ArcGIS. Access to New York City and other standard databases. Term projects emphasize information synthesis toward the solution of a specific problem.

**EAAE E4010y Remote sensing and environmental change**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: EAAE E4009 or EESC GU4050 or instructor's permission. Practical and theoretical foundations for the application of remote sensing techniques to identification and monitoring of environmental change. Designing and applying spectral indices for assessment and monitoring, time series analysis of remote sensing data for analyzing environmental problems. Discussions of published literature relevant to the central topic covered in class. Analysis of remote sensing data using IRI data library.

**EAAE E4011y Industrial ecology for manufacturing**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: EAAE E4001 or instructor's permission. Application of industrial ecology to Design for Environment (DFE) of processes and products using environmental indices of resources consumption and pollution loads. Introduction of methodology for Life Cycle Assessment (LCA) of manufactured products. Analysis of several DFE and LCA case studies. Term project required on

use of DFE/LCA on a specific product/process: (a) product design complete with materials and process selection, energy consumption, and waste loadings; (b) LCA of an existing industrial or consumer product using a commercially established method.

#### **CHEE E4050x Industrial and environmental electrochemistry**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: CHEN E3010 or equivalent. A presentation of the basic principle underlying electrochemical processes. Thermodynamics, electrode kinetics, and ionic mass transport. Examples of industrial and environmental applications illustrated by means of laboratory experiments: electroplating, refining, and winning in aqueous solutions and in molten salts; electrolytic treatment of wastes; primary, secondary, and fuel cells.

#### **ECIA W4100y Management and development of water systems**

*3 pts. Lect: 3. Professors Gentine and Lall.*

Decision analytic framework for operating, managing, and planning water systems, considering changing climate, values and needs. Public and private sector models explored through U.S.-international case studies on topics ranging from integrated watershed management to the analysis of specific projects for flood mitigation, water and wastewater treatment, or distribution system evaluation and improvement.

#### **CHEE E4140x Engineering separations processes**

*3 pts. Lect: 3. Professor Park.*

Prerequisites: CHEN E3100, E3120, and E3210 or permission of instructor. Design and analysis of unit operations employed in chemical engineering separations. Fundamental aspects of single and multistaged operations using both equilibrium and rate-based methods. Examples include distillation, absorption and stripping, extraction, membranes, crystallization, bioseparations, and environmental applications.

#### **EAAE E4150y Air pollution prevention and control**

*3 pts. Lect: 3. Professor Fthenakis.*

Adverse effects of air pollution, sources and transport media, monitoring and modeling of air quality, collection and treatment techniques, pollution prevention through waste minimization and clean technologies, laws, regulations, standards, and guidelines.

#### **EAAE E4160y Solid and hazardous waste management**

*3 pts. Lect: 3. Professor Somasundaran.*

Generation, composition, collection, transport, storage and disposal of solid and hazardous waste. Impact on the environment and public health. Government regulations. Recycling and resource recovery.

#### **CIEE E4163x Sustainable water treatment and reuse**

*3 pts. Lect: 3. Professor Brotto.*

Prerequisites: Introductory chemistry (with lab) and fluid mechanics, or the equivalent. Theory and application of the physical and chemical processes for treating potable water and reusing wastewater. Disinfection/oxidation, coagulation and flocculation, clarification, filtration, ion exchange, adsorption, membrane processes, advanced oxidation processes, activated sludge, and anaerobic sludge digestion.

#### **EAAE E4190x Photovoltaic systems engineering and sustainability**

*3 pts. Lect: 3. Professor Fthenakis.*

Prerequisite: Senior standing or instructor's permission. A systems approach for intermittent renewable energy involving the study of resources, generation, demand, storage, transmission, economics and politics. Study of current and emerging photovoltaic technologies, with focus on basic sustainability metrics (e.g., cost, resource availability, and life-cycle environmental impacts). The status and potential of first- and second-generation photovoltaic technologies (e.g., crystalline and amorphous Si, CdTe, CIGS) and emerging third-generation ones. Storage options to overcome the intermittency constraint. Large scales of renewable energy technologies and plug-in hybrid electric cars.

#### **EAAE E4200y Production of inorganic materials**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: CHEE E3010 or equivalent. Production and recycling of inorganic materials in aqueous and high temperature systems. Industrial and environmental applications of hydrometallurgy, pyrometallurgy, and electrometallurgy. Reactor systems for, e.g., leaching, precipitation, and solvent extraction, bath and flash smelting reactors, rotary kilns, and fluid bed reactors. Thermodynamic and kinetic factors and materials/energy balances involved in the design and performance of such reactors in typical applications.

#### **EAI A W4200y Alternative energy resources**

*3 pts. Lect: 3. Professor Yip.*

Unconventional, alternative energy resources. Technological options and their role in the world energy markets. Comparison of conventional and unconventional, renewable and nonrenewable, energy resources and analysis of the consequences of various technological choices and constraints. Economic considerations, energy availability, and the environmental consequences of large-scale, widespread use of each particular technology. Introduction to carbon dioxide disposal as a means of sustaining the fossil fuel option. Recitation section required.

#### **EAAE E4210x Thermal treatment of waste and biomass materials**

*3 pts. Lect: 3. Professor Bourtsalas.*

Prerequisite: CHEE E3010 or the equivalent or instructor's permission. Origins, quantities generated, and characterization of solid wastes. Chemical and physical phenomena in the combustion or gasification of wastes. Application of thermal conversion technologies, ranging from combustion to gasification and pyrolysis.

Quantitative description of the dominant waste to energy processes used worldwide, including feedstock preparation, moving grate and fluid bed combustion, heat transfer from combustion gases to steam, mitigation of high-temperature corrosion, electricity generation, district heating, metal recovery, emission control, and beneficial use of ash residues.

#### **EAAE E4241x Solids handling and transport systems**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Analysis and design of transportation systems for bulk solids in tunnels, mines, and large excavations. Design of hoisting, cable transport, rail and trackless haulage systems, conveyor belts, selection of loaders, excavators, off-highway trucks, and draglines for large excavations.

#### **CIEE E4250y Hydrosystems engineering**

*3 pts. Lect: 2.5. Professor Gentine.*

Prerequisites: CHEN E3110 or ENME E3161 or equivalent, or the instructor's permission. A quantitative introduction to hydrologic and hydraulic systems, with a focus on integrated modeling and analysis of the water cycle and associated mass transport for water resources and environmental engineering. Coverage of unit hydrologic processes such as precipitation, evaporation, infiltration, runoff generation, open channel and pipe flow, subsurface flow and well hydraulics in the context of example watersheds and specific integrative problems such as risk-based design for flood control, provision of water, and assessment of environmental impact or potential for non-point source pollution. Spatial hydrologic analysis using GIS and watershed models.

#### **CHEE E4252x Introduction to surface and colloid chemistry**

*3 pts. Lect: 3. Professor Somasundaran.*

Prerequisite: Elementary physical chemistry. Thermodynamics of surfaces, properties of surfactant solutions and surface films, electrostatic and electrokinetic phenomena at interfaces, adsorption; interfacial mass transfer and modern experimental techniques.

#### **CIEE E4252y Environmental engineering**

*3 pts. Lect: 3. Professor Yip.*

Prerequisites: CHEM UN1403, or equivalent; ENME E3161 or equivalent. Engineering aspects of problems involving human interaction with the natural environment. Review of fundamental principles that underlie the discipline of environmental engineering, i.e., constituent transport and transformation processes in environmental media such as water, air, and ecosystems. Engineering applications for addressing environmental problems such as water quality and treatment, air pollution emissions, and hazardous waste remediation. Presented in the context of current issues facing the practicing engineers and government agencies, including legal and regulatory framework, environmental impact assessments, and natural resource management.

### **EAAE E4255x River and coastal hydrodynamics**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: CHEN E3110 or ENME E3161 or the equivalent. Dynamics of flow and waves in rivers and coastal settings, with applications to flooding and mixing of saline and fresh waters, sediment transport. Integrative hydrodynamics modeling experience using numerical and analytical tools applied to complex real world setting, including concerns of anthropogenic change in rivers and estuaries and sea level fluctuations at the river-estuary boundary.

### **CIEE E4257y Groundwater contaminant transport and remediation**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: CIEE E3250 or equivalent. Sources and types of groundwater contamination. Groundwater hydrology. Groundwater contaminant rate and transport. Flow and transport in the unsaturated zone. Nonaqueous phase liquids and multiphase flow. Physical and numerical models for contaminant transport. Characterization and assessment of contaminated sites. Groundwater remediation alternatives. Regulations.

### **EAAE E4257y Environmental data analysis and modeling**

*3 pts. Lect: 3. Professor Lall.*  
Prerequisite: STAT GU4250 or equivalent. Statistical methods for the analysis of the space and time structure in environmental data. Application to problems of climate variation and change; hydrology; air, water and soil pollution dynamics; disease propagation; ecological change; and resource assessment. Applications are developed using the ArcView Geographical Information System (GIS), integrated with currently available statistical packages. Team projects that lead to publication-quality analyses of data in various environmental fields of interest. An interdisciplinary perspective is emphasized in this applications-oriented class.

### **EAAE E4300x or y Introduction to carbon management**

*3 pts. Lect: 3. Professor Der.*  
Prerequisites: Undergraduate level mathematics and science, or instructor's permission. Introduction to natural and anthropogenic carbon cycle, and carbon and climate. Rationale and need to manage carbon and tools with which to do so (basic science, psychology, economics and policy background, negotiations and society; emphasis on interdisciplinary and inter-dependent approach). Simple carbon emission model to estimate the impacts of a specific intervention with regard to national, per capita, and global emissions. Student-led case studies (e.g., reforestation, biofuels, CCS, efficiency, alternative energy) to illustrate necessary systems approach required to tackle global challenges.

### **EAAE E4301y Carbon storage**

*3 pts. Lect: 3. Professor Lackner.*  
Prerequisites: Undergraduate level mathematics

and science, or instructor's permission. Major technologies to capture carbon dioxide via new or retrofitted power plant designs, during industrial processes, and from ambient air. In addition to basic science and engineering challenges of each technology, full spectrum of economic, environmental, regulatory, and political/policy aspects, and their implication for regional and global carbon management strategies of the future. Combination of lectures, class debates and breakout groups, student presentations, and independent final projects.

### **EAAE E4302x or y Carbon capture**

*3 pts. Lect: 3. Professor Park.*  
Prerequisites: Undergraduate level math and science or instructor's permission. Major technologies to store carbon dioxide, geological, ocean, and in the carbon chemical pool. Carbon dioxide transport technologies also covered. In addition to basic science and engineering challenges of each technology, full spectrum of economic, environmental, regulatory, and political/policy aspects, and their implication for regional and global carbon management strategies of the future. Combination of lectures, class debates and breakout groups, student presentations, and independent final projects.

### **EAAE E4303x or y Carbon measurement and monitoring**

*3 pts. Lect: 3. Professor Meinrenken.*  
Prerequisites: Undergraduate level math and science or instructor permission. Sources of various GHGs (whether fossil/industrial or biogenic), their chemical behavior, interactions, and global warming potential once airborne; available measurement, monitoring, and detection technologies to track gas emissions, including leakage from storage sites. Carbon accounting and reporting methodologies such as life cycle analysis, and corporate carbon footprinting. In addition to basic science and engineering challenges of each technology, full spectrum of economic, environmental, regulatory, and political/policy aspects, and their implication for regional and global carbon management strategies of the future. Combination of lectures, class debates and breakout groups, student presentations, and independent final projects.

### **EAAE E4304x Closing the carbon cycle**

*3 pts. Lect: 3. Professor Eisenberger.*  
Prerequisites: Calculus, basic inorganic chemistry, and basic physics, including thermodynamics, or instructor's permission. Introduction to complex systems, their impact on our understanding and predictability of the carbon cycle, the use of systems analysis and modeling tools, as well as Bayesian statistics and decision theory for evaluating various solutions to close the carbon cycle, a detailed examination of the geochemical carbon cycle, major conceptual models that couple its changes to climate change, analysis of the anthropogenic carbon sources and sinks and role of carbon in energy production, closing the

carbon cycle impacts on energy security, economic development and climate change protection, analysis of solutions to close the carbon cycle.

### **EAAE E4305y Carbon utilization and conversion**

*3 pts. Lect: 3. Professor Park.*  
Prerequisites: Undergraduate level thermodynamics or instructor's permission. Introduction to various CO<sub>2</sub> utilization and conversion technologies that can reduce the overall carbon footprint of commodity chemicals and materials. Fundamentals of thermodynamics, fluid mechanics, reaction kinetics, catalysis and reactor design will be discussed using technological examples such as enhanced oil recovery, shale fracking, photo and electrochemical conversion of CO<sub>2</sub> to chemical and fuels, and formation of solid carbonates and their various uses. Life cycle analyses of potential products and utilization schemes will also be discussed, as well as the use of renewable energy for CO<sub>2</sub> conversion.

### **EAAE E4350x Planning and management of urban hydrologic systems**

*3 pts. Lect: 3. Professor Rosenberg*  
Prerequisite: ENME E3161 or equivalent. Introduction to runoff and drainage systems in an urban setting, including hydrologic and hydraulic analyses, flow and water quality monitoring, common regulatory issues, and mathematical modeling. Applications to problems of climate variation, land use changes, infrastructure operation and receiving water quality, developed using statistical packages, public-domain models, and Geographical Information Systems (GIS). Team projects that can lead to publication quality analyses in relevant fields of interest. Emphasis on the unique technical, regulatory, fiscal, policy, and other interdisciplinary issues that pose a challenge to effective planning and management of urban hydrologic systems.

### **EAAE E4361y Economics of Earth resource industries**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: EAAE E3103 or instructor's permission. Definition of terms. Survey of Earth resource industries: resources, reserves, production, global trade, consumption of mineral commodities and fuels. Economics of recycling and substitution. Methods of project evaluation: estimation of operating costs and capital requirements, project feasibility, risk assessment, and environmental compliance. Cost estimation for reclamation/remediation projects. Financing of reclamation costs at abandoned mine sites and waste-disposal postclosure liability.

### **CHEE E4530y Corrosion of metals**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: CHEN E3010 or equivalent. The theory of electrochemical corrosion, corrosion tendency, rates, and passivity. Application to various environments. Cathodic protection and coatings. Corrosion testing.

**EAAE E4550x Catalysis for emissions control**

3 pts. Lect: 3. Professor *Farrauto*.

Prerequisite: One year of general college chemistry. Fundamentals of heterogeneous catalysis including modern catalytic preparation techniques. Analysis and design of catalytic emissions control systems. Introduction to current industrial catalytic solutions for controlling gaseous emissions. Introduction to future catalytically enabled control technologies.

**EACE E4560y Particle technology**

3 pts. Lect: 3. Professor *Park*.

Prerequisites: ENME E3161 and MSAE E3111 or equivalent. Introduction to engineering processes involving particulates and powders. The fundamentals of particle characterization, multiphase flow behavior, particle formation, processing and utilization of particles in various engineering applications with examples in energy and environment related technologies. Engineering of functionalized particles and design of multiphase reactors and processing units with emphasis on fluidization technology. Particle technology is an interdisciplinary field. Due to the complexity of particulate systems, particle technology is often treated as art rather than science. In this course, the fundamental principles governing the key aspects of particle science and technology are introduced along with various industrial examples.

**EAAE E4901y Environmental microbiology**

3 pts. Lect: 3. Professor *Brotto*.

Basic microbiological principles; microbial metabolism; identification and interactions of microbial populations responsible for the biotransformation of pollutants; mathematical modeling of microbially mediated processes; biotechnology and engineering applications using microbial systems for pollution control.

**EAAE E4950x Environmental biochemical processes**

3 pts. Lect: 3. Professor *Chandran*.

Prerequisite: EAAE E4901, E4003, CIEE E4252, or instructor's approval. Qualitative and quantitative considerations in engineered environmental biochemical processes. Characterization of multiple microbial reactions in a community and techniques for determining associated kinetic and stoichiometric parameters. Engineering design of several bioreactor configurations employed for biochemical waste treatment. Mathematical modeling of engineered biological reactors using state-of-the-art simulation packages.

**EAAE E4951x Engineering systems for water treatment and reuse**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: CIEE E4163 and EAAE E3901, or the instructor's permission. Application of fundamental principles to designing water treatment and reuse plants. Development of process designs for a potable water treatment plant, a biological wastewater treatment plant, or a water reclamation and reuse facility by students working in teams. Student work in evaluation

of water quality and pilot plant data, screening process alternatives, conducting regulatory reviews and recommending a process for implementation, supported by engineering drawings and capital operating costs. Periodic oral progress reports and a full engineering report are required. Presentations by practicing engineers, utility personnel, and regulators; and field trips to water, wastewater, and water reuse facilities.

**EAAE E4980 Urban environmental technology and policy**

3 pts. Lect: 3. Not offered in 2018–2019.

Progress of urban pollution engineering via contaminant abatement technology, government policy, and public action in urban pollution. Pollutant impact on modern urban environmental quality, natural resources, and government, municipal, and social planning and management programs. Strong emphasis on current and twentieth-century waste management in New York City.

**EAAE E4999x and y Fieldwork**

1 pt. Members of faculty.

Prerequisite: Instructor's written permission. Only EAAE graduate students who need relevant off-campus work experience as part of their program of study as determined by the instructor. Written application must be made prior to registration outlining proposed study program. Final reports required. This course may not be taken for pass/fail credit or audited. International students must also consult with the International Students and Scholars Office.

**EAAE E6132y Numerical methods in geomechanics**

3 pts. Lect: 3. Professor *Chen*.

Prerequisites: EAAE E3112 and CIEN E4241 or instructor's permission. A detailed survey of numerical methods used in geomechanics, emphasizing the Finite Element Method (FEM). Review of the behavior of geological materials. Water and heat flow problems. FEM techniques for solving nonlinear problems, and simulating incremental excavation and loading on the surface and underground.

**EAAE E6140y Environmental physicochemical processes**

3 pts. Lect: 2.5. Professor *Yip*.

Prerequisites: CIEE E4252 and CIEE E4163 or the equivalent, or the instructor's permission. Fundamentals and applications of key physicochemical processes relevant to water quality engineering (such as water treatment, waste water treatment/reuse/recycling, desalination) and the natural environment (e.g., lakes, rivers, groundwater).

**EAAE E6150y Industrial catalysis**

3 pts. Lect: 3. Professor *Farrauto*.

Prerequisite: EAAE E4550 or equivalent, or instructor's permission. Fundamental principles of kinetics, characterization and preparation of catalysts for production of petroleum products for conventional transportation fuels, specialty

chemicals, polymers, food products, hydrogen and fuel cells and the application of catalysis in biomass conversion to fuel. Update of the ever changing demands and challenges in environmental applications, focusing on advanced catalytic applications as described in modern literature and patents.

**EAAE E6151y Applied geophysics**

3 pts. Lect: 3. Not offered in 2018–2019.

Potential field data, prospecting, wave equations. Huygens' principle, Green's functions, Kirchoff equation, WKB approximation, ray tracing. Wave propagation, parameters. Computer applications. Wavelet processing, filters and seismic data. Stratified Earth model, seismic processing and profiling. Radon transform and Fourier migration. Multidimensional geological interpretation.

**EAAE E6200y Theory and applications of extreme value statistics in engineering and earth sciences**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisite: STAT GR5204 or equivalent background in probability and statistical inference, or instructor's permission. Introduction of fundamental concepts in extreme value statistics. The exact and asymptotic theory of extremes. Development of statistical methodology for estimating the parameters of asymptotic extremal distributions from experimental data. Examples of applications of extreme value statistics to regional and global earthquake forecasting, laboratory testing of rocks and metals, fatigue failure, floods, droughts, extreme wind velocities, and rainfalls.

**EAAE E6201x or y Complexity science**

4 pts. Lect: 4. Professors *Castner, Dumas, Lall, Mutter, and Rising*.

Prerequisites: Graduate standing and instructor's permission. Survey of techniques, applications, and implications of complexity science and complex systems. Topics include systems dynamics, chaos, scaling, fat-tailed distributions, fractals, information theory, emergence, criticality, agent-based models, graph theory, and social networks. Applications will cover climate science, ecology, conflict, hydrology, geomorphology, physics, social theory, epidemiology, and governance.

**EAAE E6208y Combustion chemistry and processes**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisite: EAAE E3200 or equivalent or instructor's permission. The fundamentals of combustion phenomena and the intrinsic chemistry of combustion processes. The theory of the essential combustion processes such as ignition, sustained reaction, stability and flame quenching. Processes that govern reactant consumption and product formation, in particular by-products that are formed that result in pollutant emissions, and the impacts and implications that combustion has locally and globally on the environment. Detailed examination of the entire range of combustion systems from diffusion flame processes to current developing technologies including millisecond catalytic combustion

processes, noncarbon fueled combustion, fuel cells, and plasma combustion.

**EAAE E6210x Quantitative environmental risk analysis**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: EAAE E3101, STAT GU4001, or equivalent. Comprises the tools necessary for technical professionals to produce meaningful risk analyses. Review of relevant probability and statistics; incorporation of probability in facility failure analysis. Availability, assessment, and incorporation of risk-related data. Contaminant transport to exposed individuals; uptake, morbidity, and mortality. Computational tools necessary to risk modeling. Use and applicability of resulting measurements of risk, and their use in public policy and regulation.

**EAAE E6212y Carbon sequestration**

*3 pts. Lect: 3. Professor Park.*  
Prerequisite: EAAE E3200 or equivalent or instructor's permission. New technologies for capturing carbon dioxide and disposing of it away from the atmosphere. Detailed discussion of the extent of the human modifications to the natural carbon cycle, the motivation and scope of future carbon management strategies and the role of carbon sequestration. Introduction of several carbon sequestration technologies that allow for the capture and permanent disposal of carbon dioxide. Engineering issues in their implementation, economic impacts, and the environmental issues raised by the various methods.

**CHEE E6220y Equilibria and kinetics in hydrometallurgical systems**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: CHEE E4050 or EAAE E4003. Detailed examination of chemical equilibria in hydrometallurgical systems. Kinetics and mechanisms of homogeneous and heterogeneous reactions in aqueous solutions.

**EAAE E6220x Remedial and corrective action**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: EAAE E4160 or equivalent. Integrates the engineering aspects of cleanup of hazardous materials in the environment. Site assessment/ investigation. Site closure, containment, and control techniques and technologies. Techniques used to treat hazardous materials in the environment, in situ and removal for treatment, focusing on those aspects that are unique to the application of those technologies in an uncontrolled natural environment. Management, safety, and training issues.

**EAAE E6228y Theory of flotation**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: CHEE E4252 or instructor's permission. A detailed study of the physicochemical principles of the flotation process.

**EAAE E6240x or y Physical hydrology**

*3 pts. Lect: 3. Professor Gentine.*  
Prerequisite: Engineering hydrology or equivalent. Spatial/temporal dynamics of the hydrologic cycle and its interactions with landforms and vegetation.

Hydroclimatology at regional to planetary scales, focusing on mechanisms of organization and variation of water fluxes as a function of season, location, reservoir (ocean, atmosphere, land), and time scale. Land-atmosphere interaction and the role of vegetation and soil moisture. Topography as an organizing principle for land water fluxes. Geomorphology and the evolution of river networks. Sedimentation, erosion and hill slope hydrology. Dynamics of water movement over land, in rivers and in the subsurface, with an emphasis on modeling interfaces. Integrated models and the scale problem. Emphasis on data-based spatial/ temporal modeling and exploration of outstanding theoretical challenges.

**CHEE E6252y Advanced surface and colloid chemistry**

*3 pts. Lect: 2. Lab: 3. Professors Somasundaran and Farinato.*  
Prerequisite: CHEE E4252. Applications of surface chemistry principles to wetting, flocculation, flotation, separation techniques, catalysis, mass transfer, emulsions, foams, aerosols, membranes, biological surfactant systems, microbial surfaces, enhanced oil recovery, and pollution problems. Appropriate individual experiments and projects. Lab required.

**EAAE E6255x-E6256y Methods and applications of analytical decision making in mineral industries**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: Instructor's permission. Advanced study of decision-making problems with critical survey and applications of quantitative decision-making techniques in mineral industries. Systematic development of methods of the formulation, analysis, and resolution of these problems.

**EAAE P6329 Water, sanitation, and human health**

*3 pts. Lect: 3. Professor Shaman.*  
Prerequisite: Instructor's permission. In-depth analysis of issues relating to water, sanitation, and hygiene in both the developed and developing worlds. Hydrologic cycle, major causes of enteric morbidity and mortality, and design, financing and implementation of sanitation systems. For both engineering and public health students; intended to foster dialog between the two communities.

**EAAE E8229x Selected topics in processing minerals and wastes**

*3 pts. Lect: 2. Lab: 3. Not offered in 2018–2019.*  
Prerequisite: CHEE E4252 or instructor's permission. Critical discussion of current research topics and publications in the area of flotation, flocculation, and other mineral processing techniques, particularly mechanisms of adsorption, interactions of particles in solution, thinning of liquid films, and optimization techniques.

**EAAE E8231y Selected topics in hydro- and electrometallurgy**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisites: EAAE E4003 and CHEE

E4050, or instructor's permission. Review of current research and literature in the field of hydrometallurgy, electrometallurgy, and corrosion. Topics will be selected by the instructor to illustrate the application of thermodynamics and rate phenomena to the design and control of electrochemical engineering processes.

**EAAE E8233x and y Research topics in particle processing**

*0 pts. Professor Somasundaran.*  
Emergent findings in the interactions of particles with reagents and solutions, especially inorganics, surfactants, and polymers in solution, and their role in grinding, flotation, agglomeration, filtration, enhanced oil recovery, and other mineral processing operations.

**EAAE E8273x-E8274y Mining engineering reports**

*0–4 pts. Not offered in 2018–2019.*  
May substitute for formal thesis, EAAE E9271, upon recommendation of the student's adviser.

**EAAE E9271x and y–S9271 Earth and environmental engineering thesis**

*0–6 pts. Members of the faculty.*  
Research work culminating in a creditable dissertation on a problem of a fundamental nature selected in conference between student and adviser. Wide latitude is permitted in choice of a subject, but independent work of distinctly graduate character is required in its handling.

**EAAE E9273x-E9274y Earth and environmental engineering reports**

*0–4 pts.*  
May substitute for the formal master's thesis, EAAE E9271, upon recommendation of the department.

**EAAE E9280x and y Earth and environmental engineering colloquium**

*0 pts. Lect: 1.5. Professor Yip.*  
All graduate students are required to attend the departmental colloquium as long as they are in residence. Advanced doctoral students may be excused after three years of residence. No degree credit is granted.

**EAAE E9281x Prospects for nuclear energy—earth and environmental engineering seminar**

*0–1 pt. Lect: 1.5. Not offered in 2018–2019.*  
This seminar course examines the prospects for nuclear energy as a source of safe, secure, and environmentally sustainable energy both in the U.S. and internationally. In particular, it analyzes the four key issues that limit the expansion of nuclear energy: cost, safety, proliferation concerns, and long-term disposal of radioactive wastes. Through readings, research, and class discussions, it engages students to critically evaluate arguments both for and against nuclear power. The course builds basic literacy in nuclear technology and is open to students with technical, policy, or economic backgrounds.

**EAAE E9302x and y Mining engineering research**

*0–4 pts. Not offered in 2018–2019.*

Graduate research directed toward solution of technoscientific problems in mining.

**EAAE E9305x and y–S9305 Earth and environmental engineering research**

*0–12 pts. Members of the faculty.*

Graduate research directed toward solution of a problem in mineral processing or chemical metallurgy.

**EAAE E9800x and y–S9800 Doctoral research instruction**

*3, 6, 9, or 12 pts. Members of the faculty.*

A candidate for the Eng.Sc.D. degree in mineral engineering must register for 12 points of doctoral research instruction. Registration in EAAE E9800 may not be used to satisfy the minimum residence requirement for the degree.

**EAAE E9900x and y–S9900 Doctoral dissertation**

*0 pts. Members of the faculty.*

A candidate for the doctorate may be required to register for this course every term after the student's coursework has been completed, and until the dissertation has been accepted.

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RESEARCH FELLOW  
George Spyropoulos

Contemporary electrical engineering is a broad discipline that encompasses a wide range of activities. A common theme is the use of electrical and electromagnetic signals for the generation, transmission, processing, storage, conversion, and control of information and energy. An equally important aspect is the human interface and the role of individuals as the sources and recipients of information. The rates at which information is transmitted today range from megabits per second to gigabits per second and in some cases, as high as terabits per second. The range of frequencies over which these processes are studied extends from direct current (i.e., zero frequency), to microwave and optical frequencies.

The need for increasingly faster and more sophisticated methods of handling information poses a major challenge to the electrical engineer. New materials, devices, systems, and network concepts are needed to build the advanced communications and information

handling systems of the future. Innovations in electrical engineering have had a dramatic impact on the way in which we work and live: the transistor, integrated circuits, computers, radio and television, satellite transmission systems, lasers, fiber optic transmission systems, and medical electronics.

The faculty of the Electrical Engineering Department at Columbia University is dedicated to the continued development of further innovations through its program of academic instruction and research. Our undergraduate program in electrical engineering is designed to prepare students for a career in industry, research, or business by providing them with a thorough foundation of the fundamental concepts and analytical tools of contemporary electrical engineering. A wide range of elective courses permits the student to emphasize specific disciplines such as communications, devices, circuits, or signal processing.

Undergraduates have an opportunity

to learn firsthand about current research activities by participating in a program of undergraduate research projects with the faculty.

A master's level program in electrical engineering permits graduate students to further specialize their knowledge and skills within a wide range of disciplines. For those who are interested in pursuing a career in teaching, research, or advanced development, our Ph.D. program offers the opportunity to conduct research under faculty supervision at the leading edge of technology and applied science. Seminars are offered in all research areas.

The Electrical Engineering Department, along with the Computer Science Department, also offers B.S. and M.S. programs in computer engineering. Details on those programs can be found in the Computer Engineering section in this bulletin.

### Research Activities

The research interests of the faculty

encompass a number of rapidly growing areas, vital to the development of future technology, that will affect almost every aspect of society: signals, information, and data; networking and communications; nanoscale structures and integrated solid-state devices; nanoelectronics and nanophotonics; integrated circuits and systems; systems biology; neuroengineering; and smart electric energy. Details on all of these areas can be found at [ee.columbia.edu/research](http://ee.columbia.edu/research).

The Signals, Information and Data area concerns the representation, processing, analysis, and communication of information embedded in signals and datasets arising in a wide range of application areas, including audio, video, images, communications, and biology. Research interests include the development of models, algorithms and analyses for sensing, detection and estimation, statistical and machine learning, and the recognition, organization, and understanding of the information content of signals and data.

The Networking and Communications area focuses on the design and performance evaluation of communication systems and data networks of all kinds, including wireless/cellular, optical, ultra-low power, vehicular, mobile, wearable, data center networks, cyber physical systems, and the internet. Methods range from analyzing and refining existing approaches to the development of new and evolving networking techniques and systems.

The Systems Biology and Neuroengineering area aims to understand and analyze biological systems within the living cell and in the brain. Examples of related tasks are biomolecular data analysis for medical applications, synthetic biology, establishing the principles of neuroinformation processing in the brain for developing robust sensory processing and motor control algorithms, accelerating the clinical translation of devices that make contact with neurons, and building massively parallel brain-computer interfaces.

The Integrated Circuits and Systems area focuses on the integration of circuits and systems on semiconductor

platforms. Research spans the analysis, design, simulation, and validation of analog, mixed-mode, (sub) mm-wave, RF, power, and digital circuits, and their applications from computation and sensing to cyber-physical and implantable biomedical systems.

The area of Nanoscale Structures and Integrated Devices applies fundamental physical principles to develop revolutionary new electronic, photonic, and optical devices made from conventional and emerging materials, including graphene, 2D semiconductors, and organic semiconductors. Research includes nanofabrication, characterization, and electromagnetic design of quantum device structures and complex silicon photonic circuits that impact numerous fields from Lidar and optogenetics to low-energy computation and flexible electronics.

The smart electric energy area focuses on the optimization of the generation, conversion, distribution, and consumption of electric energy as well as the electrification of energy systems. Research spans the analysis, design, and control of power electronics, motor drive, and energy storage systems, grid resilience and security, and Internet-of-Things. Applications include transportation electrification, smart grid, renewable energy, and smart building systems.

### Laboratory Facilities

Current research activities are fully supported by more than a dozen well-equipped research laboratories run by the department faculty. In addition, faculty and students have access to a clean room for micro- and nanofabrication, a materials-characterization facility, and an electron-microscopy facility, managed by the Columbia Nano Initiative. Faculty laboratories include Digital Video and Multimedia Networking Laboratory, Wireless and Mobile Networking Laboratory, Network Algorithms Laboratory, Genomic Information Systems Laboratory, Structure-Function Imaging Laboratory, Neural Acoustic Processing Laboratory, Signal Processing and Communication Laboratory, Translational Neuroelectronics Laboratory, Bionet Laboratory, Molecular Beam Epitaxy

Laboratory, Surface Analysis Laboratory, Laboratory for Unconventional Electronics, Advanced Semiconductor Device Laboratory, Motor Drives and Power Electronics Laboratory, Intelligent and Connected Systems Laboratory, Columbia Integrated Systems Laboratory (CISL), Analog & RF IC Design Laboratory, VLSI Design Laboratory, High Speed and mmWave IC Laboratory, Analog and Mixed Signal IC Laboratory, Bioelectronics Laboratory, Lightwave Laboratory, and Nano Photonics Laboratory.

Laboratory instruction is provided in a suite of newly-renovated facilities on the twelfth floor of the S. W. Mudd Building. These teaching laboratories are used for circuit prototyping, device measurement, VLSI design, embedded systems design, as well as computer engineering and Internet-of-Things experiments.

### UNDERGRADUATE PROGRAM

The educational objective of the Electrical Engineering program, in support of the mission of the School, is to prepare graduates to achieve success in one or more of the following within a few years after graduation:

- A. Graduate or professional studies—as evidenced by admission to a top-tier program, attainment of advanced degrees, research contributions, or professional recognition.
- B. Engineering practice—as evidenced by entrepreneurship; employment in industry, government, academia, or nonprofit organizations in engineering; patents; or professional recognition.
- C. Careers outside of engineering that take advantage of an engineering education—as evidenced by contributions appropriate to the chosen field.

The B.S. program in electrical engineering at Columbia University seeks to provide a broad and solid foundation in the current theory and practice of electrical engineering, including familiarity with basic tools of math and science, an ability to communicate ideas, and a humanities background sufficient to understand the social implications of engineering practice. Graduates should be qualified to enter the profession of engineering, to continue toward a

## ELECTRICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS EARLY-STARTING STUDENTS

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>4</sup> either semester  <b>and</b> APMA E2101 (3) <sup>1</sup>	
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) → UN1601 (3.5) → UN2801 (4.5) →	UN1402 (3) UN1602 (3.5) UN2802 (4.5)		
<b>CHEMISTRY</b>	one-semester lecture (3–4) UN1403 <b>or</b> UN1404 <b>or</b> UN2045 <b>or</b> UN1604			
<b>CORE REQUIRED COURSES</b>	ELEN E1201 (3.5) Introduction to electrical engineering (either semester)		ELEN E3201 (3.5) Circuit analysis  ELEN E3801 (3.5) Signals and systems	ELEN E3331 (3) Electronic circuits  CSEE E3827 (3) Fund. of computer sys.
<b>REQUIRED LABS</b>			ELEN E3081 (1) <sup>2</sup> Circuit analysis lab  ELEN E3084 (1) <sup>2</sup> Signals and systems lab	ELEN E3083 (1) <sup>2</sup> Electronic circuits lab  ELEN E3082 (1) <sup>2</sup> Digital systems lab
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4); HUMA UN1121 <b>or</b> UN1123 (3); HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4); ECON UN1105 (4) <b>and</b> UN1155 recitation (0); some of these courses can be postponed to the junior or senior year, to make room for taking the above electrical engineering courses.			
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) either semester <sup>3</sup>			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> APMA E2101 may be replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101 or MATH UN2010.

<sup>2</sup> If possible, these labs should be taken along with their corresponding lecture courses.

<sup>3</sup> ENGI E1006 may not be offered every semester. See [ee.columbia.edu](http://ee.columbia.edu) for more discussion about the Computer Science sequences.

<sup>4</sup> Effective Class of 2021.

career in engineering research, or to enter other fields in which engineering knowledge is essential. Required nontechnical courses cover civilization and culture, philosophy, economics, and a number of additional electives. English communication skills are an important aspect of these courses. Required science courses cover basic chemistry and physics, whereas math requirements cover calculus, differential

equations, probability, and linear algebra. Basic computer knowledge is also included, with an introductory course on using engineering workstations and two rigorous introductory computer science courses. Core electrical engineering courses cover the main components of modern electrical engineering and illustrate basic engineering principles. Topics include a sequence of two courses on circuit theory and electronic

circuits, one course on semiconductor devices, one on electromagnetics, one on signals and systems, one on digital systems, and one on communications or networking. Engineering practice is developed further through a sequence of laboratory courses, starting with a first-year course to introduce hands-on experience early and to motivate theoretical work. Simple creative design experiences start immediately in this

## ELECTRICAL ENGINEERING: THIRD AND FOURTH YEARS EARLY-STARTING STUDENTS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>PHYSICS</b> (tracks continued)		UN1403 (3) → UN2601 (3.5) → Lab W3081 (2)	Lab UN1494 (3) <sup>1</sup> Lab UN2699 (3)		
<b>EE CORE REQUIRED COURSES</b>		ELEN E3106 (3.5) Solid-state devices and materials	ELEN E3401 (4) Electromagnetics  ELEN E3701 (3) <sup>2</sup> Intro. to communication systems <b>or</b> CSEE W4119 (3) <sup>2</sup> Computer networks		
<b>EE REQUIRED LABS</b>				ELEN E3043 (3) Solid state, microwave, and fiber optics lab  ELEN E3399 (1) EE practice	ELEN E3390 (3) <sup>3</sup> Capstone design course
<b>OTHER REQUIRED COURSES</b>		IEOR E3658 <b>or</b> STAT GU4203 <sup>4</sup> ; <b>and</b> COMS W3136 ( <b>or</b> W3134 or W3137) <sup>5</sup> (Some of these courses are <i>not</i> offered both semesters. Students with an adequate background can take some of these courses in the sophomore year)			
<b>ELECTIVES</b>	<b>EE DEPTH TECH</b>	At least two technical electives in one depth area. The four depth areas are (a) photonics, solid-state devices, and electromagnetics; (b) circuits and electronics; (c) signals and systems; and (d) communications and networking (For details, see ee.columbia.edu)			
	<b>BREADTH TECH</b>	(at least 6 points total) At least two technical electives outside the chosen depth area; must be courses with significant engineering content (see ee.columbia.edu)			
	<b>OTHER TECH</b>	Additional technical electives (consisting of more depth or breadth courses, or further options listed at ee.columbia.edu/ee-undergraduate-program) as required to bring the total points of technical electives to 18 <sup>6</sup>			
	<b>NONTECH</b>	Complete 27-point requirement; see page 10 or seas.columbia.edu for details (administered by the advising dean)			
<b>TOTAL POINTS</b> <sup>7</sup>		16.5	17	16	18

<sup>1</sup> Chemistry lab (CHEM UN1500) may be substituted for physics lab, although this is not generally recommended.

<sup>2</sup> These courses can be taken in the sophomore year if the prerequisites/corequisites are satisfied.

<sup>3</sup> The capstone design course provides ELEN majors with a "culminating design experience." As such, it should be taken near the end of the program and involve a project that draws on material from a range of courses. If special arrangements are made in ELEN E3399, it is possible to use courses such as ELEN E3998, E4350, E4998, EECS E4340, or CSEE W4840 in place of ELEN E3390.

<sup>4</sup> SIEO W3600 and STAT GU4001 cannot generally be used to replace IEOE E3658 or STAT GU4203.

<sup>5</sup> Students who plan to minor in Computer Science should choose COMS W3134 or W3137.

<sup>6</sup> The total points of technical electives is reduced to 15 if APMA E2101 has been replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101 or MATH UN2010.

<sup>7</sup> "Total points" assumes that 20 points of nontechnical electives and other courses are included.

**ELECTRICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS  
LATE-STARTING STUDENTS**

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101(3)	MATH UN1102 (3)	APMA E2000 (4) <sup>5</sup> either semester  <b>and</b> APMA E2101 (3) <sup>1</sup>	
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	UN1403 (3) UN2601 (3.5) ▶ Lab UN3081 (2)	▶ Lab UN1494 (3) <sup>2</sup>
<b>CHEMISTRY</b>	one-semester lecture (3–4) UN1403 <b>or</b> UN1404 <b>or</b> UN2045 <b>or</b> UN1604			
<b>ELECTRICAL ENGINEERING</b>	ELEN E1201 (3.5) either semester <sup>3</sup>			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>			HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)  HUMA UN1121 <b>or</b> UN1123 (3)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)  ECON UN1105 (4) <b>and</b> UN1155 recitation (0)
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) any semester <sup>4</sup>			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> APMA E2101 may be replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101 or MATH UN2010.

<sup>2</sup> Chemistry lab (CHEM UN1500) may be substituted for physics lab, although this is not generally recommended.

<sup>3</sup> Transfer students and 3-2 Combined Plan students who have not taken ELEN E1201 prior to the junior year are expected to have taken a roughly equivalent course when they start ELEN E3201.

<sup>4</sup> ENGI E1006 may not be offered every semester. See ee.columbia.edu for more discussion about the Computer Science sequences.

<sup>5</sup> Effective Class of 2021.

first-year course. Following this is a sequence of lab courses that parallel the core lecture courses. Opportunities for exploring design can be found both within these lab courses and in the parallel lecture courses, often coupled with experimentation and computer simulation, respectively. The culmination of the laboratory sequence and the design experiences introduced throughout earlier courses is a senior design course (capstone design course), which includes a significant design project that ties together the core program, encourages creativity,

explores practical aspects of engineering practice, and provides additional experience with communication skills in an engineering context. Finally, several technical electives are required, chosen to provide both breadth and depth in a specific area of interest. More detailed program objectives and outcomes are posted at ee.columbia.edu.

The program in electrical engineering leading to the B.S. degree is accredited by the Engineering Accreditation Commission of ABET.

There is a strong interaction between the Department of Electrical Engineering

and the Departments of Computer Science, Applied Physics and Applied Mathematics, Industrial Engineering and Operations Research, Physics, and Chemistry.

### EE Core Curriculum

All electrical engineering (EE) students must take a set of core courses, which collectively provide the student with fundamental skills, expose him/her to the breadth of EE, and serve as a springboard for more advanced work, or for work in areas not covered in the core. These courses are shown on the charts

## ELECTRICAL ENGINEERING: THIRD AND FOURTH YEARS LATE-STARTING STUDENTS

		SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>EE CORE REQUIRED COURSES</b>		ELEN E3106 (3.5) Solid-state devices and materials  ELEN E3201 (3.5) Circuit analysis  ELEN E3801 (3.5) Signals and systems	CSEE W3827(3) Fund. of computer sys.  ELEN E3331 (3) Electronic circuits  ELEN E3401 (4) Electromagnetics  ELEN E3701 (3) Intro. to communication systems <b>or</b> CSEE W4119 (3) Computer networks		
<b>EE REQUIRED LABS</b>		ELEN E3081 (1) <sup>1</sup> Circuit analysis lab  ELEN E3084 (1) <sup>1</sup> Signals and systems lab	ELEN E3083 (1) <sup>1</sup> Electronic circuits lab  ELEN E3082 (1) <sup>1</sup> Digital systems lab	ELEN E3043 (3) Solid state, microwave, and fiber optics lab  ELEN E3399 (1) EE practice	ELEN E3390 (3) <sup>2</sup> Capstone design course
<b>OTHER REQUIRED COURSES</b>		IEOR E3658 <b>or</b> STAT GU4203 <sup>3</sup> ; <b>and</b> COMS W3136 (or W3134 or W3137) <sup>4</sup> (Some of these courses are <i>not</i> offered both semesters)			
<b>ELECTIVES</b>	<b>EE DEPTH TECH</b>	At least two technical electives in one depth area. The four depth areas are (a) photonics, solid-state devices, and electromagnetics; (b) circuits and electronics; (c) signals and systems; and (d) communications and networking. (For details, see ee.columbia.edu.)			
	<b>BREADTH TECH</b>	(at least 6 points total) At least two technical electives outside the chosen depth area; must be courses with significant engineering content (see ee.columbia.edu)			
	<b>OTHER TECH</b>	Additional technical electives (consisting of more depth or breadth courses, or further options listed at ee.columbia.edu/ee-undergraduate-program) as required to bring the total points of technical electives to 18 <sup>5</sup>			
	<b>NONTECH</b>	Complete 27-point requirement; see page 10 or seas.columbia.edu for details (administered by the advising dean)			
<b>TOTAL POINTS<sup>6</sup></b>		15.5	18	16	18

Note: This chart shows one possible schedule for a student who takes most of his or her major program in the final two years. Please refer to the previous chart for a recommended earlier start.

<sup>1</sup> If possible, these labs should be taken along with their corresponding lecture courses.

<sup>2</sup> The capstone design course provides ELEN majors with a "culminating design experience." As such, it should be taken near the end of the program and involve a project that draws on material from a range of courses. If special arrangements are made in ELEN E3399, it is possible to use courses such as ELEN E3998, E4350, E4998, EECS E4340, or CSEE W4840 in place of ELEN E3390.

<sup>3</sup> SIEO W3600 and STAT GU4001 cannot generally be used to replace IEOE E3658 or STAT GU4203.

<sup>4</sup> Students who plan to minor in Computer Science should choose COMS W3134 or W3137.

<sup>5</sup> The total points of technical electives is reduced to 15 if APMA E2101 has been replaced by MATH UN2030 (formerly MATH E1210) and either APMA E3101 or MATH UN2010.

<sup>6</sup> "Total points" assumes that 9 points of nontechnical electives are included.

in Undergraduate Degree Tracks. A full curriculum checklist is also posted at [ee.columbia.edu](http://ee.columbia.edu).

### Technical Electives

The 18-point technical elective requirement for the electrical engineering program consists of three components: depth, breadth, and other. A general outline is provided here, and more specific course restrictions can be found at [ee.columbia.edu](http://ee.columbia.edu). For any course not clearly listed there, adviser approval is necessary.

The *depth* component must consist of at least 6 points of electrical engineering courses in one of four defined areas:

(a) photonics, solid-state devices, and electromagnetics; (b) circuits and electronics; (c) signals and systems; and (d) communications and networking. The depth requirement provides an opportunity to pursue particular interests and exposure to the process of exploring a discipline in depth—an essential process that can be applied later to other disciplines, if desired.

The *breadth* component must consist of at least 6 additional points of courses that are outside of the chosen depth area and have significant engineering content. These courses can be from other departments within the School. The breadth requirement precludes overspecialization. Breadth is particularly important today, as innovation requires more and more of an interdisciplinary approach, and exposure to other fields is known to help one's creativity in one's own main field. Breadth also reduces the chance of obsolescence as technology changes.

Any remaining technical elective courses, beyond the minimum 12 points of depth and breadth, do not have to be engineering courses (except for students without *ELEN E1201* or approved transfer credit for *ELEN E1201*) but must be technical. Generally, math and science courses that do not overlap with courses used to fill other requirements are allowed.

### Starting Early

The EE curriculum is designed to allow students to start their study of EE in their first year. This motivates students early and allows them to spread nontechnical requirements more evenly. It also makes evident the need for advanced math and

physics concepts, and motivates the study of such concepts. Finally, it allows more time for students to take classes in a chosen depth area, or gives them more time to explore before choosing a depth area. Students can start with *ELEN E1201: Introduction to electrical engineering* in the second semester of their first year, and can continue with other core courses one semester after that, as shown in the “early-starting students” chart. It is emphasized that both the early- and late-starting sample programs shown in the charts are examples only; schedules may vary depending on student preparation and interests.

### Transfer Students

Transfer students coming to Columbia as juniors with sufficient general background can complete all requirements for the B.S. degree in electrical engineering. Such students fall into one of two categories:

*Plan 1:* Students coming to Columbia without having taken the equivalent of *ELEN E1201* must take this course in their junior year. This requires postponing the core courses in circuits and electronics until the senior year, and thus does not allow taking electives in that area; thus, such students cannot choose circuits and electronics as a depth area.

*Plan 2:* This plan is for students who have taken a course equivalent to *ELEN E1201* at their school of origin, including a laboratory component. See the bulletin for a description of this course. Many pre-engineering programs and physics departments at four-year colleges offer such courses. Such students can start taking circuits at Columbia immediately, and thus can choose circuits and electronics as a depth area.

It is stressed that *ELEN E1201* or its equivalent is a key part of the EE curriculum. The preparation provided by this course is essential for a number of other core courses.

Sample programs for both Plan 1 and Plan 2 transfer students can be found at [ee.columbia.edu](http://ee.columbia.edu).

### B.S./M.S. Program

The B.S./M.S. degree program is open to a select group of undergraduate

students. This double degree program makes possible the earning of both the Bachelor of Science and Master of Science degrees in an integrated fashion. Up to 6 points may be credited to both degrees, and some graduate classes taken in the senior year may count toward the M.S. degree. Interested students can find further information at [ee.columbia.edu](http://ee.columbia.edu) and can discuss options directly with their faculty adviser. Students must be admitted prior to the start of their seventh semester at Columbia Engineering. Students in the 3-2 Combined Plan undergraduate program are not eligible for admission to this program.

### GRADUATE PROGRAMS

The Department of Electrical Engineering offers graduate programs leading to the degree of Master of Science (M.S.) and the degrees of Doctor of Engineering Science (Eng.Sc.D.) and Doctor of Philosophy (Ph.D.). The Graduate Record Examination (General Test only) is required of all applicants except special students. An undergraduate grade-point average equivalent to B or better from an institution comparable to Columbia is expected.

Applicants who, for good reasons, are unable to submit GRE test results by the deadline date but whose undergraduate record is clearly superior may file an application without the GRE scores. An explanatory note should be added to ensure that the application will be processed even while incomplete. If the candidate's admissibility is clear, the decision may be made without the GRE scores; otherwise, it may be deferred until the scores are received.

There are no prescribed course requirements in any of the regular graduate degree programs. Students, in consultation with their faculty advisers, design their own programs, focusing on particular fields of electrical engineering. Among the fields of graduate study are microelectronics, communications and signal processing, integrated circuit and system analysis and synthesis, photonics, electromagnetic theory and applications, plasma physics, and quantum electronics.

Graduate course charts for several focus areas can be found at [ee.columbia.edu](http://ee.columbia.edu).

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### Master of Science Degree

Candidates for the M.S. degree in electrical engineering must complete 30 points of credit beyond the bachelor's degree. A minimum of 15 points of credit must be at the 6000 level or higher. No credit will be allowed for undergraduate courses (3000 or lower). At least 15 points must be in electrical engineering, defined as including all courses with an ELEN designator or a joint designator containing electrical engineering as a member, e.g., EECS, CSEE, EEME, ECBM, etc. And it is expected that at least 12 of the first 24 points taken will be in electrical engineering.

Not all technical courses can be applied toward the M.S. degree, and some have restrictions. Also, no more than 6 points of research (such as ELEN E4998, ELEN E6001, and ELEN E6002) can be used, and no more than 3 points of approved courses that do not contain primarily engineering, math, or science content can be used. Any course that is not on the list of standard courses specified at [ee.columbia.edu/masters-program](http://ee.columbia.edu/masters-program) requires prior written department approval, including during the summer session.

The general school requirements listed earlier in this bulletin, such as minimum GPA, must also be satisfied. All degree requirements must be completed within five years of the beginning of the first course credited toward the degree.

More details and a requirements checklist for approvals can be found at [ee.columbia.edu/masters-program](http://ee.columbia.edu/masters-program).

### Doctoral Degree

The requirements for the Ph.D. and Eng.Sc.D. degrees are identical. Both require a dissertation based on the candidate's original research, conducted under the supervision of a faculty member. The work may be theoretical or experimental or both.

Students who wish to become candidates for the doctoral degree in electrical engineering have the option of

applying for admission to the Eng.Sc.D. program or the Ph.D. program. Students who elect the Eng.Sc.D. degree register in the School of Engineering and Applied Science; those who elect the Ph.D. degree register in the Graduate School of Arts and Sciences.

Doctoral candidates must obtain a minimum of 60 points of formal course credit beyond the bachelor's degree. A master's degree from an accredited institution may be accepted as equivalent to 30 points. A minimum of 30 points beyond the master's degree must be earned while in residence in the doctoral program.

More detailed information regarding the requirements for the doctoral degree may be obtained in the department office and at [ee.columbia.edu](http://ee.columbia.edu).

### Optional M.S. Concentrations

Students in the electrical engineering M.S. program often choose to use some of their electives to focus on a particular field. Students may pick one of a number of optional, formal concentration templates or design their own M.S. program in consultation with an adviser. These concentrations are not degree requirements. They represent suggestions from the faculty as to how one might fill one's programs so as to focus on a particular area of interest. Students may wish to follow these suggestions, but they need not. The degree requirements are quite flexible and are listed in the Master of Science Degree section, above. All students, whether following a formal concentration template or not, are expected to include breadth in their program. Not all of the elective courses listed here are offered every year. For the latest information on available courses, visit the Electrical Engineering home page at [ee.columbia.edu](http://ee.columbia.edu).

### Concentration in Data-Driven Analysis and Computation

Advisers: Professors Dimitris Anastassiou, Shih-Fu Chang, Pedrag Jelenkovic, Zoran Kostic, Aurel A. Lazar, Nima Mesgarani, John Paisley, John Wright, Xiaofan (Fred) Jiang

1. Satisfy M.S. degree requirements.
2. Take at least two courses from *ECBM E4040: Neural networks and deep learning*; *EECS E4764: Internet of*

*things—intelligent and connected systems*; *ELEN E4810: Digital signal processing*; *ELEN E4903: Topic: machine learning (or equivalent)*; *EEOR E6616: Convex optimization*; *EECS E6893: Topic: big data analytics*.

3. Take at least one courses from *ECBM E6040: Neural networks and deep learning research*; *EECS E6720: Bayesian models for machine learning*; *EECS E6765: Internet of things—systems and physical data analytics*; *EECS E6895: Topic: advanced big data analytics*.
4. Take a second course from #3 or one course from *ECBM E4060: Introduction to genomic information science and technology*; *ECBM: 6070: Topics in neuroscience and deep learning*; *ELEN E6690: Topics in data-driven analysis and computation*; *ELEN E6886: Sparse representation and high-dimensional geometry*; *ELEN E9601: Seminar in data-driven analysis and computation*.

### Concentration in Networking

Advisers: Professors Predrag Jelenkovic, Javad Ghaderi, Ethan Katz-Bassett, Debasis Mitra, Gil Zussman, Xiaofan (Fred) Jiang

1. Satisfy M.S. degree requirements.
2. One basic networking course from the following: *ELEN E6761: Computer communication networks I*, *CSEE W4119: Computer networks*.
3. One basic systems or analytical course from the following: Systems courses: *CSEE E4140: Networking laboratory*; *COMS W4113: Fundamentals of large-scale distributed systems*; *COMS W4118: Operating systems I*; *Analytical courses: ELEN E6772: Topic: network algorithms*; *ELEN E6950: Wireless and mobile networking*; *CSEE E6180: Modeling and performance evaluation*.
4. Three courses from the following list (courses cannot be used to fulfill both this requirement and any of the above requirements): *ELEN E6488: Optical interconnects and interconnection networks*; *ELEN E6761: Computer communication networks I*; *ELEN E6767: internet economics, energy, and society*; *ELEN E6770: Topic: next*

generation networks; ELEN E6772: Topic: network algorithms; ELEN E6775: Topic: computer networks: a systems approach; ELENE E6776: Topic: content distribution networks; ELEN E6950: Wireless and mobile networking I; EEOR E4650: Convex optimization for EE; EEOR E6616: Convex optimization; CSEE E4140: Networking laboratory; CSEE E6951: Wireless and mobile networks; CSEE E6180: Modeling and Performance Evaluation; COMS W4180: Network security; COMS E4995: Internet technology, economics, and policy, COMS E6181: Advanced internet services; COMS E6998: Cloud computing and big data; IEOR E6704: Queueing theory; IEOR E4106: Stochastic models; with adviser approval, other relevant advanced topic courses on networking topics from ELEN E677x, COMS W4995, COMS E6998, or other course numbers may be used to fulfill this requirement.

- At least two of the four courses used to fulfill requirements 3 and 4 must be 6000-level ELEN, EECS, CSEE, or EEOR courses.

### Concentration in Wireless and Mobile Communications

Adviser: Professors Gil Zussman, Predrag Jelenkovic, Xiaodong Wang

- Satisfy M.S. degree requirements.
- One basic circuits course such as: ELEN E4312: Analog electric circuits; ELEN E4314: Communication circuits; ELEN E6314: Advanced communication circuits; ELEN E6312: Advanced analog ICs.
- Two communications or networking courses such as: CSEE W4119: Computer networks; ELEN E4702: Digital communications; ELEN E4703: Wireless communications; ELEN E6711: Stochastic signals and noise; ELEN E4810: Digital signal processing; ELEN E6950: Wireless and mobile networking, I; ELEN E6951: Wireless and mobile networking, II; ELEN E6761: Computer communication networks, I; ELEN E6712: Communication theory; ELEN E6713: Topics in communications; ELEN E6717: Information theory; ELEN E677x:

Topics in telecommunication networks.

- At least two additional approved courses in wireless communications or a related area.

### Concentration in Integrated Circuits and Systems

Advisers: Professors Peter Kinget, Harish Krishnaswamy, Mingoo Seok, Kenneth Shepard, Yannis Tsvividis, Charles Zukowski

- Satisfy M.S. degree requirements.
- One digital course from: EECS E4321: Digital VLSI circuits or EECS E6321: Advanced digital electronic circuits.
- One analog course from ELEN E4312: Analog electronic circuits; ELEN E6312: Advanced analog integrated circuits; ELEN E6316: Analog circuits and systems in VLSI; ELEN E4314: Communication circuits; ELEN E6314: Advanced communication circuits; ELEN E6320: Millimeter-wave IC design.
- Two additional courses such as other courses from no. 2 and 3; ELEN E6350: VLSI design laboratory; ELEN E6304: Topics in electronic circuits; ELEN E6318: Microwave circuit design; ELEN E9303: Seminar in electronic circuits.
- At least one additional approved course in integrated circuits and systems or a related area.

### Concentration in Smart Electric Energy

Advisers: Professors Matthias Preindl, Xiaofan (Fred) Jiang, Gil Zussman, Kenneth Shepard, Xiaodong Wang

- Satisfy M.S. degree requirements.
- Take at least two power conversion or power systems courses from: ELEN E4361: Power electronics; ELEN E6902 Renewable power systems; ELEN E6904: Motor drive systems; ELEN E4511: Power systems analysis and control; ELEN E4510: Solar energy and smart grid power systems.
- Take at least one control or optimization course from: EEME E4601: Digital control systems; EEME E6601: Introduction to control theory; EEME E6602: Modern control theory; ELEN E6837: Detection and estimation

theory; EEOR E4650: Convex optimization for electrical engineering; EEOR E6616: Convex optimization; EECS E4764: IoT—intelligent and connected systems; CSEE W4840: Embedded system Design.

- Take at least one nonelectric energy class course from: MECE E4210: Energy infrastructure planning; MECE E4320: Intro to combustion; MECE E4211: Energy: sources and conversions; MECE E4302: Advanced thermodynamics; EAEE E4190: Photovoltaic systems engineering and sustainability; EAEE E4257: Environmental data analysis and modeling; EAEE E4301: Carbon storage; EAEE E4302: Carbon capture; EAEE E4304: Closing the carbon cycle.
- Take one of the following energy policy or market nontechnical elective courses (this course will fill the quota of nontechnical courses on the M.S. Checklist): EAEE E4001: Industrial ecology of earth resources; EAIA W4200: Alternative energy resources; INAF U6057 Electricity markets; INAF U6072: Energy systems fundamentals; SUMA K4135: Energy analysis for energy efficiency; INAF U6065: The economics of energy; INAF U6061: Global energy policy; INAF U6242: Energy policy; INAF U6135: Renewable energy markets and policy.

### Concentration in Systems Biology and Neuroengineering

Advisers: Professors Dimitris Anastassiou, Christine Hendon, Predrag Jelenkovic, Aurel A. Lazar, Nima Mesgarani, Kenneth Shepard, Xiaodong Wang

- Satisfy M.S. degree requirements.
- Take both ECBM E4060: Introduction to genomic information science and technology and BMEB W4020: Computational neuroscience, circuits in the brain
- Take at least one course from BME E4030: Neural control engineering; ECBM E4040: Neural networks and deep learning; ECBM E4090: Brain computer interfaces (BCI) laboratory; CBMF W4761: Computational genomics; ELEN E6010: Systems biology: design

principles for biological circuits; EEBM E6020: *Methods in computational neuroscience*; BMEE E6030: *Neural modeling and neuroengineering*.

4. Take at least one course from ECBM E6040: *Neural networks and deep learning research*; ECBM E607x: *Topics in neuroscience and deep learning*; ELEN E608x: *Topics in systems biology*; EEBM E609x: *Topics in computational neuroscience and neuroengineering*; ELEN E6261: *Computational methods of circuit analysis* ELEN E6717: *Information theory*; ELEN E6860: *Advanced digital signal processing*.

### Concentration in Lightwave (Photonics) Engineering

Advisers: Professors Keren Bergman, Ioannis (John) Kymissis

1. Satisfy M.S. degree requirements.
2. Take both ELEN E4411: *Fundamentals of photonics* and ELEN E6412: *Lightwave devices* (or an E&M course, such as APPH E4300: *Applied electrodynamics* or PHYS GR6092: *Electromagnetic theory*).
3. One more device/circuits/photonics course such as: ELEN E6413: *Lightwave systems*; ELEN E6414: *Photonic integrated circuits*; ELEN E4314: *Communication circuits*; ELEN E4488: *Optical systems*; ELEN E6488: *Optical interconnects and interconnection networks*; ELEN E4193: *Modern display science and technology*.
4. At least two additional approved courses in photonics or a related area. Options also include courses outside EE such as APPH E4090: *Nanotechnology*; APPH E4100: *Quantum physics of matter*; APPH E4110: *Modern optics*; CHAP E4120: *Statistical mechanics*; APPH E412: *Laser physics*; APPH E4130: *Physics of solar energy*; APPH E6081: *Solid state physics, I*; APPH E6082: *Solid state physics, II*; APPH E6091: *Magnetism and magnetic materials*; APPH E6110: *Laser interactions with matter*; MSAE E4202: *Thermodynamics and reactions in solids*; MSAE E4206: *Electronic and magnetic properties of solids*; MSAE E4207: *Lattice vibrations and crystal defects*; MSAE E6120: *Grain boundaries and interfaces*; MSAE E6220: *Crystal physics*; MSAE E6229: *Energy and particle beam processing of materials*; MSAE E6225: *Techniques in X-ray and neutron diffraction*.

### Concentration in Microelectronic Devices

Advisers: Professors Wen Wang, Ioannis (John) Kymissis

1. Satisfy M.S. degree requirements.
2. One basic course such as: ELEN E4301: *Introduction to semiconductor devices* or ELEN E4411: *Fundamentals of photonics*.
3. One advanced course such as: ELEN E4193: *Modern display science and technology*; ELEN E4944: *Principles of device microfabrication*; ELEN E4503: *Sensors, actuators, and electromechanical systems*; ELEN E6151: *Surface physics and analysis of electronic materials*; ELEN E6331: *Principles of semiconductor physics, I*; ELEN E6332: *Principles of semiconductor physics, II*; ELEN E6333: *Semiconductor device physics*; ELEN E6945: *Nanoscale fabrication and devices*.
4. At least two other approved courses in devices or a related area. Options also include courses outside EE such as APPH E4090: *Nanotechnology*; APPH E4100: *Quantum physics of matter*; APPH E4110: *Modern optics*; CHAP E4120: *Statistical mechanics*; APPH E4112: *Laser physics*; APPH E4130: *Physics of solar energy*; APPH E6081: *Solid state physics, I*; APPH E6082: *Solid state physics, II*; APPH E6091: *Magnetism and magnetic materials*; APPH E6110: *Laser interactions with matter*; MSAE E4202: *Thermodynamics and reactions in solids*; MSAE E4206: *Electronic and magnetic properties of solids*; MSAE E4207: *Lattice vibrations and crystal defects*; MSAE E6120: *Grain boundaries and interfaces*; MSAE E6220: *Crystal physics*; MSAE E6229: *Energy and particle beam processing of materials*; MSAE E6225: *Techniques in X-ray and neutron diffraction*.

## COURSES IN ELECTRICAL ENGINEERING

### ELEN E1101x or y The digital information age

3 pts. Lect: 3. Professor Vallancourt.

An introduction to information transmission and storage, including technological issues. Binary numbers; elementary computer logic; digital speech and image coding; basics of compact disks, telephones, modems, faxes, UPC bar codes, and the World Wide Web. Projects include implementing simple digital logic systems and Web pages. Intended primarily for students outside the School of Engineering and Applied Science. The only prerequisite is a working knowledge of elementary algebra.

### ELEN E1201x and y Introduction to electrical engineering

3.5 pts. Lect: 3. Lab:1. Professor Vallancourt.

Prerequisite: MATH UN1101. Basic concepts of electrical engineering. Exploration of selected topics and their application. Electrical variables, circuit laws, nonlinear and linear elements, ideal and real sources, transducers, operational amplifiers in simple circuits, external behavior of diodes and transistors, first order RC and RL circuits. Digital representation of a signal, digital logic gates, flip-flops. A lab is an integral part of the course. Required of electrical engineering and computer engineering majors.

### ELEN E3043x Solid state, microwave, and fiber optics laboratory

3 pts. Lect: 1. Lab: 6. Professor W. Wang.

Prerequisites: ELEN E3106 and ELEN E3401. Optical electronics and communications. Microwave circuits. Physical electronics.

### ELEN E3081x Circuit analysis laboratory

1 pt. Lab: 3. Professor Zukowski.

Prerequisite: ELEN E1201 or equivalent. Corequisite: ELEN E3201. Companion lab course for ELEN E3201. Experiments cover such topics as: use of measurement instruments; HSPICE simulation; basic network theorems; linearization of nonlinear circuits using negative feedback; op-amp circuits; integrators; second order RLC circuits. The lab generally meets on alternate weeks.

### ELEN E3082y Digital systems laboratory

1 pt. Lab: 3. Professor Shepard.

Corequisite: CSEE W3827. Recommended preparation: ELEN E1201 or equivalent. Companion lab course for CSEE W3827. Experiments cover such topics as logic gates; flip-flops; shift registers; counters; combinational logic circuits; sequential logic circuits; programmable logic devices. The lab generally meets on alternate weeks.

### ELEN E3083y Electronic circuits laboratory

1 pt. Lab: 3. Professor Kostic.

Prerequisite: ELEN E3081. Corequisite: ELEN E3331. Companion lab course for ELEN E3331. Experiments cover such topics as macromodeling of nonidealities of opamps

using SPICE; Schmitt triggers and astable multivibrations using opamps and diodes; logic inverters and amplifiers using bipolar junction transistors; logic inverters and ring oscillators using MOSFETs; filter design using opamps. The lab generally meets on alternate weeks.

**ELEN E3084x Signals and systems laboratory**  
1 pt. Lab: 3. Professor X. Wang.

Corequisite: ELEN E3801. Companion lab course for ELEN E3801. Experiments cover topics such as: introduction and use of MATLAB for numerical and symbolic calculations; linearity and time invariance; continuous-time convolution; Fourier-series expansion and signal reconstruction; impulse response and transfer function; forced response. The lab generally meets on alternate weeks.

**ELEN E3106x Solid-state devices and materials**  
3.5 pts. Lect: 3. Recit: 1. Professor Kymissis.

Prerequisite: MATH UN1201 or APMA E2000 or equivalent. Corequisite: PHYS UN1403 or PHYS UN2601 or equivalent. Crystal structure and energy band theory of solids. Carrier concentration and transport in semiconductors. P-n junction and junction transistors. Semiconductor surface and MOS transistors. Optical effects and optoelectronic devices. Fabrication of devices and the effect of process variation and distribution statistics on device and circuit performance.

**ELEN E3201x Circuit analysis**

3.5 pts. Lect: 3. Recit: 1. Professor Zukowski.  
Prerequisite: ELEN E1201 or equivalent.  
Corequisite: MATH UN1201 or APMA E2000. A course on analysis of linear and nonlinear circuits and their applications. Formulation of circuit equations. Network theorems. Transient response of first and second order circuits. Sinusoidal steady state-analysis. Frequency response of linear circuits. Poles and zeros. Bode plots. Two-port networks.

**ELEN E3331y Electronic circuits**

3 pts. Lect: 3. Professor Kostic.  
Prerequisites: ELEN E3201. Operational amplifier circuits. Diodes and diode circuits. MOS and bipolar junction transistors. Biasing techniques. Small-signal models. Single-stage transistor amplifiers. Analysis and design of CMOS logic gates. A/D and D/A converters.

**ELEN E3390y Electronic circuit design laboratory**

3 pts. Lab: 6. Professor Vallancourt.  
Prerequisites: ELEN E3082, E3083, E3331, E3401, E3801. Advanced circuit design laboratory. Students work in teams to specify, design, implement and test an engineering prototype. The work involves technical as well as non-technical considerations, such as manufacturability, impact on the environment, and economics. The projects may change from year to year.

**ELEN E3399x Electrical engineering practice**  
1 pt. Professor Vallancourt.

Design project planning, written and oral technical communication, practical aspects of engineering as a profession, such as career development and societal and environmental impact. Generally taken senior year.

**ELEN E3401y Electromagnetics**

4 pts. Lect: 3. Professor Kash.  
Prerequisite: MATH UN1201 or APMA E2000, PHYS UN1402 or PHYS UN1602, or equivalents. Basic field concepts. Interaction of time-varying electromagnetic fields. Field calculation of lumped circuit parameters. Transition from electrostatic to quasistatic and electromagnetic regimes. Transmission lines. Energy transfer, dissipation, and storage. Waveguides. Radiation.

**EEME E3601x Classical control systems**

3 pts. Lect: 3. Professor Longman.  
Prerequisite: MATH UN2030. Analysis and design of feedback control systems. Transfer functions; block diagrams; proportional, rate, and integral controllers; hardware; implementation. Routh stability criterion, root locus, Bode and Nyquist plots, compensation techniques.

**ELEN E3701y Introduction to communication systems**

3 pts. Lect: 3. Professor Kalet.  
Prerequisite: ELEN E3801. Corequisite: IEOR E3658. A basic course in communication theory, stressing modern digital communication systems. Nyquist sampling, PAM and PCM/DPCM systems, time division multiplexing, high frequency digital (ASK, OOK, FSK, PSK) systems, and AM and FM systems. An introduction to noise processes, detecting signals in the presence of noise, Shannon's theorem on channel capacity, and elements of coding theory.

**ELEN E3801x Signals and systems**

3.5 pts. Lect: 3. Professor X. Wang.  
Corequisite: MATH UN1201 or APMA E2000. Modeling, description, and classification of signals and systems. Continuous-time systems. Time domain analysis, convolution. Frequency domain analysis, transfer functions. Fourier series. Fourier and Laplace transforms. Discrete-time systems and the Z transform.

**CSEE W3827x and y Fundamentals of computer systems**

3 pts. Lect: 3. Professor Kim.  
Prerequisites: An introductory programming course. Fundamentals of computer organization and digital logic. Boolean algebra, Karnaugh maps, basic gates and components, flip-flops and latches, counters and state machines, basics of combinational and sequential digital design. Assembly language, instruction sets, ALUs, single-cycle and multicycle processor design, introduction to pipelined processors, caches, and virtual memory.

**ELEN E3990x, y or s Fieldwork**  
1–2 pts. Members of the faculty.

Prerequisites: Obtained internship and approval from a faculty adviser. May be repeated for credit, but no more than 3 total points may be used for degree credit. Only for Electrical Engineering and Computer Engineering undergraduate students who include relevant off-campus work experience as part of their approved program of study. Final report and letter of evaluation required. May not be used as technical or nontechnical electives or to satisfy any other Electrical Engineering or Computer Engineering major requirements. May not be taken for pass/fail credit or audited.

**ELEN E3998x and y Projects in electrical engineering**

0 to 3 pts. Members of faculty.  
Prerequisite: Requires approval by a faculty member who agrees to supervise the work. May be repeated for credit, but no more than 3 total points may be used for degree credit. Independent project involving laboratory work, computer programming, analytical investigation, or engineering design.

**BMEB W4020x Computational neuroscience: circuits in the brain**

3 pts. Lect: 3. Professor Lazar.  
Prerequisite: ELEN E3801 or BIOL UN3004. The biophysics of computation: modeling biological neurons, the Hodgkin-Huxley neuron, modeling channel conductances and synapses as memristive systems, bursting neurons and central pattern generators, I/O equivalence and spiking neuron models. Information representation and neural encoding: stimulus representation with time encoding machines, the geometry of time encoding, encoding with neural circuits with feedback, population time encoding machines. Dendritic computation: elements of spike processing and neural computation, synaptic plasticity and learning algorithms, unsupervised learning and spike time-dependent plasticity, basic dendritic integration. Projects in MATLAB.

**BMEE E4030 y Neural control engineering**

3 pts. Lect: 3. Professor Wong.  
Prerequisite: ELEN E3801. Topics include Basic cell biophysics, active conductance and the Hodgkin-Huxley model, simple neuron models, ion channel models and synaptic models, statistical models of spike generation, Wilson-Cowan model of cortex, large-scale electrophysiological recording methods, sensorimotor integration and optimal state estimation, operant conditioning of neural activity, nonlinear modeling of neural systems, sensory systems: visual pathway and somatosensory pathway, neural encoding model: spike triggered average (STA) and spike triggered covariance (STC) analysis, neuronal response to electrical micro-stimulation, DBS for Parkinson's disease treatment, motor neural prostheses, and sensory neural prostheses.

**ECBM E4040x or y Neural networks and deep learning**

3 pts. Lect: 3. Professor Kostic.  
Prerequisites: BMEB W4020 or BMEE E4030 or ECBM E4090 or ELEN E4750 or COMS

W4771 or an equivalent. Developing features and internal representations of the world, artificial neural networks, classifying handwritten digits with logistics regression, feedforward deep networks, back propagation in multilayer perceptrons, regularization of deep or distributed models, optimization for training deep models, convolutional neural networks, recurrent and recursive neural networks, deep learning in speech, and object recognition.

**ECBM E4060x Introduction to genomic information science and technology**

*3 pts. Lect: 3. Professor Anastassiou.* Introduction to the information system paradigm of molecular biology. Representation, organization, structure, function and manipulation of the biomolecular sequences of nucleic acids and proteins. The role of enzymes and gene regulatory elements in natural biological functions as well as in biotechnology and genetic engineering. Recombination and other macromolecular processes viewed as mathematical operations with simulation and visualization using simple computer programming. This course shares lectures with ECBM E3060, but the work requirements differ somewhat.

**ECBM E4090x or y Brain computer interfaces (BCI) laboratory**

*3 pts. Lect: 2. Lab: 3. Professor Mesgarani.* Prerequisites: ELEN E3801. Hands-on experience with basic neural interface technologies. Recording EEG (electroencephalogram) signals using data acquisition systems (noninvasive, scalp recordings). Real-time analysis and monitoring of brain responses. Analysis of intention and perception of external visual and audio signals.

**CSEE W4119x and y Computer networks**

*3 pts. Lect: 3. Professor Rubenstein.* Corequisite: IEOR E3658 or SIEO W3600 or equivalents. Introduction to computer networks and the technical foundations of the internet, including applications, protocols, local area networks, algorithms for routing and congestion control, security, elementary performance evaluation. Several written and programming assignments required.

**CSEE W4140x or y Networking laboratory**

*3 pts. Lect: 3. Professor Zussman.* Prerequisite: CSEE W4119 or equivalent. In this course, students learn how to put "principles into practice," in a hands-on-networking lab course. The technologies and protocols of the internet are covered, using equipment currently available to large internet service providers such as CISCO routers and end-systems. A set of laboratory experiments provides hands-on experience with engineering wide-area networks and familiarizes students with the Internet Protocol (IP), Address Resolution Protocol (ARP), Internet Control Message Protocol (ICMP), User Datagram Protocol (UDP) and

Transmission Control Protocol (TCP), the Domain Name System (DNS), routing protocols (RIP, OSPF, BGP), network management protocols (SNMP), and application-level protocols (FTP, TELNET, SMTP).

**ELEN E4193x or y Modern display science and technology**

*3 pts. Lect: 3. Professor Kymissis.* Prerequisites: Linear algebra, differential equations, and basic semiconductor physics. Introduction to modern display systems in an engineering context. The basis for visual perception, image representation, color space, metrics of illumination. Physics of luminescence, propagation and manipulation of light in anisotropic media, emissive displays, and spatial light modulators. Fundamentals of display addressing, the Alt-Pleshko theorem, multiple line addressing. Large area electronics, fabrication, and device integration of commercially important display types. A series of short laboratories will reinforce material from the lectures. Enrollment may be limited.

**ELEN E4301y Introduction to semiconductor devices**

*3 pts. Lect: 3. Professor Laibowitz or Teherani.* Prerequisite: ELEN E3106 or equivalent. Semiconductor physics. Carrier injection and recombination. P-n junction and diodes: Schottky barrier and heterojunctions, solar cells and light-emitting diodes. Junction and MOS field-effect transistors, bipolar transistors. Tunneling and charge-transfer devices.

**ELEN E4312x Analog electronic circuits**

*3 pts. Lect: 3. Professor Dickson.* Prerequisites: ELEN E3331 and E3801. Differential and multistage amplifiers; small-signal analysis; biasing techniques; frequency response; negative feedback; stability criteria; frequency compensation techniques. Analog layout techniques. An extensive design project is an integral part of the course.

**ELEN E4314y Communication circuits**

*3 pts. Lect: 3. Professor Tsvividis.* Prerequisite: ELEN E4312. Principles of electronic circuits used in the generation, transmission, and reception of signal waveforms, as used in analog and digital communication systems. Nonlinearity and distortion; power amplifiers; tuned amplifiers; oscillators; multipliers and mixers; modulators and demodulators; phase-locked loops. An extensive design project is an integral part of the course.

**EECS E4321x Digital VLSI circuits**

*3 pts. Lect: 3. Professor Shepard.* Recommended preparation: ELEN E3106, E3331, and CSEE W3827. Design and analysis of high speed logic and memory. Digital CMOS and BiCMOS device modeling. Integrated circuit fabrication and layout. Interconnect and parasitic elements. Static and dynamic techniques.

Worst-case design. Heat removal and I/O. Yield and circuit reliability. Logic gates, pass logic, latches, PLAs, ROMs, RAMs, receivers, drivers, repeaters, sense amplifiers.

**EECS E4340x Computer hardware design**

*3 pts. Lect: 2. Lab: 3. Professor Sethumadhavan.* Prerequisites: ELEN E3331 and CSEE W3827. Practical aspects of computer hardware design through the implementation, simulation, and prototyping of a PDP-8 processor. High-level and assembly languages, I/O, interrupts, datapath and control design, pipelining, busses, memory architecture. Programmable logic and hardware prototyping with FPGAs. Fundamentals of VHDL for register-transfer level design. Testing and validation of hardware. Hands-on use of industry CAD tools for simulation and synthesis.

**ELEN E4361x or y Power electronics**

*3 pts. Lect: 3. Professor Preindl.* Prerequisites: ELEN E3801 and E3331. Introduction to power electronics; power semiconductor devices: power diodes, thyristors, commutation techniques, power transistors, power MOSFETs, Triac, IGBTs, etc., and switch selection; nonsinusoidal power definitions and computations, modeling, and simulation; half-wave rectifiers; single-phase, full-wave rectifiers; three-phase rectifiers; AC voltage controllers; DC/DC buck, boost, and buck-boost converters; discontinuous conduction mode of operation; DC power supplies: flyback, forward converter; DC/AC inverters, PWM techniques; three-phase inverters.

**BMEE E4400x Wavelet applications in biomedical image and signal processing**

*3 pts. Lect: 3.* Prerequisites: APMA E2101 or E3101 or equivalent. An introduction to methods of wavelet analysis and processing techniques for the quantification of biomedical images and signals. Topics include frames and overcomplete representations, multiresolution algorithms for denoising and image restoration, multiscale texture segmentation and classification methods for computer-aided diagnosis.

**ELEN E4401x Wave transmission and fiber optics**

*3 pts. Lect: 3. Professor Diamant.* Prerequisite: ELEN E3401 or equivalent. Waves and Maxwell's equations. Field energetics, dispersion, complex power. Waves in dielectrics and in conductors. Reflection and refraction. Oblique incidence and total internal reflection. Transmission lines and conducting waveguides. Planar and circular dielectric waveguides; integrated optics and optical fibers. Hybrid and LP modes. Graded-index fibers. Mode coupling; wave launching.

**ELEN E4411x Fundamentals of photonics**

*3 pts. Lect: 3. Professor Lipson.*

Prerequisite: ELEN E3401 or equivalent. Planar resonators. Photons and photon streams. Photons and atoms: energy levels and band structure; interactions of photons with matter; absorption, stimulated and spontaneous emission; thermal light, luminescence light. Laser amplifiers: gain, saturation, and phase shift; rate equations; pumping. Lasers: theory of oscillation; laser output characteristics. Photons in semiconductors: generation, recombination, and injection; heterostructures; absorption and gain coefficients. Semiconductor photon sources: LEDs; semiconductor optical amplifiers; homojunction and heterojunction laser diodes. Semiconductor photon detectors: p-n, p-i-n, and heterostructure photo diodes; avalanche photodiodes.

#### **ELEN E4488x Optical systems**

3 pts. Lect: 3. Professor Hendon.

Prerequisite: ELEN E3401 or equivalent.

Introduction to optical systems based on physical design and engineering principles. Fundamental geometrical and wave optics with specific emphasis on developing analytical and numerical tools used in optical engineering design. Focus on applications that employ optical systems and networks, including examples in holographic imaging, tomography, Fourier imaging, confocal microscopy, optical signal processing, fiber optic communication systems, optical interconnects and networks.

#### **ELEN E4510x or y Solar energy and smart grid power systems**

3 pts. Lect: 3. Professors Kymissis and Schwartz.

Prerequisite: Background in circuits. Inorganic solar cell semiconductor physics. Single and tandem junction design. Measures of spectral and energy efficiency. Introduction to organic solar cells and thin film inorganic cells. Batteries and other energy storage systems. Introduction to legacy power networks: Single phase equivalents to three-phase networks. Reactive and real power. Equivalent circuits of synchronous machines, transformers, and transmission lines. Smart grid technology: Control and management of distributed solar energy and other intermittent renewable power sources connected to legacy power networks. Microgrid concept. "Small world" networks and fault management. Communication over power lines. Smart metering.

#### **ELEN E4511x or y Power systems analysis and control**

3 pts. Lect: 3.

Prerequisites: ELEN E3201 and E3401, or equivalents, or instructor's permission. Modeling of power networks, steady-state and transient behaviors, control and optimization, electricity market, and smart grid.

#### **EEME E4601y Digital control systems**

3 pts. Lect: 3. Professor Longman.

Prerequisite: ELEN E3801 or EEME E3601, or equivalent. Real-time control using digital computers. Solving scalar and state-space difference equations. Discrete equivalents of continuous systems fed by holds. Z-transfer functions. Creating closed-loop difference equation models by Z-transform and state variable approaches. The Nyquist frequency and sample rate selection. Classical- and modern-based digital control laws. Digital system identification.

#### **EEOR E4650x or y Convex optimization for electrical engineering**

3 pts. Lect: 3.

Prerequisite: ELEN E3801 or instructor's permission. Theory of convex optimization; numerical algorithms; applications in circuits, communications, control, signal processing and power systems.

#### **ELEN E4702x or y Digital communications**

3 pts. Lect: 3. Professor Raghavan.

Prerequisite: ELEN E3701 or equivalent. Digital communications for both point-to-point and switched applications is further developed. Optimum receiver structures and transmitter signal shaping for both binary and M-ary signal transmission. An introduction to block codes and convolutional codes, with application to space communications.

#### **ELEN E4703y Wireless communications**

3 pts. Lect: 3. Professor Samardzia.

Prerequisite: ELEN E3701 or equivalent.

Wireless communication systems. System design fundamentals. Trunking theory. Mobile radio propagation. Reflection of radio waves. Fading and multipath. Modulation techniques; signal space; probability of error, spread spectrum. Diversity. Multiple access.

#### **BMEE E4740y Biinstrumentation**

3 pts. Lect: 1. Lab: 3. Professor Kyle.

Prerequisites: COMS W1005, ELEN E1201. Hands-on experience designing, building, and testing the various components of a benchtop cardiac pacemaker. Design instrumentation to measure biomedical signals as well as to actuate living tissues. Transducers, signal conditioning electronics, data acquisition boards, the Arduino microprocessor, and data acquisition and processing using MATLAB will be covered. Various devices will be discussed throughout the course, with laboratory work focusing on building an emulated version of a cardiac pacemaker. Lab required.

#### **EECS E4750x or y Heterogeneous computing for signal and data processing**

3 pts. Lect: 2. Professor Marianetti.

Prerequisites: ELEN E3801 and COMS W3134 or similar, recommended. Methods for deploying signal and data processing algorithms on contemporary general purpose graphics processing units (GPGPUs) and heterogeneous computing infrastructures. Using programming

languages such as OpenCL and CUDA for computational speedup in audio, image, and video processing and computational data analysis. Significant design project.

#### **EECS E4764 Internet of things—intelligent and connected systems**

3 pts. Lect: 3. Professor Jiang.

Prerequisite: Knowledge of programming or instructor's permission. Recommended: ELEN E4703, CSEE W4119, CSEE W4840, or related courses. Cyber-physical systems and Internet of Things. Various sensors and actuators, communication with devices through serial protocols and buses, embedded hardware, wired and wireless networks, embedded platforms such as Arduino and smartphones, web services on end devices and in the cloud, visualization and analytics on sensor data, end-to-end IoT applications. Group projects to create working CPS/IoT system.

#### **EECS E4766 Internet of things—engineering innovations and commercialization**

3 pts. Lect: 3. Professor Kostic.

Prerequisites: Basic programming and instructor's permission. Deep dive into a couple of selected topics/use-cases from the area of Internet of Things. Coverage of the topic from device to the cloud, with focus on practical aspects. Innovative product definition, product development, marketing, commercialization, and monetization. Cross-disciplinary coverage: EE, MechE, CS, Bioengineering, marketing, business, design. Building products and startups in the IoT domain. Collaboration between the Engineering School, Business School, industry experts, and engagement in IoT activities in NYC. Collaborative project by groups of students from different disciplines. This course shares lectures with E6766, but the expected project complexity is lower.

#### **ELEN E4810x Digital signal processing**

3 pts. Lect: 3. Professor Wright.

Prerequisite: ELEN E3801. Digital filtering in time and frequency domain, including properties of discrete-time signals and systems, sampling theory, transform analysis, system structures, IIR and FIR filter design techniques, the discrete Fourier transform, fast Fourier transforms.

#### **ELEN E4815y Random signals and noise**

3 pts. Lect: 3. Professor Kalet.

Prerequisite: IEOR E3658 or equivalent. Characterization of stochastic processes as models of signals and noise; stationarity, ergodicity, correlation functions, and power spectra. Gaussian processes as models of noise in linear and nonlinear systems; linear and nonlinear transformations of random processes; orthogonal series representations. Applications to circuits and devices, to communication, control, filtering, and prediction.

#### **CSEE W4823x or y Advanced logic design**

3 pts. Lect: 3. Professor Nowick.

Prerequisite: CSEE W3827 or equivalent. An introduction to modern digital system design. Advanced topics in digital logic: controller synthesis (Mealy and Moore machines); adders and multipliers; structured logic blocks (PLDs, PALs, ROMs); iterative circuits. Modern design methodology: register transfer level modeling (RTL); algorithmic state machines (ASMs); introduction to hardware description languages (VHDL or Verilog); system-level modeling and simulation; design examples.

**CSEE W4824x or y Computer architecture**

3 pts. Lect: 3. Professor Sethumadhavan. Prerequisite: CSEE W3827 or equivalent. Focuses on advanced topics in modern computer architecture, illustrated by recent case studies. Fundamentals of quantitative analysis. Pipelined, out-of-order, and speculative execution. Superscalar, VLIW and vector processors. Embedded processors. Memory hierarchy design. Multiprocessors and thread-level parallelism. Synchronization and cache coherence protocols. Interconnection networks.

**ELEN E4830y Digital image processing**

3 pts. Lect: 3. Professor Hendon. Introduction to the mathematical tools and algorithmic implementation for representation and processing of digital pictures, videos, and visual sensory data. Image representation, filtering, transform, quality enhancement, restoration, feature extraction, object segmentation, motion analysis, classification, and coding for data compression. A series of programming assignments reinforces material from the lectures.

**ELEN E4835 Introduction to adaptive signal representations**

3 pts. Lect: 2. Professor Wright. Prerequisites: Linear algebra (APMA E3101, MATH UN2010, or equivalent), probability (IEOR E3658 or equivalent), and signals and systems (ELEN E3801), or instructor's permission. Introduces numerical tools for adaptive processing of signals. Signal representations, sparsity in overcomplete bases. Techniques for sparse recovery, applications to inpainting and denoising. Adaptive representations: principal component analysis, clustering and vector quantization, dictionary learning. Source separation: independent component analysis and matrix factorizations. Signal classification: support vector machines and boosting, learning with invariances. Hashing and signal retrieval. Case studies from image processing, audio, multimedia.

**CSEE W4840y Embedded systems**

3 pts. Lect: 3. Professor Edwards. Prerequisite: CSEE W4823 or equivalent. Embedded system design and implementation combining hardware and software. I/O, interfacing, and peripherals. Weekly laboratory sessions and term project on design of a

microprocessor-based embedded system including at least one custom peripheral. Knowledge of C programming and digital logic required.

**CSEE E4868x or y System-on-chip platforms**

3 pts. Lect: 3. Professor Carloni. Prerequisites: COMS W3157 and CSEE W3827 Design and programming of System-on-Chip (SoC) platforms. Topics include overview of technology and economic trends, methodologies and supporting CAD tools for system-level design; models of computation, the SystemC language, transaction-level modeling, hardware-software partitioning, high-level synthesis, system programming, on-chip communication, memory organization, power management and optimization, integration of programmable processor cores and specialized accelerators. Case studies of modern SoC platforms for various classes of applications.

**ELEN E4896y Music signal processing**

3 pts. Lect: 3. Professor Ellis. Prerequisite: ELEN E3801, E4810, or the equivalent. An investigation of the applications of signal processing to music audio, spanning the synthesis of musical sounds (including frequency modulation [FM], additive sinusoidal synthesis, and linear predictive coding [LPC]), the modification of real and synthetic sounds (including reverberation and time/pitch scaling), and the analysis of music audio to extract musical information (including pitch tracking, chord transcription, and music matching). Emphasis on practical, hands-on experimentation, with a wide range of software implementations introduced and modified within the class.

**ELEN E4900-4909x or y Topics in electrical and computer engineering**

3 pts. Lect: 2. Members of the faculty. Prerequisite: Instructor's permission. Selected topics in electrical and computer engineering. Content varies from year to year, and different topics rotate through the course numbers 4900 to 4909.

**ELEN E4944x or y Principles of device microfabrication**

3 pts. Lect: 3. Professor Trevino. Science and technology of conventional and advanced microfabrication techniques for electronics, integrated and discrete components. Topics include diffusion; ion implantation, thin-film growth including oxides and metals, molecular beam and liquid-phase epitaxy; optical and advanced lithography; and plasma and wet etching.

**EECS E4951y Wireless networks and systems**

3 pts. Lect: 3. Professor Zussman. Prerequisite: CSEE W4119 or instructor's permission. Various topics in the area of wireless and mobile networks and systems. Functionalities in the layers above the physical layer. The latest wireless networking design

challenges, protocols, proposed algorithms, and applications. Includes several hands-on experiments as well as a final project.

**ELEN E4998x or y Intermediate projects in electrical engineering**

0–3 pts. Members of the faculty. Prerequisite: Requires approval by a faculty member who agrees to supervise the work. May be repeated for credit, but no more than 3 total points may be used for degree credit. Substantial independent project involving laboratory work, computer programming, analytical investigation, or engineering design.

**ELEN E6001x-E6002y Advanced projects in electrical engineering**

1–4 pts. Members of the faculty. Prerequisite: Requires approval by a faculty member who agrees to supervise the work. May be repeated for up to 6 points of credit. Graduate-level projects in various areas of electrical engineering and computer science. In consultation with an instructor, each student designs his or her project depending on the student's previous training and experience. Students should consult with a professor in their area for detailed arrangements no later than the last day of registration.

**ELEN E6010y Systems biology: design principles for biological circuits**

4.5 pts. Lect: 3. Professor Jelenkovic. Prerequisite: ECBM E4060 or instructor's permission. Beyond bioinformatics, cells as systems. Metabolic networks, transcription regulatory networks, signaling networks. Deterministic and stochastic kinetics. Mathematical representation of reconstructed networks. Network motifs. Signal transduction and neuronal networks. Robustness. Bacterial chemotaxis and patterning in fruit fly development. Kinetic proofreading. Optimal gene circuit design. Rules for gene regulation. Random networks and multiple time scales. Biological information processing. Numerical and simulation techniques. Major project(s) in MATLAB.

**EEBM E6020y Methods of computational neuroscience**

4.5 pts. Lect: 3. Prerequisite: BMEB W4020 or instructor's permission. Formal methods in computational neuroscience including methods of signal processing, communications theory, information theory, systems and control, system identification and machine learning. Molecular models of transduction pathways. Robust adaptation and integral feedback. Stimulus representation and groups. Stochastic and dynamical systems models of spike generation. Neural diversity and ensemble encoding. Time encoding machines and neural codes. Stimulus recovery with time decoding machines. MIMO models of neural

computation. Synaptic plasticity and learning algorithms. Major project(s) in MATLAB.

### **BMEE E6030x Neural modeling and neuroengineering**

*3 pts. Lect: 3. Professor Sajda.*

Prerequisites: ELEN E3801 and either APMA E2101 or E3101, or equivalent, or instructor's permission. Engineering perspective on the study of multiple levels of brain organization, from single neurons to cortical modules and systems. Mathematical models of spiking neurons, neural dynamics, neural coding, and biologically based computational learning. Architectures and learning principles underlying both artificial and biological neural networks. Computational models of cortical processing, with an emphasis on the visual system. Applications of principles in neuroengineering; neural prostheses, neuromorphic systems and biomimetics. Course will include a computer simulation laboratory.

### **ECBM E6040y Neural networks and deep learning research.**

*3 pts. Lect: 3. Professor Lazar.*

Prerequisite: ECBM E4040 or the equivalent. Regularized autoencoders, sparse coding and predictive sparse decomposition, denoising autoencoders, representation learning, manifold perspective on representation learning, structured probabilistic models for deep learning, Monte Carlo methods, training and evaluating models with intractable partition functions, restricted Boltzmann machines, approximate inference, deep belief networks, deep learning in speech, and object recognition.

### **ECBM E6070-6079x or y Topics in neuroscience and deep learning**

*3 pts. Lect: 2. Members of the faculty.*

Prerequisites: the instructor's permission. Selected advanced topics in neuroscience and deep learning. Content varies from year to year, and different topics rotate through the course numbers 6070 to 6079.

### **ELEN E6080-6089x or y Topics in systems biology**

*3 pts. Lect: 2. Members of the faculty.*

Prerequisite: Instructor's permission. Selected advanced topics in systems biology. Content varies from year to year, and different topics rotate through the course numbers 6080 to 6089.

### **EEBM E6090-6099x or y Topics in computational neuroscience and neuroengineering**

*3 pts. Lect: 2. Members of the faculty.*

Prerequisite: Instructor's permission. Selected advanced topics in computational neuroscience and neuroengineering. Content varies from year to year, and different topics rotate through the course numbers 6090 to 6099.

### **CSEE E6180x or y Modeling and performance evaluation**

*3 pts. Lect: 2. Professor Misra.*

Prerequisites: COMS W4118 and STAT GU4001 or permission of the instructor. Introduction to queuing analysis and simulation techniques. Evaluation of time-sharing and multiprocessor systems. Topics include priority queuing, buffer storage, and disk access, interference and bus contention problems, and modeling of program behaviors.

### **ELEN E6201x Linear system theory**

*3 pts. Lect: 3. Professor Sun.*

Prerequisites: ELEN E3801 and APMA E3101, or equivalents. Abstract objects, the concepts of state. Definition and properties of linear systems. Characterization of linear continuous-time and discrete-time, fixed, and time-varying systems. State-space description; fundamental matrix, calculation by computer and matrix methods. Modes in linear systems. Adjoint systems. Controllability and observability. Canonical forms and decompositions. State estimators. Lyapunov's method and stability.

### **ELEN E6302x or y MOS transistors**

*3 pts. Lect: 2. Professor Tsvividis.*

Prerequisite: ELEN E3106 or equivalent. Operation and modeling of MOS transistors. MOS two- and three-terminal structures. The MOS transistor as a four-terminal device; general charge-sheet modeling; strong, moderate, and weak inversion models; short-and-narrow-channel effects; ion-implanted devices; scaling considerations in VLSI; charge modeling; large-signal transient and small-signal modeling for quasistatic and nonquasistatic operation.

### **ELEN E6312y Advanced analog integrated circuits**

*3 pts. Lect: 2. Professor Krishnaswamy.*

Prerequisite: ELEN E4312. Integrated circuit device characteristics and models; temperature- and supply-independent biasing; IC operational amplifier analysis and design and their applications; feedback amplifiers, stability and frequency compensation techniques; noise in circuits and low-noise design; mismatch in circuits and low-offset design. Computer-aided analysis techniques are used in homework or a design project.

### **ELEN E6314x Advanced communication circuits**

*3 pts. Lect: 2.*

Prerequisites: ELEN E4314 and E6312. Overview of communication systems, modulation and detection schemes. Receiver and transmitter architectures. Noise, sensitivity, and dynamic range. Nonlinearity and distortion. Low-noise RF amplifiers, mixers, and oscillators. Phase-locked loops and frequency synthesizers. Typical applications discussed include wireless RF transceivers or data links. Computer-aided analysis techniques are used in homework(s) or a design project.

### **ELEN E6316y Analog systems in VLSI**

*3 pts. Lect: 3. Professor Dickson.*

Prerequisite: ELEN E4312. Analog-digital interfaces in very large scale integrated circuits. Precision sampling; A/D and D/A converter architectures; continuous-time and switched capacitor filters; system considerations. A design project is an integral part of this course.

### **ELEN E6318x or y Microwave circuit design**

*3 pts. Lect: 3. Professor Baeyens.*

Prerequisites: ELEN E3331 and E3401, or equivalents. Introduction to microwave engineering and microwave circuit design. Review of transmission lines. Smith chart, S-parameters, microwave impedance matching, transformation and power combining networks, active and passive microwave devices, S-parameter-based design of RF and microwave amplifiers. A microwave circuit design project (using microwave CAD) is an integral part of the course.

### **ELEN E6320x or y Millimeter-wave IC design**

*3 pts. Lect: 3. Professor Krishnaswamy.*

Prerequisites: ELEN E3401 or equivalent, ELEN E4314 and E6312. Principles behind the implementation of millimeter-wave (30GHz-300GHz) wireless circuits and systems in silicon-based technologies. Silicon-based active and passive devices for millimeter-wave operation, millimeter-wave low-noise amplifiers, power amplifiers, oscillators and VCOs, oscillator phase noise theory, mixers and frequency dividers for PLLs. A design project is an integral part of the course.

### **EECS E6321y Advanced digital electronic circuits**

*3 pts. Lect: 2. Professor Seok.*

Prerequisite: EECS E4321. Advanced topics in the design of digital integrated circuits. Clocked and non-clocked combinational logic styles. Timing circuits: latches and flip-flops, phase-locked loops, delay-locked loops. SRAM and DRAM memory circuits. Modeling and analysis of on-chip interconnect. Power distribution and power-supply noise. Clocking, timing, and synchronization issues. Circuits for chip-to-chip electrical communication. Advanced technology issues that affect circuit design. The class may include a team circuit design project.

### **EECS E6322x VLSI Hardware architecture for signal processing and machine learning**

*3 pts. Lect: 3. Professor Seok.*

Prerequisites: CSEE W3827 and ELEN E3801. Recommended: ELEN E4810. Design of digital VLSI hardware for various digital signal processing and machine learning algorithms. Data flow graphs, iteration bounds, pipelining, parallel architectures, retiming, unfolding/folding, systolic architectures, bit-level arithmetic, numerical and algorithmic strength reductions, CORDIC, distributed arithmetic, FFT, neural network hardware, vector processors, subwordparallel architecture, and SIMD. May include a team circuit design project.

**ELEN E6331y Principles of semiconductor physics, I**

3 pts. Lect: 2. Professor Wang.

Prerequisite: ELEN E4301. Designed for students interested in research in semiconductor materials and devices. Topics include energy bands: nearly free electron and tight-binding approximations, the k.p. method, quantitative calculation of band structures and their applications to quantum structure transistors, photodetectors, and lasers; semiconductor statistics, Boltzmann transport equation, scattering processes, quantum effect in transport phenomena, properties of heterostructures. Quantum mechanical treatment throughout.

**ELEN E6332y Principles of semiconductor physics, II**

3 pts. Lect: 2.

Prerequisites: ELEN E3106 or ELEN E6331. Optical properties including absorption and emission of radiation, electron-phonon interactions, radiative and phonon-mediated processes, excitons, plasmons, polaritons, carrier recombination and generation, and related optical devices, tunneling phenomena, superconductivity. Quantum mechanical treatment throughout, heavy use of perturbation theory.

**ELEN E6333y Semiconductor device physics**

3 pts. Lect: 2.

Prerequisites: ELEN E3106 or ELEN E4301 or equivalent. Physics and properties of semiconductors. Transport and recombination of excess carriers. Schottky, P-N, MOS, and heterojunction diodes. Field effect and bipolar junction transistors. Dielectric and optical properties. Optical devices including semiconductor lamps, lasers, and detectors.

**ELEN E6350y VLSI design laboratory**

3 pts. Lab: 3. Professor Kinget.

Prerequisites: ELEN E4321 and E4312, or instructor's permission. Design of a CMOS mixed-signal integrated circuit. The class divides up into teams to work on mixed-signal integrated circuit designs. The chips are fabricated to be tested the following term. Lectures cover use of computer-aided design tools, design issues specific to the projects, and chip integration issues. This course shares lectures with E4350, but the complexity requirements of integrated circuits are higher.

**ELEN E6412y Lightwave devices**

3 pts. Lect: 2. Professor Woodward.

Prerequisites: ELEN E4411. Electro-optics: principles; electro-optics of liquid crystals and photo-refractive materials. Nonlinear optics: second-order nonlinear optics; third-order nonlinear optics; pulse propagation and solitons. Acousto-optics: interaction of light and sound; acousto-optic devices. Photonic switching and computing: photonic switches; all-optical switches; bistable optical devices. Introduction to fiber-optic communications: components of

the fiber-optic link; modulation, multiplexing and coupling; system performance; receiver sensitivity; coherent optical communications.

**ELEN E6413y Lightwave systems**

3 pts. Lect: 2. Professor Feuer.

Prerequisites: ELEN E4411. Recommended preparation: ELEN E6412. Fiber optics. Guiding, dispersion, attenuation, and nonlinear properties of fibers. Optical modulation schemes. Photonic components, optical amplifiers. Semiconductor laser transmitters. Receiver design. Fiber optic telecommunication links. Nonregenerative transmission using erbium-doped fiber amplifier chains. Coherent detection. Local area networks. Advanced topics in light wave networks.

**ELEN E6414y Photonic integrated circuits**

3 pts. Lect: 3.

Photonic integrated circuits are important subsystem components for telecommunications, optically controlled radar, optical signal processing, and photonic local area networks. An introduction to the devices and the design of these circuits. Principle and modeling of dielectric waveguides (including silica on silicon and InP based materials), waveguide devices (simple and star couplers), and surface diffractive elements. Discussion of numerical techniques for modeling circuits, including beam propagation and finite difference codes, and design of other devices: optical isolators, demultiplexers.

**ELEN E6430x or y Applied quantum optics**

3 pts. Lect: 2.

Prerequisites: Background in electromagnetism (ELEN E3401, E4401, E4411, or PHYS GR6092) and quantum mechanics (APPH E3100, E4100, or PHYS GU402x). An introduction to fundamental concepts of quantum optics and quantum electrodynamics with an emphasis on applications in nanophotonic devices. The quantization of the electromagnetic field; coherent and squeezed states of light; interaction between light and electrons in the language of quantum electrodynamics (QED); optical resonators and cavity QED; low-threshold lasers; and entangled states of light.

**ELEN E6488y Optical interconnects and interconnection networks**

3 pts. Lect: 2. Professor Bergman.

Prerequisite: ELEN E4411 or E4488 or an equivalent photonics course. Introduction to optical interconnects and interconnection networks for digital systems. Fundamental optical interconnects technologies, optical interconnection network design, characterization, and performance evaluation. Enabling photonic technologies including free-space structures, hybrid and monolithic integration platforms for photonic on-chip, chip-to-chip, backplane, and node-to-node interconnects, as well as photonic networks on-chip.

**EEME E6601x Introduction to control theory**

3 pts. Lect: 3. Professor Longman.

Prerequisite: MATH UN2030. A graduate-level introduction to classical and modern feedback control that does not presume an undergraduate background in control. Scalar and matrix differential equation models, and solutions in terms of state transition matrices. Transfer functions and transfer function matrices, block diagram manipulations, closed-loop response. Proportional, rate, and integral controllers, and compensators. Design by root locus and frequency response. Controllability, observability. Luenberger observers, pole placement, and linear-quadratic cost controllers.

**EEME E6602y Modern control theory**

3 pts. Lect: 3.

Prerequisite: EEME E6601 or E4601 or ELEN E6201, or instructor's permission. Singular value decomposition. ARX model and state-space model system identification. Recursive least squares filters and Kalman filters. LQR, H, linear robust control, predictive control. Learning control, repetitive control, adaptive control. Liapunov and Popov stability. Nonlinear adaptive control, nonlinear robust control, sliding mode control.

**EEOR E6616x or y Convex optimization**

3 pts. Lect: 2.5. Professor Iyengar.

Prerequisites: IEOR E6613 and EEOR E4650. Convex sets and functions, and operations preserving convexity. Convex optimization problems. Convex duality. Applications of convex optimization problems ranging from signal processing and information theory to revenue management. Convex optimization in Banach spaces. Algorithms for solving constrained convex optimization problems.

**EECS E6690-6699x or y Topics in data-driven analysis and computation**

3 pts. Lect: 2. Members of the faculty.

Prerequisite: the instructor's permission. Selected advanced topics in data-driven analysis and computation. Content varies from year to year, and different topics rotate through the course numbers 6690 to 6699.

**ELEN E6711x Stochastic models in information systems**

4.5 pts. Lect: 3. Professor Baryshnikov.

Prerequisite: IEOR E3658. Foundations: probability review, Poisson processes, discrete-time Markov models, continuous-time Markov models, stationarity, and ergodicity. The course presents a sample-path (time domain) treatment of stochastic models arising in information systems, including at least one of the following areas: communications networks (queueing systems), biological networks (hidden Markov models), Bayesian restoration of images (Gibbs fields), and electric networks (random walks).

**ELEN E6712x Communication theory**

3 pts. Lect: 3.

Prerequisite: ELEN E4815, or equivalent, or instructor's permission. Representation of bandlimited signals and systems. Coherent and incoherent communications over Gaussian channels. Basic digital modulation schemes. Intersymbol inference channels. Fading multipath channels. Carrier and clock synchronization.

**ELEN E6713y Topics in communications**

3 pts. Lect: 3.

Prerequisite: ELEN E6712 or E4702 or E4703 or equivalent, or instructor's permission. Advanced topics in communications, such as turbo codes, LDPC codes, multiuser communications, network coding, cross-layer optimization, cognitive radio. Content may vary from year to year to reflect the latest development in the field.

**ELEN E6717x Information theory**

3 pts. Lect: 2. Professor Wang.

Prerequisite: IEOR E3658 or a course in stochastic processes. Corequisite: ELEN E4815. Mutual information and entropy. The source coding theorem. The capacity of discrete memoryless channels and the noisy channel coding theorem. The rate distortion function. Discrete memoryless sources and single-letter distortion measures. Bhattacharya bounds, convolutional codes, and the Viterbi algorithm.

**ELEN E6718y Error correcting codes: classical and modern**

3 pts. Lect: 2. Professor Ashikhmin.

Prerequisite: IEOR E3658. Main concepts of error control codes. Linear block codes. Elements of algebra: Galois fields. BCH and Reed Solomon codes. Convolutional codes. Modern, capacity-achieving codes: Low Density Parity Check codes, TURBO codes, and Polar codes. EXIT Charts analysis.

**EECS E6720 Bayesian models for machine learning**

3 pts. Lect: 3. Professor Paisley.

Prerequisites: Basic calculus, linear algebra, probability, and programming. Basic statistics and machine learning strongly recommended. Bayesian approaches to machine learning. Topics include mixed-membership models, latent factor models, Bayesian nonparametric methods, probit classification, hidden Markov models, Gaussian mixture models, model learning with mean-field variational inference, scalable inference for Big Data. Applications include image processing, topic modeling, collaborative filtering, and recommendation systems.

**ELEN E6761x Computer communication networks I**

3 pts. Lect: 3. Professor Ghaderi.

Prerequisites: IEOR E3658 or equivalent, or instructor's permission. Recommended: CSEE W4119. Analytical approach to the design of (data) communication networks. Necessary tools

for performance analysis and design of network protocols and algorithms. Practical engineering applications in layered internet protocols in Data link layer, Network layer, and Transport layer. Review of relevant aspects of stochastic processes, control, and optimization.

**EECS E6765 Internet of things—systems and physical data analytics**

3 pts. Lect: 3. Professor Kostic.

Prerequisites: Knowledge of programming; ELEN E4703 or related; or CSEE W4119; or instructor's permission. Internet of Things from the point of view of data. Methods for data analytics to understand trade-offs and partitioning between cloud-based data-analytics and physical-device data analytics. Two-way interaction between data and physical devices to support a truly ubiquitous, networked, and autonomous cyber-physical ecosystem. System-focused design of architectures, algorithms, networks, protocols, communications, power, security, and standards. Focus on a significant design project.

**EECS E6766 Internet of things—engineering innovations and commercialization**

3 pts. Lect: 3. Professor Kostic.

Prerequisites: Basic programming and instructor's permission. Deep dive into a couple of selected topics/use-cases from the area of Internet of Things. Coverage of the topic from device to the cloud, with focus on practical aspects. Innovative product definition, product development, marketing, commercialization, and monetization. Cross-disciplinary coverage: EE, MechE, CS, Bioengineering, marketing, business, design. Building products and start-ups in the IoT domain. Collaboration between the Engineering School, Business School, industry experts, and engagement in IoT activities in NYC. Collaborative project by groups of students from different disciplines. This course shares lectures with E4766, but a more complex project is expected.

**ELEN E6767x or y Internet economics, engineering, and the implications for society**

3 pts. Lect: 2. Professor Mitra.

Prerequisites: CSEE W4119 or ELEN E6761 recommended, and ability to comprehend and track development of sophisticated models. Mathematical models, analyses of economics and networking interdependencies in the internet. Topics include microeconomics of pricing and regulations in communications industry, game theory in revenue allocations, ISP settlements, network externalities, two-sided markets. Economic principles in networking and network design, decentralized vs. centralized resource allocation, "price of anarchy," congestion control. Case studies of topical internet issues. Societal and industry implications of internet evolution.

**ELEN E6770–6779x or y Topics in networking**

3 pts. Lect: 2. Members of the faculty.

Further study of areas such as communication protocols and architectures, flow and congestion control in data networks, performance evaluation

in integrated networks. Content varies from year to year, and different topics rotate through the course numbers 6770 to 6779.

**ELEN E6820y Speech and audio processing and recognition**

3 pts. Lect: 2. Professor Mesgarani.

Prerequisite: ELEN E4810 or instructor's permission. Fundamentals of digital speech processing and audio signals. Acoustic and perceptual basics of audio. Short-time Fourier analysis. Analysis and filter bank models. Speech and audio coding, compression, and reconstruction. Acoustic feature extraction and classification. Recognition techniques for speech and other sounds, including hidden Markov models.

**CSEE E6824y Parallel computer architecture**

3 pts. Lect: 2.

Prerequisite: CSEE W4824. Parallel computer principles, machine organization and design of parallel systems including parallelism detection methods, synchronization, data coherence and interconnection networks. Performance analysis and special purpose parallel machines.

**CSEE E6847y Distributed embedded systems**

3 pts. Lect: 2.

Prerequisite: Any COMS W411X, CSEE W48XX, or ELEN E43XX course, or instructor's permission. An interdisciplinary graduate-level seminar on the design of distributed embedded systems. System robustness in the presence of highly variable communication delays and heterogeneous component behaviors. The study of the enabling technologies (VLSI circuits, communication protocols, embedded processors, RTOSs), models of computation, and design methods. The analysis of modern domain-specific applications including on-chip micro-networks, multiprocessor systems, fault-tolerant architectures, and robust deployment of embedded software. Research challenges such as design complexity, reliability, scalability, safety, and security. The course requires substantial reading, class participation and a research project.

**ELEN E6850x Visual information systems**

3 pts. Lect: 2.

Prerequisite: ELEN E4830 or instructor's permission. Introduction to critical image technologies in advanced visual information systems, such as content-based image databases, video servers, and desktop video editors. Intended for graduate students. Topics include visual data representation and compression, content-based visual indexing and retrieval, storage system design (data placement, scheduling, and admission control), compressed video editing, and synchronization issues of stored video/audio signals. Programming projects and final presentations are required.

**ELEN E6860y Advanced digital signal processing**

3 pts. Lect: 2. Professor Nguyen.

Prerequisite: ELEN E4810. This course is designed as an extension to ELEN E4810, with emphasis on emerging techniques in the area of digital signal processing. Topics include multirate signal processing, multidimensional signal processing, short-time Fourier transform, signal expansion in discrete and continuous time, filter banks, multiresolution analysis, wavelets, and their applications to image compression and understanding. Other topics may be included to reflect developments in the field.

**CSEE E6861y Computer-aided design of digital systems**

3 pts. Lect: 2. Professor Nowick.

Prerequisites: (i) one semester of advanced digital logic (CSEE W4823 or equivalent, or instructor's permission); and (ii) a basic course in data structures and algorithms (COMS W3133, W3134, W3137, W3139 or W3157, or equivalent, and familiarity with programming. Introduction to modern digital CAD synthesis and optimization techniques. Topics include modern digital system design (high-level synthesis, register-transfer level modeling, algorithmic state machines, optimal scheduling algorithms, resource allocation and binding, retiming), controller synthesis and optimization, exact and heuristic two-level logic minimization, advanced multi-level logic optimization, optimal technology mapping to library cells (for delay, power and area minimization), advanced data structures (binary decision diagrams), SAT solvers and their applications, static timing analysis, and introduction to testability. Includes hands-on small design projects using and creating CAD tools.

**CSEE E6863 Formal verification of hardware and software systems**

3 pts. Lect: 2. Professor Theobald and Ivancic.

Prerequisite: COMS W3134, W3136, or W3137 and COMS W3261. Introduction to the theory and practice of formal methods for the design and analysis of correct (i.e., bug-free) concurrent and embedded hardware/software systems. Topics include temporal logics; model checking; deadlock and liveness issues; fairness; satisfiability (SAT) checkers; binary decision diagrams (BDDs); abstraction techniques; introduction to commercial formal verification tools. Industrial state-of-the-art, case studies and experiences: software analysis (C/C++/Java), hardware verification (RTL).

**CSEE E6868x or y Embedded scalable platforms**

3 pts. Lect: 2. Professor Carloni.

Prerequisites: CSEE W4868 or instructor's permission. Inter-disciplinary graduate-level seminar on design and programming of embedded scalable platforms. Content varies between offerings to cover timely relevant issues

and latest advances in system-on-chip design, embedded software programming and electronic design automation. Requires substantial reading of research papers, class participation, and semester-long project.

**EECS E6870x or y Speech recognition**

3 pts. Lect: 2.

Prerequisites: Basic probability and statistics. Theory and practice of contemporary automatic speech recognition. Gaussian mixture distributions, hidden Markov models, pronunciation modeling, decision trees, finite-state transducers, and language modeling. Selected advanced topics will be covered in more depth.

**ELEN E6873x or y Detection and estimation theory**

3 pts. Lect: 2.

Prerequisite: ELEN E4815. Introduction to the fundamental principles of statistical signal processing related to detection and estimation. Hypothesis testing, signal detection, parameter estimation, signal estimation, and selected advanced topics. Suitable for students doing research in communications, control, signal processing, and related areas.

**ELEN E6880-6889x or y Topics in signal processing**

3 pts. Lect: 2. Members of the faculty.

Prerequisite: ELEN E4810. Advanced topics in signal processing, such as multidimensional signal processing, image feature extraction, image/video editing and indexing, advanced digital filter design, multirate signal processing, adaptive signal processing, and wave-form coding of signals. Content varies from year to year, and different topics rotate through the course numbers 6880 to 6889.

**EECS E6890-6899x or y Topics in information processing**

3 pts. Lect: 2. Members of the faculty.

Advanced topics spanning electrical engineering and computer science such as speech processing and recognition, image and multimedia content analysis, and other areas drawing on signal processing, information theory, machine learning, pattern recognition, and related topics. Content varies from year to year, and different topics rotate through the course numbers 6890 to 6899.

**ELEN E6900-6909x or y Topics in electrical and computer engineering**

3 pts. Lect: 2. Members of the faculty.

Prerequisite: Instructor's permission. Selected topics in electrical and computer engineering. Content varies from year to year, and different topics rotate through the course numbers 6900 to 6909.

**ELEN E6910-6919x or y Topics in technical writing and presentation for electrical engineers**

1 pt. Professor Kayfetz.

Open to M.S. and Ph.D. students in EE/CE with instructor's permission. Topics to help EE/CE graduate students' communication skills. Emphasis on writing clear, concise proposals, journal articles, conference papers, and theses, and on preparing clear technical presentations. Different topics rotate through the course numbers 6910 to 6919. May be repeated for credit. Credit may not be used to satisfy degree requirements.

**ELEN E6945x or y Device nanofabrication**

3 pts. Lect: 3.

Prerequisites: ELEN E3106 and E3401, or equivalents. Recommended: ELEN E4944. This course provides an understanding of the methods used for structuring matter on the nanometer length: thin-film technology; lithographic patterning and technologies including photon, electron, ion and atom, scanning probe, soft lithography, and nanoimprinting; pattern transfer; self-assembly; process integration; and applications.

**ELEN E6950x Wireless and mobile networking, I**

4,5 pts. Lect: 2. Lab: 1. Professor Jelenkovic.

Corequisite: ELEN E6761 or instructor's permission. Overview of mobile and wireless networking. Fundamental concepts in mobile wireless systems: propagation and fading, cellular systems, channel assignment, power control, handoff. Examples of second-generation circuits-switched systems and standards. Quantitative homework assignments may require use of a mathematical software package.

**ELEN E6999 Fieldwork**

0.5-1.5 pts. Members of the faculty.

Prerequisites: Obtained internship and approval from a faculty adviser. May be repeated for credit, but no more than 3 total points may be used for degree credit. Only for electrical engineering and computer engineering graduate students who include relevant off-campus work experience as part of their approved program of study. Final report required. May not be taken for pass/fail credit or audited.

**EEME E8601y Advanced topics in control theory**

3 pts. Lect: 3. Members of the faculty.

See entry under "Courses in Mechanical Engineering" for description.

**ELEN E9001x and y-E9002 Research**

0-6 pts. Members of the faculty.

Prerequisite: Requires approval by a faculty member who agrees to supervise the work. Points of credit to be approved by the department. Requires submission of an outline of the proposed research for approval by the faculty member who is to supervise the work of the student. The research facilities of the department are available to qualified students interested in advanced study.

**ELEN E9011x and y–E9012 Doctoral research**  
0–6 pts. *Members of the faculty.*

Prerequisite: Requires approval by a faculty member who agrees to supervise the work. Points of credit to be approved by the department. Open only to doctoral students who have passed the qualifying examinations. Requires submission of an outline of the proposed research for the approval of the faculty member who is to supervise the work of the student.

**ELEN E9800x and y Doctoral research instruction**

3, 6, 9 or 12 pts. *Professor Kinget.*

A candidate for the Eng.Sc.D. degree in electrical engineering must register for 12 points of doctoral research instruction. Registration in ELEN E9800 may not be used to satisfy the minimum residence requirement for the degree.

**ELEN E9900x, y and s Doctoral dissertation**  
0 pts. *Members of the faculty.*

A candidate for the doctorate may be required to register for this course every term after the student's coursework has been completed, and until the dissertation has been accepted.

**COURSES IN ELECTRICAL ENGINEERING OFFERED OCCASIONALLY**

**ECBM E3060x Introduction to genomic information science and technology**

3 pts. *Lect: 3. Professor Anastassiou.*

Introduction to the information system paradigm of molecular biology. Representation, organization, structure, function, and manipulation of the biomolecular sequences of nucleic acids and proteins. The role of enzymes and gene regulatory elements in natural biological functions as well as in biotechnology and genetic engineering. Recombination and other macromolecular processes viewed as mathematical operations with simulation and visualization using simple computer programming. This course shares lectures with ECBM E4060, but the work requirements differ somewhat.

**EEHS E3900y History of telecommunications: from the telegraph to the internet**

3 pts. *Lect: 3.*

Historical development of telecommunications from the telegraphy of the mid-1800s to the internet at present. Included are the technologies of telephony, radio, and computer communications. The coverage includes both the technologies themselves and the historical events that shaped, and in turn were shaped by, the technologies. The historical development, both the general context and the particular events concerning communications, is presented chronologically. The social needs that elicited new technologies and the consequences of their adoption are examined. Throughout the course, relevant scientific and engineering principles are explained as needed.

These include, among others, the concept and effective use of spectrum, multiplexing to improve capacity, digital coding, and networking principles. There are no prerequisites, and no prior scientific or engineering knowledge is required. Engineering students may not count this course as a technical elective. The course shares lectures with EEHS E4900, but the work requirements differ somewhat.

**ELEN E3999x or y Electrical engineering design challenge**

1 pt.

Prerequisite: Approval by a faculty member who agrees to supervise the work. May be repeated for credit, but no more than 3 total points may be used for degree credit. Short-term design project organized as a faculty-led team competition. Particular design targets are set that vary by semester. A set of hardware and software constraints is specified. The project takes place over an advertised subset of the semester, beginning around the third week.

**ELEN E4215y Analog filter synthesis and design**

3 pts. *Lect: 3.*

Prerequisites: ELEN E3201 and ELEN E3801, or equivalent. Approximation techniques for magnitude, phase, and delay specifications, transfer function realization sensitivity, passive LC filters, active RC filters, MOSFET-C filters, Gm-C filters, switched-capacitor filters, automatic tuning techniques for integrated filters. Filter noise. A design project is an integral part of the course.

**ELEN E4302x or y Magnetic sensors and instruments for medical imaging**

3 pts. *Lect: 2.5, Lab: 0.5.*

Prerequisite: ELEN E3106, ELEN E3401, or instructor's permission. Physics of nuclear magnetic resonance (NMR) and superconducting quantum interference device (SQUID). Design and operation of superconducting DC magnet, RF receiver, Josephson junction, and integrated SQUID. Principles of biomedical sensing systems including Magnetic Resonance Imaging (MRI), SQUID magnetometer, and NMR spectroscopy. Medical image formation and processing.

**ELEN E4350y VLSI design laboratory**

3 pts. *Lab: 3.*

Prerequisites: EECS E4321 and ELEN E4312, or instructor's permission. Design of a CMOS mixed-signal integrated circuit. The class divides up into teams to work on mixed-signal integrated circuit designs. The chips are fabricated to be tested the following term. Lectures cover use of computer-aided design tools, design issues specific to the projects, and chip integration issues. This course shares lectures with E6350, but the complexity requirements of integrated circuits are lower.

**ELEN E4420x Topics in electromagnetics**

3 pts. *Lect: 3.*

Prerequisites: Undergraduate electromagnetic theory. Selected topics in the theory and practice

of electromagnetics, varying from year to year. Topic for current term will be available in the department office one month before registration. This course may be taken more than once when topics are different. Possible topics: microwave theory and design (generalized waveguides, excitation and coupling of waveguides, junctions, microwave networks, periodic structures, optical fibers); antennas (filamentary antennas, arrays, aperture radiation, system properties, pattern synthesis); electrodynamics (special relativity, radiation by charged particles, relativistic beams, free electron lasers).

**ELEN E4501x Electromagnetic devices and energy conversion**

3 pts. *Lect: 3. Professor Sen.*

Prerequisite: ELEN E3401. Linear and nonlinear magnetic circuits. Electric and magnetic energy storage, loss, and transfer. Circuit behavior of energy storage and transfer devices. Field theory of moving bodies. Dynamical equations of an electromechanical system. Electromechanical and thermo-electric sensors and actuators. Rotating electric energy converters. Superconductivity and applications.

**ELEN E4503x Sensors, actuators, and electromechanical systems**

3 pts. *Lect: 3.*

Prerequisites: ELEN E3201 and E3401, or equivalents. Electromagnetic energy storage, loss, and transfer. Dynamics of electromechanical systems. Linearization of nonlinear coupled dynamical equations and equivalent circuits. Electromechanical actuators: acoustic, IC-processed micromachines. Electromechanical sensors: acoustic, pressure, and acceleration. Thermal sensors: polysilicon thermopiles and bipolar transistor temperature sensors. Electro-optic sensors: visible light, infrared, and X-ray.

**ELEN E6151y Surface physics and analysis of electronic materials**

3 pts. *Lect: 2.*

Prerequisite: Instructor's permission. Basic physical principles of methods of surface analysis, surfaces of electronic materials including structure and optical properties (auger electron spectroscopy, X-ray photoemission, ultraviolet photoelectron spectroscopy, electron energy loss spectroscopy, inverse photoemission, photo stimulated desorption, and low energy electron diffraction), physical principles of each approach.

**ELEN E6211x or y Circuit theory**

3 pts. *Lect: 3.*

Prerequisites: ELEN E3331 and ELEN E3801. An axiomatic development of circuit theory. Circuit theorems, circuit topology, general methods of circuit analysis. Normal form characterizations. Scattering characterization and sensitivity function. Basic network synthesis

methods: immittance and transfer function realization, multiport realization, approximation techniques.

**ELEN E6261y Computational methods of circuit analysis**

3 pts. Lect: 3.

Prerequisites: ELEN E3331 and APMA E3101. Computational algorithms for DC, transient, and frequency analysis of linear and nonlinear circuits. Formulation of equations: state equations, hybrid equations, sparse tableaux. Solution techniques: iterative methods to solve nonlinear algebraic equations; piecewise linear methods; sparse matrix techniques; numerical integration of stiff, nonlinear differential equations, companion network models; waveform relaxation.

**ELEN E6304x or y Topics in electronic circuits**

3 pts. Lect: 3.

Prerequisite: Instructor's permission. State-of-the-art techniques in integrated circuits. Topics may change from year to year.

**EEME E6610x Optimal control theory**

3 pts. Lect: 3.

Prerequisite: ELEN E6201 or EEME E6601. Objectives of optimal control. Continuous and discrete control problems. Calculus of variations: Mayer and Bolza; Pontryagin's maximum principle. Bang-bang and singular controls. Existence of optimal control. Hamilton-Jacobi theory and dynamic programming. Numerical methods. Optimal feedback control regulatory problems. Linear-quadratic-Gaussian estimation. Applications.

**ELEN E6762y Computer communication networks, II**

3 pts. Lect: 2.

Prerequisite: ELEN E6761. Broadband ISDN, services and protocols; ATM. Traffic characterization and modeling: Markov-modulated Poisson and Fluid Flow processes; application to voice, video, and images. Traffic Management in ATM networks: admission and access control, flow control. ATM switch architectures; input/output queueing. Quality of service (QoS) concepts.

**ELEN E6781y Topics in modeling and analysis of random phenomena**

3 pts. Lect: 3.

Prerequisite: ELEN E6711. Recommended preparation: a course on real analysis and advanced probability theory. Current methodology in research in stochastic processes applied to communication, control, and signal processing. Topics vary from year to year to reflect student interest and current developments in the field.

**ELEN E6920x or y Topics in VLSI systems design**

3 pts. Lect: 2.

Prerequisite: ELEN E4321. Design automation: layout, placement, and routing. Circuit simulation algorithms and optimization of performance

and area. Multiprocessor computing systems. Verification of testing. Topics may change from year to year.

**ELEN E9060x or y Seminar in systems biology**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's permission. Study of recent developments in the field of systems biology.

**EEBM E9070x or y Seminar in computational neuroscience and neuroengineering**

3 pts. Lect: 2. Professor Mesgarani.

Open to doctoral candidates and qualified M.S. candidates with instructor's permission. Study of recent developments in computational neuroscience and neuroengineering.

**ELEN E9101x or y Seminar in physical electronics**

3 pts. Lect: 2.

Prerequisites: Quantum electronics and ELEN E4944, or instructor's permission. Advanced topics in classical and quantum phenomena that are based on ion and electron beams, gas discharges, and related excitation sources. Application to new laser sources and microelectronic fabrication.

**ELEN E9201x or y Seminar in circuit theory**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's permission. Study of recent developments in linear, nonlinear, and distributed circuit theory and analysis techniques important to the design of very large scale integrated circuits.

**ELEN E9301x or y Seminar in electronic devices**

3 pts. Lect: 2. Professor Kymissis.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's permission. Theoretical and experimental studies of semiconductor physics, devices, and technology.

**ELEN E9303x or y Seminar in electronic circuits**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's permission. Study of recent developments in electronic circuits.

**ELEN E9402x or y Seminar in quantum electronics**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's permission. Recent experimental and theoretical developments in various areas of quantum electronics research. Examples of topics that may be treated include novel nonlinear optics, lasers, transient phenomena, and detectors.

**ELEN E9403x or y Seminar in photonics**

3 pts. Lect: 2.

Prerequisite: ELEN E4411. Open to doctoral

candidates, and to qualified M.S. candidates with instructor's permission. Recent experimental and theoretical developments in various areas of photonics research. Examples of topics that may be treated include squeezed-light generation, quantum optics, photon detection, nonlinear optical effects, and ultrafast optics.

**ELEN E9404x or y Seminar in lightwave communications**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's approval. Recent theoretical and experimental developments in light wave communications research. Examples of topics that may be treated include information capacity of light wave channels, photonic switching, novel light wave network architectures, and optical neural networks.

**ELEN E9501x or y Seminar in electrical power networks**

3 pts. Lect: 2.

Prerequisites: Open to doctoral candidates, and to qualified M.S. candidates with the instructor's permission. Recent developments in control & optimization for power systems, design of smart grid, and related topics.

**EECS E9601x or y Seminar in data-driven analysis and computation**

3 pts. Lect: 2. Instructor to be announced.

Prerequisite: Open to doctoral candidates and qualified M.S. candidates with the instructor's permission. Advanced topics and recent developments in mathematical techniques and computational tools for data science and engineering problems.

**ELEN E9701x or y Seminar in information and communication theories**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's permission. Recent developments in telecommunication networks, information and communication theories, and related topics.

**ELEN E9705x or y Seminar in cyber-physical systems**

3 pts. Professor Jiang.

Open to doctoral candidates and to qualified M.S. candidates with instructor's permission. Advanced topics in recent developments in research on cyber-physical systems (CPS) and related topics.

**ELEN E9801x or y Seminar in signal processing**

3 pts. Lect: 2.

Open to doctoral candidates, and to qualified M.S. candidates with instructor's approval. Recent developments in theory and applications of signal processing, machine learning, content analysis, and related topics.

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Industrial engineering is the branch of the engineering profession that is concerned with the design, analysis, and control of production and service systems. Originally, an industrial engineer worked in a manufacturing plant and was involved only with the operating efficiency of workers and machines. Today, industrial engineers are more broadly concerned with productivity and all of the technical problems of production management and control. They may be found in every kind of organization: manufacturing, distribution, transportation, mercantile, and service. Their responsibilities range from the design of unit operations to that of controlling complete production and service systems. Their jobs involve the integration of the physical, financial, economic, computer, and human components of such systems to attain specified goals. Industrial engineering includes activities such as production planning and control; quality control; inventory, equipment, warehouse, and materials management; plant layout; and workstation design.

Operations research is concerned with quantitative decision problems, generally involving the allocation and

control of limited resources. Such problems arise, for example, in the operations of industrial firms, financial institutions, health care organizations, transportation systems, and government. The operations research analyst develops and uses mathematical and statistical models to help solve these decision problems. Like engineers, they are problem formulators and solvers. Their work requires the formation of a mathematical model of a system and the analysis and prediction of the consequences of alternate modes of operating the system. The analysis may involve mathematical optimization techniques, probabilistic and statistical methods, experiments, and computer simulations.

Management Science and Engineering (also known as Engineering Management Systems) is a multidisciplinary field integrating industrial engineering, operations research, contemporary technology, business, economics, and management. It provides a foundation for decision making and managing risks in complex systems.

Financial engineering is a multidisciplinary field integrating financial theory with economics, methods of engineering, tools of mathematics,

and practice of programming. The field provides training in the application of engineering methodologies and quantitative methods to finance.

Business Analytics involves the use of data science tools for solving operational and marketing problems. Students learn to leverage advanced quantitative models, algorithms, and data for making actionable decisions to improve business operations.

### Current Research Activities

In industrial engineering, research is conducted in the area of logistics, routing, scheduling, production and supply chain management, inventory control, revenue management, and quality control.

In operations research, new developments are being explored in mathematical programming, combinatorial optimization, stochastic modeling, computational and mathematical finance, queueing theory, reliability, simulation, and both deterministic and stochastic network flows.

In engineering and management systems, research is conducted in the areas of logistics, supply chain optimization, and revenue and risk management.

In financial engineering, research is being carried out in portfolio management; option pricing, including exotic and real options; computational finance, such as Monte Carlo simulation and numerical methods; as well as data mining and risk management.

Projects are sponsored and supported by leading private firms and government agencies. In addition, our students and faculty are involved in the work of four research and educational centers: the Center for Applied Probability (CAP), the Center for Financial Engineering (CFE), the Computational and Optimization Research Center (CORC), and the FDT Center for Intelligent Asset Management.

The Center for Applied Probability (CAP) is a cooperative center involving the School of Engineering and Applied Science, several departments in the Graduate School of Arts and Sciences, and the Graduate School of Business. Its interests are in four applied areas: mathematical and computational finance, stochastic networks, logistics and distribution, and population dynamics.

The Center for Financial Engineering (CFE) at Columbia University encourages interdisciplinary research in financial engineering and mathematical modeling in finance and promoting collaboration between Columbia faculty and financial institutions, through the organization of research seminars, workshops, and the dissemination of research done by members of the Center.

The Computational Optimization Research Center (CORC) at Columbia University is an interdisciplinary group of researchers from a variety of departments on the Columbia campus. Its permanent members are Professors Daniel Bienstock, Don Goldfarb, Garud Iyengar, Jay Sethuraman, and Cliff Stein, from the Industrial Engineering and Operations Research Department, and Professor David Bayer, from the Department of Mathematics at Barnard College. Researchers at CORC specialize in the design and implementation of state-of-the-art algorithms for the solution of large-scale optimization problems arising from a wide variety of industrial and commercial applications.

The FDT Center for Intelligent Asset Management is led by

Professor Xunyu Zhou at Columbia University. The Center will focus on the exploration of theoretical underpinnings and modeling strategies for financial portfolio management through the introduction of big data analytical techniques. The Center's research will combine modern portfolio theory, behavioral finance, machine learning, and data science to study core problems including optimal asset allocation and risk management; and the research of the Center sits at the crossroads of financial engineering, computer science, statistics, and finance, aiming at providing innovative and intelligent investment solutions.

## BACHELOR OF SCIENCE PROGRAMS

### Industrial Engineering

The undergraduate program is designed to develop the technical skills and intellectual discipline needed by our graduates to become leaders in industrial engineering and related professions. The program is distinctive in its emphasis on quantitative, economic, computer-aided approaches to production and service management problems. It is focused on providing an experimental and mathematical problem-formulating and problem-solving framework for industrial engineering work. The curriculum provides a broad foundation in the current ideas, models, and methods of industrial engineering. It also includes a substantial component in the humanities and social sciences to help students understand the societal implications of their work.

The industrial engineering program objectives are:

1. To provide students with the requisite analytical and computational skills to assess practical situations and academic problems, formulate models of the problems represented or embedded therein, design potential solutions, and evaluate their impact;
2. To prepare students for the workplace by fostering their ability to participate in teams, understand and practice interpersonal and organizational

behaviors, and communicate their solutions and recommendations effectively through written, oral, and electronic presentations;

3. To familiarize students with the historical development of industrial engineering tools and techniques and with the contemporary state of the art, and to instill the need for lifelong learning within their profession; and
4. To instill in our students an understanding of ethical issues and professional and managerial responsibilities.

### Operations Research

The operations research program is one of several applied science programs offered at the School. At the undergraduate level, it offers basic courses in probability, statistics, applied mathematics, simulation, and optimization as well as more professionally oriented operations research courses. The curriculum is well suited for students with an aptitude for mathematics applications.

It prepares graduates for professional employment as operations research analysts, e.g., with management consultant and financial service organizations, as well as for graduate studies in operations research or business. It is flexible enough to be adapted to the needs of future medical and law students.

### Operations Research: Engineering Management Systems

This operations research option is designed to provide students with an understanding of contemporary technology and management. It is for students who are interested in a technical-management background rather than one in a traditional engineering field. It consists of required courses in industrial engineering and operations research, economics, business, and computer science, intended to provide a foundation for dealing with engineering and management systems problems. Elective courses are generally intended to provide a substantive core in at least one technology area and at least one management area.

Due to the flexibility of this option, it can incorporate the varied educational

INDUSTRIAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>2</sup>	Linear algebra (3) <sup>1</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Chemistry or physics lab: PHYS UN1494 (3) <b>or</b> PHYS UN3081 (2) <b>or</b> CHEM UN1500 (3) <b>or</b> CHEM UN1507 (3) <b>or</b> CHEM UN3085 (4) <b>or</b>	
<b>CHEMISTRY</b> (choose one course)	UN1403 (3) <b>or</b> UN1404 (3) <b>or</b> UN1604 (3.5) <b>or</b> UN2045 (3.5)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ECON UN1105 (4) <b>and</b> UN1155 recitation (0) either semester		HUMA CC1001, COCI CC1101, <b>or</b> Global core (3–4)	HUMA CC1002, COCI CC1102, <b>or</b> Global core (3–4)
			HUMA UN1121 <b>or</b> UN1123 (3) either semester	
<b>FIRST- AND SECOND-YEAR DEPT. REQUIREMENTS</b>			IEOR E2261 (3) IEOR E3658 (3)	IEOR E4307 (3)
<b>COMPUTER SCIENCE</b> (two tracks, choose one)			1. COMS W1004 (3) <b>and</b> COMS W3134 (3) <b>or</b> 2. COMS W1007 (3) <b>and</b> COMS W3137 (4) <sup>3</sup>	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> The linear algebra requirement may be filled by either MATH UN2010 or APMA E3101.

<sup>2</sup> Effective Class of 2021.

<sup>3</sup> The department encourages students to select sequence 1 or 2. ENGI E1006 (3) **and** COMS W3136 (3) may be substituted in place of sequence 1 or 2.

INDUSTRIAL ENGINEERING: THIRD AND FOURTH YEARS				
	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES<sup>1</sup></b>	MATH UN2030 (3) Ordinary diff. equations	IEOR E3402 (4) Production & inventory planning	IEOR E4003 (3) Corporate finance for eng.	IEOR E4405 (3) Scheduling
	IEOR E3106 (3) Stochastic systems and applications	IEOR E3404 (4) Simulation	IEOR E4207 (3) Human factors	IEOR E4510 (3) Project management
	IEOR E3608 (3) Foundations of optimization	IEOR E3609 (3) Advanced optimization		
		IEOR E4412 (3) Quality control and management	Choose One: IEOR E4505 (3) <b>or</b> IEOR E4211 (3) OR in public policy <b>Applied consulting</b>	
	COMS W4111(3) Database systems either semester			
<b>NONTECH ELECTIVES</b>	Complete 27-point requirement. See page 10 or <a href="http://engineering.columbia.edu">engineering.columbia.edu</a> for details.			
<b>INDUSTRIAL ENGINEERING ELECTIVES</b>	Choose three (9 pts.): Please consult the list on the departmental website: <a href="http://ieor.columbia.edu">ieor.columbia.edu</a>			

<sup>1</sup> Taking required courses later than the prescribed semester is not permitted.

OPERATIONS RESEARCH PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>2</sup>	Linear algebra (3) <sup>1</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Chemistry or physics lab: PHYS UN1494 (3) <b>or</b> PHYS UN3081 (2) <b>or</b> CHEM UN1500 (3) <b>or</b> CHEM UN1507 (3) <b>or</b> CHEM UN3085 (4) <b>or</b>	
<b>CHEMISTRY</b> (choose one course)	UN1403 (3) <b>or</b> UN1404 (3) <b>or</b> UN1604 (3.5) <b>or</b> UN2045 (3.5)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ECON UN1105 (4) <b>and</b> UN1155 recitation (0) either semester		HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)
			HUMA UN1121 <b>or</b> UN1123 (3) either semester	
<b>FIRST- AND SECOND-YEAR DEPT. REQUIREMENTS</b>			IEOR E2261 (3) IEOR E3658 (3)	IEOR E4307 (3)
<b>COMPUTER SCIENCE</b> (two tracks, choose one)			1. COMS W1004 (3) <b>and</b> COMS W3134 (3) <b>or</b> 2. COMS W1007 (3) <b>and</b> COMS W3137 (4) <sup>3</sup>	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> The linear algebra requirement may be filled by either MATH UN2010 or APMA E3101.

<sup>2</sup> Effective Class of 2021.

<sup>3</sup> The department encourages students to select sequence 1 or 2. ENGI E1006 (3) **and** COMS W3136 (3) may be substituted in place of sequence 1 or 2.

OPERATIONS RESEARCH: THIRD AND FOURTH YEARS				
	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES<sup>1</sup></b>	MATH UN2030 (3) Ordinary diff. equations	IEOR E3402 (4) Production & inventory planning	IEOR E4003 (3) Corporate finance for eng.	IEOR E4405 (3) Scheduling
	IEOR E3106 (3) Stochastic systems and applications	IEOR E3404 (4) Simulation	IEOR E4407 (3) Game theoretic models of operations	
	IEOR E3608 (3) Foundation of optimization	IEOR E3609 (3) Advanced optimization	Choose one: IEOR E4505 Operations research for public policy IEOR E4507 Healthcare operations management IEOR E4650 Business analytics IEOR E4700 Introduction to FE	
<b>OPERATIONS RESEARCH ELECTIVES</b>	Choose four OR electives (12 pts. total): <sup>2</sup> Please consult the list on the departmental website: <a href="http://ieor.columbia.edu">ieor.columbia.edu</a>			
<b>NONTECH ELECTIVES</b>	Complete 27-point requirement. See page 10 or <a href="http://engineering.columbia.edu">engineering.columbia.edu</a> for details.			

<sup>1</sup> Taking required courses later than the prescribed semester is not permitted.

<sup>2</sup> 6 pts. need to be taken within the IEOB Department.

OPERATIONS RESEARCH PROGRAM: ANALYTICS: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>2</sup>	Linear algebra (3) <sup>1</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Chemistry or physics lab: PHYS UN1494 (3) <b>or</b> PHYS UN3081 (2) <b>or</b> CHEM UN1500 (3) <b>or</b> CHEM UN1507 (3) <b>or</b> CHEM UN3085 (4) <b>or</b>	
<b>CHEMISTRY</b> (choose one course)	UN1403 (3) <b>or</b> UN1404 (3) <b>or</b> UN1604 (3.5) <b>or</b> UN2045 (3.5)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ECON UN1105 (4) <b>and</b> UN1155 recitation (0) either semester		HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)
			HUMA UN1121 <b>or</b> UN1123 (3) either semester	
<b>FIRST- AND SECOND-YEAR DEPT. REQUIREMENTS</b>			IEOR E2261 (3) IEOR E3658 (3)	IEOR E4307 (3)
<b>COMPUTER SCIENCE</b> (two tracks, choose one)			1. COMS W1004 (3) <b>and</b> COMS W3134 (3) <b>or</b> 2. COMS W1007 (3) <b>and</b> COMS W3137 (4) <sup>3</sup>	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> The linear algebra requirement may be filled by either MATH UN2010 or APMA E3101.

<sup>2</sup> Effective Class of 2021.

<sup>3</sup> The department encourages students to select sequence 1 or 2. ENGI E1006 (3) **and** COMS W3136 (3) may be substituted in place of sequence 1 or 2.

OPERATIONS RESEARCH: ANALYTICS: THIRD AND FOURTH YEARS				
	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES<sup>1</sup></b>	MATH UN2030 (3) Ordinary diff. equations	IEOR E3402 (4) Production & inventory planning	IEOR E4003 (3) Corporate finance for eng.	IEOR E4650 (3) Business analytics
	IEOR E3106 (3) Stochastic systems and applications	IEOR E3404 (4) Simulation	IEOR E4407 (3) Game theoretic models of operations	Choose one: IEOR E4405 (3) Scheduling <b>or</b> IEOR E4601 (3) Dynamic pricing & revenue management
	IEOR E3608 (3) Foundation of optimization	IEOR E3609 (3) Advanced optimization		
	IEOR E4572 (3) Data analytics & python for OR	IEOR E4418 (3) Transportation analytics & logistics		
	COMS W4111(3) Database systems either semester			
<b>ANALYTICS ELECTIVES</b>	Choose four Analytics electives (12 pts. total): <sup>2</sup> Please consult the list on the departmental website: <a href="http://ieor.columbia.edu">ieor.columbia.edu</a>			
<b>NONTECH ELECTIVES</b>	Complete 27-point requirement. See page 10 or <a href="http://engineering.columbia.edu">engineering.columbia.edu</a> for details.			

<sup>1</sup> Taking required courses later than the prescribed semester is not permitted.

<sup>2</sup> At least two technical electives must be chosen from IEO; the complete list is available at [ieor.columbia.edu](http://ieor.columbia.edu).

OPERATIONS RESEARCH: ENGINEERING MANAGEMENT SYSTEMS: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>2</sup>	Linear algebra (3) <sup>1</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Chemistry or physics lab: PHYS UN1494 (3) <b>or</b> PHYS UN3081 (2) <b>or</b> CHEM UN1500 (3) <b>or</b> CHEM UN1507 (3) <b>or</b> CHEM UN3085 (4) <b>or</b>	
<b>CHEMISTRY</b> (choose one course)	UN1403 (3) <b>or</b> UN1404 (3) <b>or</b> UN1604 (3.5) <b>or</b> UN2045 (3.5)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ECON UN1105 (4) <b>and</b> UN1155 recitation (0) either semester		HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)
			HUMA UN1121 <b>or</b> UN1123 (3) either semester	
<b>FIRST- AND SECOND-YEAR DEPT. REQUIREMENTS</b>			IEOR E2261 (3) IEOR E3658 (3)	IEOR E4307 (3)
<b>COMPUTER SCIENCE</b> (two tracks, choose one)			1. COMS W1004 (3) <b>and</b> COMS W3134 (3) <b>or</b> 2. COMS W1007 (3) <b>and</b> COMS W3137 (4) <sup>3</sup>	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		

<sup>1</sup> The linear algebra requirement may be filled by either MATH UN2010 or APMA E3101.

<sup>2</sup> Effective Class of 2021.

<sup>3</sup> The department encourages students to select sequence 1 or 2. ENGI E1006 (3) **and** COMS W3136 (3) may be substituted in place of sequence 1 or 2.

OPERATIONS RESEARCH: ENGINEERING MANAGEMENT SYSTEMS: THIRD AND FOURTH YEARS				
	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES<sup>1</sup></b>	MATH UN2030 (3) Ordinary diff. equations  IEOR E3106 (3) Stochastic systems and applications  ECON UN3211 (3) Microeconomics  IEOR E3608 (3) Foundations of optimization	ECON 3213 (3) Macroeconomics  IEOR E3402 (4) Production & inventory planning  IEOR E3404 (4) Simulation  IEOR E3609 (3) Advanced optimization	IEOR E4003 (3) Corporate finance for eng.	Choose one: IEOR E4211 (3) Applied consulting  IEOR E4510 (3) Project management
	COMS W4111(3) Database systems either semester		Choose one: IEOR E4550 Entrepreneurial business creation IEOR E4998 Managing technological innovations	
<b>ELECTIVES</b>	<b>TECH</b>	Technical electives (12 pts. total) <sup>2</sup>		
	<b>MANAGEMENT</b>	Management electives (9 pts. total): Please consult lists posted on IEOB website: <a href="http://ieor.columbia.edu">ieor.columbia.edu</a>		
	<b>NONTECH</b>	Complete 27-point requirement; see page 10 or <a href="http://engineering.columbia.edu">engineering.columbia.edu</a> for details.		

<sup>1</sup> Taking required courses later than the prescribed semester is not permitted.

<sup>2</sup> At least two technical electives must be chosen from IEOB; the complete list is available at [ieor.columbia.edu](http://ieor.columbia.edu).

**OPERATIONS RESEARCH: FINANCIAL ENGINEERING:  
FIRST AND SECOND YEARS**

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>3</sup>	Linear algebra (3) <sup>1</sup> and ODE (3)
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	Physics or chemistry lab: PHYS UN1494 (3) <b>or</b> PHYS UN3081 (2) <b>or</b> CHEM UN1500 (3) <b>or</b> CHEM UN1507 (3) <b>or</b> CHEM UN3085 (4) <b>or</b>	
<b>CHEMISTRY</b> (choose one course)	UN1403 (3.5) <b>or</b> UN1404 (3.5) <b>or</b> UN1604 (3.5) <b>or</b> UN2045 (3.5)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL ELECTIVES</b>	ECON UN1105 (4) <b>and</b> UN1155 recitation (0) either semester		HUMA CC1001, COCI CC1101, <b>or</b> Global Core (3–4)	HUMA CC1002, COCI CC1102, <b>or</b> Global Core (3–4)
			HUMA UN1121 <b>or</b> UN1123 (3) either semester	
<b>FIRST- AND SECOND-YEAR DEPT. REQUIREMENTS</b>			IEOR E2261 (4)	
			IEOR E3658 (3)	IEOR E4307 (3) <sup>2</sup>
<b>COMPUTER SCIENCE</b> (two tracks, choose one)			1. COMS W1004 (3) <b>and</b> COMS W3134 (3) <b>or</b> 2. COMS W1007 (3) <b>and</b> COMS W3137 (4) <sup>3</sup>	
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> The linear algebra requirement may be filled by either MATH UN2010 or APMA E3101.

<sup>2</sup> Students may also take STAT UN3107 or GU4107; however, the department strongly recommends IEOE E4307 in the spring term.

<sup>3</sup> Effective Class of 2021.

<sup>4</sup> The department encourages students to select sequence 1 or 2. ENGI E1006 (3) **and** COMS W3136 (3) may be substituted in place of sequence 1 or 2.

**OPERATIONS RESEARCH: FINANCIAL ENGINEERING:  
THIRD AND FOURTH YEARS**

	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES<sup>1</sup></b>	IEOR E3106 (3) Stochastic systems and applications	ECON UN3211 (3) Microeconomics	IEOR E4407 (3) Game theoretic models of operations	IEOR E4630 (3) Asset allocation
	ECON UN3213 (3) Macroeconomics	IEOR E3402 (4) Production & inventory planning	IEOR E4500 (3) Applications prog. for FE	
	IEOR E3608 (3) Foundations of optimization	IEOR E3404 (4) Simulation	IEOR E4620 (3) Pricing models for FE	
	IEOR E4003 (3) Corporate finance for eng.	IEOR E3609 (3) Advanced optimization		
		IEOR E4700 (3) Intro. to FE		
	COMS W4111(3) Database systems either semester		ECON E3412 (3) Intro. to econometrics either semester	

<sup>1</sup> Taking required courses later than the prescribed semester is not permitted.

needs of preprofessional students interested in law, medicine, business, and finance. In addition, most students are encouraged to add a minor in economics or computer science to their standard course schedules.

### Operations Research: Analytics

The analytics concentration within the operations research program seeks to train students to leverage advanced quantitative models, algorithms, and data for making actionable decisions to improve business operations. Examples include staffing and scheduling at hospitals, ride matching and pricing for on-demand car services, personalized promotions in online retail, and smarter energy consumption. Students are provided with a rigorous exposure to optimization and stochastic modeling, as well as machine learning and data analysis tools for predictive modeling and prescriptive analytics. Elective in the areas of healthcare, marketing, transportation, deep learning, and many others further enrich students with domain expertise, and provide many opportunities to work directly with data on real-world problems.

### Operations Research: Financial Engineering

The operations research concentration in financial engineering is designed to provide students with an understanding of the application of engineering methodologies and quantitative methods to finance. Financial engineering is a multidisciplinary field integrating financial theory with economics, methods of engineering, tools of mathematics, and practice of programming. Students graduating with this concentration are prepared to enter careers in securities, banking, financial management, and consulting industries, and fill quantitative roles in corporate treasury and finance departments of general manufacturing and service firms.

Students who are interested in pursuing the rigorous concentration in financial engineering must demonstrate proficiency in calculus, computer programming, linear algebra, ordinary differential equations, probability, and statistics. Applications to the concentration are accepted during the fall and spring semesters of the

Business Analytics (36 points)	
<b>Required Core Courses</b> (18 points)	<p>IEOR E4004 Optimization models and methods (first fall semester)            IEOR E4101 Probability, statistics and simulation (first fall semester)            IEOR E4501 Tools for analytics (first fall semester)            IEOR E4523 Data analytics (first fall semester)            ENGI E4000 Professional development (0 pt) (first fall semester)            IEOR E4650 Business analytics (spring semester)            IEOR E4524 Analytics in practice (spring semester)</p> <p>Plus Concentration Courses (Financial Technology, Management, Healthcare, and Analytics Algorithms Electives (18))</p>
<b>Financial Technology &amp; Analytics</b> (9 points)	<p>IEOR E4106 Stochastic models            IEOR E4500 Applications programming for Financial Engineering            IEOR E4525 Machine learning for OR &amp; FE            IEOR E4574 Technology innovation in financial services (1.5)            IEOR E4720 Deep learning            IEOR E4725 Big data in finance            MRKT B8649 Pricing strategies            MRKT B8696 Data driven dollars            DROM B8816 Quantitative pricing and revenue analytics            DROM B9122-001 Computing for business research</p>
<b>Management Analytics</b> (9 points)	<p>IEOR E4407 Game theoretic models of operations            IEOR E4506 Designing digital operating models            IEOR E4577 OR methods in marketing (1.5)            IEOR E4601 Dynamic pricing and revenue management            MRKT B7676 Developing qi: responsive decision making through quantitative intuition            DROM B8122-060 Game-theoretic business strategy (fall semester)            DROM B8123 Demand and supply analytics            MRKT B8607 Strategic consumer insights            MRKT B8608 New product development            MRKT B8617-001 Marketing research and analytics (summer)            MRKT B8679 Digital marketing            MRKT B8683 Marketing models            MRKT B8696 Data driven dollars            DROM B9122-001 Computing for business research            DROM B9127 Online marketplaces            MRKT B9613 Mathematical models in marketing            MRKT B9651 Marketing analytics</p>
<b>Health Care Analytics</b> (9 points)	<p>IEOR E4108 Supply chain management and design            IEOR E4405 Scheduling (spring semester)            IEOR E4505 Operations research in public policy            IEOR E4507 Health care operations management (spring semester)            IEOR E4520 Applied systems engineering            IEOR E4521 Systems engineering tools and methods (OR)            IEOR E4540 Data mining for engineers (fall or spring semester)            MRKT B7676 Developing qi: responsive decision making through quantitative intuition            DROM B8107-060 Service operations (fall semester)            DROM B8128 Health care investment and entrepreneurship HCIT and services            DROM B8132 Digital health care systems            DROM B8832 U.S. health care system</p>

Business Analytics (36 points)	
<b>Analytics Algorithms and Methodology</b> (9 points)	<b>IEOR E4008</b> Computational discrete optimization <b>COMS W4111</b> Introduction to databases <b>CSOR W4231</b> Analysis of algorithms <b>IEOR E4407</b> Game theoretic models of operations <b>IEOR E4525</b> Machine learning for FE and Operations <b>IEOR E4526</b> Analytics on the cloud <b>IEOR E4540</b> Data mining for engineers <b>DROM B8111</b> Analytics for business research <b>DROM B8127</b> Big immersion seminar—big data (1.5 pts) <b>DROM B8130</b> Applied statistics and data analytics <b>MRKT B9160</b> Applied multivariate statistics <b>MRKT B9608</b> Experimental design & analysis for behavioral research <b>MRKT B9616</b> Bayesian modeling & computation
<b>Breadth Electives<sup>1,2</sup></b>	<b>IEOR E4418</b> Transportation analytics and logistics <b>IEOR E4510</b> Project management <b>DROM B8131</b> Sports analytics <b>DROM B8510</b> Managerial Negotiations

<sup>1</sup> The breadth electives can be selected from the Business School, the School of Engineering, the School of International and Public Affairs, the Law School, or the Departments of Economics, Mathematics, and Statistics.

<sup>2</sup> Electives cannot include courses taken from the School of Professional Studies (i.e., courses with the following prefixes: ACTU, BUSI, COPR, IKNS, SUMA, FUND, and more).

sophomore year, and students will be notified of the departmental decision by the end of that spring semester. The department is seeking students who demonstrate strength and consistency in all the above-mentioned areas. Application to this concentration is available online: [ieor.columbia.edu/bs-financial-engineering](http://ieor.columbia.edu/bs-financial-engineering).

### Undergraduate Advanced Track

The undergraduate advanced track is designed for advanced undergraduate students with the desire to pursue further higher education after graduation. Students with a minimum cumulative GPA of 3.4 and faculty approval have the opportunity to participate. Students are invited to apply to the track upon the completion of their sophomore year. Advanced track students are required to take higher-level IEOR courses, including the following:

*IEOR E4004* instead of *IEOR E3608*  
*IEOR E4106* instead of *IEOR E3106*  
*IEOR E4403* instead of *IEOR E4003*  
*IEOR E4404* instead of *IEOR E3404*  
 and *MATH UN2500*

Students successfully completing the requirements of the undergraduate advanced track will receive recognition on their academic record.

### Minors

A number of minors are available for students wishing to add them to their programs. These minors are described starting on page 202 of this bulletin.

IEOR program students may want to consider minors in economics or computer science. In addition, operations research and engineering and management systems majors may elect to minor in industrial engineering, and industrial engineering majors may elect to minor in operations research.

The department does not offer a minor in engineering management systems or financial engineering.

### GRADUATE PROGRAMS

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### MASTER OF SCIENCE PROGRAMS

The Department of Industrial Engineering and Operations Research offers courses and M.S. programs in (1) financial engineering on a full-time basis only; (2) management science and

engineering on a full-time basis only; (3) business analytics on a full-time basis only; (4) industrial engineering on either a full- or part-time basis; and (5) operations research on either a full- or part-time basis. The Department's M.S. program in Management Science and Engineering is offered in conjunction with the Columbia Graduate School of Business. Lastly, the Department and the Graduate School of Business offer a combined M.S./M.B.A. degree program in industrial engineering.

All degree program applicants are required to take the Aptitude Tests of the Graduate Record Examination (GRE). M.S./M.B.A. candidates are also required to take the Graduate Management Admissions Test (GMAT). A minimum grade-point average of 3.0 (B) or its equivalent in an undergraduate engineering program is required for admission to the M.S. programs. At a minimum, students are expected, on entry, to have completed courses in ordinary differential equations, linear algebra, probability, and a programming language such as C, Java, or Python.

The Department requires that M.S. students achieve grades of B– or higher in each of the fundamental core courses in the discipline of study. Poor performance in core courses is indicative of inadequate preparation and is very likely to lead to serious problems in completing the program. As a result, students failing to meet this criterion may be asked to withdraw.

Courses taken at the School of Professional Studies will not be counted toward the M.S. degree in the IEOR Department (e.g., courses with the following prefixes: ACTU, BUSI, COPR, IKNS, SUMA, FUND, and more). Please consult with your academic adviser regarding electives offered in other departments and schools, prior to registration.

### Business Analytics

The M.S. program in Business Analytics (MSBA) offered by the IEOR Department in partnership with Columbia Business School. This program is formed and structured following many interactions with corporations, alumni, and students. It emphasizes on developing new insights and understanding of business performance using data, statistical and

quantitative analysis, and explanatory and predictive modeling to help make actionable decisions and to improve business operations. Students pursuing this degree program are provided with rigorous exposure to optimization and stochastic modeling, and a deep coverage of applications in the areas of analytics. The role of analytics has grown increasingly critical in business, health care, government, and many other sectors of the economy.

It is a 36-credit degree STEM-designated program (equivalent of 12 three-credit courses), assuming adequate preparation in probability and statistics, with students taking at least six courses (18 credits) within the IOR Department, and four to six courses (12–18 credits) at the Business School. The remaining courses, if any, can be taken at the School of Engineering, the School of International and Public Affairs, the Law School, or the Departments of Economics, Mathematics, and Statistics.

### Financial Engineering

The M.S. program in Financial Engineering is offered on a full time basis only. Financial Engineering is intended to provide a unique technical background for students interested in pursuing career opportunities in financial analysis and risk management. In addition to the basic requirements for graduate study, students are expected, on entry, to have attained a high level of mathematical and computer programming skills, particularly in probability, statistics, linear algebra, and the use of a programming language such as C, Python or JAVA. Previous professional experience is highly desirable but not required.

Graduate studies in Financial Engineering consists of 36 points (12 courses), starting the fall semester. Students may complete the program in May, August, or December of the following year. The requirements include six required core courses and additional elective courses chosen from a variety of departments or schools at Columbia. The six required core courses for Financial Engineering are IEOR E4007, E4701, E4703, E4706, E4707, and E4709. In addition, students are required to attend the Financial Engineering Seminar Series and submit learning journals.

Financial Engineering—June or December 2018 Completion (36 points) <sup>1</sup>		
Fall Semester (9 points) <sup>2</sup>	Spring Semester (9 points) <sup>2</sup>	Summer and/or Fall Semester (For remaining credits) <sup>2</sup>
Required core courses: <b>IEOR E4007</b> Optimization models and methods <b>IEOR E4701</b> Stochastic models <b>IEOR E4706</b> Foundations of financial engineering  Plus Financial Engineering electives, 3–6 points <sup>3</sup>	Required core courses: <b>IEOR E4703</b> Monte Carlo simulation <b>IEOR E4707</b> Continuous time finance <b>IEOR E4709</b> Statistical analysis and time series  Plus Financial Engineering electives, 3–6 points <sup>3</sup>	<b>IEOR E4715</b> Commodity derivatives (1.5) <b>IEOR E4718</b> Beyond Black-Scholes: the implied volatility smile <b>IEOR E4725</b> Big data in finance <b>IEOR E4731</b> Credit risk and credit derivatives <b>IEOR E4732</b> Computational methods in finance <b>IEOR E4733</b> Algorithmic trading <b>IEOR E4734</b> Foreign exchange and related derivatives instruments (1.5) <b>IEOR E4735</b> Introduction to structured and hybrid products <b>IEOR E4736</b> Event driven finance  Additional Financial Engineering electives will be offered <sup>3</sup>

<sup>1</sup> Students may conclude the program in June, August, or December 2019. Please visit the departmental website ([ieor.columbia.edu/ms-financial-engineering](http://ieor.columbia.edu/ms-financial-engineering)) for more information.

<sup>2</sup> All courses listed are for 3 points, unless stated otherwise.

<sup>3</sup> The list of semi-core electives can be found at [ieor.columbia.edu/ms-financial-engineering](http://ieor.columbia.edu/ms-financial-engineering).

Financial Engineering has five concentrations: (1) Computation and Programming; (2) Finance and Economics; (3) Derivatives; (4) Asset Management; and (5) Computational Finance and Trading Systems. A sample schedule is available in the Department office and on the IOR website: [ieor.columbia.edu](http://ieor.columbia.edu). Students select electives from a group of specialized offerings in both the fall and spring terms. They may select from a variety of approved electives from the department, the School of Business, and the Graduate School of Arts and Sciences.

### Management Science and Engineering

Management Science and Engineering (MS&E), offered by the IOR Department in conjunction with Columbia Business School, is the first such program between Columbia Engineering and Columbia Business School. It reflects the next logical step in the

long-standing close collaboration between the IOR Department at the Engineering School and the Decision, Risk, and Operations (DRO) Division at the Business School. Coursework emphasize both management and engineering perspectives in solving problems, making decisions, and managing risks in complex systems. Students pursuing this specialization are provided with a rigorous exposure to optimization and stochastic modeling, and a deep coverage of applications in the areas of operations engineering and management.

Graduates of this program are expected to assume positions as analysts and associates in consulting firms, business analysts in logistics, supply chain, operations, or revenue management departments of large corporations, and as financial analysts in various functions (e.g., risk management) of investment banks, hedge funds, credit-card companies, and insurance firms.

Management Science and Engineering (36 points)	
<b>Required Core Courses</b> (12 points)	<b>IEOR E4004</b> Optimization models and methods (first fall semester) <b>IEOR E4101</b> Probability, statistics, and simulation (first fall semester) <b>IEOR E4102</b> Stochastic models (spring semester) <b>IEOR E4111</b> Operations consulting (starts first fall semester, all-year course)  Plus Semi-Core Courses (DRO, Analysis, and Management Electives) (18)
<b>Decision, Risk, and Operations Electives</b> (9 points)	<b>IEOR E4650</b> Business analysis (fall and spring semester) <b>DROM B8106-060</b> Operations strategy (1.5) (summer—second half) <b>DROM B8107-060</b> Service operations (fall semester) <b>DROM B8108-060</b> Supply chain management (spring semester) <b>DROM B8116-060</b> Risk management (fall semester) <b>DROM B8122-060</b> MSE game-theoretic business strategy (fall semester) <b>DROM B8127-060</b> Immersion seminar in big data (1.5) (fall semester) <b>DROM B8131-060</b> Sports analytics (fall semester) <b>DROM B8510-060</b> Managerial negotiations (with game theoretical analysis) (fall semester)  <b>MRKT B8617-001</b> Marketing research (summer) <b>DROM B9106-001</b> Applied multivariate statistics (summer) <b>DROM B9122-001</b> Computing for business research
<b>Analysis and Management Electives</b> (9 points minimum)	
<b>Management Group</b> <i>At least one of the following:</i>	<b>ECON GU4280</b> Corporate finance (by application, fall and spring semester) <b>IEME E4310</b> The manufacturing enterprise (fall semester) <b>IEOR E4412</b> Quality control and management (spring semester) <b>IEOR E4505</b> Operations research in public policy (spring semester) <b>IEOR E4510</b> Project management (spring semester) <b>IEOR E4550</b> Entrepreneurial business creation for engineers (fall or spring semester)  <b>IEOR E4555</b> Design and agile project management engineering lab (fall semester) <b>IEOR E4560</b> Lean launchpad (weeklong course in mid-January, by application only)  <b>IEOR E4575</b> Designing digital operating models (fall and spring semester) <b>IEOR E4711</b> Global capital markets (fall semester) <b>IEOR E4998</b> Managing technological innovation and entrepreneurship (fall or spring semester)  <b>FINC B8307</b> Advanced corporate finance (spring semester)
<b>Analysis Group</b> <i>At least one of the following:</i>	<b>IEOR E4108</b> Supply chain management and design <b>IEOR E4403</b> Quantitative corp. finance (fall semester) <b>IEOR E4405</b> Scheduling (spring semester) <b>IEOR E4407</b> Game theoretic models of operations (fall semester) <b>IEOR E4418</b> Transportation analytics and logistics (spring semester) <b>IEOR E4507</b> Healthcare operations management (spring semester) <b>IEOR E4522</b> Python for operations research (1.5) (fall and spring semester) <b>IEOR E4523</b> Data analytics (fall or spring semester) <b>IEOR E4570</b> Data mining (fall semester) <b>IEOR E4601</b> Dynamic pricing and revenue optimization (spring semester)
<b>Breadth Electives:</b> The breadth electives can be selected from the Business School, the School of Engineering, the School of International and Public Affairs, the Law School, or the Departments of Economics, Mathematics, and Statistics.	

Management Science and Engineering (36 points) can be completed in a single calendar year, in three

semesters. Students enter in the fall term and can either finish their coursework at the end of the following August, or

alternatively, have the option to take the summer term off (e.g., for an internship) and complete their coursework by the end of the following fall term. Students are required to take the equivalent of 12 3-point courses (36 points).

Students must take at least six courses (18 points) within the IEOE Department, three to six courses at the Business School, and the remaining courses (if any) within the School of Engineering, the School of International and Public Affairs, the Law School, or the Departments of Economics, Mathematics, and Statistics. Students in residence during the summer term can take two to four Business School courses in the third (summer) semester in order to complete their program. Additional details regarding these electives are available in the Departmental office and on the MS&E website: mse.ieor.columbia.edu.

### Industrial Engineering

Graduate studies in Industrial Engineering enable students with industrial engineering bachelor's degrees to enhance their undergraduate training with studies in special fields such as production planning, inventory control, scheduling, and industrial economics. However, the department also offers a broader master's program for engineers whose undergraduate training is not in industrial engineering. Students may complete the studies on a full-time (12 points per term) or part-time basis.

Industrial Engineers are required to satisfy a core program of graduate courses in production management, probability theory, statistics, simulation, and operations research. Students with B.S. degrees in industrial engineering will usually have satisfied this core in their undergraduate programs. All students must take at least 18 points of graduate work in industrial engineering and at least 30 points of graduate studies at Columbia. Industrial Engineering may include concentrations in: (1) industrial regulation studies and (2) systems engineering. Additional details regarding these concentrations and electives are available in the Departmental office and on IEOE website: ieor.columbia.edu.

### Operations Research

Graduate studies in Operations Research

Operations Research (30 points)			
<b>Required Core Courses</b> (12 points)	<b>IEOR E4150</b> Intro to probability and statistics (or <b>STAT GU4001</b> for spring intake only) <sup>1</sup> <b>IEOR E4004</b> Optimization models and methods <b>IEOR E4106</b> Stochastic models <b>IEOR E4404</b> Simulation		
<b>ELECTIVES FOR CONCENTRATION</b>			
<b>Decision Risk Analysis</b> The department recommends taking at least three of the following elective courses:	<b>IEOR E4108</b> Supply chain management and design <b>IEOR E4601</b> Dynamic pricing and revenue management <b>IEOR E4700</b> Intro to financial engineering <b>DROM B8123</b> Demand and supply analytics	<b>IEOR E4407</b> Game theoretic models of operation <b>IEOR E4602</b> Quantitative risk management <b>DROM B8108</b> Supply chain management	
<b>Analytics</b> The department recommends taking:	<b>Technical Courses</b>	<b>Management Courses</b>	
	<b>IEOR E4525</b> Machine learning for FE and Operations <b>IEOR E4650</b> Business analytics  At least two of: <b>IEOR E4108</b> Supply chain management and design <b>IEOR E4500</b> Applications programming for financial engineering <b>IEOR E4521</b> Systems engineering tools and methods <b>IEOR E4522</b> Python for operations research (1.5) <b>IEOR E4523</b> Data analytics <b>IEOR E4524</b> Analytics in practice <sup>2</sup> <b>IEOR E4526</b> Analytics on the cloud <b>IEOR E4540</b> Data mining <b>IEOR E4736</b> Event driven finance <b>DROM B8123</b> Demand and supply analytics <b>DROM B8127</b> Immersion seminar in big data <b>DROM B8131</b> Sports analytics <b>DROM B9122</b> Computing for business research	At least two of: <b>IEOR E4510</b> Project management <b>IEOR E4550</b> Entrepreneurial business creation for engineers <b>IEOR E4560</b> Lean launchpad <b>IEOR E4561</b> Dynamic pricing and revenue management <b>IEOR E4998</b> Managing technological innovation and entrepreneurship	
<b>Entrepreneurship and Innovation</b> The department recommends taking:	<b>IEOR E4403</b> Quantitative corporate finance <b>IEOR E4550</b> Entrepreneurial business creation for engineers <b>IEOR E4998</b> Managing technological innovation and entrepreneurship At least two of: <b>IME E4310</b> The manufacturing enterprise <b>IEOR E4418</b> Transportation analytics and logistics <b>COMS W4460</b> Principles of innovation and entrepreneurship <b>IEOR E4555</b> Design and agile project management engineering lab <sup>2</sup> <b>IEOR E4560</b> Lean launchpad <sup>2</sup> <b>IEOR E4601</b> Dynamic pricing and revenue management <b>DROM B8858</b> Data-driven entrepreneurship		
<b>Financial and Managerial Applications</b> The department recommends taking:	<b>Corporate Finance Courses</b>	<b>Derivatives Pricing Courses</b>	<b>Management Courses</b>
	<b>IEOR E4403</b> Quantitative corporate finance And at least one of: <b>ECON GU4280</b> Corporate finance or <b>INAF GR6022</b> Economics of finance <b>IEOR E4711</b> Global capital market <b>IEOR E4734</b> Foreign exchange and related derivatives instruments <b>IEOR E4735</b> Introduction to structured and hybrid products <b>FINC B8307</b> Advanced corporate finance	<b>IEOR E4700</b> Intro to financial engineering  And at least one of: <b>IEOR E4602</b> Quantitative risk management <b>IEOR E4620</b> Pricing models <b>IEOR E4630</b> Asset allocation <b>IEOR E4731</b> Credit risk modeling and derivatives <b>DROM B8112</b> Quantitative finance: models and computation	At least one of: <b>IEOR E4505</b> OR in public policy <b>IEOR E4507</b> Healthcare operations management <b>IEOR E4510</b> Project management <b>IEOR E4550</b> Entrepreneurial business creation for engineers <b>IEOR E4998</b> Managing technological innovation

<sup>1</sup> STAT GU4001 will not count towards the 18 IEOR point requirement.

<sup>2</sup> Courses require application process for registration.

Operations Research (30 points)		
Logistics and Supply Chain Management	Technical Courses	Management Courses
The department recommends taking:	At least three of: <b>IEOR E4108</b> Supply chain management and design <b>IEOR E4403</b> Quantitative corporate finance <b>IEOR E4405</b> Production scheduling <b>IEOR E4418</b> Transportation analytics and logistics <b>IEOR E4505</b> OR in public policy <b>IEOR E4507</b> Health care operations management <b>IEOR E4525</b> Machine learning for FE and Operations <b>IEOR E4601</b> Dynamic pricing and revenue management <b>IEOR E4602</b> Quantitative risk management <b>DROM B8107</b> Service operations management <b>DROM B8108</b> Supply chain management <b>DROM B8123</b> Demand and supply analytics	At least two of: <b>IEME E4310</b> The manufacturing enterprise <b>IEOR E4412</b> Quality control <b>IEOR E4510</b> Project management <b>IEOR E4520</b> Applied systems engineering <b>IEOR E4550</b> Entrepreneurial business creation for engineers <b>IEOR E4998</b> Managing technological innovation
<b>Optimization</b> The department recommends taking at least three of the following elective courses:	<b>IEOR E4108</b> Supply chain management and design <b>IEOR E4405</b> Scheduling <b>IEOR E4418</b> Transportation analytics and logistics <b>IEOR E4505</b> Operations research in public policy <b>IEOR E4507</b> Health care operations management	<b>IEOR E4510</b> Project management <b>IEOR E4630</b> Asset allocation <b>DROM B8107</b> Service operations management <b>DROM B8108</b> Supply chain management

enables students to concentrate their studies in methodological areas such as mathematical programming, stochastic models, and simulation. Students may complete the studies on a full time (12 points per term) or part time basis.

Operations Research has five areas of concentrations including: (1) decision risk analysis; (2) analytics; (3) financial and managerial applications of operations research; (4) logistics and supply chain management and (5) optimization. Students may select from a variety of approved electives from the Department, the School of Business, and the Graduate School of Arts and Sciences. Additional details regarding these concentrations and electives are available in the Departmental office and on IEOR website: [ieor.columbia.edu](http://ieor.columbia.edu).

#### JOINT M.S. AND M.B.A.

The department and the Graduate School of Business offer a joint M.S. master's program in Industrial Engineering. Prospective students for this special program must submit separate applications to Columbia Engineering and the Graduate School of Business and be admitted to both schools for entrance into the joint program.

Admissions requirements are the same as those for the regular M.S. program in Industrial Engineering and

for the M.B.A. This joint program is coordinated so that both degrees can be obtained after five terms of full-time study (30 points in two terms while registered in Columbia Engineering and 45 points in three terms while registered in the Graduate School of Business).

Students in the joint program must complete certain courses by the end of their first year of study. If a substantial equivalent has been completed during undergraduate studies, students should consult with a faculty adviser in order to obtain exemption from a required course.

#### PH.D. PROGRAM

The IEOR Department offers two Ph.D. programs in (1) Industrial Engineering; and (2) Operations Research. The requirements for the Ph.D. in industrial engineering and operations research are identical. Both programs require the student to complete the qualifying procedure and submit and defend a dissertation based on the candidate's original research, conducted under the supervision of the faculty. The dissertation work may be theoretical or computational or both.

The qualifying procedure consists of three components, including: (1) complete the four Ph.D. core courses during the first year with at most one grade of (B+) or better; (2) conduct

research during the first summer, and give a talk based on this research at the beginning of the third semester; and (3) submit a research paper at the end of the third semester. Students in the doctoral programs are reviewed by the Ph.D. committee after each of the three components. A student who fails to complete component (1) may be asked to withdraw from the Ph.D. program at the end of the first year. A student who successfully completes component (1) will typically move on to conduct research during the first summer under the advisement by a faculty member in the Department. In the rare instance the Ph.D. committee is not satisfied with a student's performance in components (2) and (3), they may be asked to withdraw from the program at the end of the second year.

Doctoral students are also required to select a concentration for their studies and complete a certain amount of coursework in one of the following fields: applied probability, mathematical programming, financial engineering, or supply chain management and logistics. Doctoral candidates must obtain a minimum of 60 points of formal course credit beyond the bachelor's degree. A master's degree from an accredited institution may be accepted as equivalent to 30 points. A minimum

of 30 points beyond the master's degree must be earned while in residence in the doctoral program. Detailed information regarding the requirements for the doctoral degree may be obtained in the Department office and on IEOR website: [ieor.columbia.edu](http://ieor.columbia.edu).

## COURSES IN INDUSTRIAL ENGINEERING AND OPERATIONS RESEARCH

For up-to-date course offerings, please visit [ieor.columbia.edu](http://ieor.columbia.edu).

### IEOR E2261x and y Accounting and finance 3 pts. Lect: 3. Professor Webster.

Prerequisite: ECON UN1105 Principles of economics. For undergraduates only. Required for all undergraduate students majoring in IE, OR:EMS, OR:FE, and OR. Examines the fundamental concepts of financial accounting and finance, from the perspective of both managers and investors. Key topics covered include principles of accrual accounting; recognizing and recording accounting transactions; preparation and analysis of financial statements; ratio analysis; pro-forma projections; time value of money (present values, future values and interest/discount rates); inflation; discounted-cash-flow (DCF) project evaluation methods; deterministic and probabilistic measures of risk; capital budgeting.

### ORCA E2500x or y Foundations of data science 3 pts. Lect: 3. Professor Zhang.

Prerequisites: Calculus I and II, some familiarity with programming. Designed to provide an introduction to data science for sophomore SEAS majors. Combines three perspectives: inferential thinking, computational thinking, and real-world applications. Given data arising from some real-world phenomenon, how does one analyze that data so as to understand that phenomenon? Teaches critical concepts and skills in computer programming, statistical inference, and machine learning, in conjunction with hands-on analysis of real-world datasets such as economic data, document collections, geographical data, and social networks. At least one project will address a problem relevant to New York City.

### IEOR E3106x Stochastic systems and applications 3 pts. Lect: 3. Professor Possamai.

Prerequisites: IEOR E3658 and E4307. For undergraduates only. Required for all undergraduate students majoring in IE, OR:EMS, OR:FE, and OR. Must be taken during (or before) the fifth semester. Some of the main stochastic models used in engineering and operations research applications: discrete-time Markov chains, Poisson processes, birth and death processes and other continuous Markov chains, renewal reward processes. Applications: queueing, reliability, inventory, and finance. IEOR E3106 must be completed by the fifth term. Only students with special

academic circumstances may be allowed to take these courses in alternative semesters with the consultation of CSA and Departmental advisers.

### IEOR E3402x or y Production and inventory planning 4 pts. Lect: 3. Recit: 1. Professor Truong.

Prerequisites: IEOR E3608, E3658, and E4307. For undergraduates only. Required for all undergraduate students majoring in IE, OR:EMS, OR:FE, and OR. Must be taken during (or before) the sixth semester. Inventory management and production planning. Continuous and periodic review models: optimal policies and heuristic solutions, deterministic and probabilistic demands. Material requirements planning. Aggregate planning of production, inventory, and work force. Multi-echelon integrated production-inventory systems. Production scheduling. Term project. Recitation section required.

### IEOR E3404y Simulation modeling and analysis 4 pts. Lect: 4. Members of the faculty.

Prerequisites: IEOR E3658 and E4307 or STAT GU4001, and knowledge of a programming language such as Python, C, C++ or Matlab. It is strongly advised that Stochastic modeling (IEOR E3106 or IEOR E4106) be taken before this course. This is an introductory course to simulation, a statistical sampling technique that uses the power of computers to study complex stochastic systems when analytical or numerical techniques do not suffice. The course focuses on discrete-event simulation, a general technique used to analyze a model over time and determine the relevant quantities of interest. Topics covered in the course include the generation of random numbers, sampling from given distributions, simulation of discrete-event systems, output analysis, variance reduction techniques, goodness of fit tests, and the selection of input distributions. The first half of the course is oriented toward the design and implementation of algorithms, while the second half is more theoretical in nature and relies heavily on material covered in prior probability courses. The teaching methodology consists of lectures, recitations, weekly homework, and both in-class and take-home exams. Homework almost always includes a programming component for which students are encouraged to work in teams.

### IEOR E3608x Foundations of optimization 3 pts. Lect: 3. Professor Sethuraman.

Prerequisite: MATH UN2010. Corequisite: Data structures. This first course in optimization focuses on theory and applications of linear optimization, network optimization, and dynamic programming.

### IEOR E3609y Advanced optimization 3 pts. Lect: 3. Professor Stein.

Prerequisite: IEOR E3608. For undergraduates only. Required for all undergraduate students majoring in IE, OR:EMS, OR:FE, and OR. This is a follow-up to IEOR E3608 and will cover advanced topics in optimization, including integer optimization, convex optimization, and optimization under uncertainty, with a strong focus

on modeling, formulations, and applications.

### IEOR E3658x Probability for engineers 3 pts. Lect: 3. Professor Lacker.

Prerequisite: Calculus. For undergraduates only. Required for OR:FE concentration. Must be taken during or before third semester. Students who take IEOR E3658 may not take W4150 due to significant overlap. Recommended: strong mathematical skills. Introductory course to probability theory and does not assume any prior knowledge of subject. Teaches foundations required to use probability in applications, but course itself is theoretical in nature. Basic definitions and axioms of probability and notions of independence and conditional probability introduced. Focus on random variables, both continuous and discrete, and covers topics of expectation, variance, conditional distributions, conditional expectation and variance, and moment generating functions. Also Central Limit Theorem for sums of random variables. Consists of lectures, recitations, weekly homework, and in-class exams.

### IEOR E3900x and y Undergraduate research or project 1–3 pts. Members of the faculty.

Prerequisite: Approval by a faculty member who agrees to supervise the work. Independent work involving experiments, computer programming, analytical investigation, or engineering design.

### IEOR E3999x, y or s Fieldwork 1–1.5 pts. (up to 2 pts, summer only) Professor Sigman.

Prerequisites: Obtained internship and approval from faculty adviser. Only for IEOR undergraduate students who need relevant work experience as part of their program of study. Final reports are required. May not be taken for pass/fail credit or audited.

### IEOR E4000x Operations management 3 pts. Lect: 3. Professor Truong.

Prerequisites or Corequisite: Probability theory and linear programming. Required course for MSIE. An introduction to production management for students not having an industrial engineering bachelor's degree. Topics include deterministic inventory models, aggregate production planning, material requirements planning, forecasting, stochastic inventory models and supply chain management. Emphasis is on modeling and its implications for managerial decisions.

### IEOR E4003x Corporate finance for engineers 3 pts. Lect: 3. Professor Waisman.

Prerequisites or corequisites: Probability theory and linear programming. Required for all undergraduate students majoring in IE, OR:EMS, OR:FE, and OR. Introduction to the economic evaluation of industrial projects. Economic equivalence and criteria. Deterministic approaches to economic analysis. Multiple projects and constraints. Analysis and choice under risk and uncertainty.

### IEOR E4004x and y Optimization models and methods 3 pts. Lect: 3. Professors Agrawal, Faenza, and Goldfarb.

A graduate course only for MS&E, IE, and OR students. This is also required for students in the Undergraduate Advanced Track. For students who have not studied linear programming. Some of the main methods used in IEOR applications involving deterministic models: linear programming, the simplex method, nonlinear, integer and dynamic programming.

**IEOR E4007x Optimization models and methods for financial engineering**

3 pts. Lect: 3. Professor Iyengar.

Prerequisite: Linear algebra. This graduate course is only for M.S. Program in Financial Engineering students. Linear, quadratic, nonlinear, dynamic, and stochastic programming. Some discrete optimization techniques will also be introduced. The theory underlying the various optimization methods is covered. The emphasis is on modeling and the choice of appropriate optimization methods. Applications from financial engineering are discussed.

**IEOR E4008x or y Computational discrete optimization**

3 pts. Lect: 3. Professor Faenza.

Prerequisites: Linear programming, basic probability theory. Discrete optimization problems. Mathematical techniques and testing strengths and limits in practice on relevant applications. Transportation (travelling salesman and vehicle routing) and matching (online advertisement and school allocation) problems.

**IEOR E4009x or y Nonlinear optimization**

3 pts. Lect: 2.5. Professor Goldfarb.

Prerequisites: A course on optimization models and methods (at the level of IEOR E4004) and a course on linear algebra. Unconstrained and constrained nonlinear optimization involving continuous functions. Additionally, fundamental concepts such as optimality conditions and convergence, principle focus on practical optimization methods.

**CSOR E4010y Graph theory: a combinatorial view**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: Linear algebra, or instructor's permission. An introductory course in graph theory with emphasis on its combinatorial aspects. Basic definitions, and some fundamental topics in graph theory and its applications. Topics include trees and forests graph coloring, connectivity, matching theory and others.

**IEOR E4011x Infrastructure systems optimization**

3 pts. Lect: 3. Professor Di.

Prerequisites: Basic linear algebra. Basic probability and statistics. Engineering economic concepts. Basic spreadsheet analysis and programming skills. Subject to instructor's permission. Infrastructure design and systems concepts, analysis and design under competing/conflicting objectives, transportation network models, traffic assignments, optimization, and the simplex algorithm.

**IEOR E4100x Statistics and simulation**

1.5 pts. Lect: 1.5. Professors Cousins and Lam.

Prerequisites: Understanding of single- and multivariable calculus. Probability and simulation. Statistics building on knowledge in probability and simulation. Point and interval estimation, hypothesis testing, and regression. A specialized version of IEOR E4150 for MSE and MSBA students who are exempt from the first half of IEOR E4101. Must obtain waiver for E4101.

**IEOR E4101x Probability, statistics and simulation**

3 pts. Lect: 3. Professors Cousins and Lam.

Prerequisites: Understanding of single- and multivariable calculus. MSE and MSBA students only. Basic probability theory, including independence and conditioning, discrete and continuous random variable, law of large numbers, central limit theorem, and stochastic simulation, basic statistics, including point and interval estimation, hypothesis testing, and regression; examples from business applications such as inventory management, medical treatments, and finance. A specialized version of IEOR E4150 for MSE and MSBA students.

**IEOR E4102y Stochastic models for MSE**

3 pts. Lect: 2.5.

Prerequisite: IEOR E4101. Introduction to stochastic processes and models, with emphasis on applications to engineering and management; random walks, gambler's ruin problem, Markov chains in both discrete and continuous time, Poisson processes, renewal processes, stopping times, Wald's equation, binomial lattice model for pricing risky assets, simple option pricing; simulation of simple stochastic processes, Brownian motion, and geometric Brownian motion. A specialized version of IEOR E4106 for MSE students.

**IEOR E4106x or y Stochastic models**

3 pts. Lect: 3. Professor Sigman.

Prerequisites: STAT GU4001 or probability theory. This graduate course is only for MS&E, IE, and OR students. Also required for students in the Undergraduate Advanced Track. Some of the main stochastic models used in engineering and operations research applications: discrete-time Markov chains, Poisson processes, birth and death processes and other continuous Markov chains, renewal reward processes. Applications: queueing, reliability, inventory, and finance.

**IEOR E4108x or y Supply chain management and design**

3 pts. Lect: 3. Professor Federgruen.

Prerequisites: IEOR E3402, IEOR E4000, or instructor's permission. MS IEOR students only. Supply chain management, model design of a supply chain network, inventories, stock systems, commonly used inventory models, supply contracts, value of information and information sharing, risk pooling, design for postponement, managing product variety, information technology and supply chain management; international and environmental

issues. Note: replaces IEOR E4000 beginning in fall 2018.

**IEOR E4111x and y Operations consulting**

3 pts. Lect: 3. Professor Kachani.

Prerequisites: Probability and statistics at the level of IEOR E3658 and E4307 or STAT GU4001, and Deterministic Models at the level of IEOR E3608 or IEOR E4004, or instructor permission. For MS-MS&E students only. Aims to develop and harness the modeling, analytical, and managerial skills of engineering students and apply them to improve the operations of both service and manufacturing firms. Structured as a hands-on laboratory in which students "learn by doing" on real-world consulting projects (October to May). The student teams focus on identifying, modeling, and testing (and sometimes implementing) operational improvements and innovations with high potential to enhance the profitability and/or achieve sustainable competitive advantage for their sponsor companies. The course is targeted toward students planning careers in technical consulting (including operations consulting) and management consulting, or pursuing positions as business analysts in operations, logistics, supply chain and revenue management functions, positions in general management, and future entrepreneurs.

**IEOR E4150x or y Introduction to Probability and Statistics**

3 pts. Lect: 3. Professor Dieker.

Prerequisite: Calculus, including multiple integration. Covers the following topics: fundamentals of probability theory and statistical inference used in engineering and applied science; Probabilistic models, random variables, useful distributions, expectations, law of large numbers, central limit theorem; Statistical inference: point and confidence interval estimation, hypothesis tests, linear regression. For IEOR graduate students.

**IEME E4200x or y Introduction to human-centered design**

1.5 pts. Lect: 4.5. Professor West.

Prerequisite: Application to instructor for approval. Open to SEAS graduate and advanced undergraduate students, Business School, and GSAPP. Students from other schools may apply. Fast-paced introduction to human-centered design. Students learn the vocabulary of design methods, understanding of design process. Small group projects to create prototypes. Design of simple product, more complex systems of products and services, and design of business.

**IEOR E4207x Human factors: performance**

3 pts. Lect: 3. Professor Gold.

Required for undergraduate students majoring in IE. Sensory and cognitive (brain) processing considerations in the design, development, and operations of systems, products, and tools. User or operator limits and potential in sensing, perceiving decision making, movement coordination, memory, and motivation.

**IEOR E4208y Seminar in human factors design**

3 pts. Lect: 3. Professor Gold.

Prerequisite: IEOR E4207 or instructor's permission. An elective for undergraduate students majoring in IE. An in-depth exploration of the application potential of human factor principles for the design of products and processes. Applications to industrial products, tools, layouts, workplaces, and computer displays. Consideration to environmental factors, training and documentation. Term project.

**IEOR E4211y Applied consulting**

3 pts. Lect: 2.5. Professor Herman.

Prerequisites: Probability at the level of IEOR E3658 and E4307 or E4150 or STAT GU4001, familiarity with R or SAS. Basic and advanced techniques in commercial and government consulting. Case studies supported by lectures focused on collecting and analyzing skills, client/market data, client interview techniques, and application of quantitative and qualitative methodologies. Exposure to critical skills on workplan development, interview techniques, presentation deck preparation, costing, and application of analytic techniques to solve complex problems.

**IEOR E4307x or y Statistics and data analysis**

3 pts. Lect: 3. Professor Dieker.

Prerequisites: probability, linear algebra. Descriptive statistics, central limit theorem, parameter estimation, sufficient statistics, hypothesis testing, regression, logistic regression, goodness-of-fit tests, applications to operations research models.

**IEOR E4310x The manufacturing enterprise**

3 pts. Lect: 3. Professor Weinig.

The strategies and technologies of global manufacturing and service enterprises. Connections between the needs of a global enterprise, the technology and methodology needed for manufacturing and product development, and strategic planning as currently practiced in industry.

**IEOR E4403x Quantitative corporate finance**

3 pts. Lect: 3. Professor Sunada-Wong.

Prerequisites: Probability theory and linear programming Required for students in the Undergraduate Advanced Track. Key measures and analytical tools to assess the financial performance of a firm and perform the economic evaluation of industrial projects. Deterministic mathematical programming models for capital budgeting. Concepts in utility theory, game theory and real options analysis.

**IEOR E4404x and y Simulation**

4 pts. Lect: 3. Recit: 1. Professor Zhang.

Prerequisites: IEOR E3658 and E4307 or STAT GU4001, computer programming. Corequisite: IEOR E3106 or IEOR E4106. Required for all undergraduate students majoring in IE, OR:EMS, OR:FE, and OR. Also required for MSIE and MSOR. Generation of random numbers from given distributions; variance reduction; statistical output analysis; introduction to simulation languages;

application to financial, telecommunications, computer, and production systems. Graduate students must register for 3 points. Undergraduate students must register for 4 points. NOTE: Students who have taken IEOR E4703 Monte Carlo simulation may not register for this course for credit. Recitation section required.

**IEOR E4405x or y Scheduling**

3 pts. Lect: 3. Professor Stein.

Prerequisites: IEOR E3608, E3658, and E4307. computer programming. Required for undergraduate students majoring in IE and OR. Job shop scheduling: parallel machines, machines in series; arbitrary job shops. Algorithms, complexity, and worst-case analysis. Effects of randomness: machine breakdowns, random processing time. Term project.

**IEOR E4407x Game theoretic models of operations**

3 pts. Lect: 3. Professor Goyal.

Prerequisites: IEOR E4004 (or E3608), IEOR E4106 (or E3106), familiarity with differential equations and computer programming; or instructor's permission. Required for undergraduate students majoring in OR:FE and OR. A mathematically rigorous study of game theory and auctions, and their application to operations management. Topics include introductory game theory, private value auction, revenue equivalence, mechanism design, optimal auction, multiple-unit auctions, combinatorial auctions, incentives, and supply chain coordination with contracts. No previous knowledge of game theory is required.

**IEOR E4408x Resource allocation: models, algorithms, and applications**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: Linear programming (IEOR E3608 or E4004), basic knowledge of nonlinear and integer programming. Overview of resource allocation models. Single resource allocation with concave returns; equitable resource allocation; lexicographic minmax/maxmin optimization; extensions to substitutable resources; multi-period resource allocation; equitable allocation in multicommodity network flow models; equitable content distribution in networks; equitable resource allocation with discrete decision variables.

**IEOR E4412y Quality control and management**

3 pts. Lect: 3. Members of the faculty.

Prerequisite: IEOR E3658 and E4307 or STAT GU4001. Required for undergraduate students majoring in IE. Statistical methods for quality control and improvement: graphical methods, introduction to experimental design and reliability engineering and the relationships between quality and productivity. Contemporary methods used by manufacturing and service organizations in product and process design, production and delivery of products and services.

**IEOR E4418x or y Transportation analytics and logistics**

3 pts. Lect: 3. Professor Elmachtaub.

Prerequisite: IEOR E3608 or E4404 or permission of instructor. Introduces quantitative techniques and state-of-the-art practice of operations research relevant to the design and both the tactical and strategic management of logistical and transportation systems. Discusses a wide variety of passenger and freight systems, including air, urban and highway traffic, rail, and maritime systems. Explores the practice of revenue management and dynamic pricing. Through case studies, analyzes successes and failures in third-party logistics, postal, truck and rail pickup and delivery systems. Investigates large-scale integrated logistics and transportation systems and studies the underlying principles governing transportation planning, investment and operations.

**IEOR E4500x Applications programming for financial engineering**

3 pts. Lect: 3. Professor Bienstock.

Prerequisite: Computer programming or instructor's approval. Required for undergraduate students majoring in OR:FE. We will take a hands-on approach to developing computer applications for Financial Engineering. Special focus will be placed on high-performance numerical applications that interact with a graphical interface. In the course of developing such applications we will learn how to create DLLs, how to integrate VBA with C/C++ programs, and how to write multithreaded programs. Examples of problems settings that we consider include simulation of stock price evolution, tracking, evaluation and optimization of a stock portfolio; optimal trade execution. In the course of developing these applications, we review topics of interest to OR:FE in a holistic fashion.

**IEOR E4501x and y Tools for analytics**

3 pts. Lect: 3. Professor Logston.

MS IEOR students only. Introduction ecosystem in Python, tools with the programmer's ecosystem. Python, Data Analysis tools in Python (NumPy, pandas, bokeh), GIT, Bash, SQL, VIM, Linux/Debian, SSH.

**IEOR E4505y Operations research in public policy**

3 pts. Lect: 3. Professor Sethuraman.

Prerequisites: IEOR E3608 or IEOR E4004, IEOR E3106 or IEOR E4106. Aims to give the student a broad overview of the role of Operations Research in public policy. The specific areas covered include voting theory, apportionment, deployment of emergency units, location of hazardous facilities, health care, organ allocation, management of natural resources, energy policy, and aviation security. Draws on a variety techniques such as linear and integer programming, statistical and probabilistic methods, decision analysis, risk analysis, and analysis and control of dynamic systems.

**IEOR E4506x or y Designing digital operating models**

3 pts. Lect: 3. Professor Effik.

IEOR students only. Understand digital businesses, apply scientific, engineering thinking to digital economy. Data-driven digital strategies and

operating models. Sectors: ecommerce, advertising technology, and marketing technology. Automation of the marketing, sales, and advertising functions. Algorithms, patents, and business models. Business side of the digital ecosystem and the digital economy.

### **IEOR E4507y Health care operations management**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite(s): for senior undergraduate Engineering students: IEOE E3608, E3658, and E4307; for Engineering graduate students (M.S. or Ph.D.): Probability and statistics at the level of IEOE E4150, and deterministic models at the level of IEOE E4004; for health care management students: P8529 Analytical methods for health services management. Develops modeling, analytical, and managerial skills of engineering and health care management students. Enables students to master an array of fundamental operations management tools adapted to the management of health care systems. Through real-world business cases, students learn to identify, model, and analyze operational improvements and innovations in a range of health care contexts.

### **IEOR E4510y Project management**

*3 pts. Lect: 3. Professor Rosenwein.*

Prerequisites: IEOE E4004 (or IEOE E3608). Management of complex projects and the tools that are available to assist managers with such projects. Topics include project selection, project teams and organizational issues, project monitoring and control, project risk management, project resource management, and managing multiple projects.

### **IEOR E4520y Applied systems engineering**

*3 pts. Lect: 3. Professor Johangir.*

Prerequisites: B.S. in engineering or applied sciences; professional experience recommended; calculus, probability and statistics, linear algebra. Introduction to fundamental methods used in systems engineering. Rigorous process that translates customer needs into a structured set of specific requirements; synthesizes a system architecture that satisfies those requirements and allocates them in a physical system, meeting cost, schedule, and performance objectives throughout the product life-cycle. Sophisticated modeling of requirements optimization and dependencies, risk management, probabilistic scenario scheduling, verification matrices, and systems-of-systems constructs are synthesized to define the meta-workflow at the top of every major engineering project.

### **IEOR E4521x or y Systems engineering tools and methods**

*3 pts. Lect: 2.5. Professor Johangir.*

Prerequisites: B.S. in engineering or applied sciences; probability and statistics, optimization, linear algebra, and basic economics. Applications of SE tools and methods in various settings. Encompasses modern complex system development environments, including aerospace and defense, transportation, energy, communications,

and modern software-intensive systems.

### **IEOR E4522x and y Python for operations research**

*1.5 pts. Lect: 1.5 Professor Logston.*

IEOR students only; priority to MSOR students. Introduction to programming in Python, providing a working knowledge of how to use Python to extract knowledge and information from data. Overview of Python libraries for data analysis. Fundamental course for MSOR students in order to engage in higher level analytics course.

### **IEOR E4523x and y Data analytics**

*3 pts. Lect: 3. Professors Avgar and Johar.*

Corequisite: IEOE E4522. IEOR students only; priority to MSBA students. Survey tools available in Python for getting, cleaning, and analyzing data. Obtain data from files (csv, html, json, xml) and databases (Mysql, PostgreSQL, NoSQL), cover the rudiments of data cleaning, and examine data analysis, machine learning, and data visualization packages (NumPy, pandas, Scikit-learn, bokeh) available in Python. Brief overview of natural language processing, network analysis, and big data tools available in Python. Contains a group project component that will require students to gather, store, and analyze a data set of their choosing.

### **IEOR E4524x or y Analytics in practice**

*3 pts. Lect: 3. Professor Johar.*

Prerequisites: IEOE E4522 (or IEOE E4578) and IEOE E4523. MSOR students only. Groups of students will work on real world projects in analytics, focusing on three aspects: identifying client analytical requirements; assembling, cleaning, and organizing data; identifying and implementing analytical techniques (statistics, OR, machine learning); and delivering results in a client-friendly format. Each project has a well-defined goal, comes with sources of data preidentified, and has been structured so that it can be completed in one semester. Client-facing class with numerous on-site client visits; students should keep Fridays clear for this purpose.

### **IEOR E4525x or y Machine learning for financial engineering and operations**

*3 pts. Lect: 3. Professor Balsera.*

Prerequisites: Optimization, applied probability, statistics or simulation. MS IEOR students only. Introduction to machine learning, practical implementation ML algorithms and applications to financial engineering and operations. Probabilistic tools of machine learning, learning theory, classification, resampling methods and regularization, support vector machines (SVMs), unsupervised learning, dimensionality reduction and clustering algorithms, EM algorithm, and neural networks and deep learning.

### **IEOR E4526x or y Analytics on the cloud**

*3 pts. Lect: 3. Professor Johar.*

Prerequisites: IEOE E4501 and E4523. To introduce students to programming issues around working with clouds for data analytics. Class will learn how to work with infrastructure of cloud platforms, and discussion about distributed computing, focus of course is on programming. Topics covered

include MapReduce, parallelism, rewriting of algorithms (statistical, OR, and machine learning) for the cloud, and basics of porting applications so that they run on the cloud.

### **IEOR E4540x or y Data mining for engineers**

*3 pts. Lect: 2.5. Professor Choromanski.*

Prerequisites: Linear Algebra, Calculus, Probability, and some basic programming. Course covers major statistical learning methods for data mining under both supervised and unsupervised settings. Topics covered include linear regression and classification, model selection and regularization, tree-based methods, support vector machines, and unsupervised learning. Students learn about principles underlying each method, how to determine which methods are most suited to applied settings, concepts behind model fitting and parameter tuning, and how to apply methods in practice and assess their performance. Emphasizes roles of statistical modeling and optimization in data mining.

### **IEOR E4550x and y Entrepreneurial business creation for engineers**

*3 pts. Lect: 3. Professor Gulley.*

Prerequisite: IEOE E2261. Required for undergraduate students majoring in OR:EMS. Introduces the basic concepts and methodologies that are used by the nonengineering part of the world in creating, funding, investing in, relating to, and operating entrepreneurial ventures. The first half of the course focuses on the underpinning principles and skills required in recognizing, analyzing, evaluating, and nurturing a business idea. The second half focuses on basic legal knowledge necessary in creating a business entity, defending your business assets, and in promoting effective interaction with other individuals and organizations.

### **IEOR E4555x or y Design and agile project management engineering lab**

*3 pts. Lect: 3. Professor Farrokhnia.*

Intensive, team-, and project-based seminar covering multidisciplinary approach to evidence-based product design; agile project planning and execution; rapid MVP prototyping; and launch strategy formulation and implementation. Focuses on practical use of design thinking, design studio, and iterative design sprint methodologies. Systematic approaches to Lean User Research, User Experience (UX), and User Interface (UI) design and deployment are integral components of course curriculum. Mix of startup and enterprise projects, including application drive, data-driven, or combination of both. Teams are fully supported in devising prototypes and actualizing proposed solutions.

### **IEOR E4561x or y Launch your startup: tech**

*3 pts. Lect: 3. Professor Davis*

Tools and knowledge to develop a comprehensive new venture that is scalable, repeatable, and capital efficient. Covers customer discovery, market sizing, pricing, competition, distribution, funding, developing a minimal viable product, and other facets of creating new ventures. A company blueprint and final investor pitch are deliverables.

**IEOR E4570-4579x and y Topics in operations research**

1.5-3 pts. *Lect: 1.5-3. Faculty.*

Prerequisites: IEOE E4150, E4004, and E4106, or instructor's permission. Each offering of this course is devoted to a particular sector of Operations Research and its contemporary research, practice, and approaches. If topics are different, then course can be taken more than once for credit.

**IEOR E4577x or y Intellectual property for entrepreneurs and managers**

0 pts. *Lect: 3. Professors Orin and Sears.*

An overview of commercial opportunities in intellectual property, with a focus on technology patents for the business or tech entrepreneur.

**IEOR E4601y Dynamic pricing and revenue management**

3 pts. *Lect: 3. Professor Truong.*

Prerequisites: STAT GU4001 and IEOE E4004. Focus on capacity allocation, dynamic pricing and revenue management. Perishable and/or limited product and pricing implications. Applications to various industries including service, airlines, hotel, resource rentals, etc.

**IEOR E4602y Quantitative risk management**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: STAT GU4001 and IEOE E4106. Risk management models and tools; measure risk using statistical and stochastic methods, hedging and diversification. Examples include insurance risk, financial risk, and operational risk. Topics covered include VaR, estimating rare events, extreme value analysis, time series estimation of extremal events; axioms of risk measures, hedging using financial options, credit risk modeling, and various insurance risk models.

**IEOR E4611y Decision models and applications**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: For undergraduates: IEOE E3658 and E4307/STAT GU4001 or equivalent and IEOE E3608/IEOE E4004 or equivalent. For graduate students: Instructor's permission required. Corequisite: IEOE E4404 or equivalent. Introduction to deterministic and stochastic decision tools used by leading corporations and applied researchers. Real-world problems in engineering and finance are discussed.

**IEOR E4615y Service engineering**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: Introductory courses in probability and statistics such as IEOE E3658 and E4307, and introductory courses in stochastic processes such as IEOE E3106 or IEOE E4106. Focus on service systems viewed as stochastic networks, exploiting the theoretical framework of queueing theory. Includes multidisciplinary perspectives involving Statistics, Psychology, and Marketing. Significant emphasis on data analysis, exploiting data from banks, hospitals, and call centers to demonstrate the use of decision support tools. Analytical models, flow models of service networks, Little's law, measuring methods in face-to-face and computerized systems, forecasting methods,

stability of service systems, operational quality of service, economies of scale, staffing, complex service networks, skill-based routing.

**IEOR E4620x Pricing models for financial engineering**

3 pts. *Lect: 3. Professor Miller.*

Prerequisite: IEOE E4700. Required for undergraduate students majoring in OR:FE. Characteristics of commodities or credit derivatives. Case study and pricing of structures and products. Topics covered include swaps, credit derivatives, single tranche CDO, hedging, convertible arbitrage, FX, leverage leases, debt markets, and commodities.

**IEOR E4630y Asset allocation**

3 pts. *Lect: 3. Professor Alonso.*

Prerequisite: IEOE E4700. Models for pricing and hedging equity, fixed-income, credit-derivative securities, standard tools for hedging and risk management, models and theoretical foundations for pricing equity options (standard European, American equity options, Asian options), standard Black-Scholes model (with multiasset extension), asset allocation, portfolio optimization, investments over longtime horizons, and pricing of fixed-income derivatives (Ho-Lee, Black-Derman-Toy, Heath-Jarrow-Morton interest rate model).

**IEOR E4650x or y Business analytics**

3 pts. *Lect 3. Recit: 1. Professors Elmachtoub and Leshno.*

Prerequisite: STAT GU4001 or IEOE E4150. Prepares students to gather, describe, and analyze data, using advanced statistical tools to support operations, risk management, and response to disruptions. Analysis is done by targeting economic and financial decisions in complex systems that involve multiple partners. Topics include probability, statistics, hypothesis testing, experimentation, and forecasting.

**IEOR E4700x and y Introduction to financial engineering**

3 pts. *Lect: 3. Professors Derman and Yao.*

Prerequisite: IEOE E4106 or E3106. Required for undergraduate students majoring in OR:FE. Introduction to investment and financial instruments via portfolio theory and derivative securities, using basic operations research/engineering methodology. Portfolio theory, arbitrage; Markowitz model, market equilibrium, and the capital asset pricing model. General models for asset price fluctuations in discrete and continuous time. Elementary introduction to Brownian motion and geometric Brownian motion. Option theory; Black-Scholes equation and call option formula. Computational methods such as Monte Carlo simulation.

**IEOR E4701x or y Stochastic models for financial engineering**

3 pts. *Lect: 3. Professor Lacker.*

Prerequisite: STAT GU4001. This graduate course is only for M.S. Program in Financial Engineering students, offered during the summer session.

Review of elements of probability theory, Poisson processes, exponential distribution, renewal theory, Wald's equation. Introduction to discrete-time Markov chains and applications to queueing theory, inventory models, branching processes.

**IEOR E4703y Monte Carlo simulation**

3 pts. *Lect: 3. Members of the faculty.*

Prerequisite: IEOE E4701. This graduate course is only for M.S. Program in Financial Engineering students. Multivariate random number generation, bootstrapping, Monte Carlo simulation, efficiency improvement techniques. Simulation output analysis, Markov-chain Monte Carlo. Applications to financial engineering. Introduction to financial engineering simulation software and exposure to modeling with real financial data. NOTE: Students who have taken IEOE E4404 Simulation may not register for this course for credit.

**IEOR E4706 Foundations of financial engineering**

3 pts. *Lect: 3. Professor Possamai.*

Prerequisites: IEOE E4701, E4702, and linear algebra. This graduate course is only for M.S. Program in Financial Engineering students, offered during the summer session. Discrete-time models of equity, bond, credit, and foreign-exchange markets. Introduction to derivative markets. Pricing and hedging of derivative securities. Complete and incomplete markets. Introduction to portfolio optimization and the capital asset pricing model.

**IEOR E4707y Financial engineering: continuous-time asset pricing**

3 pts. *Lect: 3. Professor Capponi.*

Prerequisites: IEOE E4701. This graduate course is only for MS program in FE students. Modeling, analysis, and computation of derivative securities. Applications of stochastic calculus and stochastic differential equations. Numerical techniques: finite-difference, binomial method, and Monte Carlo.

**IEOR E4708y Seminar on important papers in financial engineering**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: IEOE E4703, E4706, probability and statistics. Selected topics of special interest to M.S. students in financial engineering. If topics are different then this course can be taken more than once for credit.

**IEOR E4709x or y Statistical analysis and time series**

3 pts. *Lect: 3. Members of the faculty.*

Prerequisites: Probability and IEOE E4702. Corequisites: IEOE E4706, E4707. This graduate course is only for M.S. Program in Financial Engineering students. Empirical analysis of asset prices: heavy tails, test of the predictability of stock returns. Financial time series: ARIMA, stochastic volatility, and GARCH models. Regression models: linear regression and test of CAPM, nonlinear regression and fitting of term structures.

**IEOR E4710x or y Fixed income and term structure modeling**

3 pts. *Lect: 3. Not offered in 2018–2019.*

Prerequisites: IEOE E4706, E4707, and computer programming. Interest rate models and numerical

techniques for pricing and hedging interest rate contracts and fixed income securities.

#### **IEOR E4711x Global capital markets**

*3 pts. Lect: 3. Professor Dastidar.*

Prerequisites: Refer to course syllabus. An introduction to capital markets and investments providing an overview of financial markets and tools for asset valuation. Topics covered include the pricing of fixed-income securities (treasury markets, interest rate swaps futures, etc.), discussions on topics in credit, foreign exchange, sovereign ad securitized markets—private equity and hedge funds, etc.

#### **IEOR E4712x Behavioral finance**

*1.5 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: IEOE4700. Behavioral finance is the application of behavioral psychology to financial decision making. Focuses on the portfolio aspect of behavioral finance and briefly touches on other aspects. Compared with classical theory of portfolio choice, behavioral portfolio choice features human being's psychological biases. It builds both on behavioral preference structures different from mean variance theory and expected utility theory and on systematic biases against rational beliefs such as Bayesian rule.

#### **IEOR E4714x Risk management, financial system and financial crisis**

*1.5 pts. Not offered in 2018–2019.*

Risk-taking and risk management are at the heart of the financial system, and of the current financial crisis. An introduction to risk management both from an individual financial firm's and from a public policy viewpoint. Overview of the contemporary financial system, focusing on innovations of the past few decades that have changed how financial risk is generated and distributed among market participants, such as the growth of non-bank financial intermediaries, the increased prevalence of leverage and liquidity risk, and the development of structured credit products. Introduction to the basic quantitative tools used in market, credit, and liquidity risk management. The two strands of the course are brought together to help understand how the financial crisis arose and is playing out, examining the mechanics of runs and the behavior of asset prices during crises. Attempt to understand the emergency programs deployed by central bankers and other policy makers to address crises historically and today.

#### **IEOR E4715x, s Commodity derivatives**

*1.5 pts. Not offered in 2018–2019.*

Commodities markets have been much in the public eye recently as volatility has increased and they changed from markets dominated by physical participants to ones which have a significant investor component. The largest banks either already have profitable commodities franchises or are actively building them, and money managers and funds are increasingly including these assets in their portfolio mix. The end result is a dramatic increase in focus on these markets from all aspects of the financial markets, including the quantitative end.

#### **IEOR E4718x or y Beyond Black-Scholes: the implied volatility smile**

*3 pts. Lect: 3. Professor Derman.*

Prerequisites: IEOE4706, knowledge of derivatives valuation models. During the past 15 years the behavior of market options prices have shown systematic deviations from the classic Black-Scholes model. Examines the empirical behavior of implied volatilities, in particular the volatility smile that now characterizes most markets, the mathematics and intuition behind new models that can account for the smile, and their consequences for hedging and valuation.

#### **IEOR E4720x and y–E4729 Topics in quantitative finance**

*1.5–3 pts. Lect: 2–2.5. Members of the faculty.*

Prerequisites: IEOE4700; additional prerequisites will be announced depending on offering. Selected topics of interest in the area of quantitative finance. Offerings vary each year; some topics include energy derivatives, experimental finance, foreign exchange and related derivative instruments, inflation derivatives, hedge fund management, modeling equity derivatives in Java, mortgage-backed securities, numerical solutions of partial differential equations, quantitative portfolio management, risk management, trade and technology in financial markets.

#### **IEOR E4731x Credit risk modeling and derivatives**

*3 pts. Lect: 3. Professor Capponi.*

Prerequisites: IEOE4701 and E4707. Introduction to quantitative modeling of credit risk, with a focus on the pricing of credit derivatives. Focus on the pricing of single-name credit derivatives (credit default swaps) and collateralized debt obligations (CDOs). Detail topics include default and credit risk, multivariate default barrier models and multivariate reduced form models.

#### **IEOR E4732x Computational methods in finance**

*3 pts. Lect: 3. Professor Hirsu.*

Prerequisite: IEOE4700. MS IEOE students only. Application of various computational methods/techniques in quantitative/computational finance. Transform techniques: fast Fourier transform for data de-noising and pricing, finite difference methods for partial differential equations (PDE), partial integro-differential equations (PIDE), Monte-Carlo simulation techniques in finance, and calibration techniques, filtering and parameter estimation techniques. Computational platform will be C++/Java/Python/Matlab/R.

#### **IEOR E4733x or y Algorithmic trading**

*3 pts. Instructor to be announced.*

Prerequisite: IEOE4700. Large and amorphous collection of subjects ranging from the study of market microstructure, to the analysis of optimal trading strategies, to the development of computerized, high-frequency trading strategies. Analysis of these subjects, the scientific and practical issues they involve, and the extensive body of academic literature they have spawned. Attempt to understand and uncover the economic

and financial mechanisms that drive and ultimately relate them.

#### **IEOR E4734y Foreign exchange and related derivatives instruments**

*1.5 pts. Lect: 1.5. Professor DeRosa.*

Prerequisite: IEOE4700. Foreign exchange market and its related derivative instruments—the latter being forward contracts, futures, options, and exotic options. What is unusual about foreign exchange is that although it can rightfully claim to be the largest of all financial markets, it remains an area where very few have any meaningful experience. Virtually everyone has traded stocks, bonds, and mutual funds. Comparatively few individuals have ever traded foreign exchange. In part that is because foreign exchange is an interbank market. Ironically the foreign exchange markets may be the best place to trade derivatives and to invent new derivatives—given the massive two-way flow of trading that goes through bank dealing rooms virtually twenty-four hours a day. And most of that is transacted at razor-thin margins, at least comparatively speaking, a fact that makes the foreign exchange market an ideal platform for derivatives. The emphasis is on familiarizing the student with the nature of the foreign exchange market and those factors that make it special among financial markets, enabling the student to gain a deeper understanding of the related market for derivatives on foreign exchange.

#### **IEOR E4735y Structured and hybrid products**

*3 pts. Lect: 3. Professor Javaheri.*

Prerequisite: IEOE4700. Conceptual and practical understanding of structured and hybrid products from the standpoint of relevant risk factors, design goals and characteristics, pricing, hedging, and risk management. Detailed analysis of the underlying cash-flows, embedded derivative instruments, and various structural features of these transactions, both from the investor and issuer perspectives, and analysis of the impact of the prevailing market conditions and parameters on their pricing and risk characteristics. Numerical methods for valuing and managing risk of structured/hybrid products and their embedded derivatives and their application to equity, interest rates, commodities and currencies, inflation, and credit-related products. Conceptual and mathematical principles underlying these techniques, and practical issues that arise in their implementations in the Microsoft Excel/VBA and other programming environments. Special contractual provisions encountered in structured and hybrid transactions, and incorporation of yield curves, volatility smile, and other features of the underlying processes into pricing and implementation framework for these products.

#### **IEOR E4736x and y Event-driven finance**

*3 pts. Lect: 2.5. Not offered in 2018–2019.*

Prerequisites: IEOE4706, IEOE4707, and familiarity with simple Black-Scholes pricing. Examines actual behavior of real stocks and options in presence of commonplace, but singular, events, such as earning take-overs, hard-to-borrowness, expirations, etc. Propose trading schema (tests via IVY options/stock

database and carry out tests efficiently and accurately). Differences between model-based (static, thermodynamic/SDE model solutions) behavior predicted for stocks and options and their real behavior. Students become familiar with computational techniques for modeling and testing programs for trading strategies.

**IEOR E4738x Programming for FE 1: tools for building financial data and risk systems**

3 pts. Lect: 2.5. Professor Hirsu.

Prerequisite: Familiarity with object-oriented programming. Object-oriented programming and database development for building financial data and risk systems; Python and Python's scientific libraries; basic database theory, querying and constructing databases; basic risk management and design of risk systems.

**IEOR E4739y Programming for FE 2: implementing high-performance financial systems**

3 pts. Lect: 2.5. Professor Bienstock.

Prerequisites: IEOR E4738 and instructor's permission. Developing effective software implementations in C programming language; modeling of portfolio optimization; modeling of price impact trading models; review of synchronization of programs using the file system; review of synchronization of programs using threads; review of synchronization of programs using sockets; implementation of high-performance simulations in finance.

**IEOR E4900s, x and y Master's research or project**

1–3 pts. Members of the faculty.

Prerequisite: Approval by a faculty member who agrees to supervise the work. Independent work involving experiments, computer programming, analytical investigation, or engineering design.

**IEOR E4998x and y Managing technological innovation and entrepreneurship**

3 pts. Lect: 3. Professor Neumann.

Required course for undergraduate students majoring in OR:EMS. Focus on the management and consequences of technology-based innovation. Explores how new industries are created, how existing industries can be transformed by new technologies, the linkages between technological development and the creation of wealth and the management challenges of pursuing strategic innovation.

**IEOR E4999s, x and y Fieldwork**

1–1.5 pts (up to 2 pts, summer only). Professor Derman and Mak.

Prerequisites: Obtained internship and approval from faculty adviser. Only for IEOR graduate students who need relevant work experience as part of their program of study. Final reports required. May not be taken for pass/fail credit or audited.

**MSIE W6408y Inventory theory**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites: Probability theory, dynamic programming. Construction and analysis of mathematical models used in the design and analysis of inventory systems. Deterministic and stochastic demands and lead times. Optimality of (s, S) policies. Multiproduct and multiechelon systems. Computational methods.

**IEOR E6602y Nonlinear programming**

3 pts. Lect: 3. Members of the faculty.

Prerequisite: Ph.D.-level linear programming. Convex sets and functions, convex duality and optimality conditions. Computational methods: steepest descent, Newton and quasi-newton methods for unconstrained problems, active set, penalty set, interior point, augmented Lagrangian and sequential quadratic programming methods for constrained problems. Introduction to nondifferentiable optimization and bundle methods.

**IEOR E6613x Optimization, I**

4.5 pts. Lect: 3. Professor Goyal.

Prerequisite: Linear algebra. Theory and geometry of linear programming. The simplex method. Duality theory, sensitivity analysis, column generation and decomposition. Interior point methods. Introduction to nonlinear optimization: convexity, optimality conditions, steepest descent, and Newton's method, active set, and barrier methods.

**IEOR E6614y Optimization, II**

4.5 pts. Lect: 3. Professor Stein.

Prerequisite: Linear algebra. An introduction to combinatorial optimization, network flows and discrete algorithms. Shortest path problems, maximum flow problems. Matching problems, bipartite and cardinality nonbipartite. Introduction to discrete algorithms and complexity theory: NP-completeness and approximation algorithms.

**IEOR E6703y Advanced financial engineering**

3 pts. Lect: 2. Not offered in 2018–2019.

Prerequisites: Probability theory and advanced stochastic models at the SIEO GR6501 level. Review of basic mathematics, including renewal theory and stochastic calculus. Martingale approach to Black-Scholes formula. Optimal stopping and American options. Pricing of continuous and discrete exotic options. Term structure models and pricing of bond options. Jump diffusion models. Applications, including pricing of real and electricity options and hedging of real options.

**IEOR E6711x Stochastic models, I**

4.5 pts. Lect: 3. Professor Sigman.

Prerequisite: STAT GU4001 or equivalent. Advanced treatment of stochastic modeling in the context of queueing, reliability, manufacturing, insurance risk, financial engineering and other engineering applications. Review of elements of probability theory; exponential distribution; renewal theory; Wald's equation; Poisson processes. Introduction to both discrete and continuous-time Markov chains; introduction to Brownian motion.

**IEOR E6712y Stochastic models, II**

4.5 pts. Lect: 3. Professor Yao.

Prerequisite: IEOR E6711 or equivalent. Continuation of IEOR E6711, covering further topics in stochastic modeling in the context of queueing, reliability, manufacturing, insurance risk, financial engineering, and other engineering applications. Topics from among generalized semi-Markov processes; processes with a nondiscrete state space; point processes; stochastic comparisons; martingales; introduction to stochastic calculus.

**IEOR E8100x and y Advanced topics in IEOR**

1–3 pts. Members of the faculty.

Prerequisite: Faculty adviser's permission. Selected topics of current research interest. May be taken more than once for credit.

**DROM B8108y Supply chain management**

3 pts. Lect: 3. Professor Federgruen.

Prerequisite: IEOR E3402, E4000, or permission of instructor. This is a IE elective for undergraduate students majoring in IE. Major issues in supply chain management, including, definition of a supply chain; role of inventory; supply contracts; bullwhip effect and information sharing; vendor-managed inventories and other distribution strategies; third-party logistics providers; managing product variety; information technology and supply chain management; international issues. Emphasis on quantitative models and analysis.

**DROM B8123y Demand and supply analytics**

3 pts. Lect: 3. Members of the faculty.

Prerequisites: IEOR E4004 (or E3608), IEOR E4106 (or E3608). Tools to efficiently manage supply and demand networks. Topics include service and inventory trade-offs, stock allocation, pricing, markdown management and contracts, timely product distribution to market while avoiding excess inventory, allocating adequate resources to the most profitable products and selling the right product to the right customer at the right price and at the right time.

**IEOR E9101s, x and y Research**

1–6 pts. Members of the faculty.

Before registering, the student must submit an outline of the proposed work for approval by the supervisor and the chair of the Department. Advanced study in a specialized field under the supervision of a member of the department staff. May be repeated for credit.

*Program in the Department of Applied Physics and Applied Mathematics,  
sharing teaching and research with the faculty of the Henry Krumb School of Mines.*

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Associate Professor of  
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Ismail C. Noyan  
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Ben O'Shaughnessy  
Professor of Chemical  
Engineering  
Richard M. Osgood Jr.  
Professor Emeritus of  
Electrical Engineering  
Aron Pinczuk  
Professor of Applied Physics  
and Physics  
Ponisseril Somasundaran  
Professor of Mineral  
Engineering  
Yasutomo Uemura  
Professor of Physics  
Latha Venkataraman  
Professor of Applied Physics

Wen I. Wang  
Professor of Electrical  
Engineering  
Renata Wentzcovitch  
Professor of Materials  
Science and Applied  
Physics, and Earth and  
Environmental Science  
Yuan Yang  
Assistant Professor of  
Materials Science and  
Engineering  
Nanfang Yu  
Assistant Professor of  
Applied Physics

**M**aterials Science and Engineering (MSE) focuses on understanding, designing, and producing technology-enabling materials by analyzing the relationships among the synthesis and processing of materials, their properties, and their detailed structure. This includes a wide range of materials such as metals, polymers, ceramics, and semiconductors. Solid-state science and engineering focuses on understanding and modifying the properties of solids from the viewpoint of the fundamental physics of the atomic and electronic structure.

The undergraduate and graduate programs in materials science and engineering are coordinated through the MSE Program in the Department of Applied Physics and Applied Mathematics. This program promotes the interdepartmental nature of the discipline and involves the Departments of Applied Physics and Applied Mathematics, Chemical Engineering and Applied Chemistry, Electrical Engineering, and Earth and Environmental Engineering in the Henry Krumb School of Mines (HKSM) with advisory input from the Departments of Chemistry and Physics.

Students interested in materials science and engineering enroll in the materials science and engineering program in the Department of Applied Physics and Applied Mathematics. Those interested in the solid-state science and engineering specialty enroll in the doctoral program within Applied Physics and Applied Mathematics or Electrical Engineering.

The faculty in the interdepartmental committee constitute but a small fraction of those participating in materials research, who include Professors Bailey, Barmak, Billinge, Chan, Gaeta, Gang, Herman, Im, Marianetti, Noyan, Pinczuk, Venkataraman, Wentzcovitch, Yang, and Yu from Applied Physics and Applied Mathematics; Brus, Durning, Flynn, Koberstein, and O'Shaughnessy from Chemical Engineering; Park, Somasundaran, and Themelis from Earth and Environmental Engineering; Lipson, Osgood, and Wang from Electrical Engineering; and Hone from Mechanical Engineering.

Materials science and engineering uses optical, electron, and scanning probe microscopy and diffraction techniques to reveal details of structure, ranging from the atomic to the

macroscopic scale—details essential to understanding the relationship between materials synthesis and processing and materials properties, including electronic, magnetic, mechanical, optical, and thermal properties. These studies also give insight into problems of the deterioration of materials in service, enabling designers to prolong the useful life of their products. Materials science and engineering also focuses on new ways to synthesize and process materials, from bulk samples to ultrathin films to epitaxial heterostructures to nanocrystals. This involves techniques such as UHV sputtering; molecular beam epitaxy; plasma etching; laser ablation, chemistry, and recrystallization; and other nonequilibrium processes. The widespread use of new materials and the new uses of existing materials in electronics, communications, and computers have intensified the demand for a systematic approach to the problem of relating properties to structure and have necessitated a multidisciplinary approach.

Solid-state science and engineering uses techniques such as transport measurements, X-ray photoelectron spectroscopy, inelastic light scattering,

luminescence, and nonlinear optics to understand electrical, optical, and magnetic properties on a quantum mechanical level. Such methods are used to investigate exciting new types of structures, such as two-dimensional electron gases in semiconductor heterostructures, two-dimensional transition metal dichalcogenides, superconductors, and semiconductor surfaces and nanocrystals.

### Current Research Activities

Current research activities in the materials science and engineering program at Columbia focus on thin films, electronic, and battery materials that enable significant advances in information technologies. Specific topics under investigation include interfaces, stresses, and grain boundaries in thin films; lattice defects and electrical properties of metals and semiconductors; laser processing and ultrarapid solidification of thin films; nucleation in condensed systems; optical and electrical properties of semiconductors and metals; synthesis of nanocrystals, two-dimensional materials, and nanotechnology-related materials; deposition, in-situ characterization, electronic testing, and ultrafast spectroscopy of magnetoelectronic ultrathin films and heterostructures. In addition, there is research in surface and colloid chemistry involving both inorganic and organic materials such as surfactants, polymers, and latexes, with emphasis on materials/environment interactions.

The research activities in solid-state science and engineering are described later in this section.

### LABORATORY FACILITIES

Facilities and research opportunities also exist within the interdepartmental Columbia Nanotechnology Initiative (CNI). Modern clean room facilities with optical and e-beam lithography, thin film deposition, and surface analytical probes (STM, SPM, XPS) are available. More specialized equipment exists in individual research groups in solid state engineering and materials science and engineering. The research facilities in solid-state science and engineering are listed in the sections for each host department. Facilities, and research opportunities,

also exist within the interdepartmental clean room, shared materials characterization laboratories, and electron microscopy facility (SEM, S/TEM).

### UNDERGRADUATE PROGRAM IN MATERIALS SCIENCE

The objectives of the undergraduate program in the Materials Science Program of the Department of Applied Physics and Applied Mathematics are as follows:

1. Professional employment in industry, including materials production, automotive, aerospace, microelectronics, information storage, medical devices, energy production, storage and conversion, and in engineering consulting firms;
2. Graduate studies in materials science and engineering or related fields;

The undergraduate curriculum is designed to provide the basis for developing, improving, and understanding materials and processes for application in engineered systems. It draws from physics, chemistry and other disciplines to provide a coherent background for immediate application in engineering or for subsequent advanced study. The emphasis is on fundamentals relating atomic-to-microscopic-scale phenomena to materials properties and processing, including design and control of industrially important materials processes. Core courses and electives combine rigor with flexibility and provide opportunities for focusing on such areas as nanomaterials, materials for green energy, materials for infrastructure and manufacturing, materials for health and biotechnology, and materials for next generation electronics.

The unifying theme of understanding and interrelating materials synthesis, processing, structure, and properties forms the basis of our program and is evident in the undergraduate curriculum and in faculty research activities. These activities include work on polycrystalline silicon for flat panel displays; semiconductors for lasers and solar cell applications, magnetic heterostructures for information storage and novel computation architectures; electronic ceramics for batteries, gas sensors and fuel cells; electrodeposition

and corrosion of metals; and the analysis and design of high-temperature reactors and first principles calculations. Through involvement with our research groups, students gain valuable hands-on experience and are often engaged in joint projects with industrial and government laboratories.

Students are strongly encouraged to take courses in the order specified in the course tables; implications of deviations should be discussed with a departmental adviser before registration. The first two years provide a strong grounding in the physical and chemical sciences, materials fundamentals, and mathematics. This background is used to provide a unique physical approach to the study of materials. The last two years of the undergraduate program provide substantial exposure to modern materials science and include courses in processing, structure and properties of materials that extend the work of the first two years. Graduates of the program are equipped for employment in the large industrial sector that includes materials production, automotive, aerospace, microelectronics, information storage, medical devices, and energy production, storage and conversion. Graduates are prepared for graduate study in materials science and engineering and related fields.

### Required Materials Science Courses

Students are required to take 14 Materials Science courses for a total of 40 points. The required courses are *M5AE E3010, E3011, E3012, E3013, E4100, E4102, E4200, E4201, E4250, E3156, E4206, E3157, E4202, and E4215.*

### Technical Elective Requirements

Students are required to take eight technical electives (24 points) from the list given below, which offers significant flexibility in allowing students to tailor their degree program to their interests.

- a. All 3000-level or higher courses in the Materials Science program of the Department of Applied Physics and Applied Mathematics, except those M5AE courses that are required.
- b. All 3000-level or higher courses in Applied Physics or Applied

## MATERIALS SCIENCE PROGRAM: FIRST AND SECOND YEARS

MATERIALS SCIENCE PROGRAM: FIRST AND SECOND YEARS				
	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) either semester	
				and APMA E2101 (3)
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) UN1601 (3.5) UN2801 (4.5)	UN1402 (3) UN1602 (3.5) UN2802 (4.5)	UN1403 (3) UN2601 (3.5)	
<b>CHEMISTRY</b>	UN1403 (4) or UN1404 (4) or UN2045 (4) or UN1604 (4)			
<b>REQUIRED LAB</b>	PHYS UN1493 (3) or UN3081 (2) or CHEM UN1500 (3) or UN1507 (3) or UN3085 (4)			
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>NONTECHNICAL REQUIREMENTS<sup>1</sup></b>	HUMA CC1001, COCI CC1101, or Global Core (3–4); HUMA UN1121 (3) or UN1123 (3); HUMA CC1002, COCI CC1102, or Global Core (3–4); ECON UN1105 (4) and UN1155 recitation (0)			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			
<b>COMPUTER SCIENCE</b>	ENGI E1006 (3) any semester			

<sup>1</sup> Some of nontech courses can be postponed to junior or senior year, so lab courses MSAE E3012 and MSAE E3013 can be taken along with MSAE E3010 and MSAE E3011.

- Math Programs of the Department of Applied Physics and Applied Mathematics.
- c. All 3000-level or higher courses in the Department of Biomedical Engineering Civil Engineering and Engineering Mechanics program, Department of Chemical Engineering, Department of Computer Science, Earth and Environmental Engineering program, Department of Electrical Engineering, Department of Industrial Engineering and Operations Research, and Department of Mechanical Engineering, except for courses that require graduate standing.

- d. ORCA E2500 Foundations of data science
- e. Courses in the Department of Chemistry listed in the Focus Areas below.

Focus Areas for technical electives are listed below. Students may choose from any one area if they so choose. They are not required to do so.

#### NANOMATERIALS

- APPH E3100y:** Intro to quantum mechanics  
**CHEM GU4071x:** Inorganic chemistry  
**MSAE E4090y:** Nanotechnology  
**APPH E4100x:** Quantum physics of matter  
**CHEM GU4168x:** Materials chemistry, I  
**ELEN E4193x:** Modern display technology

**MECE E4212x or y:** Microelectromechanical systems

**BMEN E4550y:** Micro- and nanostructures in cellular engineering

**ELEN E4944x:** Principles of device microfabrication

#### MATERIALS FOR NEXT GENERATION ELECTRONICS

- APPH E3100y:** Intro to quantum mechanics  
**ELEN E3106x:** Solid state devices-materials  
**APPH E4100x:** Quantum physics of matter  
**ELEN E4301y:** Intro to semiconductor devices  
**ELEN E4944x:** Principles of device microfabrication

#### MATERIALS FOR GREEN ENERGY

- EAE E3103x:** Energy, minerals, materials systems

MATERIALS SCIENCE PROGRAM: THIRD AND FOURTH YEARS				
	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>	MSAE E3012 (3) <sup>1</sup> Laboratory in mat. sci. I  MSAE E4100 (3) Crystallography  MSAE E4102 (3) Synthesis and processing of materials	MSAE E3013 (3) <sup>1</sup> Laboratory in mat. sci. II  MSAE E4201 (3) Materials thermodynamics and phase diagrams  MSAE E4250 (3) Ceramics and composites	MSAE E3156 (2) Design project  MSAE E4200 (3) Theory of crystalline materials  MSAE E4206 (3) Electronic and magnetic properties of solids	MSAE E3157 (2) Design project  MSAE E4202 (3) Kinetics of transformations in materials  MSAE E4215 (3) Mechanical behavior of materials
<b>TECHNICAL ELECTIVES</b>	Students must complete 24 units of Technical Electives.			
<b>NONTECHNICAL ELECTIVES</b>	Students must complete the 27-point requirement. <sup>2</sup>			
<b>TOTAL POINTS</b>	18	18	18	17

<sup>1</sup>Motivated students are highly encouraged to take the materials science laboratory I and II courses in the sophomore year to obtain practical understanding of material covered in the junior and senior years.

<sup>2</sup>See page 10 or seas.columbia.edu for details (administered by the advising dean).

MATERIALS SCIENCE PROGRAM: THIRD AND FOURTH YEARS (TRANSFER STUDENTS)				
	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>	MSAE E3010 (3) Introduction to materials science, I  MSAE E3012 (3) Laboratory in materials science, I  MSAE E4102 (3) Synthesis and processing of materials	MSAE E3011 (3) Introduction to materials science, II  MSAE E3013 (3) Laboratory in materials science, II  MSAE E4201 (3) Materials thermodynamics and phase diagrams  MSAE E4250 (3) Ceramics and composites	MSAE E3156 (2) Design project  MSAE E4100 (3) Crystallography  MSAE E4200 (3) Theory of crystalline materials  MSAE E4206 (3) Electronic and magnetic properties of solids	MSAE E3157 (2) Design project  MSAE E4202 (3) Kinetics of transformations in materials  MSAE E4215 (3) Mechanical behavior of materials
<b>TECHNICAL ELECTIVES</b>	Students must complete 24 or 21 units of Technical Electives. <sup>1</sup>			
<b>NONTECHNICAL ELECTIVES</b>	Students must complete the 27-point requirement. <sup>2</sup>			
<b>TOTAL POINTS</b>	15	15	18	14

<sup>1</sup>Students transferring from another SEAS department into the Materials Science program in the junior year must complete the 24 units of technical elective requirement; Combined Plan 3/2 transfer students must complete 21 units of technical electives.

<sup>2</sup>Students transferring from another SEAS department into the Materials Science program in the junior year must complete the 27-point requirement; see page 10 or seas.columbia.edu for details (administered by the advising dean). Combined Plan 3/2 transfer students do not need nontechnical electives; see page 16 or seas.columbia.edu.

**EAAE E4004x:** Physical processing and recovery of solids  
**CHEE E4050x:** Industrial and environmental electrochemistry  
**CHEM GU4071x:** Inorganic chemistry  
**APPH E4130:** Physics of solar energy  
**EAAE E4190x:** Photovoltaic systems engineering and sustainability  
**EAI A E4200y:** Alternative energy resources  
**MECE E4210x:** Energy infrastructure planning  
**MECE E4211y:** Energy: sources and conversion  
**EAAE E4550x:** Catalysis for emissions control

#### MATERIALS FOR 21ST CENTURY

##### INFRASTRUCTURE AND MANUFACTURING

**CIEE E3255y:** Environmental control and pollution reduction systems  
**CIEE E3260y:** Engineering for developing communities  
**ENME E3114y:** Experimental mechanics of materials  
**MECE E3610y:** Materials and processes in manufacturing  
**ENME E4113x:** Advanced mechanics of solids  
**ENME E4114y:** Mechanics of fracture and fatigue  
**ENME E4115y:** Micromechanics of composite materials  
**CIEE E4226y:** Advanced design of steel structures  
**CHEE E4530x:** Corrosion of metals

#### MATERIALS FOR HEALTH AND

##### BIOTECHNOLOGY

**CHEM UN2443x-UN2444y:** Organic chemistry  
**APPH E3400y:** Physics of the human body  
**CHEM GU4168:** Materials chemistry, I  
**BMEN E4300:** Solid biomechanics  
**BMEN E4301x:** Structure, mechanics, and adaptation of bone  
**BMEN E4450y:** Dental and craniofacial tissue engineering  
**BMEN E4501x:** Tissue engineering, I: biomaterials and scaffold design  
**CHEE E4530:** Corrosion of metals  
**BMEN E4550x:** Micro- and nanostructures in cellular engineering

\* **BMEN E4210y:** *Thermodynamics of biological systems accepted as substitute for MSAE E3111 in this track.*

\*\* *Note that BIOL UN2005x: Introductory biology, I and BIOL UN2006y: Introductory biology, II are prerequisites for a number courses in this track.*

#### MATERIALS CHEMISTRY/SOFT MATERIALS

**CHEM UN2443x-UN2444y:** Organic chemistry  
**CHEN E4201:** Applications of electrochemistry  
**CHEE E4252:** Intro surface & colloid chemistry  
**CHEN E4620:** Intro polymers / soft materials  
**CHEN E4640:** Polymer surfaces and interfaces

#### Nontechnical Elective Requirements

All materials science students are also expected to register for nontechnical electives, both those specifically required by the School of

Engineering and Applied Science and those needed to meet the 27-point total of nontechnical electives required for graduation.

#### Transfer Students

Combined Plan 3-2/Transfer students and students transferring from another SEAS department into the Materials Science Program in the junior year (upon approval of the Materials Science Undergraduate Transfer Committee) will take the following courses to satisfy the degree requirements: The required courses are *MSAE E3010, E3011, E3012, E3013, E4100, E4102, E4200, E4201, E4250, E3156, E4206, E3157, E4202, and E4215.*

Combined Plan 3-2/Transfer Students will be guided by their academic advisers to avoid duplication of courses previously taken. The course tables describe the four-semester program schedule of courses leading to the bachelor's degree in the Materials Science Program of the Department of Applied Physics and Applied Mathematics.

#### GRADUATE PROGRAMS IN MATERIALS SCIENCE AND ENGINEERING

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

#### Master of Science Degree

Candidates for the Master of Science degree in Materials Science and Engineering will follow a program of study formulated in consultation with and approved by a faculty adviser. Thirty points of credit are required at a minimum. Students interested in a specific focus in metallurgy or other areas in materials science and engineering should consult their faculty adviser for relevant course listings.

The following six courses (18 points) are required for the degree:

#### 18 points:

**MSAE E4100:** Crystallography  
**MSAE E4200:** Theory of crystalline materials: phonons  
**MSAE E4201:** Materials thermodynamics and phase diagrams  
**MSAE E4202:** Kinetics of transformations in materials  
**MSAE E4206:** Electronic and magnetic properties of solids  
**MSAE E4215:** Mechanical behavior of structural materials

If a candidate has already taken one or more of these courses at Columbia University, substitutions from the Type I and Type II Elective list must be approved by consultation with their faculty adviser and approval of the program committee.

The remaining 12 points will be chosen from elective courses, 6 points of which must be Type I and 6 points of which may be Type I or Type II:

#### • Type I Electives:

**MSAE E4090:** Nanotechnology  
**MSAE E4101:** Structural analysis of materials  
**MSAE E4102:** Synthesis and processing of materials  
**MSAE E4132:** Fundamentals of polymers and ceramics  
**MSAE E4203:** Theory of crystalline materials: electrons  
**MSAE E4250:** Ceramics and composites  
**MSAE E4260:** Electrochemical materials and devices: from structure to performance  
**MSAE E4301:** Materials science laboratory  
**MSAE E4990:** Special topics in materials science and engineering  
**MSAE E6085:** Computing the electronic structure of complex materials  
**MSAE E6091:** Magnetism and magnetic materials  
**MSAE E6225:** Techniques in X-ray and neutron diffraction  
**MSAE E6229:** Energy and particle beam processing of materials  
**MSAE E6230:** Kinetics of phase transformations  
**MSAE E6251:** Thin films and layers  
**MSAE E6273:** Materials science reports  
**MSAE E8235:** Selected topics in materials science  
**MSAE E4000-, 6000- or 8000-level courses not listed here**

#### • Type II Electives:

**APCH E4080:** Soft condensed matter  
**APMA E4001:** Principles of applied mathematics  
**APMA E4101:** Intro to dynamical systems  
**APMA E4150:** Applied functional analysis  
**APMA E4200:** Partial differential equations  
**APMA E4300:** Intro to numerical methods  
**APMA E4302:** Methods in computational science  
**APMA E4400:** Intro to biophysical modeling  
**APPH E4100:** Quantum physics of matter  
**APPH E4110:** Modern optics  
**APPH E4130:** Physics of solar energy

**APPH E6081:** Solid state physics, I  
**APPH E6082:** Solid state physics, II  
**BMEN E4300:** Solid biomechanics  
**BMEN E4301:** Structure, mechanics, and adaptation of bone  
**BMEN E4340:** Biomechanics of cells  
**BMEN E4501:** Tissue engineering, I  
**CHEE E4252:** Intro to surface and colloid chemistry  
**CHEE E4530:** Corrosion of metals  
**CHEM GU4168:** Materials chemistry  
**CHEN E4620:** Intro to polymers and soft materials  
**CHEN E4640:** Polymer surfaces and interfaces  
**EACH E4560:** Particle technology  
**EAAE E4001:** Industrial ecology of earth resources  
**EAAE E4003:** Introduction to aquatic chemistry  
**EAAE E4160:** Solid and hazardous waste management  
**EAAE E4550:** Catalysis for emissions control  
**EAAE E6150:** Industrial catalysis  
**EAAE E6240:** Physical hydrology  
**ELEN E4301:** Intro to semiconductor devices  
**ELEN E4411:** Fundamentals of photonics  
**ELEN E4944:** Principles of device microfabrication  
**ENME E4113:** Advanced mechanics of solids  
**ENME E4114:** Mechanics of fracture and fatigue  
**ENME E4608:** Manufacturing processes  
**MECE E4211:** Energy sources and conversion  
**MECE E4610:** Advanced manufacturing processes

Columbia Video Network (CVN) students may have their programs approved by faculty. Special reports (3 points) are required of CVN students.

All degree requirements must be completed within five years. A candidate is required to maintain at least a 2.5 GPA. Applicants for admission are required to take the Graduate Record Examinations.

### Doctoral Program

At the end of the first year of graduate study in the doctoral program, candidates are required to take a comprehensive written qualifying examination, which is designed to test the ability of the candidate to apply coursework in problem solving and creative thinking. The standard is first-year graduate level. There are two four-hour examinations over a two-day period.

Candidates in the program must take an oral examination within one year of taking the qualifying examination. Within two years of taking the qualifying examination, candidates must submit a written proposal and defend it orally before a Thesis Proposal Defense Committee consisting of three members of the faculty, including the adviser. Doctoral candidates must

submit a thesis to be defended before a Dissertation Defense Committee consisting of five faculty members, including two professors from outside the doctoral program. Requirements for the Eng.Sc.D. (administered by the School of Engineering and Applied Science) and the Ph.D. (administered by the Graduate School of Arts and Sciences) are listed elsewhere in this bulletin.

### Areas of Research

Materials science and engineering is concerned with synthesis, processing, structure, and properties of metals, ceramics, polymers, and other materials, with emphasis on understanding and exploiting relationships among structure, properties, and applications requirements. Our graduate research programs encompass projects in areas as diverse as polycrystalline silicon, electronic ceramics grain boundaries and interfaces, microstructure and stresses in microelectronics thin films, oxide thin films for novel sensors and fuel cells, optical diagnostics of thin-film processing, ceramic nanocomposites, electrodeposition and corrosion processes, structure, properties, and transmission electron microscopy and crystal orientation mapping, magnetic thin films for giant and colossal magnetoresistance, chemical synthesis of nanoscale materials, nanocrystals, carbon nanotubes, nanostructure analysis using X-ray and neutron diffraction techniques, and electronic structure calculation of materials using density functional and dynamical mean-field theories. Application targets for polycrystalline silicon are thin film transistors for active matrix displays and silicon-on-insulator structures for ULSI devices. Novel applications are being developed for oxide thin films, including uncooled IR focal plane arrays and integrated fuel cells for portable equipment. Long-range applications of high-temperature superconductors include efficient power transmission and highly sensitive magnetic field sensors.

Thin film synthesis and processing in this program include evaporation, sputtering, electrodeposition, and plasma and laser processing. For analyzing materials structures and properties, faculty and students employ

electron microscopy, scanning probe microscopy, cathodoluminescence and electron beam-induced current imaging, photoluminescence, dielectric and anelastic relaxation techniques, ultrasonic methods, magnetotransport measurements, and X-ray diffraction techniques. Faculty members have research collaborations with Lucent, Exxon, IBM, and other New York area research and manufacturing centers, as well as major international research centers. Scientists and engineers from these institutions also serve as adjunct faculty members at Columbia. The National Synchrotron Light Source at Brookhaven National Laboratory is used for high-resolution X-ray diffraction and absorption measurements.

Entering students typically have undergraduate degrees in materials science, metallurgy, physics, chemistry, or other science and engineering disciplines. First-year graduate courses provide a common base of knowledge and technical skills for more advanced courses and for research. In addition to coursework, students usually begin an association with a research group, individual laboratory work, and participation in graduate seminars during their first year.

### GRADUATE SPECIALTY IN SOLID-STATE SCIENCE AND ENGINEERING

Solid-state science and engineering is an interdepartmental graduate specialty that provides coverage of an important area of modern technology that no single department can provide. It encompasses the study of the full range of properties of solid materials, with special emphasis on electrical, magnetic, optical, and thermal properties. The science of solids is concerned with understanding these properties in terms of the atomic and electronic structure of the materials in question. Insulators (dielectrics), semiconductors, ceramics, and metallic materials are all studied from this viewpoint. Quantum and statistical mechanics are key background subjects. The engineering aspects deal with the design of materials to achieve desired properties and the assembling of materials into systems to produce devices of interest to modern

technology, e.g., for computers and for energy production and utilization.

### Areas of Research

The graduate specialty in solid-state science and engineering includes research programs in semiconductor nanocrystals (Professor Brus, Chemistry/Chemical Engineering); optics of semiconductors and nanomaterials (Professor Herman, Applied Physics and Applied Mathematics); chemical physics of surfaces and photoemission (Professor Osgood, Electrical Engineering/Applied Physics and Applied Mathematics); molecular beam epitaxy leading to semi-conductor devices (Professor Wang, Electrical Engineering/Applied Physics and Applied Mathematics); metamaterials and infrared optoelectronic devices (Professor Yu, Applied Physics and Applied Mathematics); and inelastic light scattering in low-dimensional electron gases within semiconductors (Professor Pinczuk, Applied Physics and Applied Mathematics/Physics); large-area electronics and thin-film transistors (Professor Im, Henry Krumb School of Mines/Applied Physics and Applied Mathematics); structural analysis and high T<sub>c</sub> superconductors (Professor Chan, Henry Krumb School of Mines/Applied Physics and Applied Mathematics); X-ray microdiffraction and stresses (Professor Noyan, Henry Krumb School of Mines/Applied Physics and Applied Mathematics); electronic and magnetic metal thin films (Professor Barmak, Applied Physics and Applied Mathematics); magnetic properties of thin films (Professor Bailey, Applied Physics and Applied Mathematics); the structure of nanomaterials (Professor Billinge, Applied Physics and Applied Mathematics); and electronic structure calculations of materials (Professor Marianetti, Applied Physics and Applied Mathematics).

### Program of Study

The applicant for the graduate specialty must be admitted to one of the participating programs: applied physics and applied mathematics, or electrical engineering. A strong undergraduate background in physics or chemistry and in mathematics is important.

The doctoral student must meet the formal requirements for the Eng.Sc.D. or Ph.D. degree set by the department in which he or she is registered. However, the bulk of the program for the specialty will be arranged in consultation with a member of the interdepartmental Committee on Materials Science and Engineering/ Solid-State Science and Engineering. At the end of the first year of graduate study, doctoral candidates are required to take a comprehensive written examination concentrating on solid-state science and engineering.

The following are regarded as core courses of the specialty:

**APPH E4100:** Quantum physics of matter

**APPH E4110:** Modern optics

**APPH E4112:** Laser physics

**APPH-MSAE E6081-E6082:** Solid state physics, I and II

**CHEM GU4230:** Statistical thermodynamics

or

**CHAP E4120:** Statistical mechanics

**ELEN E4301:** Intro to semiconductor devices

**ELEN E4944:** Principles of device microfabrication

**ELEN E6331-E6332:** Principles of semiconductor physics

**ELEN E6403:** Classical electromagnetic theory

or

**PHYS GR6092:** Electromagnetic theory, I

**MSAE E4100:** Crystallography

**MSAE E4206:** Electronic and magnetic properties of solids

**MSAE E6240:** Impurities and defects in semiconductor materials

**PHYS GR6018:** Physics of the solid state

**PHYS GR6037:** Quantum mechanics

### COURSES IN MATERIALS SCIENCE AND ENGINEERING

For related courses, see also Applied Physics and Applied Mathematics, Chemical Engineering, Earth and Environmental Engineering, Electrical Engineering, and Mechanical Engineering.

#### MSAE E3010x Introduction to materials science, I

*3 pts. Lect: 3. Professor Billinge.*

Introduction to quantum mechanics: atoms, electron shells, bands, bonding; introduction to group theory: crystal structures, symmetry, crystallography; introduction to materials classes: metals, ceramics, polymers, liquid crystals, nanomaterials; properties of single crystals: optical properties, electrical properties, magnetic properties, thermal properties, mechanical properties.

#### MSAE E3011y Introduction to materials science, II

*3 pts. Lect: 3. Professor Wentzkovich.*

Prerequisite: MSAE E3010. Introduction to polycrystals and disordered materials; noncrystalline and amorphous structures; grain boundary structures, diffusion; phase transformations; phase diagrams, time-temperature-transformation diagrams; properties of polycrystalline and amorphous materials: mechanical properties and failure, the Drude model of electrical properties, thermal properties; corrosion; materials selection for engineering design.

#### MSAE E3012x Laboratory in materials science, I

*3 pts. Lect: 3. Professor Yang.*

Prerequisite: MSAE E3010. Measurement of electrical, thermal, and magnetic properties of single crystals. Single crystal diffraction analysis, polarized light microscopy, and infrared microscopy in Si single crystals, written and oral reports.

#### MSAE E3013y Laboratory in materials science, II

*3 pts. Lect: 3. Professor Yang.*

Pre/Corequisite: MSAE E3011. Metallographic sample preparation, optical microscopy, quantitative metallography, hardness and tensile testing, plastic deformation, annealing, phase diagrams, brittle fracture of glass, temperature and strain-rate dependent deformation of polymers; written and oral reports. This is the second of a two-semester sequence materials laboratory course.

#### MSAE E3111x Thermodynamics, kinetic theory and statistical mechanics

*3 pts. Lect: 3. Professor Herman.*

An introduction to the basic thermodynamics of systems, including concepts of equilibrium, entropy, thermodynamic functions, and phase changes. Basic kinetic theory and statistical mechanics, including diffusion processes, concept of phase space, classical and quantum statistics, and applications thereof.

#### MSAE E3141y Processing of metals and semiconductors

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: MSAE E3011 or equivalent. Synthesis and production of metals and semiconductors with engineered microstructures for desired properties. Includes high-temperature, aqueous, and electrochemical processing; thermal and mechanical processing of metals and alloys; casting and solidification; diffusion, microstructural evolution, and phase transformations; modification and processing of surfaces and interfaces; deposition and removal of thin films. Processing of Si and other materials for elemental and compound semiconductor-based electronic, magnetic, and optical devices.

#### MSAE E3142y Processing of ceramics and polymers

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: MSAE E3011 or equivalent. Established and novel methods involved in



the processing of polymers and ceramics. The fundamental aspects of the structure and properties of polymers and ceramic materials; strategy in the preparatory, synthesis, and processing methods for obtaining them. Topics include polymer synthesis, elastomers, thermoplastics, thermoset materials, design and molding processes. Ceramics: inorganic glasses and composites, materials production and principle inorganic chemistry. Processing methodology, conditioning, drying, forming, sintering, and microstructure development. Relevant aspects of transport phenomena, colloid and sol-gel science, contemporary issues in modern polymer and ceramic processing.

**MSAE E3156x-E3157y Design project**  
2 pts (each semester). Lect.: 3. Professor Billinge.

Prerequisite: Senior standing. Written permission from instructor and approval from adviser. E3156: A design problem in materials science or metallurgical engineering selected jointly by the student and a professor in the department. The project requires research by the student, directed reading, and regular conferences with the professor in charge. E3157: Completion of the research, directed reading, and conferences, culminating in a written report and an oral presentation to the department.

**MSAE E3900x and y Undergraduate research in materials science**

0–4 pts. Members of the faculty.

Prerequisite: Written permission from instructor and

approval from adviser. May be repeated for credit, but no more than 6 points may be counted toward the satisfaction of the B.S. degree requirements. Candidates for the B.S. degree may conduct an investigation in materials science or carry out a special project under the supervision of the staff. Credit is contingent upon the submission of an acceptable thesis or final report.

**MSAE E4090y Nanotechnology**

3 pts. Lect: 3. Instructor to be announced.

Prerequisites: APPH E3100 and MSAE E3011 or their equivalents with instructor's permission.

The science and engineering of creating materials, functional structures and devices on the nanometer scale. Carbon nanotubes, nanocrystals, quantum dots, size dependent properties, self-assembly, nanostructured materials. Devices and applications, nanofabrication. Molecular engineering, bionanotechnology. Imaging and manipulating at the atomic scale. Nanotechnology in society and industry. Offered in alternate years.

**MSAE E4100x Crystallography**

3 pts. Lect: 3. Professor Barmak.

Prerequisites: CHEM UN1403, PHYS UN1403, APMA E2101, or equivalent. A first course on crystallography. Crystal symmetry, Bravais lattices, point groups, space groups. Diffraction and diffracted intensities. Exposition of typical crystal structures in engineering materials, including metals, ceramics, and semiconductors. Crystalline anisotropy.

**MSAE E4101x Structural analysis of materials**  
3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisites or corequisite: MSAE E3011 or instructor's permission. Geometry of crystals, basic diffraction theory. X-ray diffraction. Techniques and theory of electron microscopy. Analysis of crystal structures and orientations. Microstructure characterization and analysis of crystalline defects.

**MSAE E4102x Synthesis and processing of materials**

3 pts. Lect: 3. Professor Chan.

Prerequisite: MSAE E3011 or equivalent or instructor's permission. A course on synthesis and processing of engineering materials. Established and novel methods to produce all types of materials (including metals, semiconductors, ceramics, polymers, and composites). Fundamental and applied topics relevant to optimizing the microstructure of the materials with desired properties. Synthesis and processing of bulk, thin-film, and nano materials for various mechanical and electronic applications.

**MSAE E4132y Fundamentals of polymers and ceramics**

3 pts. Lect: 3. Not offered in 2018–2019.

Prerequisite: MSAE E3011 or instructor's permission. The science and engineering of polymer, ceramic and composite inorganic materials. Fundamental aspects of structure, processing and properties. Polymers: classification, synthesis, elastomers, thermoplastics, thermosets; ceramics: Crystal structure, morphology, classification, oxides, nitrides, carbides, silicates.

Electrical, mechanical, thermal and optical properties. Common and advanced technological applications, electrical/optical devices, catalytic and environmental applications.

**MSAE E4200x Theory of crystalline materials: phonons**

*3 pts. Lect: 3. Professor Marianetti.*

Pre/Corequisite: MSAE E4100 or instructor's permission. Phenomenological theoretical understanding of vibrational behavior of crystalline materials; introducing all key concepts at classical level before quantizing the Hamiltonian. Basic notions of Group Theory introduced and exploited: irreducible representations, Great Orthogonality Theorem, character tables, degeneration, product groups, selection rules, etc. Both translational and point symmetry employed to block diagonalize the Hamiltonian and compute observables related to vibrations/phonons. Topics include band structures, density of states, band gap formation, nonlinear (anharmonic) phenomena, elasticity, thermal conductivity, heat capacity, optical properties, ferroelectricity. Illustrated using both minimal model Hamiltonians in addition to accurate Hamiltonians for real materials (e.g., Graphene).

**MSAE E4201y Materials thermodynamics and phase diagrams**

*3 pts. Lect: 3. Professor Barmak.*

Prerequisite: MSAE E3011 or equivalent or instructor's permission. Review of laws of thermodynamics, thermodynamic variables and relations, free energies and equilibrium in thermodynamic systems, statistical thermodynamics. Unary, binary, and ternary phase diagrams, compounds and intermediate phases, solid solutions and Hume-Rothery rules, relationship between phase diagrams and metastability, defects in crystals. Thermodynamics of surfaces and interfaces, effect of particle size on phase equilibria, adsorption isotherms, grain boundaries, surface energy, electrochemistry.

**MSAE E4202y Kinetics of transformation in materials**

*3 pts. Lect: 3. Professor Barmak.*

Pre/Corequisite: MSAE E4201. Review of thermodynamics, irreversible thermodynamics, diffusion in crystals and noncrystalline materials, phase transformations via nucleation and growth, overall transformation analysis and time-temperature-transformation (TTT) diagrams, precipitation, grain growth, solidification, spinodal and order-disorder transformations, martensitic transformation.

**MSAE E4203y Theory of crystalline materials: electrons**

*3 pts. Lect: 3. Professor Marianetti.*

Prerequisite: MSAE E4200 or instructor's permission. Phenomenological theoretical understanding of electrons in crystalline materials. Both translational and point symmetry employed to block diagonalize the Schrödinger equation and compute observables related to

electrons. Topics include nearly free electrons, tight-binding, electron-optical interactions, transport, magnetism, optical properties, topological insulators, spin-orbit coupling, and superconductivity. Illustrated using both minimal model Hamiltonians in addition to accurate Hamiltonians for real materials.

**MSAE E4206x Electronic and magnetic properties of solids**

*3 pts. Lect: 3. Professor Bailey.*

Prerequisite: PHYS UN1401-3 or equivalent. A survey course on the electronic and magnetic properties of materials, oriented toward materials for solid state devices. Dielectric and magnetic properties, ferroelectrics and ferromagnets. Conductivity and superconductivity. Electronic band theory of solids: classification of metals, insulators, and semiconductors. Materials in devices: examples from semiconductor lasers, cellular telephones, integrated circuits, and magnetic storage devices. Topics from physics are introduced as necessary.

**MSAE E4215y Mechanical behavior of structural materials**

*3 pts. Lect: 3. Professor Bailey.*

Prerequisite: MSAE E3011. Recommended preparation: A course in mechanics of materials. Review of states of stress and strain and their relations in elastic, plastic, and viscous materials. Dislocation and elastic-plastic concepts introduced to explain work hardening, various materials-strengthening mechanisms, ductility, and toughness. Macroscopic and microstructural aspects of brittle and ductile fracture mechanics, creep and fatigue phenomena. Case studies used throughout, including flow and fracture of structural alloys, polymers, hybrid materials, composite materials, ceramics, and electronic materials devices. Materials reliability and fracture prevention emphasized.

**MSAE E4250y Ceramics and composites**

*3 pts. Lect: 3. Professor Chan.*

Prerequisites or corequisites: MSAE E3142 and E3013, or instructor's permission. Will cover some of the fundamental processes of atomic diffusion, sintering and microstructural evolution, defect chemistry, ionic transport, and electrical properties of ceramic materials. Following this, we will examine applications of ceramic materials, specifically, ceramic thick and thin film materials in the areas of sensors and energy conversion/storage devices such as fuel cells, and batteries. The coursework level assumes that the student has already taken basic courses in the thermodynamics of materials, diffusion in materials, and crystal structures of materials.

**MSAE E4260x Electrochemical materials and devices: from structure to performance**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: CHEM UN1403, MSAE E3011, equivalents, or instructor's permission. Overview of electrochemical processes and applications

from perspectives of materials and devices.

Thermodynamics and principles of electrochemistry, methods to characterize electrochemical processes, application of electrochemical materials and devices, including batteries, supercapacitors, fuel cells, electrochemical sensor, focus on link between material structure, composition, and properties with electrochemical performance.

**MSAE E4301x Materials science laboratory**

*3 pts. Professor Yang.*

Prerequisites: Introductory materials course or equivalent and instructor's permission. General experimental techniques in materials science, including X-ray diffraction, scanning electron microscopies, atomic force microscopy, materials synthesis and thermodynamics, characterization of material properties (mechanical, electrochemical, magnetic, electronic). Additional experiments at discretion of instructor.

**MSAE E4990x and y Special topics in materials science and engineering**

*1–3 pts. Members of the faculty.*

Prerequisite: Instructor's permission. May be repeated for credit. Topics and instructors change from year to year. For advanced undergraduate students and graduate students in engineering, physical sciences, and other fields.

**MSAE E4999x or y–S4999 Supervised internship**

*1–3 pts. Members of the faculty.*

Prerequisite: Internship and approval from adviser must be obtained in advance. Only for master's students in the Department of Applied Physics and Applied Mathematics who may need relevant work experience as part of their program of study. Final report required. May not be taken for pass/fail or audited.

**MSAE E6081x Solid state physics, I**

*3 pts. Lect: 3. Professor Pinczuk.*

Prerequisite: APPH E3100 or equivalent. Knowledge of statistical physics on the level of MSAE E3111 or PHYS GU4023 strongly recommended. Crystal structure; reciprocal lattices; classification of solids; lattice dynamics; anharmonic effects in crystals; stress and strain; classical electron models of metals; and periodic, nearly periodic, and more advanced analysis of electron band structure.

**MSAE E6082y Solid state physics, II**

*3 pts. Lect: 3. Instructor to be announced.*

Prerequisite: MSAE E6081 or instructor's permission. Semiclassical and quantum mechanical electron dynamics and conduction; dielectric properties of insulators; semiconductors; defects; magnetism; superconductivity; low-dimensional structures; and soft matter.

**MSAE E6085y Computing the electronic structure of complex materials**

*3 pts. Lect: 3. Professor Wentzcovitch.*

Prerequisite: APPH E3100 or equivalent. Basics of density functional theory (DFT) and its application to complex materials. Computation of electronics

and mechanical properties of materials. Group theory, numerical methods, basis sets, computing, and running open source DFT codes. Problem sets and a small project.

**MSAE E6091y Magnetism and magnetic materials**

3 pts. Lect. 3. Not offered in 2018–2019.

Prerequisite: MSAE E4206, APPH E6081, or equivalent. Types of magnetism. Band theory of ferromagnetism. Magnetic metals, insulators, and semiconductors. Magnetic nanostructures: ultrathin films, superlattices, and particles. Surface magnetism and spectroscopies. High speed magnetization dynamics. Spin electronics. Offered in alternate years.

**MSAE E6100y Transmission electron microscopy**

3 pts. Lect. 3. Professor Barmak.

Prerequisite: permission of the instructor. Theory and practice of transmission electron microscopy (TEM): principles of electron scattering, diffraction, and microscopy; analytical techniques used to determine local chemistry; introduction to sample preparation; laboratory and in-class remote access demonstrations, several hours of hands-on laboratory operation of the microscope; the use of simulation and analysis software; guest lectures on cryomicroscopy for life sciences and high resolution transmission electron microscopy for physical sciences; and, time permitting, a visit to the electron microscopy facility in the Center for Functional Nanomaterials (CFN) at the Brookhaven National Laboratory (BNL).

**MSAE E6120x Grain boundaries and interfaces**

3 pts. Lect. 2. Not offered in 2018–2019.

Prerequisites: the instructor's permission. Suggested background: basic knowledge of materials science, dislocations and point defects. The course gives an overview of the classic approaches in studying grain boundaries. Topics include boundary geometry and structure, boundary interactions with crystal defects, boundaries as short-circuit diffusion paths, applications of boundary concepts to interfaces, and roles of grain boundaries in material properties and in kinetic phenomena in polycrystalline materials.

**MSAE E6221x Introduction to dislocation theory**

3 pts. Lect. 3. Not offered in 2018–2019.

Prerequisite: MSAE E4215 or course in theory of elasticity, or instructor's permission. Point and line imperfections. Theory of dislocations. Relation

between imperfections and structure-sensitive properties.

**MSAE E6225y Techniques in X-ray and neutron diffraction**

3 pts. Lect. 3. Not offered in 2018–2019.

Prerequisite: MSAE E4100. Crystal symmetry, diffraction, reciprocal space and Ewald sphere construction, radiation sources, analytical representation of diffraction peaks, diffraction line broadening, Fourier analysis of peak shape, texture analysis, diffraction analysis of stress and strain, diffraction analysis of order-disorder thermal diffuse scattering, small angle scattering, instrumentation in diffraction experiments, error analysis.

**MSAE E6229x Energy and particle beam processing of materials**

3 pts. Lect. 3. Not offered in 2018–2019.

Prerequisites: MSAE E4202 or instructor's permission. Laser-, electron-, and ion-beam modification of materials to achieve unique microstructures and metastable phases for electronic and structural applications. Fundamentals of energy deposition and heat flow during laser- and electron-beam irradiation. Atomic displacement processes in ion-irradiated materials. Beam-induced microstructural evolution, crystallization, surface alloying, rapid solidification, and metastable phase formation. Review of current industrial applications.

**MSAE E6230y Kinetics of phase transformations**

3 pts. Lect. 3. Not offered in 2018–2019.

Prerequisite: MSAE E4202 or instructor's permission. Principles of nonequilibrium thermodynamics; stochastic equations; nucleation, growth, and coarsening reactions in solids; spinodal decomposition; eutectic and eutectoid transformations.

**MSAE E6251y Thin films and layers**

3 pts. Lect. 3. Professor Chan.

Vacuum basics, deposition methods, nucleation and growth, epitaxy, critical thickness, defects properties, effect of deposition procedure, mechanical properties, adhesion, interconnects, and electromigration.

**MSAE E6273x and y–S6273 Materials science reports**

0 to 6 pts. Members of the faculty.

Prerequisite: Written permission from instructor and approval from adviser. Formal written reports and conferences with the appropriate member of the faculty on a subject of special interest to

the student but not covered in the other course offerings.

**MSAE E8235x and y Selected topics in materials science**

3 pts. Lect. 3. Not offered in 2018–2019.

May be repeated for credit. Selected topics in materials science. Topics and instructors change from year to year. For students in engineering, physical sciences, biological sciences, and related fields.

**MSAE E9000x and y Materials science and engineering colloquium**

0 pts. Professor Yang.

Speakers from industry are invited to speak on the recent impact of materials science and engineering innovations.

**MSAE E9259x-E9260y Research topics in materials science and metallurgical engineering**

1 pt. Lect. 1. Members of the faculty.

Discussion of a group of technical papers related to a topic of current research interest.

**MSAE E9301x-S9301 Doctoral research**

0–15 pts. Members of the faculty.

Prerequisite: Qualifying examination for doctorate. Required of doctoral candidates.

**MSAE E9309x and y–S9309 Proposal of Research for the Doctorate**

0–3 pts. Members of the faculty.

A written report prepared by the prospective doctoral candidate defining the proposed research for the dissertation, and oral defense of the proposal at the time of the qualifying examinations.

**MSAE E9800x and y–S9800 Doctoral research instruction**

3, 6, 9, or 12 pts. Members of the faculty.

A candidate for the Eng.Sc.D. degree must register for 12 points of doctoral research instruction. Registration in MSAE E9800 may not be used to satisfy the minimum residence requirement for the degree.

**MSAE E9900x and y–S9900 Doctoral dissertation**

0 pts. Members of the faculty.

A candidate for the doctorate may be required to register for this course every term after the coursework has been completed and until the dissertation has been accepted.

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MANAGER OF  
 INSTRUCTIONAL  
 LABORATORIES  
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**M**echanical engineering is a diverse subject that derives its breadth from the need to design and manufacture everything from small individual parts/devices (e.g., micro-scale sensors, inkjet printer nozzles) to large systems (e.g., spacecraft and machine tools). The role of a mechanical engineer is to take a product from an idea to the marketplace. In order to accomplish this, a broad range of skills are needed. The particular skills in which the mechanical engineer acquires deeper knowledge are the ability to understand the forces and the thermal environment that a product, its parts, or its subsystems will encounter; design them for functionality, aesthetics, and the ability to withstand the forces and the thermal environment they will be subjected to; determine the best way to manufacture them and ensure they will operate without failure. Perhaps the one skill that is the mechanical engineer's exclusive domain is the ability to analyze and design objects and systems with motion.

Since these skills are required for virtually everything that is made, mechanical engineering is perhaps the broadest and most diverse of engineering disciplines. Hence mechanical engineers play a central role in such industries as automotive (from the car chassis to its every subsystem—engine, transmission, sensors); aerospace (airplanes, aircraft engines, control systems for airplanes and spacecraft); biotechnology (implants,

prosthetic devices, fluidic systems for pharmaceutical industries); computers and electronics (disk drives, printers, cooling systems, semiconductor tools); microelectromechanical systems, or MEMS (sensors, actuators, micro power generation); energy conversion (gas turbines, wind turbines, solar energy, fuel cells); environmental control (HVAC, air-conditioning, refrigeration, compressors); automation (robots, data/image acquisition, recognition, and control); manufacturing (machining, machine tools, prototyping, microfabrication).

To put it simply, mechanical engineering deals with anything that moves. Mechanical engineers learn about materials, solid and fluid mechanics, thermodynamics, heat transfer, control, instrumentation, design, and manufacturing to realize/understand mechanical systems. Specialized mechanical engineering subjects include biomechanics, cartilage tissue engineering, energy conversion, laser-assisted materials processing, combustion, MEMS, microfluidic devices, fracture mechanics, nanomechanics, mechanisms, micropower generation, tribology (friction and wear), and vibrations. The American Society of Mechanical Engineers (ASME) currently lists thirty-six technical divisions, from advanced energy systems and aerospace engineering to solid waste engineering and textile engineering.

The breadth of the mechanical engineering discipline allows students

a variety of career options beyond some of the industries listed above. Regardless of the particular future path they envision for themselves after they graduate, their education would have provided them with the creative thinking that allows them to design an exciting product or system, the analytical tools to achieve their design goals, the ability to meet several sometimes conflicting constraints, and the teamwork needed to design, market, and produce a system. These skills also prove to be valuable in other endeavors and can launch a career in medicine, law, consulting, management, banking, finance, and so on.

For those interested in applied scientific and mathematical aspects of the discipline, graduate study in mechanical engineering can lead to a career of research and teaching.

### Current Research Activities

Current research activities in the Department of Mechanical Engineering are in the areas of controls and robotics, energy and micropower generation, fluid mechanics, heat/mass transfer, mechanics of materials, manufacturing, material processing, MEMS, nanotechnology, and biomechanics.

### Biomechanics and Mechanics

**of Materials.** Some of the current research in biomechanics is concerned with the application of continuum theories of mixtures to problems of electromechanical behavior of soft

biological tissues, contact mechanics, lubrication of diarthrodial joints, and cartilage tissue engineering. (Ateshian)

The Kysar group studies the mechanics and mechanical properties of small-scale structures and materials. Examples of material systems include two-dimensional materials such as graphene, nanoporous metal thin films, metallic and polymeric composites containing nanoscale strengthening agents, single crystal metals, and the ear's round Window Membrane, among several others. The work is experimental, theoretical, and computational in nature. The ultimate goal is to understand and predict the mechanical behavior based on fundamental physics and chemistry through the development of multiple length scale models.

The Kasza group studies the physical principles underlying the mechanics and self-organization of biological materials by combining quantitative approaches from engineering, biology, and physics. The group builds tools to measure and manipulate the behaviors of protein, cells, and tissues in order to uncover the mechanisms by which living multicellular tissues change shape, move, and grow to build functional tissues and organs. For example, the group is currently using the *Drosophila* (fruit fly) embryo as a model system for understanding how mechanical forces shape tissues during embryonic development. These studies combine confocal microscopy, genetics, and biomechanical measurements.

Other areas of biomechanics include characterizing the structure-function behavior of the cervix during the remodeling events of pregnancy and characterizing the mechanical properties of the eye-wall in relation to glaucoma. Research in our lab includes the mechanical testing of biological soft tissues, the biochemical analysis of tissue microstructure, and material modeling based on structure-mechanical property relationships. In collaboration with clinicians, our goal is to understand the etiologies of tissue pathology and disease. (Myers)

**Control, Robotics, Design, and Manufacturing.** Control research emphasizes iterative learning control (ILC) and repetitive control (RC). ILC creates controllers that learn from

previous experience performing a specific command, such as robots on an assembly line, aiming for high-precision mechanical motions. RC learns to cancel repetitive disturbances, such as precision motion through gearing, machining, satellite precision pointing, particle accelerators, etc. Time optimal control of robots is being studied for increased productivity on assembly lines through dynamic motion planning. Research is also being conducted on improved system identification, making mathematical models from input-output data. The results can be the starting point for designing controllers, but they are also studied as a means of assessing damage in civil engineering structures from earthquake data. (Longman)

Robotics research focuses on design of novel rehabilitation machines and training algorithms for functional rehabilitation of neural impaired adults and children. The research also aims to design intelligent machines using nonlinear system theoretic principles, computational algorithms for planning, and optimization.

Robotic Systems Engineering (ROSE) Lab develops technology capable of solving difficult design problems, such as cable-actuated systems, under-actuated systems, and others. Robotics and Rehabilitation (ROAR) Lab focuses on developing new and innovative technologies to improve the quality of care and patient outcomes. The lab designs novel exoskeletons for upper and lower limbs training of stroke patients, and mobile platforms to improve socialization in physically impaired infants (Agrawal).

The Robotic Manipulation and Mobility (ROAM) Lab focuses on versatile manipulation and mobility in robotics, aiming for robotic applications pervasive in everyday life. Research areas include manipulation and grasping, interactive or Human-in-the-Loop robotics, dynamic simulators and virtual environments, machine perception and modeling, and many more. We are interested in application domains such as versatile automation in manufacturing and logistics, assistive and rehabilitation robotics in health care, space robotics, and mobile manipulation in unstructured environments. (Ciocarlie)

At the Creative Machines Lab

(CreativeMachines.org) we are interested in robots that create and robots that are themselves creative. We develop novel autonomous systems that can design and make other machines—automatically. We are working on a self-replicating robots, self-aware robots, robots that improve themselves over time, and robots that compete and cooperate with other robots. We build robots that paint art, cook food, build bridges and fabricate other robots. Our work is inspired from biology, as we seek new biological concepts for engineering and new engineering insights into biology. (Lipson)

In the area of advanced manufacturing processes and systems, current research concentrates on laser materials processing. Investigations are being carried out in laser micromachining; laser forming of sheet metal; microscale laser shock-peening, material processing using improved laser-beam quality. Both numerical and experimental work is conducted using state-of-the-art equipment, instruments, and computing facilities. Close ties with industry have been established for collaborative efforts. (Yao)

**Energy, Fluid Mechanics, and Heat/Mass Transfer.** In the area of energy, one effort addresses the design of flow/mass transport systems for the extraction of carbon dioxide from air. Another effort addresses the development of distributed sensors for use in microsites and performance evaluation of energy and environmental systems. The design and testing of components and systems for micropower generation is part of the thermofluids effort as well as part of the MEMS effort. (Modi)

In the area of fluid mechanics, study of low-Reynolds-number chaotic flows is being conducted both experimentally and numerically, and the interactions with molecular diffusion and inertia are presently being investigated. Other areas of investigation include the fluid mechanics of inkjet printing, drop on demand, the suppression of satellite droplets, shock wave propagation, and remediation in high-frequency printing systems. (Modi)

In the area of nanoscale thermal transport, our research efforts center on

the enhancement of thermal radiation transport across interfaces separated by a nanoscale gap. The scaling behavior of nanoscale radiation transport is measured using a novel heat transfer measurement technique based on the deflection of a bimaterial atomic force microscope cantilever. Numerical simulations are also performed to confirm these measurements. The measurements are also used to infer extremely small variations of van der Waals forces with temperature. This enhancement of radiative transfer will ultimately be used to improve the power density of thermophotovoltaic energy conversion devices. (Narayanaswamy)

Also in the area of energy, research is being performed to improve the thermochemical models used in accelerating development of cleaner, more fuel-efficient engines through computational design. In particular, data-driven approaches to creating high-accuracy, uncertainty-quantified thermochemical models are being developed that utilize both theoretical and experimental data. Special emphasis is placed on the generation and analysis of data across the full range of relevant scales—from the small-scale electronic behavior that governs molecular reactivity to the large-scale turbulent, reactive phenomena that govern engine performance. (Burke)

**MEMS and Nanotechnology.** In these areas, research activities focus on power generation systems, nanostructures for photonics, fuel cells and photovoltaics, and microfabricated adaptive cooling skin and sensors for flow, shear, and wind speed. Basic research in fluid dynamics and heat/mass transfer phenomena at small scales also support these activities. (Hone, Kysar, Lin, Modi, Narayanaswamy)

We study the dynamics of microcantilevers and atomic force microscope cantilevers to use them as microscale thermal sensors based on the resonance frequency shifts of vibration modes of the cantilever. Bimaterial microcantilever-based sensors are used to determine the thermophysical properties of thin films. (Narayanaswamy)

Research in the area of nanotechnology focuses on nanomaterials such as

nanotubes and nanowires and their applications, especially in nanoelectromechanical systems (NEMS). A laboratory is available for the synthesis of graphene and other two-dimensional materials using chemical vapor deposition (CVD) techniques and to build devices using electron-beam lithography and various etching techniques. This effort will seek to optimize the fabrication, readout, and sensitivity of these devices for numerous applications, such as sensitive detection of mass, charge, and magnetic resonance. (Hone, Kysar, Modi)

Research in BioMEMS aims to design and create MEMS and micro/nanofluidic systems to control the motion and measure the dynamic behavior of biomolecules in solution. Current efforts involve modeling and understanding the physics of micro/nanofluidic devices and systems, exploiting polymer structures to enable micro/nanofluidic manipulation, and integrating MEMS sensors with microfluidics for measuring physical properties of biomolecules. (Lin)

The Schuck group aims to characterize, understand, and control nanoscale light-matter interactions, with a primary focus on sensing, engineering, and exploiting novel optoelectronic phenomena emerging from nanostructures and interfaces. This offers unprecedented opportunities for developing innovative devices that rely on the dynamic manipulation of single photons and charge carriers. We are continuously developing new multimodal and multidimensional spectroscopic methods that provide unique access to optical, electrical, and structural properties at relevant length scales in real environments encountered in energy and biological applications. (Schuck)

**Biological Engineering and Biotechnology.** Active areas of research in the musculoskeletal biomechanics laboratory include theoretical and experimental analysis of articular cartilage mechanics; theoretical and experimental analysis of cartilage lubrication, cartilage tissue engineering, and bioreactor design; growth and remodeling of biological tissues; cell mechanics; and mixture theory for biological tissues with experiments and computational analysis (Ateshian).

The Hone group is involved in a number of projects that employ the tools of micro- and nanofabrication toward the study of biological systems. With collaborators in biology and applied physics, the group has developed techniques to fabricate metal patterns on the molecular scale (below 10 nanometers) and attach biomolecules to create biofunctionalized nanoarrays. The group is currently using these arrays to study molecular recognition, cell spreading, and protein crystallization. The project seeks to understand and modify at the nanoscale force- and geometry-sensing pathways in health and disease. The Hone group fabricates many of the tools used by the researchers to measure and apply force on a cellular level. (Hone)

Microelectromechanical systems (MEMS) are being exploited to enable and facilitate the characterization and manipulation of biomolecules. MEMS technology allows biomolecules to be studied in well-controlled micro/nanoenvironments of miniaturized, integrated devices, and may enable novel biomedical investigations not attainable by conventional techniques. The research interests center on the development of MEMS devices and systems for label-free manipulation and interrogation of biomolecules. Current research efforts primarily involve microfluidic devices that exploit specific and reversible, stimulus-dependent binding between biomolecules and receptor molecules to enable selective purification, concentration, and label-free detection of nucleic acid, protein, and small molecule analytes; miniaturized instruments for label-free characterization of thermodynamic and other physical properties of biomolecules; and subcutaneously implantable MEMS affinity biosensors for continuous monitoring of glucose and other metabolites. (Kysar, Lin)

The Kysar group has an NIH-funded project to design and develop a method to deliver therapeutics into the inner ear through the Round Window Membrane (RWM) that serves as a portal for acoustic energy between the middle ear and inner ear. This involves the design and fabrication of arrays of microneedles, the measurements of diffusive flux of chemical species across

a perforated RWM, and the design, delivery, and testing of surgical tools, all in close collaboration with Anil K. Lalwani, M.D., at Columbia University Medical Center. (Kysar)

The Schuck group is involved in engineering novel near-infrared (NIR) upconverting nanoparticles (UCNPs) and UCNP-based microdevices for large-scale sensing applications, including deployment in projects aimed at deep-tissue imaging and the control of neural function deep within brain tissue. UCNPs have the potential to overcome nearly all limitations of current optical probes and sensors, which have run into fundamental chemical and photophysical incompatibilities with living systems. (Schuck)

Mass radiological triage is critical after a large-scale radiological event because of the need to identify those individuals who will benefit from medical intervention as soon as possible. The goal of the ongoing NIH-funded research project is to design a prototype of a fully automated, ultra high throughput biodosimetry. This prototype is supposed to accommodate multiple assay preparation protocols that allow the determination of the levels of radiation exposure that a patient received. The input to this fully autonomous system is a large number of capillaries filled with blood of patients collected using finger sticks. These capillaries are processed by the system to distill the micronucleus assay in lymphocytes, with all the assays being carried out in situ in multiwell plates. The research effort on this project involves the automation system design and integration including hierarchical control algorithms, design and control of custom built robotic devices, and automated image acquisition and processing for sample preparation and analysis. (Yao)

A technology that couples the power of multidimensional microscopy (three spatial dimensions, time, and multiple wavelengths) with that of DNA array technology is investigated in an NIH-funded project. Specifically, a system is developed in which individual cells selected on the basis of optically detectable multiple features at critical time points in dynamic processes can be rapidly

and robotically micromanipulated into reaction chambers to permit amplified DNA synthesis and subsequent array analysis. Customized image processing and pattern recognition techniques are developed, including Fisher's linear discriminant preprocessing with neural net, a support vector machine with improved training, multiclass cell detection with error correcting output coding, and kernel principal component analysis. (Yao)

### Facilities for Teaching and Research

The undergraduate laboratories, occupying an area of approximately 6,000 square feet of floor space, are the site of experiments ranging in complexity from basic instrumentation and fundamental exercises to advanced experiments in such diverse areas as automatic controls, heat transfer, fluid mechanics, stress analysis, vibrations, microcomputer-based data acquisition, and control of mechanical systems.

Equipment includes microcomputers and microprocessors, analog-to-digital and digital-to-analog converters, lasers and optics for holography and interferometry, a laser-Doppler velocimetry system, a Schlieren system, dynamic strain indicators, a servohydraulic and servoelectric material testing machines, a photoelastic and servoelectric testing machine, Digital Image Correlation (DIC) capabilities, a dynamometer, subsonic and supersonic wind tunnels, a cryogenic apparatus, computer numerically controlled vertical machine centers (VMC), a coordinate measurement machine (CMM), and three-dimensional printers as well as a laser cutter. A CNC wire electrical discharge machine (EDM) is also available for the use of specialized projects for students with prior arrangement. The undergraduate laboratory also houses experimental setups for the understanding and performance evaluation of a complete small steam power generation system, a heat exchanger, a solar cell system, a fuel cell system, and a compressor. Part of the undergraduate laboratory is a staffed machine shop with machining tools such as standard vertical milling machines, engine and bench lathes, programmable surface grinder, band saw, drill press, tool grinders, and a power hacksaw. The

shop also has a tig welder.

A mechatronics laboratory affords the opportunity for hands-on experience with microcomputer-embedded control of electromechanical systems. Facilities for the construction and testing of analog and digital electronic circuits aid the students in learning the basic components of the microcomputer architecture. The laboratory is divided into work centers for two-person student laboratory teams. Each work center is equipped with several power supplies (for low-power electronics and higher power control), a function generator, a multimeter, a protoboard for building circuits, a microcomputer circuit board (which includes the microcomputer and peripheral components), a microcomputer programmer, and a personal computer that contains a data acquisition board. The data acquisition system serves as an oscilloscope, additional function generator, and spectrum analyzer for the student team. The computer also contains a complete microcomputer software development system, including editor, assembler, simulator, debugger, and C compiler. The laboratory is also equipped with a portable oscilloscope, an EPROM eraser (to erase microcomputer programs from the erasable chips), a logic probe, and an analog filter bank that the student teams share, as well as a stock of analog and digital electronic components.

The department maintains a modern computer-aided design laboratory equipped with thirty computer work stations with state-of-the-art design software. The research facilities are located within individual or group research laboratories in the department, and these facilities are being continually upgraded. To view the current research capabilities please visit the various laboratories within the research section of the department website. The students and staff of the department can, by prior arrangement, use much of the equipment in these research facilities. Through their participation in the NSF-MRSEC center, the faculty also have access to shared instrumentation and the clean room located in the Schapiro Center for Engineering and Physical Science Research. Columbia University's extensive library system has superb

## MECHANICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS STANDARD TRACK

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>6</sup> and ORCA E2500 (3) <sup>6</sup> either semester	
				APMA E2101 (3) <sup>1</sup> or Linear Algebra (3) <sup>2</sup> and ODE (3) <sup>3</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) ————— UN1402 (3) —————> UN1403 (3) <sup>4</sup> UN1601 (3.5) ————— UN1602 (3.5) —————> UN2601 (3.5) <sup>4</sup> UN2801 (4.5) —————> UN2802 (4.5)			
<b>CHEMISTRY</b>	one semester lecture (3–4) UN1403 or UN1404 or UN2045 or UN1604	Lab UN1500 (3) <sup>5</sup>		
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL COURSES</b>			HUMA CC1001, COCI CC1101, or Global Core (3–4)  HUMA UN1121 or UN1123 (3)	HUMA CC1002, COCI CC1102, or Global Core (3–4)  ECON UN1105 (4) and UN1155 recitation (0)
<b>REQUIRED TECHNICAL COURSES</b>	(3) Student's choice of technical electives			ENME E3105 (4)
<b>COMPUTER SCIENCE</b>	Computer language: COMS W1004 or COMS W1005 (3) or ENGI E1006 (3) (in semester I or III)			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> Students who take APMA E2101 must complete an additional 3 point course in math or basic science with the following course designators: MATH, PHYS, CHEM, BIOL, STAT, APMA, or EEEB. One technical elective (3000-level or higher) may be substituted for this purpose.

<sup>2</sup> Linear algebra may be fulfilled by either APMA E3101 or MATH UN2010.

<sup>3</sup> Ordinary differential equations may be fulfilled by either MATH UN2030 or MATH UN3027.

<sup>4</sup> May substitute EEEB UN2001, BIOL UN2005, or higher.

<sup>5</sup> May substitute Physics Lab UN1494 (3) or UN3081 (2).

<sup>6</sup> Effective Class of 2021 and beyond

scientific and technical collections.

Email and computing services are maintained by Columbia University Information Technology (CUIT) ([columbia.edu/cuit](http://columbia.edu/cuit)).

### UNDERGRADUATE PROGRAM

The objectives of the undergraduate program in mechanical engineering are as follows:

The Mechanical Engineering Undergraduate Program at Columbia University has the following Program Educational Objectives (PEOs) for its graduates:

1. Practice mechanical engineering in a broad range of industries.
2. Pursue advanced education, research and development, and other creative

and innovative efforts in science, engineering, and technology, as well as other professional careers.

3. Conduct themselves in a responsible, professional, and ethical manner.
4. Participate in activities that support humanity and economic development nationally and globally, developing as leaders in their fields of expertise.

## MECHANICAL ENGINEERING: THIRD AND FOURTH YEARS STANDARD TRACK

	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>	MECE E3018 (3) Lab I	MECE E3028 (3) Lab II	MECE E3409 (3) Machine design  MECE E3420 (1) Engineering design: concept  EEME E3601 (3) Classical control sys.	MECE E3430 (3) Engineering design: creation
	MECE E3100 (3) Fluids I	ENME E3106 (3) Dynamics and Vibrations		
	MECE E3301 (3) Thermodynamics	MECE E3311 (3) Heat transfer		
	MECE E3408 (3) Graphics and design	MECE E3610 (3) Materials and processes in manufacturing		
	ENME E3113 (3) Mechanics of solids	ELEN E1201 (3.5) <sup>1</sup> Intro. elec. eng.		
	MECE E1008 (1) Intro to machining (either semester)			
<b>TECHNICAL ELECTIVES<sup>2</sup></b>			6 points	6 points
<b>NONTECH ELECTIVES<sup>3</sup></b>	Students must complete the 27-point requirement. <sup>4</sup>			
<b>TOTAL POINTS</b>	15–16	16.5–17.5	16	15

<sup>1</sup> Strongly recommended to be taken in Semester III or IV.

<sup>2</sup> If APMA E2101 is taken instead of Linear algebra and ODE, students must complete an additional 3-point course in math or basic science with the following course designators: MATH, PHYS, CHEM, BIOL, STAT, APMA, or EEEB. One technical elective (3000-level or higher) may be substituted for this purpose.

<sup>3</sup> Not required for Combined Plan students.

<sup>4</sup> Administered by the advising dean.

Highly qualified students are permitted to pursue an honors course consisting of independent study under the guidance of a member of the faculty.

Upon graduation the student may wish to enter employment in industry or government, or continue with graduate study. Alternatively, training in mechanical engineering may be viewed as a basis for a career in business, patent law, medicine, or management. Thus, the department's undergraduate program provides a sound foundation for a variety of professional endeavors.

The program in mechanical engineering leading to the B.S. degree is accredited by the Engineering Accreditation Commission of ABET.

Undergraduates who wish to declare mechanical engineering as their major should do so prior to the start of their junior year. Students who declare in their first year should follow the Early Decision

Track. Students who declare in their second year should follow the Standard Track. Students who wish to declare during or after the fall semester of their junior year must first obtain approval from the Mechanical Engineering Department.

Of the 21 points of elective content in the third and fourth years, at least 12 points of technical elective courses, including at least 6 points from the Department of Mechanical Engineering, must be taken. A technical elective can be any engineering course offered in the SEAS bulletin that is 3000 level or above. Those prior remaining points of electives are intended primarily as an opportunity to complete the four-year, 27-point nontechnical requirement. Consistent with professional accreditation standards, courses in engineering science and courses in design must have a combined credit of 48 points. Students should see their advisers for details.

Undergraduate students who intend to pursue graduate studies in engineering are strongly encouraged to take the combination of a stand-alone course in linear algebra (either APMA E3101 or MATH UN2010) and a stand-alone course in ordinary differential equations (either MATH UN2030 or UN3027), instead of the combined topics course APMA E2101. In addition, such students are encouraged to take a course in partial differential equations (APMA E3102 or E4200) as well as a course in numerical methods (APAM E3105 or APMA E4300) as technical electives. Ideally, planning for these courses should start at the beginning of the sophomore year.

### Fundamentals of Engineering (FE) Exam

The FE exam is a state licensing exam and the first step toward becoming

**MECHANICAL ENGINEERING PROGRAM: FIRST AND SECOND YEARS  
EARLY DECISION TRACK**

	SEMESTER I	SEMESTER II	SEMESTER III	SEMESTER IV
<b>MATHEMATICS</b>	MATH UN1101 (3)	MATH UN1102 (3)	APMA E2000 (4) <sup>6</sup> and ORCA E2500 (3) <sup>6</sup> either semester	
				APMA E2101 (3) <sup>1</sup> or Linear Algebra (3) <sup>2</sup> and ODE (3) <sup>3</sup>
<b>PHYSICS</b> (three tracks, choose one)	UN1401 (3) ————— UN1402 (3) —————> UN1403 (3) <sup>4</sup> UN1601 (3.5) ————— UN1602 (3.5) —————> UN2601 (3.5) <sup>4</sup> UN2801 (4.5) —————> UN2802 (4.5)			
<b>CHEMISTRY</b>	one semester lecture (3–4) UN1403 or UN1404 or UN2045 or UN1604	Lab UN1500 (3) <sup>5</sup>		
<b>UNIVERSITY WRITING</b>	CC1010 (3) either semester			
<b>REQUIRED NONTECHNICAL COURSES</b>			HUMA CC1001, COCI CC1101, or Global Core (3–4)	HUMA CC1002, COCI CC1102, or Global Core (3–4)
<b>REQUIRED TECHNICAL COURSES</b>	(3) Student's choice of technical electives	ENME E3105 (4) Mechanics	ENME E3113 (3) Mechanics of solids	ELEN E1201 (3.5) Intro. to elec. eng.  MECE E3408 (3) Graphics and design
<b>COMPUTER SCIENCE</b>	Computer language: COMS W1004 or COMS W1005 (3) or ENGI E1006 (3) (in semester I and III)			
<b>PHYSICAL EDUCATION</b>	UN1001 (1)	UN1002 (1)		
<b>THE ART OF ENGINEERING</b>	ENGI E1102 (4) either semester			

<sup>1</sup> Students who take APMA E2101 must complete an additional 3 point course in math or basic science with the following course designators: MATH, PHYS, CHEM, BIOL, STAT, APMA, or EEEB. One technical elective (3000-level or higher) may be substituted for this purpose.

<sup>2</sup> Linear algebra may be fulfilled by either APMA E3101 or MATH UN2010.

<sup>3</sup> Ordinary differential equations may be fulfilled by either MATH UN2030 or MATH UN3027.

<sup>4</sup> May substitute EEEB UN2001, BIOL UN2005, or higher.

<sup>5</sup> May substitute Physics Lab UN1494 (3) or UN3081 (2).

<sup>6</sup> Effective Class of 2021 and beyond.

a Professional Engineer (P.E.). P.E. licensure is important for engineers to obtain—it shows a demonstrated commitment to professionalism and an established record of abilities that will help a job candidate stand out in the field. Ideally, the FE exam should be taken in the senior year while the technical material learned while pursuing the undergraduate degree is still fresh in

the student's mind. In addition to the FE exam, achieving P.E. licensure requires some years of experience and a second examination, which tests knowledge gained in engineering practice. For more information, please see <http://ncees.org/exams/fe-exam/>.

The Mechanical Engineering Department strongly encourages all seniors to take this exam and offers a

review course covering material relevant to the exam, including a practice exam to simulate the testing experience. The FE exam is given in the fall and spring of each year. The review course is offered in the spring semester, concluding before the spring exam.

## MECHANICAL ENGINEERING: THIRD AND FOURTH YEARS EARLY DECISION TRACK

	SEMESTER V	SEMESTER VI	SEMESTER VII	SEMESTER VIII
<b>REQUIRED COURSES</b>	MECE E3018 (3) Lab I  MECE E3100 (3) Fluids I  MECE E3301 (3) Thermodynamics	MECE E3028 (3) Lab II  MECE E3311 (3) Heat transfer  MECE E3610 (3) Materials and processes in manufacturing	MECE E3038 (3) Lab III  MECE E3409 (3) Machine design  MECE E3420 (1) Engineering design: concept  EEME E3601 (3) Classical control sys	MECE E3430 (3) Engineering design: creation
	MECE E1008 (1) Intro to machining (either semester)			
<b>REQUIRED NONTECHNICAL COURSES</b>	HUMA UN1121 or UN1123 (3)	ECON UN1105 (4) and UN1155 recitation (0)		
<b>TECHNICAL ELECTIVES<sup>1</sup></b>		3 points	3 points	6 points
<b>NONTECH ELECTIVES</b>	Students must complete the 27-point requirement. <sup>3</sup>			
<b>TOTAL POINTS<sup>2</sup></b>	12	16	16	15

<sup>1</sup> If APMA E2101 is taken instead of Linear Algebra and ODE, students must complete an additional 3-point course in math or basic science with the following course designators: MATH, PHYS, CHEM, BIOL, STAT, APMA, or EEEB. One technical elective (3000-level or higher) may be substituted for this purpose.

<sup>2</sup> Students must complete a minimum of 128 points to graduate.

<sup>3</sup> Administered by the advising dean.

### Integrated B.S./M.S. Program

The Integrated B.S./M.S. degree program is open to a qualified group of Columbia juniors and makes possible the earning of both the B.S. and M.S. degree in an integrated fashion. Benefits of this program include optimal matching of graduate courses with corresponding undergraduate prerequisites, greater ability to plan ahead for most advantageous course planning, opportunities to do research for credit during the summer after senior year, and up to 6 points of 4000-level technical electives from the B.S. requirement may count toward the fulfillment of the point requirement of the M.S. degree. Additional benefits include simplified application process, no GRE is required, and no reference letters are required. To qualify for this program, students must have a cumulative GPA of at least 3.5 and strong recommendations from within the Department. Students should apply for the program by April 30 in their junior year. For more

information on requirements and access to an application form, please visit [me.columbia.edu/integrated-bsms-program](http://me.columbia.edu/integrated-bsms-program).

### GRADUATE PROGRAMS

The Department of Mechanical Engineering offers two doctoral degrees: Doctor of Philosophy (Ph.D.) and Doctor of Engineering Science (Eng.Sc.D.), and the Master of Science degree. The Ph.D. degree is conferred by the Graduate School of Arts and Science, and the Eng.Sc.D. degree is conferred by the Fu Foundation School of Engineering and Applied Science.

M.S. students must complete the professional development and leadership course, ENGI E4000, as a graduation requirement. Ph.D. candidates are strongly encouraged to complete the course.

### Master of Science Degree Program

The program leading to the Master of Science degree in mechanical

engineering requires completion of a minimum of 30 points of approved coursework consisting of no fewer than ten courses. A thesis based on either experimental, computational, or analytical research is optional and may be counted in lieu of up to 6 points of coursework. In general, attainment of the degree requires one academic year of full-time study, although it may also be undertaken on a part-time basis over a correspondingly longer period. A minimum grade-point average of 2.5 is required for graduation.

The M.S. degree in mechanical engineering requires a student to take a sequence of courses that shows a clearly discernible specialization. In consultation with his/her adviser an M.S. student can develop a focus specifically tailored to his/her interests and objectives, and we refer to this as the standard track. Alternatively, M.S. students can pick from a set of predefined elective specializations.

Typical choices in the standard track include such subjects as mechanics of

solids and fluids, thermodynamics, heat transfer, manufacturing engineering, robotics, kinematics, dynamics and vibrations, controls, and power generation. Nevertheless, the following guidelines must be adhered to:

1. All courses must be at the graduate level, i.e., numbered 4000 or higher, with at least two 6000-level courses, chosen in consultation with an adviser. At least one of the 6000-level courses must be in Mechanical Engineering.
2. Every program must contain at least one course in mathematics (APMA, MATH, STAT course designations) covering material beyond what the student has taken previously. It is recommended to be taken early in the sequence, in order to serve as a basis for the technical coursework.
3. Out-of-department study is encouraged, but at least five courses must be in mechanical engineering.

Rather than selecting the standard option, students can select an elective specialization in either energy systems, micro/nanoscale engineering, or robotics and control. The requirements for a specialization are identical to those of the standard track, with one exception: students must take at least 15 points from a list determined by an adviser in consultation with an advisory committee. The currently available elective specializations are listed below.

#### [M.S. in Mechanical Engineering with Specialization in Energy Systems](#)

Advisers: Professors Vijay Modi and Arvind Narayanaswamy

The specialization in energy systems provides the M.S. candidate with a global understanding of current energy challenges. Advanced thermofluidic knowledge is provided to design and optimize energy systems, with a strong emphasis on renewable energies. Courses related to energy and environmental policy, two strong areas of Columbia as a global university, can be integrated into the course sequence. This specialization is a suitable preparation for careers in energy production and energy consultation.

Requirements: 30 points of graduate level coursework, i.e., courses numbered 4000-level or higher, at least two of which must be a 6000-level, chosen in consultation with an adviser (*MECE E6100 Advanced mechanics of fluids* and *MECE E6313 Advanced heat transfer* are strongly recommended). Furthermore, students must take one course in statistics (*STAT* designations) and at least five courses from the following list\*:

**MECE E4210:** Energy infrastructure planning  
**MECE E4211:** Energy: sources and conversion  
**MECE E4302:** Advanced thermodynamics  
**MECE E4304:** Turbomachinery  
**MECE E4305:** Mechanics and thermodynamics propulsion  
**MECE E4312:** Solar thermal engineering  
**MECE E4314:** Energy dynamics of green buildings  
**MECH E4320:** Intro to combustion  
**MECE E4330:** Thermofluid systems design  
**MECE E6100:** Advanced mechanics of fluids  
**MECE E6102:** Computational heat transfer and fluid flow  
**MECE E6103:** Compressible flow  
**MECE E6104:** Case studies in computational fluid dynamics  
**MECE E6313:** Advanced heat transfer  
**EAAE E6126:** Carbon sequestration

\*One 3-point research course can be counted toward the specialization if the research is approved by the student's adviser and is energy related.

#### [M.S. in Mechanical Engineering with Specialization in Micro/Nanoscale Engineering](#)

Advisers: Professors James Hone and Jeffrey Kysar

The elective specialization in micro/nanoscale engineering provides the M.S. candidate with an understanding of engineering challenges and opportunities in micro- and nanoscale systems. The curriculum addresses fundamental issues of mechanics, fluid mechanics, optics, heat transfer, and manufacturing at small-size scales. Application areas include MEMS, bio-MEMS, microfluidics, thermal systems, and carbon nanostructures.

Requirements: While satisfying the general mechanical engineering requirements, take at least five courses from:

**MECE E4212:** Microelectromechanical systems  
**MECE E4213:** BioMEMS  
**MECE E6105:** Transport phenomena in the presence of interfaces  
**MECE E6700:** Carbon nanotubes  
**MECE E6710:** Nanofabrication laboratory  
**MECE E6720:** Nano/microscale thermal transport processes  
**MECE E8990:** Small scale mechanical behavior  
**ELEN E4503:** Sensors, actuators, and electromechanical systems  
**ELEN E6945:** Device nanofabrication  
**BMEM E4590:** BioMEMS: cellular and molecular applications  
**MSAE E4090:** Nanotechnology

#### [M.S. in Mechanical Engineering with Specialization in Robotics and Control](#)

Advisers: Professors Sunil Agrawal, Matei Ciocarlie, Hod Lipson, and Richard Longman

The field of robotics is seeing unprecedented growth, in areas as diverse as manufacturing, logistics, transportation, health care, space exploration, and more. This program prepares students for a career in robotics and its many applications in society. Students perform in-depth study of topics such as robotic manipulation, navigation, perception, human interaction, medical robotics, assistance and rehabilitation. This specialization is a suitable preparation for joining established companies, information-age dominant players investing heavily in this field, or the new wave of robotics start-ups aiming to provide disruptive innovations. Many of the acquired skills can be applied in other fields as diverse as automation, manufacturing, computer graphics or machine vision. This program can also be a foundation for a research career in robotics and related areas, in both academia and industry.

Candidates for the M.S. with specialization in Robotics and Control should simultaneously satisfy these two sets of requirements:

Take at least five courses from the list below during their M.S. (courses taken during undergraduate studies do not count):

#### **Courses in the Mechanical Engineering Department**

**MBEM E4439:** Modeling & id of dynamic system  
**MECE E4058:** Mechanics and embedded microcomputer control  
**MECE E4510:** Geometrical modeling

**MECE E4601:** Digital control systems  
**MECE E4602:** Intro to robotics  
**MECE E4603:** Applied robotics: algorithm & software  
**MECE E4606:** Digital manufacturing  
**MECE E6400:** Advanced machine dynamics  
**MECE E6424:** Vibrations in machine, I  
**MECE E6601:** Intro to control theory  
**MECE E6602:** Modern control theory  
**MECE E6610:** Optimal control theory  
**MECE E6614:** Advanced topics in robotics and mechanism synthesis  
**MECE E6615:** Robotic manipulation  
**MECE E6620:** Applied signal recognition  
**Courses in the Other Departments in the School of Engineering and Applied Science**  
**ELEN E4501:** Sensors, actuators and electromechanical systems  
**EEME E4601:** Digital control systems\*\*  
**BMME E4702:** Advanced musculoskeletal biomechanics  
**COMS W4731:** Computer vision\*  
**COMS W4733:** Computational aspects of robotics\*  
**ELEN E4810:** Digital signal processing  
**EEME E6601:** Introduction to control theory\*\*  
**EEME E6602:** Modern control theory\*\*  
**EEME E6610:** Optimal control theory\*\*  
**COMS E6733:** 3D photography\*

\*Registration restrictions may apply.  
 \*\*Count as MECE.

Examples of suitable APMA courses are: APMA E4001y Principles of applied mathematics; APMA E4300y, Introduction to numerical methods; APMA E4301x Numerical methods for partial differential equations; and APMA E4204x Functions for complex variables.

### Express M.S. Application

The Express M.S. Application is offered to current seniors, including 3-2 students, who are enrolled in the BS program. In the Express M.S. Application, a master's degree can be earned seamlessly. Graduate classes are available for seniors to apply toward their M.S. degree and the advanced courses that will be taken have been designed to have the exact prerequisites completed as an undergraduate. Other advantages include the opportunity for better course planning and creating a streamlined set of courses more possible. Additional benefits include simplified application process, no GRE is required and no reference letters are required. To qualify for this program, your cumulative GPA should be at least 3.5. For more information on requirements and access to an application, please visit [me.columbia.edu/ms-express-application-1](http://me.columbia.edu/ms-express-application-1).

### Doctoral Degree Program

All applications to the doctoral program in mechanical engineering are administered by The Fu Foundation School of Engineering and Applied Science. Students who matriculate into the doctoral program without a master's degree earn a Master of Science degree while pursuing their doctoral degree.

Doctoral candidates are expected to attain a level of mastery in an area of mechanical engineering and must therefore choose a field and take the most advanced courses offered in that field. Candidates are assigned a faculty adviser whose task is to help choose a program of courses, provide general advice on academic matters, and monitor academic performance. Candidates also choose a faculty member in the pertinent area of specialization to serve as their research adviser. This adviser helps select a research problem and supervises the research, writing, and defense of the dissertation.

#### Program Requirements

- 30 credits beyond master's degree
- GPA of 3.2 or better in graduate courses
- 4 semesters of graduate seminar MECE E9500
- Completion of all milestones

See [me.columbia.edu/doctoral-program-0](http://me.columbia.edu/doctoral-program-0) for more information.

### COURSES IN MECHANICAL ENGINEERING

#### MECE E1001x Mechanical engineering: micromachines to jumbo jets

*3 pts. Lect: 3. Not offered in 2018–2019.*  
 Corequisite: MATH UN101 Calculus I. This introductory course explores the role of Mechanical Engineering in developing many of the fundamental technological advances on which today's society depends. Students will be exposed to several mature and emerging technologies through a series of case studies. Topics include airplanes, automobiles, robots, modern manufacturing methods as well as the emerging fields of microelectromechanical machines (MEMS) and nanotechnology. The physical concepts that govern the operation of these technologies will be developed from basic principles and then applied in simple design problems. Students will also be exposed to state-of-the-art innovations in each case study.

**MECE E1008x or y Introduction to machining**  
*1 pt. Professor Vukelic.*

Introduction to the manual machine operation, CNC fabrication and usage of basic hand tools, band/hack saws, drill presses, grinders and sanders.

#### MECE E1304x or y Naval ship systems, I

*3 pts. Lect: 3. Instructor to be announced.*  
 Students are strongly advised to consult with the ME Department prior to registering for this course. A study of ship characteristics and types including ship design, hydrodynamic forces, stability, compartmentation, propulsion, electrical and auxiliary systems, interior communications, ship control, and damage control; theory and design of steam, gas turbine, and nuclear propulsion; shipboard safety and firefighting. This course is part of the Naval ROTC program at Columbia but will be taught at SUNY Maritime. Enrollment may be limited; priority is given to students participating in Naval ROTC. Will not count as a technical elective. Students should see a faculty adviser as well as Columbia NROTC staff ([nrotc@columbia.edu](mailto:nrotc@columbia.edu)) for more information.

#### MECE E3018x Mechanical engineering laboratory, I

*3 pts. Lect: 3. Professor Kysar.*  
 Experiments in instrumentation and measurement: optical, pressure, fluid flow, temperature, stress, and electricity; viscometry, cantilever beam, digital data acquisition. Probability theory: distribution, functions of random variables, tests of significance, correlation, ANOVA, linear regression. A lab fee of \$50.00 is collected.

#### MECE E3028y Mechanical engineering laboratory, II

*3 pts. Lect: 3. Professor Lin.*  
 Experiments in engineering and physical phenomena: aerofoil lift and drag in wind tunnels, laser Doppler anemometry in immersed fluidic channels, supersonic flow and shock waves, Rankine thermodynamical cycle for power generation, and structural truss mechanics and analysis. A lab fee of \$50.00 is collected.

#### MECE E3038x Mechanical engineering laboratory, III

*3 pts. Lect: 3. Not offered in 2018–2019.*  
 Mechatronic control of mechanical and electromechanical systems. Control of various thermodynamic cycles, including internal combustion engine (Otto cycle). Reverse engineering of an electromechanical product. A lab fee of \$50.00 is collected.

#### MECE E3100x Introduction to mechanics of fluids

*3 pts. Lect: 3. Professor Kasza.*  
 Prerequisite: ENME E3105. Basic continuum concepts. Liquids and gases in static equilibrium. Continuity equation. Two-dimensional kinematics. Equation of motion. Bernoulli's equation and applications. Equations of energy and angular momentum. Dimensional analysis. Two-dimensional laminar flow. Pipe flow, laminar, and turbulent. Elements of compressible flow.

#### ENME E3105x and y Mechanics

*4 pts. Lect: 4. Professor Hone.*  
 Prerequisites: PHYS UN1401 and MATH UN1101,

UN1102, and UN1201. Elements of statics, dynamics of a particle, and systems of particles.

### **ENME E3113x Mechanics of solids**

*3 pts. Lect: 3. Professor Betti.*

Pre- or corequisite: ENME E3105 or equivalent.

Stress and strain. Mechanical properties of materials. Axial load, bending, shear, and torsion. Stress transformation. Deflection of beams. Buckling of columns. Combined loadings. Thermal stresses.

### **MECE E3301x Thermodynamics**

*3 pts. Lect: 3. Professor Vukelic.*

Classical thermodynamics. Basic properties and concepts, thermodynamic properties of pure substances, equation of state, work, heat, the first and second laws for flow and nonflow processes, energy equations, entropy, and irreversibility. Introduction to power and refrigeration cycles.

### **MECE E3311y Heat transfer**

*3 pts. Lect: 3. Professor Narayanaswamy.*

Steady and unsteady heat conduction. Radiative heat transfer. Internal and external forced and free convective heat transfer. Change of phase. Heat exchangers.

### **MECE E3401x Mechanics of machines**

*3 pts. Lect: 3. Instructor to be announced.*

Prerequisites: ENME E3105 and MECE E3408. Introduction to mechanisms and machines, analytical and graphical synthesis of mechanism, displacement analysis, velocity analysis, acceleration analysis of linkages, dynamics of mechanism, cam design, gear and gear trains, and computer-aided mechanism design.

### **MECE E3408x and y Computer graphics and design**

*3 pts. Lect: 3. Professor Vukelic.*

Introduction to drafting, engineering graphics, computer graphics, solid modeling, and mechanical engineering design. Interactive computer graphics and numerical methods applied to the solution of mechanical engineering design problems. A laboratory fee of \$175 is collected.

### **MECE E3409x Machine design**

*3 pts. Lect: 3. Professor Vukelic.*

Prerequisite: MECE E3408. Computer-aided analysis of general loading states and deformation of machine components using singularity functions and energy methods. Theoretical introduction to static failure theories, fracture mechanics, and fatigue failure theories. Introduction to conceptual design and design optimization problems. Design of machine components such as springs, shafts, fasteners, lead screws, rivets, welds. Modeling, analysis, and testing of machine assemblies for prescribed design problems. Problems will be drawn from statics, kinematics, dynamics, solid modeling, stress analysis, and design optimization.

### **MECE E3411y Fundamentals of engineering**

*1 pt. Lect: 3. Professor Vukelic.*

Prerequisite: Senior standing. Review of core courses in mechanical engineering, including mechanics, strength of materials, fluid mechanics, thermodynamics, heat transfer, materials and

processing, control, and mechanical design and analysis. Review of additional topics, including engineering economics and ethics in engineering. The course culminates with a comprehensive examination, similar to the Fundamentals of Engineering examination. Meets the first 4.5 weeks only.

### **MECE E3420x Engineering concept and design**

*1 pt. Lect: 1. Professor Stolfi.*

Prerequisite: Senior standing. Corequisite: MECE E3409. A preliminary design for an original project is a prerequisite for the capstone design course. Will focus on the steps required for generating a preliminary design concept. Included will be a brainstorming concept generation phase, a literature search, and the production of a layout drawing of the proposed capstone design project in a Computer Aided Design (CAD) software package (i.e., ProEngineer).

### **MECE E3430y Engineering design**

*3 pts. Lect: 2. Lab: 4. Professor Stolfi.*

Prerequisite: MECE E3420. Building on the preliminary design concept, the detailed elements of the design process are completed: systems synthesis, design analysis optimization, and Computer Aided Design (CAD) component part drawings. Execution of a project involving the design, fabrication, and performance testing of an actual engineering device or system. A laboratory fee of \$125 is collected.

### **MECE E3450x or y Computer-aided design**

*3 pts. Lect: 3. Professor Ateshian.*

Prerequisites: ENME E3105, E3113, MECE E3408, E3311. Introduction to numerical methods and their applications to rigid body mechanics for mechanisms and linkages. Introduction to finite element stress analysis for deformable bodies. Computer-aided mechanical engineering design using established software tools and verifications against analytical and finite difference solutions.

### **EEME E3601x Classical control systems**

*3 pts. Lect: 3. Professor Longman.*

Prerequisite: MATH UN2030. Analysis and design of feedback control systems. Transfer functions; block diagrams; proportional, rate, and integral controllers; hardware, implementation. Routh stability criterion, root locus, Bode and Nyquist plots, compensation techniques.

### **MECE 3610y Materials and processes in manufacturing**

*3 pts. Lect: 3. Professor Yao.*

Prerequisites: ENME E3113 or the equivalent. Introduction to microstructures and properties of metals, polymers, ceramics and composites; typical manufacturing processes: material removal, shaping, joining, and property alteration; behavior of engineering materials in the manufacturing processes.

### **MECE E3900x-E3901y Honors tutorial in mechanical engineering**

*3 pts. Lect: 3. Members of the faculty.*

Prerequisite: 3.2 or higher GPA. Individual study; may be selected after the first term of the junior

year by students maintaining a 3.2 grade-point average. Normally not to be taken in a student's final semester. Course format may vary from individual tutorial to laboratory work to seminar instruction under faculty supervision. Written application must be made prior to registration outlining proposed study program. Projects requiring machine-shop use must be approved by the laboratory supervisor. Students may count up to 6 points toward degree requirements. Students must submit both a project outline prior to registration and a final project write up at the end of the semester.

### **MECE E3998x and y Projects in mechanical engineering**

*1–3 pts. Members of the faculty.*

Prerequisite: Approval by faculty member who agrees to supervise the work. Normally not to be taken in a student's final semester. Independent project involving theoretical, computational, experimental, or engineering design work. May be repeated, but no more than 3 points may be counted toward degree requirements. Projects requiring machine-shop use must be approved by the laboratory supervisor. Students must submit both a project outline prior to registration and a final project write-up at the end of the semester.

### **MECE E3999x, y or s Fieldwork**

*1–2 pts. Professor Myers.*

Prerequisites: Obtained internship and approval from a faculty adviser. May be repeated for credit, but no more than 3 total points may be used toward the 128-credit degree requirement. Only for MECE undergraduate students who include relevant on-campus and off-campus work experience as part of their approved program of study. Final report and letter of evaluation required. Fieldwork credits may not count toward any major core, technical, elective, and nontechnical requirements. May not be taken for pass/fail credit or audited.

### **MECE E4058x and y Mechatronics and embedded microcomputer control**

*3 pts. Lect: 3. Professor Stolfi.*

Prerequisite: ELEN E1201. Recommended: ELEN E3000. Enrollment limited to 12 students. Mechatronics is the application of electronics and microcomputers to control mechanical systems. Systems explored include on/off systems, solenoids, stepper motors, DC motors, thermal systems, magnetic levitation. Use of analog and digital electronics and various sensors for control. Programming microcomputers in Assembly and C. A lab fee of \$75.00 is collected. Lab required.

### **MECE E4100y Mechanics of fluids**

*3 pts. Lect: 3. Professor Kasza.*

Prerequisite: MECE E3100 or equivalent. Fluid dynamics and analyses for mechanical engineering and aerospace applications: boundary layers and lubrication, stability and turbulence, and compressible flow. Turbomachinery as well as additional selected topics.

### **IEME E4200x or y Introduction to human-centered design**

*1.5 pts. Lect: 4.5. Professor West.*

Prerequisite: Application to instructor for approval. Open to SEAS graduate and advanced undergraduate students, Business School, and GSAPP. Students from other schools may apply. Fast-paced introduction to human-centered design. Students learn the vocabulary of design methods, understanding of design process. Small group projects to create prototypes. Design of simple product, more complex systems of products and services, and design of business.

#### **MECE E4210x or y Energy infrastructure planning**

*3 pts. Lect: 3. Professor Modi.*

Prerequisites: One year each of college level physics, chemistry, and mathematics. Energy infrastructure planning with specific focus on countries with rapidly growing infrastructure needs. Spatiotemporal characteristics, scale, and environmental footprints of energy resources, power generation and storage, modeling demand growth, technology choices and learning for planning. Computer-assisted decision support and network design/optimization tools. Similarities, differences and interactions among electricity, gas, information, transportation and water distribution networks. Penetration of renewable and/or decentralized technologies into existing or new infrastructure. Special guest lectures on infrastructure finance, regulation and public-private partnerships.

#### **MECE E4211x or y Energy: sources and conversion**

*3 pts. Lect: 3. Professor Modi.*

Prerequisite: MECE E3301. Energy sources such as oil, gas, coal, gas hydrates, hydrogen, solar, and wind. Energy conversion systems for electrical power generation, automobiles, propulsion and refrigeration. Engines, steam and gas turbines, wind turbines; devices such as fuel cells, thermoelectric converters, and photovoltaic cells. Specialized topics may include carbon-dioxide sequestration, cogeneration, hybrid vehicles and energy storage devices.

#### **MECE E4212x or y Microelectromechanical systems**

*3 pts. Lect: 1.5. Lab: 3. Professor Schuck.*

MEMS markets and applications; scaling laws; silicon as a mechanical material; Sensors and actuators; micromechanical analysis and design; substrate (bulk) and surface micromachining; computer aided design; packaging; testing and characterization; microfluidics.

#### **MECE E4213x or y Biomicroelectromechanical systems (BioMEMS): design, fabrication, and analysis**

*3 pts. Lect: 3. Professor Lin.*

Prerequisites: MECE E3100 and E3311, course in transport phenomena, or instructor's permission. Silicon and polymer micro/nanofabrication techniques; hydrodynamic microfluidic control; electrokinetic microfluidic control; microfluidic separation and detection; sample preparation; micro bioreactors and temperature control;

implantable MEMS, including sensors, actuators and drug delivery devices.

#### **MECE E4302y Advanced thermodynamics**

*3 pts. Lect: 3. Professor Burke.*

Prerequisite: MECE E3301. Advanced classical thermodynamics. Availability, irreversibility, generalized behavior, equations of state for nonideal gases, mixtures and solutions, phase and chemical behavior, combustion. Thermodynamic properties of ideal gases. Applications to automotive and aircraft engines, refrigeration and air conditioning, and biological systems.

#### **MECE E4304x Turbomachinery**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Introduces the basics of theory, design, selection and applications of turbomachinery. Turbomachines are widely used in many engineering applications such as energy conversion, power plants, air-conditioning, pumping, refrigeration and vehicle engines, as there are pumps, blowers, compressors, gas turbines, jet engines, wind turbines, etc. Applications are drawn from energy conversion technologies, HVAC and propulsion. Provides a basic understanding of the different kinds of turbomachines.

#### **MECE E4305y Mechanics and thermodynamics of propulsion**

*3 pts. Lect: 3. Professor Bradshaw.*

Prerequisites: MECE E3301x Thermodynamics and MECE E3311y Heat transfer; MECE E4304x Turbomachinery (or instructor approval). Principles of propulsion. Thermodynamic cycles of air breathing propulsion systems including ramjet, scramjet, turbojet, and turbofan engine and rocket propulsion system concepts. Turbine engine and rocket performance characteristics. Component and cycle analysis of jet engines and turbomachinery. Advanced propulsion systems. Columbia Engineering interdisciplinary course.

#### **MECE E4306x or y Introduction to aerodynamics**

*3 pts. Lect: 3. Professor LeVoci.*

Principles of flight, incompressible flows, compressible regimes. Inviscid compressible aerodynamics in nozzles (wind tunnels, jet engines), around wings (aircraft, space shuttle) and around blunt bodies (rockets, reentry vehicles). Physics of normal shock waves, oblique shock waves, and explosion waves.

#### **IEME E4310x The manufacturing enterprise**

*3 pts. Lect: 3. Professor Weing.*

The strategies and technologies of global manufacturing and service enterprises. Connections between the needs of a global enterprise, the technology and methodology needed for manufacturing and product development, and strategic planning as currently practiced in industry.

#### **MECE E4312x Solar thermal engineering**

*3 pts. Lect: 3. Professor Naraghi.*

Prerequisite: MECE E3311 (Heat transfer). Fundamentals of solar energy transport: radiation heat transfer, convection, conduction and phase

change processes. Heat exchangers and solar collectors: basic methods of thermal design, flow arrangements, effects of variable conditions, rating procedures. Solar energy concentration. Piping Systems: series and parallel arrangements, fluid movers. Thermal response and management of photovoltaic energy conversion. Solar energy storage. Solar cooling, solar thermal power and cogeneration. Applications to the design of solar thermal engineering systems.

#### **MECE E4314y Energy dynamics of green buildings**

*3 pts. Lect: 3. Professor Naraghi.*

Prerequisites: MECE E3301 and E3311.

Introduction to analysis and design of heating, ventilating and air-conditioning systems. Heating and cooling loads. Humidity control. Solar gain and passive solar design. Global energy implications. Green buildings. Building-integrated photovoltaics. Roof-mounted gardens and greenhouses. Financial assessment tools and case studies. Open to Mechanical Engineering graduate students only.

#### **MECH E4320x Introduction to combustion**

*3 pts. Lect: 3. Professor Burke.*

Prerequisites: Introductory thermodynamics, fluid dynamics, and heat transfer at the undergraduate level or instructor's permission. Thermodynamics and kinetics of reacting flows; chemical kinetic mechanisms for fuel oxidation and pollutant formation; transport phenomena; conservation equations for reacting flows; laminar nonpremixed flames (including droplet vaporization and burning); laminar premixed flames; flame stabilization, quenching, ignition, extinction, and other limit phenomena; detonations; flame aerodynamics and turbulent flames.

#### **MECE E4330x Thermofluid systems design**

*3 pts. Lect: 3. Professor Bradshaw.*

Prerequisites: MECE E3100, E3301, E3311.

Theoretical and practical considerations, and design principles, for modern thermofluids systems. Topics include boiling, condensation, phase change heat transfer, multimode heat transfer, heat exchangers, and modeling of thermal transport systems. Emphasis on applications of thermodynamics, heat transfer, and fluid mechanics to modeling actual physical systems. Term project on conceptual design and presentation of a thermofluid system that meets specified criteria.

#### **MECE E4400x and y Computer laboratory access**

*0 pts. Professor Kysar.*

Sign up for this class to obtain a computer account and access to the Department of Mechanical Engineering Computer Laboratory.

#### **MECE E4404x or y Tribology: friction, lubrication, and wear**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: MECE E3100, E3311, and ENME E3113, or permission of the instructor. Friction, lubrication, and wear between sliding surfaces. Surface metrology, contact mechanics, and sliding friction. Deformation, wear, and temperature rise of nonlubricated, liquid-lubricated, and solid-lubricated

rolling and sliding materials. The theories of boundary, elasto-hydrodynamic, hydrodynamic, hydrostatic, and solid-phase lubrication. Lubricant flow and load-carrying capacity in bearings. Special applications such as geartrains, cam/tappets, and micro- and nanoscale tribological interfaces.

#### **MECE E4430x Automotive dynamics**

*3 pts. Lect: 3. Professor Browne.*

Prerequisite: ENME 3105 or equivalent; recommended: ENME 3106 or equivalent. Automobile dynamic behavior is divided into three subjects: vehicle subsystems, ride, and handling. Vehicle subsystems include tire, steering, mechanisms, suspensions, gearbox, engine, clutch, etc. Regarding ride, vibrations and ride comfort are analyzed, and suspension optimization of a quarter car model is treated. Regarding handling, vehicle dynamic behavior on the road is analyzed, with emphasis on numerical simulations using planar as well as roll models.

#### **MECE E4431x or y Space vehicle dynamics**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: ENME-MECE E3105; ENME E4202 recommended. Space vehicle dynamics and control, rocket equations, satellite orbits, initial trajectory designs from earth to other planets, satellite attitude dynamics, gravity gradient stabilization of satellites, spin-stabilized satellites, dual-spin satellites, satellite attitude control, modeling, dynamics, and control of large flexible spacecraft.

#### **MEBM E4439x Modeling and identification of dynamic systems**

*3 pts. Lect: 3. Professor Chbat.*

Prerequisite: APMA E2101, ELEN E3801, or corequisite EEME E3601, or permission of instructor. Generalized dynamic system modeling and simulation. Fluid, thermal, mechanical, diffusive, electrical, and hybrid systems are considered. Nonlinear and high order systems. System identification problem and Linear Least Squares method. State-space and noise representation. Kalman filter. Parameter estimation via prediction-error and subspace approaches. Iterative and bootstrap methods. Fit criteria. Wide applicability: medical, energy, others. MATLAB and Simulink environments.

#### **MECE E4501y Geometrical modeling**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: COMS W1005. Relationship between 3D geometry and CAD/CAM; representations of solids; geometry as the basis of analysis, design, and manufacturing; constructive solid geometry and the CSG tree; octree representation and applications; surface representations and intersections; boundary representation and boundary evaluation; applied computational geometry; analysis of geometrical algorithms and associated data structures; applications of geometrical modeling in vision and robotics.

#### **MECE E4502x Computational geometry for CAD/CAM**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: COMS W1005 FORTRAN or PASCAL. Analysis of geometric problems and the design of efficient methodologies to obtain solutions to these problems. Algorithms to be studied include geometric searching, convex hulls, triangulations, Voronoi diagrams, intersections, hidden surfaces. Emphasis will be on practical aspects of these algorithms, and on applications of the solutions in computer-aided product design and manufacturing.

#### **MECS E4510x Evolutionary computation and design automation**

*3 pts. Lect: 3. Professor Lipson.*

Prerequisite: Basic programming experience in any language. Fundamental and advanced topics in evolutionary algorithms and their application to open-ended optimization and computational design. Covers genetic algorithms, genetic programming, and evolutionary strategies, as well as governing dynamic of coevolution and symbiosis. Includes discussions of problem representations and applications to design problems in a variety of domains including software, electronics, and mechanics.

#### **EEME E4601y Digital control systems**

*3 pts. Lect: 3. Professor Beigi.*

Prerequisite: EEME E3601 or ELEN E3201. Real-time control using digital computers. Solving scalar and state-space difference equations. Discrete equivalents of continuous systems fed by holds. Z-transfer functions. Creating closed-loop difference equation models by Z-transform and state variable



approaches. The Nyquist frequency and sample rate selection. Classical and modern based digital control laws. Digital system identification.

### **MECE E4602x Introduction to robotics**

*3 pts. Lect: 3. Professor Ciocarlie.*

Overview of robot applications and capabilities. Linear algebra, kinematics, statics, and dynamics of robot manipulators. Survey of sensor technology: force, proximity, vision, compliant manipulators. Motion planning and artificial intelligence; manipulator programming requirements and languages.

### **MECS E4603x Applied robotics: algorithms and software**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: Fundamental programming skills (e.g., COMS W1002, W1004, W1005, ENGI E1006, or equivalent). Science and systems aspects of Robotics from applied perspective, focusing on algorithms and software tools. Spatial reasoning; tools for manipulating and visualizing spatial relationships. Analysis of robotic manipulators; rigorous methods for kinematic analysis. Motion planning, search-based and stochastic approaches. Applications for force and impedance control. Grading based on combination of exams and projects implemented using Robot Operating System (ROS) software framework and executed on real and simulated robotic manipulators.

### **MECE E4604x Product design for manufacturability**

*3 pts. Lect: 3. Professor Walker.*

Prerequisites: Manufacturing process, computer graphics, engineering design, mechanical design. General review of product development process; market analysis and product system design; principles of design for manufacturing; strategy for material selection and manufacturing process choice; component design for machining; casting; molding; sheet metal working and inspection; general assembly processes; product design for manual assembly; design for robotic and automatic assembly; case studies of product design and improvement.

### **MECE E4606y Digital manufacturing**

*3 pts. Lect: 3. Professor Lipson.*

Prerequisite: Basic programming in any language. Additive manufacturing processes, CNC, Sheet cutting processes, Numerical control, Generative and algorithmic design. Social, economic, legal, and business implications. Course involves both theoretical exercises and a hands-on project.

### **MECE E4609y Computer-aided manufacturing**

*3 pts. Lect: 3. Professor Walker.*

Prerequisites: Introductory course on manufacturing processes and knowledge of computer-aided design, and mechanical design or instructor's permission. Computer-aided design, free-form surface modeling, tooling and fixturing, computer numeric control, rapid prototyping, process engineering, fixed and programmable automation, industrial robotics.

### **MECE E4610x Advanced manufacturing processes**

*3 pts. Lect: 3. Professor Yao.*

Prerequisites: Introductory course on manufacturing processes, and heat transfer, knowledge of engineering materials, or instructor's permission. Principles of nontraditional manufacturing, nontraditional transport and media. Emphasis on laser assisted materials processing, laser material interactions with applications to laser material removal, forming, and surface modification. Introduction to electrochemical machining, electrical discharge machining and abrasive water jet machining.

### **BMME E4702x Advanced musculoskeletal biomechanics**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Advanced analysis and modeling of the musculoskeletal system. Topics include advanced concepts of 3D segmental kinematics, musculoskeletal dynamics, experimental measurements of joints kinematics and anatomy, modeling of muscles and locomotion, multibody joint modeling, introduction to musculoskeletal surgical simulations.

### **MEBM E4703x Molecular mechanics in biology**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisite: ENME E3105, APMA E2101, or instructor's permission. Mechanical understanding of biological structures including proteins, DNA and RNA in cells and tissues. Force response of proteins and DNA, mechanics of membranes, biophysics of molecular motors, mechanics of protein-protein interactions. Introduction to modeling and simulation techniques, and modern biophysical techniques such as single molecule FRET, optical traps, AFM, and superresolution imaging, for understanding molecular mechanics and dynamics.

### **MEBM E4710x or y Morphogenesis: shape and structure in biological materials**

*3 pts. Lect: 2.5. Not offered in 2018–2019.*

Prerequisites: Courses in mechanics, thermodynamics, and ordinary differential equations at the undergraduate level or instructor's permission. Introduction to how shape and structure are generated in biological materials using engineering approach emphasizing application of fundamental physical concepts to a diverse set of problems. Mechanisms of pattern formation, self-assembly, and self-organization in biological materials, including intracellular structures, cells, tissues, and developing embryos. Structure, mechanical properties, and dynamic behavior of these materials. Discussion of experimental approaches and modeling. Course uses textbook materials as well as collection of research papers.

### **MEIE E4810y Introduction to human spaceflight**

*3 pts. Lect: 3. Professor Massimino.*

Prerequisites: Department permission and knowledge of MATLAB or equivalent. Introduction to human spaceflight from a systems engineering perspective. Historical and current space programs and spacecraft. Motivation, cost, and rationale for human space exploration. Overview of space environment needed to sustain human life and

health, including physiological and psychological concerns in space habitat. Astronaut selection and training processes, spacewalking, robotics, mission operations, and future program directions. Systems integration for successful operation of a spacecraft. Highlights from current events and space research, Space Shuttle, Hubble Space Telescope, and International Space Station (ISS). Includes a design project to assist International Space Station astronauts.

### **MECE E4990x or y Special topics in mechanical engineering**

*3 pts. Lect: 3. Instructor to be announced.*

Prerequisites: Permission of the instructor. Topics and Instructors change from year to year. For advanced undergraduate students and graduate students in engineering, physical sciences, and other fields.

### **MECE E4999x and y Curricular practical training**

*1 pt. Professor Lipson.*

Prerequisite: Instructor's written permission. Only for ME graduate students who need relevant off-campus work experience as part of their program of study as determined by the instructor. Written application must be made prior to registration outlining proposed study program. Final reports required. May not be taken for pass/fail credit or audited. International students must consult with the International Students and Scholars Office.

### **MECE E6100x Advanced mechanics of fluids**

*3 pts. Lect: 3. Professor Ateshian.*

Prerequisites: MATH UN2030 and MECE E3100. Eulerian and Lagrangian descriptions of motion. Stress and strain rate tensors, vorticity, integral and differential equations of mass, momentum, and energy conservation. Potential flow.

### **MECE E6102x or y Computational heat transfer and fluid flow**

*3 pts. Lect: 3. Professor Demetriou.*

Prerequisites: MECE E3100 and E3311. Mathematical description of pertinent physical phenomena. Basics of finite-difference methods of discretization, explicit and implicit schemes, grid sizes, stability, and convergence. Solution of algebraic equations, relaxation. Heat conduction. Incompressible fluid flow, stream function-vorticity formulation. Forced and natural convection. Use of primitive variables, turbulence modeling, and coordinate transformations.

### **MECE E6103x Compressible flow**

*3 pts. Lect: 3. Not offered in 2018–2019.*

Prerequisites: APMA E4200, MECE E3100 and E3301. Fundamental analysis of compressible flows and its applications for various sonic/supersonic elements including supersonic airfoils/projectiles, nozzles, and shock tubes. Steady and unsteady shock/expansion waves, oblique shock waves. Shock reflections, methods of characteristic.

### **MECE E6104x Case studies in computational fluid dynamics**

*3 pts. Lect: 3. Professor Bilson.*

Prerequisites: APAM E4200 and MECE E6100.  
Corequisites: APAM E4300 and MECE E4400.  
Hands-on case studies in computational fluid dynamics, including steady and transient flows, heat and mass transfer, turbulence, compressible flow and multiphase flow. Identifying assumptions, computational domain selection, model creation and setup, boundary conditions, choice of convergence criteria, visualization and interpretation of computed results. Taught in the Mechanical Engineering Computer Laboratory with Computational Fluid Dynamics software.

#### **MECE E6105y Transport phenomena in the presence of interfaces**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: MECE E3301 Thermodynamics and MECE E3311 Heat transfer; MECE E4100 Mechanics of fluids, or equivalent or instructor's permission; CHEE E4252 Introduction to surface and colloid chemistry, or the equivalent, or the instructor's permission. Surface energy and capillary phenomena. Wetting and spreading of liquids, wetting line pinning and hysteresis, dynamics of wetting. Surfactants. Bubbles: nucleation, stability, dynamics, microstreaming. Jets and Drops: generation, dynamics, stability and impact with surfaces. Measurement of transport phenomena involving interfaces. Interfacial transport phenomena involving thermal, chemical or electrical gradients. Applications in microfluidic systems.

#### **MECE E6200y Turbulence**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: MECE E6100. Introductory concepts and statistical description. Kinematics of random velocity fields, dynamics of vorticity, and scalar quantities. Transport processes in a turbulent medium. Turbulent shear flows: deterministic and random structures. Experimental techniques, prediction methods, and simulation.

#### **MEBM E6310x-E6311y Mixture theories for biological tissues, I and II**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: MECE E6422 and APMA E4200 or equivalent. Development of governing equations for mixtures with solid matrix, interstitial fluid, and ion constituents. Formulation of constitutive models for biological tissues. Linear and nonlinear models of fibrillar and viscoelastic porous matrices. Solutions to special problems, such as confined and unconfined compression, permeation, indentation and contact, and swelling experiments.

#### **MECE E6313x Advanced heat transfer**

*3 pts. Lect: 3. Professor Narayanaswamy.*  
Prerequisites: MECE E3311. Corequisites: MECE E6100. Application of analytical techniques to the solution of multidimensional steady and transient problems in heat conduction and convection. Lumped, integral, and differential formulations. Topics include use of sources and sinks, laminar/turbulent forced convection, and natural convection in internal and external geometries.

#### **MECE E6320x or y Multiscale phenomena in gases**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: Knowledge of advanced thermodynamics (e.g. MECE E4302), or combustion (e.g. MECH E4320), or instructor's permission. Gaseous phenomena from a molecular to macroscopic perspective. Quantum mechanics, statistical thermodynamics, nonequilibrium statistical mechanics, reaction rate theories, master equation, relaxation processes, kinetic theory, equations of state, transport theories, and kinetic-transport equations. Applications to combustion, aerospace gas dynamics, and high-frequency sound propagation.

#### **MECE E6400y Advanced machine dynamics**

*3 pts. Lect: 3. Professor Chbat.*  
Prerequisite: MECE E3401. Review of classical dynamics, including Lagrange's equations. Analysis of dynamic response of high-speed machine elements and systems, including mass-spring systems, cam-follower systems, and gearing; shock isolation; introduction to gyro dynamics.

#### **MECE E6422x–E6423y Introduction to the theory of elasticity, I and II**

*3 pts. Lect: 3. Professor Myers.*  
Corequisite: APMA E4200. Analysis of stress and strain. Formulation of the problem of elastic equilibrium. Torsion and flexure of prismatic bars. Problems in stress concentration, rotating disks, shrink fits, and curved beams; pressure vessels, contact and impact of elastic bodies, thermal stresses, propagation of elastic waves.

#### **MECE E6424x Vibrations in machines, I**

*3 pts. Lect: 3. Professor Chakrabarti.*  
Prerequisite: MECE E3401. Review of vibration analysis of systems and mechanisms with one degree of freedom. Natural frequencies. Forced vibrations. Effects of dry and viscous friction. Energy methods of Rayleigh and Ritz. Suppression and elimination of vibration. Vibration isolation. Measuring instruments. Critical speeds in machinery. Synchronous whirl. Half-frequency whirl. Influence of bearing characteristics on critical speeds. Effect of gyroscopic moments. Systems with multiple degrees of freedom. Dynamic vibration absorbers. Self-tuning absorbers of pendulum and roller types. Lagrangian equations of motion as applied to vibrating systems. General equations for transverse critical speeds of shafts. Surging of helical springs.

#### **MEEM E6432y Small-scale mechanical behavior**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: ENME E3113 or equivalent; APMA E4200 or equivalent. Mechanics of small-scale materials and structures require nonlinear kinematics and/or nonlinear stress vs. strain constitutive relations to predict mechanical behavior. Topics include variational calculus, deformation and vibration of beam, strings, plates, and membranes; fracture, delamination, bulging, buckling of thin films, among others. Thermodynamics of solids will be reviewed to provide the basis for a detailed discussion of

nonlinear elastic behavior as well as the study of the equilibrium and stability of surfaces.

#### **EEME E6601x Introduction to control theory**

*3 pts. Lect: 3. Professor Longman.*  
Prerequisite: MATH UN2030. A graduate-level introduction to classical and modern feedback control that does not presume an undergraduate background in control. Scalar and matrix differential equation models and solutions in terms of state transition matrices. Transfer functions and transfer function matrices, block diagram manipulations, closed loop response. Proportional, rate, and integral controllers, and compensators. Design by root locus and frequency response. Controllability and observability. Luenberger observers, pole placement, and linear-quadratic cost controllers.

#### **EEME E6602y Modern control theory**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: EEME E6601 or E4601 or ELEN E6201, or instructor's permission. Singular value decomposition. ARX model and state space model system identification. Recursive least squares filters and Kalman filters. LQR, Hlinear robust control, predictive control, adaptive control. Liapunov and Popov stability. Nonlinear adaptive control, nonlinear robust control, sliding mode control.

#### **EEME E6610y Optimal control theory**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: EEME E6601 or E4601 or instructor's permission. Covers topics in calculus of variations, Pontryagin maximum principle, quadratic cost optimal control, predictive control, dynamic programming for optimal control, Kalman filtering, numerical methods for solution. Some applications discussed include minimum energy subway operation (our solution saved 11% in tests on the Flushing Line, and the method was adopted by the transit authority, saving many millions of dollars per year), minimum time robot optimal control allowing one to run assembly lines faster for increased productivity.

#### **MECE E6614x or y Advanced topics in robotics and mechanism synthesis**

*3 pts. Lect: 3. Professor Agrawal.*  
Prerequisites: APMA E2101, E3101, MECE E4602 (or COMS W4733). Recommended: MECE E3401 or instructor's permission. Kinematic modeling methods for serial, parallel, redundant, wire-actuated robots and multifingered hands with discussion of open research problems. Introduction to screw theory and line geometry tools for kinematics. Applications of homotopy continuation methods and symbolic-numerical methods for direct kinematics of parallel robots and synthesis of mechanisms. Course uses textbook materials as well as a collection of recent research papers.

#### **MECE E6615x or y Robotic manipulation: sensing, planning, design, and execution**

*3 pts. Lect: 3. Professor Ciocarlie.*  
Prerequisite: MECE E4602 or COMS W4733. Theory and mechanisms of robotic manipulation,

from sensor data, reasoning, and planning to implementation and execution. Grasp quality measures and optimization; planning and execution for manipulation primitives; sensor modalities: vision, touch, and proprioception; simulation for manipulation planning; design of robot manipulators. Grading based on a combination of class presentations of novel research results in the field, participation in discussions, and course projects combining simulation, processing of sensor data, planning for manipulation, design, and implementation on real robot hands.

**MECE E6616x or y Robot learning**

*3 pts. Lect: 3. Professor Ciocarlie.*  
Prerequisites: MECE E4602 or MECS E4603 or COMS W4733. Robots using machine learning to achieve high performance in unscripted situations. Dimensionality reduction, classification, and regression problems in robotics. Deep Learning: Convolutional Neural Networks for robot vision, Recurrent Neural Networks, and sensorimotor robot control using neural networks. Model Predictive Control using learned dynamics models for legged robots and manipulators. Reinforcement Learning in robotics: model-based and model-free methods, deep reinforcement learning, sensorimotor control using reinforcement learning.

**MECE E6620x or y Applied signal recognition and classification**

*3 pts. Lect: 3. Professor Beigi.*  
Prerequisites: MATH UN2030, APMA E3101, knowledge of a programming language, or permission of instructor. Applied recognition and classification of signals using a selection of tools borrowed from different disciplines. Applications include human biometrics, imaging, geophysics, machinery, electronics, networking, languages, communications, and finance. Practical algorithms are covered in signal generation, modeling, feature extraction, metrics for comparison and classification, parameter estimation, supervised, unsupervised and hierarchical clustering and learning, optimization, scaling and alignment, signals as codes emitted from natural sources, information, and extremely large-scale search techniques.

**MECE E6700x Carbon nanotube science and technology**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: Knowledge of introductory solid state physics (e.g., PHYS GU4018, APPH E6081, or MSAE E3103) or instructor's permission. Basic science of solid state systems. Crystal structure, electronic and phonon band structures of nanotubes. Synthesis of nanotubes and other nanomaterials. Experimental determination of nanotube structures and techniques for

nanoscale imaging. Theory and measurement of mechanical, thermal, and electronic properties of nanotubes and nanomaterials. Nanofabrication and nanoelectronic devices. Applications of nanotubes.

**MECE E6710y Nanofabrication laboratory**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: ELEN E6945 or instructor's permission. Laboratory in techniques for fabrication at the nanometer scale. Electron-beam lithography. Plasma etching and 3D nanofabrication. Thin film deposition. Self-assembly and "bottom up" nanofabrication. Fabrication of and testing of complete nanodevices. A lab fee of \$300 is required.

**MECE E6720x Nano/microscale thermal transport process**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Nano- and microscale origins of thermal transport phenomena by molecules, electrons, phonons, and photons. Quantum mechanics and statistical physics. Density of states. Kinetic theory of gases. Boltzmann transport equation (BTE), classical and quantum size effects. Landauer formalism for transport via nanostructures. Macroscopic constitutive equations from BTE. Application to electronics cooling, thermoelectric and thermophotovoltaic devices, and energy conversion.

**MECE E8020x or E8021y Master's thesis**

*3–6 pts. Members of the faculty.*  
Research in an area of mechanical engineering culminating in a verbal presentation and a written thesis document approved by the thesis adviser. Must obtain permission from a thesis adviser to enroll. Recommended enrollment for two terms, one of which can be the summer. A maximum of 6 points of master's thesis may count toward an M.S. degree, and additional research points cannot be counted. On completion of all master's thesis credits, the thesis adviser will assign a single grade. Students must use a department-recommended format for thesis writing.

**MECE E8100y Advanced topics in fluid mechanics**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisite: MECE E6100. May be taken more than once, since its content has minimal overlap between consecutive years. Selected topics from viscous flow, turbulence, compressible flow, rarefied gas dynamics, computational methods, and dynamical systems theory, non-Newtonian fluids, etc.

**MECE E8501y Advanced continuum biomechanics**

*3 pts. Lect: 2. Not offered in 2018–2019.*  
Prerequisite: Instructor's permission. The essentials of finite deformation theory of solids and fluids needed to describe mechanical behavior of

biological tissue: kinematics of finite deformations, balance laws, principle of material objectivity, theory of constitutive equations, concept of simple solids and simple fluids, approximate constitutive equations, some boundary-value problems. Topics include one- and two-point tensor components with respect to generalized coordinates; finite deformation tensors, such as right and left Cauchy-Green tensors; rate of deformation tensors, such as Rivlin-Ericksen tensors; various forms of objective time derivatives, such as corotational and convected derivatives of tensors; viscometric flows of simple fluids; examples of rate and integral type of constitutive equations.

**EEME E8601y Advanced topics in control theory**

*3 pts. Lect: 3. Not offered in 2018–2019.*  
Prerequisites: EEME E6601 and E4601 or instructor's permission. May be taken more than once, since the content changes from year to year, electing different topics from control theory such as learning and repetitive control, adaptive control, system identification, Kalman filtering, etc.

**MECE E8990x and y Special topics in mechanical engineering**

*3 pts. Lect: 3. Instructor to be announced.*  
Prerequisite: Instructor's permission. May be taken for credit more than once. The instructor from the Mechanical Engineering Department and the topics covered will vary from year to year. Intended for students with graduate standing in Mechanical Engineering and other engineering and applied sciences.

**MECE E9000x-E9001y and E9002s Graduate research and study**

*1–3 pts. Members of the faculty.*  
Theoretical or experimental study or research in graduate areas in mechanical engineering and engineering science.

**MECE E9500x and y Graduate seminar**

*0 pts. Pass/fail only. Professor Schuck.*  
All doctoral students are required to complete successfully four semesters of the mechanical engineering seminar MECE E9500.

**MECE E9800x and y Doctoral research instruction**

*3, 6, 9, or 12 pts. Members of the faculty.*  
A candidate for the Eng.Sc.D. degree in mechanical engineering must register for 12 points of doctoral research instruction. Registration in MECE E9800 may not be used to satisfy the minimum residence requirement for the degree.

**MECE E9900x and y Doctoral dissertation**

*0 pts. Members of the faculty.*  
A candidate for the doctorate may be required to register for this course every term after his/her coursework has been completed and until the dissertation has been accepted.

# Undergraduate Minors



Undergraduate minors are designed to allow engineering and applied science students to study, to a limited extent, a discipline other than their major. Besides engineering minors offered by Columbia Engineering departments, liberal arts minors are also available.

A minor requires at least 15 points of credit, and no more than one course can be taken outside of Columbia or met through AP or IB credit. This includes courses taken through study abroad. In Engineering departments with more than one major program, a minor in the second program may be permitted, if approved by the department.

No substitutions or changes of any kind from the approved minors are permitted (see lists below). No appeal for changes will be granted. Please note that the same courses may not be used to satisfy the requirements of more than one minor. No courses taken for pass/fail may be counted for a minor. Minimum GPA for the minor is 2.0. Departments outside the Engineering School have no responsibility for nonengineering minors offered by Engineering.

For a student to receive credit for a course taken while studying abroad, the department offering the minor must approve the course in writing, ahead of the student's study abroad.

Students must expect a course load that is heavier than usual. In addition, unforeseen course scheduling changes, problems, and conflicts may occur. The School cannot guarantee a satisfactory completion of the minor.

Students interested in establishing a new minor should consult with the

Associate Dean of Undergraduate Student Affairs.

### MINOR IN ANTHROPOLOGY

- 1. ANTH UN1002:** The interpretation of culture (3)  
or **ANTH UN1008:** The rise of civilization (3)

*Note:* UN1002 serves as a preview to sociocultural anthropology, while UN1008 serves as a preview to archaeology.

- 2–5. Any four courses in the Anthropology department, in ethnomusicology, or taught by an Anthropology instructor, regardless of department. No distribution requirement.**

### MINOR IN APPLIED MATHEMATICS

Prospective students should consult the first- and second-year requirements for applied mathematics majors to ensure that prerequisites for the applied mathematics minor are satisfied in the first two years.

Coursework counting toward the applied mathematics minor may not include advanced placement credits. Any substitutions for the courses listed below require the approval of the applied mathematics program adviser.

- 1. APMA E3101:** Linear algebra (3)  
or **MATH UN2010:** Linear algebra (3)
- 2. APMA E3102:** Partial differential equations (3)  
or **MATH UN3028:** Partial differential equations (3)
- 3–5. Three of the following courses:**
  - APMA E4300:** Intro to numerical methods (3)
  - APMA E4204:** Func of complex variable (3)
  - APMA E4101:** Intro to dynamical systems (3)
  - MATH UN2500:** Analysis and optimization (3)
  - STAT GU4001:** Intro to probability and statistics (3)
  - STAT GR5204:** Statistical inference (3)

or any other course designated *APMA*, *MATH*, *STAT*, *IEOR*, or *COMS* that is approved by the applied mathematics program adviser.

### MINOR IN APPLIED PHYSICS

Prospective students should consult the first- and second-year requirements for applied physics majors to ensure that prerequisites for the applied physics minor are satisfied in the first two years.

Coursework counting toward the applied physics minor may not include advanced placement credits.

- 1. APPH E4901:** Problems in applied physics (1)
- 2. APPH E3200:** Mechanics (3)
- 3. APPH E3100:** Intro to quantum mechanics (3)
- 4. APPH E3300:** Applied electromagnetism (3)
- 5. MSAE E3111:** Thermodynamics, kinetic theory, and statistical mechanics (3)
- 6. Two of the following courses**
  - APPH E4010:** Intro to nuclear science (3)
  - APPH E4100:** Quantum physics of matter (3)
  - APPH E4110:** Modern optics (3)
  - APPH E4112:** Laser physics (3)
  - APPH E4300:** Applied electrodynamics (3)
  - APPH E4301:** Intro to plasma physics (3)

### MINOR IN ARCHITECTURE

- 1. Studio: One of the following courses**
  - ARCH UN1020:** Intro to architectural design and visual culture (3)
  - ARCH UN3101:** Abstraction (4)
  - ARCH UN3103:** Perception (4)
- 2–4. History/theory courses (see Note below)**
- 5. Elective: must be either an approved second design studio or an additional history/theory course**

*Note:* A list of the approved history/theory courses is available at the departmental office each semester.

## MINOR IN ART HISTORY

1–7. Seven courses in art history, covering four of the following areas: (a) ancient Mediterranean, (b) medieval Europe, (c) Renaissance and baroque, (d) 18th, 19th, and 20th century, and (e) non-Western

## MINOR IN BIOMEDICAL ENGINEERING

The Biomedical Engineering program offers a minor that consists of the following six courses. Participation in the minor is subject to the approval of the major program adviser.

1. BIOL UN2005: Introduction to biology, I (3)
2. BIOL UN2006: Introduction to biology, II (3)
3. BMEN E3010: Biomedical engineering, I (3)
4. BMEN E3020: Biomedical engineering, II (3)
5. BMEN E4001: Quantitative physiology, I (3)
6. BMEN E4002: Quantitative physiology, II (3)

## MINOR IN CHEMICAL ENGINEERING

Of the six courses required, at least three must have the CHEN, CHEE, or CHAP designator:

1. CHEN E2100: Intro to chemical engineering (3)
2. CHEE E3010: Prin of chemical engineering thermodynamics (3)
  - or MSAE E3111: Thermodynamics, kinetic theory, and statistical mechanics (3)
  - or MECE E3301: Thermodynamics (3)
  - or BMEN E4210: Thermodynamics of biological systems (3)
3. CHEN E3110: Transport phenomena, I (3)
  - or EAEE E4900: Applied transport and chemical rate phenomena (3)
  - or MECE E3100: Intro to mech of fluids (3)
  - or ENME E3161: Fluid mechanics (4)
  - or BMEN E3220: Fluid biomechanics (4)
4. CHEN E4230: Reaction kinetics and reactor design (3)

### 5–6. Two of the following courses:

Any 3000-level or higher BMCH, CHEN, CHAP, or CHEE course

- APMA E3101: Linear algebra (3)
- APMA E3102: Partial differential equations (3)
- BMEN E3320: Fluid biomechanics (3)
- BMEN E4001: Quantitative physiology, I (3)
- BMEN E4002: Quantitative physiology, II (3)
- ELEN E3201: Circuit analysis (3.5)
- ELEN E3331: Electronic circuits (3)
- SIEO W3600: Intro to probability and statistics (4)
- IEOR E4150: Probability (3)
- IEOR E4106: Stochastic models (3)

- MSAE E3103: Elements of mat sci (3)
- MSAE E3142: Ceramics and polymers (3)

## MINOR IN CIVIL ENGINEERING

1. CIEN E3121: Structural analysis (3)
  - or ENME E3161: Fluid mechanics (4)
  - or MECE E3100: Intro to mech of fluids (3)
2. ENME E3105: Mechanics (4)
3. ENME E3113: Mechanics of solids (3)
- 4–6. Electives: Three of the following courses:
  - CIEN E3000: The art of structural design (3)
  - ENME E3161: Fluid mechanics (4)
  - ENME E3114: Exp mechanics of materials (4)
  - ENME E3332: A first course in finite elements (3)
  - MECE E3414: Adv strength of materials (3)
  - CIEN E3125: Structural design (3)
  - CIEN E4241: Geotech eng fundamentals (3)
  - CIEE E3250: Hydrosystems engineering (3)
  - CIEE E4163: Environ eng: wastewater (3)
  - CIEN E3129: Project mgmt for construction (3)
  - CIEN E4131: Prin of construction tech (3)

## MINOR IN COMPUTER SCIENCE

Students who pass the Computer Science Advanced Placement Exam A with a 4 or 5 will receive 3 points and exemption from COMS W1004. Taking COMS W1007 is recommended but not required for those students exempt from COMS W1004. Participation in the minor is subject to the approval of the major program adviser. For further information, please see the QuickGuide at [cs.columbia.edu/education/undergrad/seasguide](http://cs.columbia.edu/education/undergrad/seasguide).

1. COMS W1004: Intro to computer science and programming in Java (3)
  - or COMS W1007: Honors intro to comp sci (3)
2. COMS W3134: Data structures in Java (3)
  - or COMS W3137: Honors data structures and algorithms (4)
3. COMS W3157: Advanced programming (4)
4. COMS W3203: Discrete mathematics (3)
5. COMS W3261: Comp science theory (3)
6. CSEE W3827: Fund of computer systems (3)
  - or a 4000-level COMS technical elective
7. APMA E2101 (or E3101): Intro to applied mathematics (Applied math I: linear algebra) (3)
  - or MATH UN2010 (or E2020): Linear algebra (3)
  - or STAT GU4001 (or SIEO W3600): Intro to probability and statistics (3)

## MINOR IN DANCE

The dance minor consists of five 3-point courses. Please note that no

performance/choreography courses below count toward the nontech requirement for Engineering students.

### 1–2. History/criticism: Two of the following:

- DNCE BC2565: World dance history (3)
- DNCE BC2570: Dance in New York City (3)
- DNCE BC2575: Choreography for the American musical (3)
- DNCE BC3000: From the page to the dance stage (3)
- DNCE BC3001: Western theatrical dance from the Renaissance to the 1960s (3)
- DNCE BC3200: Dance in film (3)
- DNCE BC3567: Dance of India (3)
- DNCE BC3570: Latin American and Caribbean dance (3)
- DNCE BC3576: Dance criticism (3)
- DNCE BC3577: Performing the political (3)
- DNCE BC3578: Traditions of African-American dance (3)

### 3–4. Performance/choreography: Two of the following:

- DNCE BC2563: Dance composition: form (3)
- DNCE BC2564: Dance composition: content (3)
- DNCE BC2567: Music for dance (3)
- DNCE BC2580: Tap as an American art form (3)
- DNCE BC3565: Composition: collaboration and the creative process (3)
- DNCE BC3601-3604: Rehearsal and performance in dance (1–3)

### 5. One elective

## MINOR IN EARTH AND ENVIRONMENTAL ENGINEERING

### 1–3. Three of the following courses:

- EAEE E3103: Energy, minerals, and mat syst (3)
- CIEE E3255: Environmental control and pollution reduction systems (3)
- EAEE E4001: Industrial ecology of Earth res (3)
- EAEE E4003: Intro to aquatic chemistry (3)
- EAEE E4004: Physical processing and recovery of solids (3)
- EAEE E4006: Field methods for environ eng (3)
- EAEE E4009: GIS for resource, environment, and infrastructure management (3)
- EAEE E4150: Air pollution prevention and control (3)
- EAEE E4160: Solids and hazardous waste management (3)
- EAEE E4200: Prod of inorganic materials (3)
- EAEE E4257: Environ data analysis and modeling (3)
- EAEE E4361: Econ of Earth res industries (3)
- EACE E4560: Particle technology (3)

### 4–6. Three of the following courses:

- CHEE E3010: Prin of chemical engineering thermodynamics (3)
- CHEE E3110: Transport phenomena, I (3)
- CIEN E3141: Soil mechanics (3)
- CIEE E3250: Hydrosystems engineering (3)
- ECIA W4100: Mgmt and dev of water systems (3)
- CIEE E4163: Environ eng: wastewater (3)

<b>EAAE E4190:</b> Photovoltaic systems eng	(3)
<b>MECE E4211:</b> Energy: sources and conv	(3)
<b>CIEN E4250:</b> Waste contain design and prac	(3)
<b>CHEE E4252:</b> Intro to surface and colloid chem	(3)
<b>CIEE E4252:</b> Environmental engineering	(3)
<b>CIEE E4257:</b> Groundwater contaminant transport and remediation	(3)
<b>CHEN E4410:</b> Environmental control technology	(3)

### MINOR IN EAST ASIAN STUDIES

1–5. Any two of the survey courses on Chinese, Japanese, Korean, or Tibetan civilization (ASCE UN1359, UN1361, UN1363, UN1365), plus three elective courses dealing with East Asia. The elective courses may be taken in departments outside of East Asian Languages and Cultures. The minor does not include a language requirement. However, one semester of an East Asian language class may be used to fulfill one of the three electives, as long as at least two semesters of that language have been taken. Placement exams may not be used in place of these courses.

### MINOR IN ECONOMICS

1. **ECON UN1105:** Principles of economics (4)
2. **ECON UN3211:** Intermediate microeconomics (4)
3. **ECON UN3213:** Intermediate macroeconomics (4)
4. **ECON UN3412:** Introduction to econometrics (4)

*Note:* UN1105 is a prerequisite for UN3211, UN3213, and UN3412. Students must have completed Calculus I before taking UN3213, Calculus III before taking UN3211, and one of the introductory statistics courses (see list) before taking UN3412.

- 5–6. Electives: Two of the following courses:
- |  |     |
|--|-----|
| <b>ECON UN2105:</b> American economy                           | (3) |
| <b>ECON UN2257:</b> Global economy                             | (3) |
| <b>ECON UN3025:</b> Financial economics                        | (3) |
| <b>ECON UN3265:</b> Econ of money and banking                  | (3) |
| <b>ECON GU4020:</b> Econ of uncertainty and info               | (3) |
| <b>ECON GU4211:</b> Advanced microeconomics                    | (3) |
| <b>ECON GU4213:</b> Advanced macroeconomics                    | (3) |
| <b>ECON GU4228:</b> Urban economics                            | (3) |
| <b>ECON GU4230:</b> Economics of NYC                           | (3) |
| <b>ECON GU4235:</b> Historical foundations of modern economics | (3) |
| <b>ECON GU4251:</b> Industrial organization                    | (3) |
| <b>ECON GU4260:</b> Market design                              | (3) |
| <b>ECON GU4280:</b> Corporate finance                          | (3) |
| <b>ECON GU4301:</b> Economic growth and development            | (3) |
| <b>ECON GU4321:</b> Economic development                       | (3) |
| <b>ECON GU4370:</b> Political economy                          | (3) |
| <b>ECON GU4400:</b> Labor economics                            | (3) |
| <b>ECON GU4412:</b> Advanced econometrics                      | (3) |
| <b>ECON GU4415:</b> Game theory                                | (3) |

<b>ECON GU4438:</b> Economics of race in the U.S.	(3)
<b>ECON GU4465:</b> Public economics	(3)
<b>ECON GU4480:</b> Gender and applied economics	(3)
<b>ECON GU4500:</b> International trade	(3)
<b>ECON GU4505:</b> Int'l monetary theory and policy	(3)
<b>ECON GU4615:</b> Law and economics	(3)
<b>ECON GU4625:</b> Economics of the environment	(3)
<b>ECON GU4700:</b> Financial crises	(3)
<b>ECON GU4750:</b> Globalization and its risks	(3)
<b>ECON GU4850:</b> Cognitive mechanisms and economic behavior	(4)

*Note:* Electives may be taken only after the completion of both UN3211 and UN3213, with the exception of UN2257 and UN2105, which may be taken after completion of UN1105. Some of the elective courses listed above have additional prerequisites. Courses may be taken only after the completion of all prerequisites. Please see the Columbia College bulletin for course descriptions and complete lists of prerequisites.

7. Statistics: One of the following three probability and statistics options (course or sequence):
- a. **SIEO W3600 (or STAT GU4001):** Intro to probability and statistics
  - b. **IEOR E3658: Probability and E4307: Applied statistical models**
  - c. **STAT UN4203 (or GR5203):** Intro to probability and statistics and **STAT UN4204 (or GR5204):** Statistical inference

*Notes:*

- The statistics course must be finished before taking UN3412, and it is recommended that students take UN3412 in the semester following the statistics course.
- Some courses done as part of the economics minor may count toward fulfilling the School's nontechnical requirements. However, other courses, such as UN3412: *Intro to econometrics*, may not be applied toward satisfaction of the nontechnical course requirements. To determine which economic class can count toward the nontech elective requirement, please consult the nontech elective section of this bulletin for further details.
- Students with AP credit for economics and an exemption for UN1105 may use the credit toward the minor.
- Transfer or study abroad credits may not be applied to fulfill the requirements of the economics minor.

### MINOR IN ELECTRICAL ENGINEERING

1. **ELEN E1201:** Intro to electrical eng (3.5)  
(May be replaced by a similar course or roughly equivalent experience)
2. **ELEN E3201:** Circuit analysis (3.5)
3. **CSEE W3827:** Fund of computer systems (3)
4. **ELEN E3081 and ELEN E3082:** Electrical engineering labs (2)
5. **ELEN E3801:** Signals and systems (3.5)
6. **ELEN E3106:** Solid-state dev and mat (3.5)  
or **ELEN E3401:** Electromagnetics (4)

*Note:* Not available to computer engineering majors

### MINOR IN ENGINEERING MECHANICS

1. **ENME E3105:** Mechanics (4)
  2. **ENME E3113:** Mechanics of solids (3)
  3. **ENME E3161:** Fluid mechanics (4)  
or **MECE E3100:** Intro to mech of fluids (3)
- 4–6. Electives: Three of the following:
- |   |     |
|---|-----|
| <b>ENME E3106:</b> Dynamics and vibrations      | (3) |
| <b>ENME E3114:</b> Exp mechanics of materials   | (4) |
| <b>or MECE E3414:</b> Adv strength of materials | (3) |
| <b>CIEN E3121:</b> Structural analysis          | (3) |
| <b>ENME E4202:</b> Advanced mechanics           | (3) |
| <b>ENME E4113:</b> Advanced mechanics of solids | (3) |
| <b>ENME E4114:</b> Mech of fracture and fatigue | (3) |
| <b>ENME E4214:</b> Theory of plates and shells  | (3) |
| <b>ENME E4215:</b> Theory of vibrations         | (3) |
| <b>MECE E3301:</b> Thermodynamics               | (3) |

### MINOR IN ENGLISH AND COMPARATIVE LITERATURE

1–5. Any five courses in the English Department with no distribution requirement. No speech courses, only one writing course as above and excluding ENGL CC1010, may be taken; total 15 points.

### MINOR IN ENTREPRENEURSHIP AND INNOVATION

Minimum: 15 points

- 1–2. Required courses:
- |   |     |
|---|-----|
| <b>IEOR E2261:</b> Accounting and finance                                     | (3) |
| <b>and IEOR E4998:</b> Managing technological innovation and entrepreneurship | (3) |
- 3–5. Electives: Three of the following courses:
- |   |     |
|---|-----|
| <b>BIOT GU4180:</b> Entrepreneurship in biotech                       | (3) |
| <b>BMEN E3998:</b> Projects in biomedical eng                         | (3) |
| <b>BUSI W3021:</b> Marketing management                               | (3) |
| <b>CHEN E4020:</b> Protection of industrial and intellectual property | (3) |
| <b>CIEN E4136:</b> Global entrepreneurship in civil engineering       | (3) |

- COMS W4444: Program and problem solving (3)  
 COMS W4460: Principles of innovation in biotechnology (3)  
 ECON GU4280: Corporate finance (3)  
 IEOE E4003: Corporate finance for engineers (3)  
 IEOE E4510: Project management (3)  
 IEOE E4550: Entrepreneurial business creation for engineers (3)  
 IEOE E4555: Design and agile project management (3)  
 (Fall semester by application only)  
 IEOE E4560: Lean launchpad (3)  
 (by application only with adviser approval)  
 IEME E4310: The manufacturing enterprise (3)

### MINOR IN FRENCH

- 1–2. FREN UN3333: Intro to literary study I and UN3334: Intro to literary study II (3)  
 3–5. Three additional courses in French beyond satisfaction of the language requirement

### MINOR IN FRENCH AND FRANCOPHONE STUDIES

Required: 15 points beyond second-year French

- 1–2. FREN UN3420 and UN3421: Intro to French and francophone studies, I and II (3, 3)  
 3–5. Three additional courses in French beyond satisfaction of the language requirement

### MINOR IN GERMAN

Required: 15 points beyond second-year German

1. GERM UN3001 or 3002: Adv German, I or II (3)  
 2. GERM UN3333: Intro to German literature (3)  
 3. One of the period survey courses in German literature and culture, GERM UN3442, UN3443, UN3444, UN3445  
 4–5. Two courses taken from any 3000/4000-level German or CompLit-German courses taught in German or English

### MINOR IN GREEK OR LATIN

- 1–4. A minimum of 13 points in the chosen language at the 1200 level or higher  
 5. 3 points in ancient history of the appropriate civilization

### MINOR IN HISPANIC STUDIES

1. SPAN UN3300: Adv language through content (3)  
 2. SPAN UN3330: Intro to the study of Hispanic cultures (3)  
 3–4. SPAN UN3349 and UN3350: Hispanic cultures, I and II (3, 3)  
 5. One additional 3000-level elective course in the Department of Latin American and Iberian Cultures

Note: Please see the director of undergraduate studies in the Department of Latin American and Iberian Cultures for more information and to declare the minor.

### MINOR IN HISTORY

- 1–5. Minimum 5 courses in the History Department with no distribution or seminar requirements. Transfer or study-abroad credits may not be applied.

### MINOR IN INDUSTRIAL ENGINEERING

1. IEOE E3658: Probability for engineers<sup>1</sup> (4) or STAT GU4001: Intro to probability and statistics (3)  
 2. IEOE E3608: Foundations of optimization (3)  
 3. IEOE E3402: Production inventory planning and control (4)  
 4. IEOE E4003: Corporate finance for engineers (3)  
 5–6. Electives: Two IEOE courses of interest and approved by a faculty adviser<sup>2</sup>

Note: In addition to the required courses, students majoring in operations research and its concentrations (EMS or FE) minoring in industrial engineering must take three industrial engineering courses that are not used to satisfy the requirements of their major.

<sup>1</sup> Preferred course. Class of 2019 may have taken SIEO W3600 to fulfill IEOE E3658. SIEO W3600 is no longer offered.

<sup>2</sup> Electives should be 3000-level or higher.

### MINOR IN MATERIALS SCIENCE AND ENGINEERING

- 1–5. Any five MSAE E3000 or MSAE E4000-level courses, excluding MSAE E3900 (Undergraduate research), and excluding MSAE E3156, E3157 (Design project), and excluding MSAE E4301 (Materials science laboratory).

### MINOR IN MECHANICAL ENGINEERING

- 1–4. Four of the following courses:  
 MECE E3100: Intro to mechanics of fluids (3)  
 or ENME E3161: Fluid mechanics (4)  
 or CHEN E3110: Transport phenomena, I or EAEE E4900: App transport and chemical rate phenomena (3)  
 ENME E3105: Mechanics (4)  
 MECE E3301: Thermodynamics (3)  
 or CHEE E3010: Principles of chemical engineering thermodynamics (3)  
 or MSAE E3111: Thermodynamics, kinetic theory, and statistical mechanics (3)  
 ENME E3113: Mechanics of solids (3)

- MECE E3408: Comp graphics and design (3)  
 MECE E3311: Heat transfer (3)  
 MECE E3610: Materials and processes in manufacturing (3)  
 MECE E3409: Machine design (3)  
 EEME E3601: Classical control systems (3)

- 5–6. Electives: Two additional mechanical engineering courses from either the above list or the following (not all courses in this list are given every year):

- MECE E3401: Mechanics of machines (3)  
 MECE E3450: Computer-aided design (3)  
 MECE E4058: Mechatronics and embedded microcomputer control (3)  
 MECE E4100: Mechanics of fluids (3)  
 MECE E4211: Energy: sources and conversion (3)  
 MECE E4212: Microelectromechanical sys (3)  
 MECE E4302: Advanced thermodynamics (3)  
 MECE E4501: Geometrical modeling (3)  
 MECE E4502: Comp geometry for CAD/CAM (3)  
 EEME E4601: Digital control systems (3)  
 MECE E4602: Intro to robotics (3)  
 MECE E4604: Product design for manufact (3)  
 MECE E4609: Computer-aided manufacturing (3)  
 MECE E4610: Adv manufacturing processes (3)

Note: Equivalent substitution courses require the approval of the Mechanical Engineering Program Adviser.

### MINOR IN MIDDLE EASTERN, SOUTH ASIAN, AND AFRICAN STUDIES

- 1–5. Five courses, to be chosen with the approval of the MESAAS Director of Undergraduate Studies; no elementary or intermediate language courses may be counted.

### MINOR IN MUSIC

1. MUSI UN2318-2319: Music theory, I and II (3, 3)  
 2. MUSI UN1312-1313: Intro ear training (1)  
 3. MUSI UN2314: Ear training, I (1)  
 4. One of the following courses:  
 MUSI UN3128: History of Western music, I (3)  
 MUSI UN3129: History of Western music, II (3)  
 5–6. Any two electives at the 3000 or 4000 level. See also the Engineering-approved nontechnical electives in music (page 12).

Notes:

- Students must successfully place out of MUSI UN1002: Fundamentals of music (3.0 points).
- Steps 4 and 5 must be completed to fulfill the nontechnical elective requirement for graduation.
- Students are strongly encouraged to take HUMA UN1123: Masterpieces of Western music (3.0 points) from the list of nontechnical electives.

## MINOR IN OPERATIONS RESEARCH

1. **IEOR E3658:** Probability for engineers<sup>1</sup> (4)  
or **STAT GU4001:** Intro to probability and statistics (3)
  2. **IEOR E3106:** Stochastic systems and applications (3)
  3. **IEOR E3608:** Foundations of optimization (4)
  4. **IEOR E3404:** Simulation modeling and analysis (4)
- 5–6. **Electives: Two IEOE courses (6 pts) of interest and approved by a faculty adviser.<sup>2</sup> IEOE E3402: Production-inventory planning and control (3) is strongly recommended.**

*Note:* In addition to the required courses, students majoring in industrial engineering must take three operations research courses that are not used to satisfy the requirements of their major.

<sup>1</sup> Preferred course. Class of 2019 may have taken SIEO W3600 to fulfill IEOE E3658. SIEO W3600 is no longer offered.

<sup>2</sup>Electives should be 3000-level or higher.

## MINOR IN PHILOSOPHY

- 1–5. **Any five courses in the Philosophy Department with no distribution requirement; total 15 points. See also the list of exceptions under Elective Nontechnical Courses.**

*Note:* Please be aware that some philosophy courses may not count as nontechnical electives.

## MINOR IN POLITICAL SCIENCE

- 1–2. **Two of the following courses:**
- POLS UN1201:** Intro to American govt and politics (3)
  - POLS UN1501:** Intro to comparative politics (3)
  - POLS UN1601:** International politics (3)
- 3–5. **Any three courses in the Political Science Department with no distribution requirement; total 9 points**

## MINOR IN PSYCHOLOGY

**Minimum: 15 points**

1. **PSYC UN1001:** The science of psychology (3)
- 2–5. **Any four courses from, at a minimum, two of the three groups below:**
- I. **PERCEPTION AND COGNITION**  
Courses numbered in the 2200s, 3200s, or 4200s. Also PSYC UN1420, UN1480, or UN1490
  - II. **PSYCHOBIOLOGY AND NEUROSCIENCE**  
PSYC UN1010: Mind, brain, and behavior (3)  
Courses numbered in the 2400s, 3400s, or 4400s; also PSYC UN1440
  - III. **SOCIAL, PERSONALITY, AND ABNORMAL**  
Courses numbered in the 2600s, 3600s, or 4600s; also PSYC UN1450 or UN1455

## MINOR IN RELIGION

- 1–5. **Five courses (total 15 points), one of which must be at the 2000 level**

## MINOR IN SOCIOLOGY

1. **SOCI UN1000:** The social world (3)
  2. **SOCI UN3000:** Social theory (3)
- 3–5. **Any two 2000-, 3000-, or 4000-level courses offered by the Department of Sociology; total 6 points**

## MINOR IN STATISTICS

1. **STAT UN1101:** Intro to statistics (w/o calculus) (3)
2. **STAT UN2102:** App statistical computing (3)
3. **STAT UN2103:** App linear regression analysis (3)
4. **STAT UN2104:** App categorical data analysis (3)
5. **STAT UN3105:** Appl. statistical methods (3)
6. **STAT UN3106:** Applied data mining (3)

*Notes:*

- The curriculum is designed for students seeking practical training in applied statistics; students seeking a foundation for advanced work in probability and statistics should consider substituting UN3203, UN3204, UN3205, and GR5207.

- Students may, with permission of the Director of Undergraduate Studies in Statistics, substitute for courses. Students may count up to two courses toward both the Statistics minor and another Engineering major.

## MINOR IN SUSTAINABLE ENGINEERING

Total of six courses from the following lists required with no substitutions allowed:

- 1–4. **Four of the following courses:**

- EAAE E2002:** Alternative energy sources (3)
- EAAE E2100:** Better planet by design (3)
- CIEE E3250:** Hydrosystems engineering (3)
- CIEE E3260:** Eng for developing comm (3)
- EAAE E3901:** Environmental microbiology (3)
- EAAE E4001:** Industrial ecology (3)
- ECIA W4100:** Mgmt and dev of water systems (3)
- APPH E4130:** Physics of solar energy (3)
- EAAE E4190:** Photovoltaic systems eng and sustainability (3)
- MECE E4211:** Energy sources and conversion (3)
- MECE E4312:** Solar thermal engineering (3)
- EAAE E4257:** Environmental data analysis (3)
- MECE E4314:** Dynamics of green buildings (3)
- EESC GU4404:** Regional climate and climate impacts (3)

5. **One of the following courses:**

- ECON UN2257:** Global economy (3)
- PLAN A4151:** Found of urban economic analysis (3)
- PLAN A4304:** Intro to housing (3)
- ECON UN4321:** Economic development (3)
- ECON GU4527:** Econ org and develop of China (3)
- PLAN A4579:** Intro to environmental planning (3)
- ECON GU4625:** Economics of the environment (3)

6. **One of the following courses:**

- POLS UN3212:** Environmental politics (3)
- POLS UN3213:** American urban politics (3)
- SOCI UN3235:** Social movements (3)
- SOCI UN3324:** Global urbanism (3)

# Interdisciplinary Courses and Courses in Other Divisions of the University



Of the following courses, some may be requirements for degree programs, and others may be taken as electives. See your departmental program of study or consult with an adviser for more information.

**ENGI E1102x and y The art of engineering**  
4 pts. Lect: 4. Professor Vallancourt.

Core requirement for all entering SEAS students. A bridge between the science-oriented, high school way of thinking and the engineering point of view. Fundamental concepts of math and science are reviewed and re-framed in an engineering context, with numerous examples of each concept drawn from all disciplines of engineering represented at Columbia. Non-technical issues of importance in professional engineering practice such as ethics, engineering project management, and societal impact are addressed. Lab fee: \$350.

**ORCA E2500x or y Foundations of data science**  
3 pts. Lect: 3. Professor Stein.

Prerequisites: Calculus I and II, some familiarity with programming. Designed to provide an introduction to data science for sophomore SEAS majors. Combines three perspectives: inferential thinking, computational thinking, and real-world applications. Given data arising from some real-world phenomenon, how does one analyze that data so as to understand that phenomenon? Teaches critical concepts and skills in computer programming, statistical inference and machine learning, in conjunction with hands-on analysis of real-world datasets such as economic data, document collections, geographical data, and social networks. At least one project will address a problem relevant to New York City.

**EEHS E3900y History of telecommunications: from the telegraph to the internet**  
3 pts. Lect: 3.

Historical development of telecommunications from the telegraphy of the mid-1800s to the Internet at present. Included are the technologies of telephony, radio, and computer communications. The coverage includes both the technologies themselves and the historical events that shaped, and in turn were shaped by, the technologies.

The historical development, both the general context and the particular events concerning communications, is presented chronologically. The social needs that elicited new technologies and the consequences of their adoption are examined. Throughout the course, relevant scientific and engineering principles are explained as needed. These include, among others, the concept and effective use of spectrum, multiplexing to improve capacity, digital coding, and networking principles. There are no prerequisites, and no prior scientific or engineering knowledge is required. Engineering students may not count this course as a technical elective.

**ENGI E4000x and y Professional development and leadership for engineers and applied scientists**

0 pts. Professor Mak.

PDL course aims to enhance and expand Columbia Engineering graduate students' interpersonal, professional, and leadership skills, through six modules, including (1) professional portfolio; (2) communication skills; (3) business etiquette and networking; (4) leadership, followership, and teamwork; (5) life management; and (6) ethics and integrity. Students build upon and enhance interpersonal and intrapersonal skills to further distinguish themselves in classroom and career.

**ENGI W4100y Research to revenue**

3 pts. Lect: 3. Professors Sia and Toubia.

An interschool course with Columbia Business School that trains engineering and business students to identify and pursue innovation opportunities that rely on intellectual property coming out of academic research. Idea generation, market research, product development, and financing. Teams develop and present business model for a technological invention. This course has limited enrollment by application and is open to advanced undergraduate students and graduate students. Consult with department for questions on fulfillment of technical elective requirement.

**ENGI E8000 Doctoral fieldwork**

1 pts. Professor Kachani and Associate Dean Simon.

Fieldwork is integral to the academic preparation and professional development of doctoral students.

This course provides the academic framework for fieldwork experience required for the student's program of study. Fieldwork documentation and faculty advisor approval is required prior to registration. A final written report must be submitted. This course will count toward the degree program and cannot be taken for pass/fail credit or audited. With approval from the department chair or the doctoral program director, doctoral students can register for this course at most twice. In rare situations, exceptions may be granted by the Dean's Office to register for the course more than twice (e.g., doctoral students funded by industrial grants who wish to perform doctoral fieldwork for their corporate sponsor). The doctoral student must be registered for this course during the same term as the fieldwork experience.

**COSA E9800x and y Data Science Doctoral Seminar**

1 pts. Professor Blei.

Prerequisite and Corequisite: Faculty approval. Course required for all Data Science Doctoral students. Others by faculty approval. The Data Science Doctoral Seminar is a 1-credit course that meets weekly. The purpose is to expose the doctoral students to a breadth of ideas in data science across disciplinary domains. The syllabus combines guest lectures from academic data scientists in the greater NYC area and faculty at Columbia, along with a selection of related readings chosen by the guest lecturers. As part of this seminar, students will be expected to engage in active open discussion about the topics and readings covered in class, as well as discuss how such topics apply to their own respective research areas.

This listing of courses has been selected with specific engineering program requirements in mind.

For information on these courses and additional courses offered by these departments, please consult the bulletins of Columbia College, the School of Continuing Education, the School of General Studies, and the Graduate School of Arts and Sciences.

### APPLIED SCIENCE AND APPLIED MATHEMATICS

Undergraduates are required to take APMA E2000 instead of MATH UN1201 and UN1202 for major programs in the four-year course of study in the School of Engineering and Applied Science. Students in the Class of 2018, 2019, and 2020 are allowed to count MATH UN1201 and UN1202 instead of APMA E2000. Students in the Class of 2021 and beyond must participate in APMA E2000 to satisfy a portion of their basic mathematics and degree requirements.

#### APMA E2000x and y Multivariable calculus for engineers and applied scientists

4 pts. Lect: 3. Professor Youngren.

Differential and integral calculus of multiple variables. Topics include partial differentiation; optimization of functions of several variables; line, area, volume, and surface integrals; vector functions and vector calculus; theorems of Green, Gauss, and Stokes; applications to selected problems in engineering and applied science. Offered through Columbia Engineering.

### BIOLOGICAL SCIENCES

#### BIOL UN2005x Introductory biology, I: biochemistry, genetics, and molecular biology

4 pts. Professors Price and Mowshowitz.

Prerequisite: one year of college chemistry, or a strong high school chemistry background. Lecture

and recitation. Recommended as the introductory biology course for biology and related majors, and for premedical students. Fundamental principles of biochemistry, molecular biology, and genetics.

#### BIOL UN2006y Introductory biology, II: cell biology, development, and physiology

4 pts. Professor Mowshowitz.

Prerequisite: EEEB W2001 or BIOL UN2005, or the instructor's permission. Lecture and recitation. Recommended second term of biology for majors in biology and related majors, and for premedical students. Cellular biology and development; physiology of cells and organisms.

#### BIOL UN2501x or y Contemporary biology laboratory

3 pts. Professor Hazen.

Strongly recommended prerequisite or corequisite: BIOL UN2005 or UN2401. Experiments focus on genetics and molecular biology, with an emphasis on data analysis and experimental techniques. The class also includes a study of mammalian anatomy and histology. Each section is limited to 28 students. Lab fee: \$150.

#### BIOC UN3501 Biochemistry: structure and metabolism

4 pts. Professor Stockwell.

Prerequisites: BIOL UN2005 or UN2006 and one year of organic chemistry. Lecture, recitation, and discussion. Students wishing to cover the full range of modern biochemistry should take both BIOC UN3501 and UN3512. UN3501 covers subject matters in modern biochemistry, including chemical biology and structural biology, discussing the structure and function of both proteins and small molecules in biological systems. Proteins are the primary class of biological macromolecules and serve to carry out most cellular functions. Small organic molecules function in energy production and creating building blocks for the components of cells and can also be used to perturb the functions of proteins directly. The first half of the course covers protein structure, enzyme kinetics and enzyme mechanism. The second half of the course explores how small molecules are used endogenously by living systems in metabolic and catabolic pathways; this part of the course

focuses on mechanistic organic chemistry involved in metabolic pathways.

### BUSINESS

#### BUSI UN3021x and y Marketing management

3 pts. Lect: 3. Professor Amano.

Designed to provide students with an understanding of the fundamental marketing concepts and their application by business and non-business organizations. The goal is to expose students to these concepts as they are used in a wide variety of settings, including consumer goods firms, manufacturing and service industries, and small and large businesses. The course gives an overview of marketing strategy issues, elements of a market (company, customers, and competition), as well as the fundamental elements of the marketing mix (product, price, placement/distribution, and promotion).

### CHEMISTRY

#### Placement Exam

All students must take the placement exam during Orientation week. The results of the placement exam are used to advise students which track to pursue.

#### Courses of Instruction

Pre-engineering students should refer to the First Year–Sophomore Program to determine the chemistry requirements for admission to particular Junior-Senior Programs. Special attention should be given to the requirements for admission to chemical engineering, biomedical engineering, materials science and metallurgical engineering, and other related fields.

#### Laboratory Fee

The laboratory fee covers the cost of

nonreturnable items, chemicals, and reasonable breakage. In addition, students may be charged for lab handouts and excessive breakage, for cleaning of equipment returned dirty, and for checking out late.

#### **CHEM UN1403x-UN1404y General chemistry I and II**

*4 pts. Lect: 3.5. Members of the faculty.*  
Prerequisites: Concurrent registration in MATH UN1101; for UN1404: CHEM UN1403. Preparation equivalent to one year of high school chemistry is assumed, and concurrent registration in Calculus I. Students lacking such preparation should plan independent study of chemistry over the summer or take CHEM UN0001 before taking UN1403. UN1403: topics include stoichiometry, states of matter, nuclear properties, electronic structures of atoms, periodic properties, chemical bonding, molecular geometry, introduction to quantum mechanics and atomic theory, introduction to organic and biological chemistry, solid state and materials science, polymer science and macromolecular structures and coordination chemistry. UN1404: topics include gases, kinetic theory of gases, states of matter—liquids and solids, chemical equilibria, applications of equilibria, acids and bases, chemical thermodynamics, energy, enthalpy, entropy, free energy, periodic properties, chemical kinetics, and electrochemistry. Although UN1403 and UN1404 are separate courses, students are expected to take both terms sequentially. The order of presentation of topics may differ from the order presented here, and from year to year. Corresponding recitation section required.

#### **CHEM UN1500x or y General chemistry laboratory**

*3 pts. Lab: 3. Professors Hansen and Ulichny.*  
Corequisite: CHEM UN1403 or UN1404. Lab fee: \$140. An introduction to basic techniques of modern experimental chemistry, including quantitative procedures and chemical analysis. A lab lecture section is also required for this course (UN1501).

#### **CHEM UN1507x or y Intensive general chemistry laboratory**

*3 pts. Lab: 3. Professor Avila.*  
Pre/corequisites: CHEM UN1604, UN2045, or UN2046. Lab fee: \$140. An introduction to theory and practice of modern experimental chemistry in a contextual, student-centered collaborative learning environment. This course differs from CHEM UN1500 in its pedagogy and its emphasis on instrumentation and methods. Students must also attend the compulsory mentoring session. Please check the Directory of Classes for details.

#### **CHEM UN1604x Intensive general chemistry (lecture)**

*4 pts. Professors McDermott and Gonzalez.*  
Prerequisite: A grade of "B" or better in CHEM UN1403 or UN1404 or acceptable performance on the Department placement exam. Corequisite: Calculus II. Topics include gases (kinetic

theory of gases); binary collision model for chemical reactions; chemical kinetics; acid-base equilibria; thermochemistry (Thermodynamics I); spontaneous processes (Thermodynamics II); chemical bonding in polyatomic molecules. Recitation section required.

#### **CHEM UN2045x-UN2046y Intensive organic chemistry I and II (lecture)**

*4 pts. Professors Leighton and Nuckolls.*  
Prerequisites: A grade of 5 on the Chemistry Advanced Placement exam and an acceptable grade on the Department placement exam or CHEM UN1604. Corequisite: CHEM UN1507. Premedical students may take CHEM UN2045, UN2046, and UN2545 to meet the minimum requirements for admission to medical school. This course covers the same material as CHEM UN2443-UN2444 but is intended for students who have learned the principles of general chemistry in high school or have completed CHEM UN1604 in their first year at Columbia. First year students enrolled in CHEM UN2045-UN2046 are expected to enroll concurrently in CHEM UN1507. Although CHEM UN 2045 and UN 2046 are separate courses, students are expected to take both terms sequentially. Recitation section required.

#### **CHEM UN2443x-UN2444y Organic chemistry I and II (lecture)**

*4 pts. Professors Campos, Phillips, and Doubleday.*  
Prerequisites: CHEM UN1403 or UN1404 or UN1604, and UN1500. The principles of organic chemistry. The structure and reactivity of organic molecules are examined from the standpoint of modern theories of chemistry. Topics include stereochemistry, reactions of organic molecules, mechanisms of organic reactions, syntheses and degradations of organic molecules, and techniques of structure determination. Although UN2443 and UN2444 are separate courses, students are expected to take both terms sequentially. Corresponding recitation section required.

#### **CHEM UN2493 Organic chemistry laboratory I (techniques)**

*1.5 pts.*  
Corequisite: CHEM UN2495. Lab fee: \$63. Techniques of experimental organic chemistry, with emphasis on understanding fundamental principles underlying the experiments in methodology of solving laboratory problems involving organic molecules. Attendance at the first lab lecture and laboratory session is mandatory. Please note that CHEM UN2493 is the first part of a full year organic chemistry laboratory course. Students must register for the lab lecture section (CHEM UN2495), which corresponds to their lab section. Students must attend one lab lecture and one lab section every other week.

#### **CHEM UN2494 Organic chemistry laboratory I (synthesis)**

*1.5 pts.*  
Corequisite: CHEM UN2496. Must complete CHEM UN2493 before registering for UN2494. Lab fee: \$62. Introduces students to experimental design

and trains students in the execution and evaluation of scientific data. The technique experiments in the first half of the course (CHEM UN2493) teach students to develop and master the required experimental skills to perform the challenging synthesis experiments in the second semester. The learning outcomes for this lab are the knowledge and experimental skills associated with the most important synthetic routes widely used in industrial and research environments. Attendance at the first lab lecture and laboratory session is mandatory. Please note that CHEM UN2494 is the second part of a full year organic chemistry laboratory course. Students must register for the lab lecture section (CHEM UN2495), which corresponds to their lab section. Students must attend one lab lecture and one lab section every other week.

#### **CHEM UN2545x Intensive organic chemistry laboratory**

*3 pts. Lab: 3. Professor Ng.*  
Prerequisites: CHEM UN2045 and UN2046 and UN1507. Lab fee: \$125. Intended for those students who have taken Intensive Organic Chemistry for First-Year Students, CHEM UN2045-UN2046 and who intend to major in Chemistry, Biochemistry, Chemical Physics, Environmental Chemistry.

#### **CHEM UN3079x-UN3080y Physical chemistry, I and II**

*4 pts. Professor Kaufman.*  
Prerequisites: CHEM UN1403 and UN1404 or CHEM UN1604, UN2045 and UN2046, or PHYS UN1201-UN1202 is acceptable, PHYS UN1401-UN1402 is highly recommended, or the equivalent. Corequisite: CHEM UN3085-UN3086. Elementary but comprehensive treatment of the fundamental laws governing the behavior of individual atoms and molecules and collections of them. UN3079: The thermodynamics of chemical systems at equilibrium and the chemical kinetics of nonequilibrium systems. UN3080: The quantum mechanics of atoms and molecules, the quantum statistical mechanics of chemical systems, and the connection of statistical mechanics to thermodynamics. Although CHEM UN3079 and UN 3080 are separate courses, students are expected to take both terms sequentially. Recitation section required.

#### **CHEM UN3085x-UN3086y Physical and analytical chemistry laboratory I and II**

*4 pts. Lab: 4. Professor Avila.*  
Prerequisite: CHEM UN3085 is prerequisite for UN3086. Corequisites: CHEM UN3079 for CHEM UN3085 and UN3080 for UN3080. Fee: \$125 per term. Techniques of experimental physical chemistry and instrumental analysis, including vibrational, electronic, and laser spectrophotometry, electroanalytical methods, calorimetry, reaction kinetics, hydrodynamic methods, scanning probe microscopy, and applications of computers to reduce experimental data, and computational chemistry. Students must also attend compulsory mentoring session.

#### **CHEM UN3098x or y Supervised independent research**

*4 pts. Lab: 4. Professor Gaspero.*

Prerequisite: Instructor's permission for entrance and permission of the departmental representative for aggregate points in excess of 12 or less than 4. Laboratory fee: \$105 per term. This course may be repeated for credit (see major and concentration requirements). Individual research under the supervision of a member of the staff. Research areas include organic, physical, inorganic, analytical, and biological chemistry.

#### **CHEM UN3546y Advanced organic chemistry laboratory**

*3 pts. Lab: 3. Professor Ng.*

Prerequisite: CHEM UN2545. Corequisite: CHEM UN2444. Lab fee: \$125. A project laboratory with emphasis on complex synthesis and advanced techniques including qualitative organic analysis and instrumentation.

### **EARTH AND ENVIRONMENTAL SCIENCES**

Undergraduates in the four-year course of study in the School of Engineering and Applied Science may take courses numbered up to 4999 but may enter courses of higher numbers only if

- (1) the course is expressly included in the prescribed curriculum or
- (2) special permission is obtained from the Department of Earth and Environmental Sciences.

#### **EESC UN1011x Earth: origin, evolution, processes, future**

*4 pts. Lect: 3. Lab: 1. Professor Rodriguez.*

Students who wish to take only the lectures should register for UN1411. What is the nature of our planet and how did it form? From geochemical and geophysical perspectives we explore Earth's internal structure, its dynamical character expressed in plate tectonics, and ask if its future behavior can be known. Students who wish to take only the lectures should register for UN1411.

#### **EESC UN1030x Oceanography**

*3 pts. Lect: 3. Professor Hoenisch.*

Explore the geology of the sea floor, understand what drives ocean currents and how ocean ecosystems operate. Case studies and discussions centered on ocean-related issues facing society.

#### **EESC UN1201y Environmental risks and disasters**

*3 pts. Lect: 3. Offered in alternate years.*

Prerequisites: high school science and math. First-years and sophomores will have priority. An introduction to risks and hazards in the environment. Different types of hazards are analyzed and compared: natural disasters, such as tornados, earthquakes, and meteorite impacts; acute and chronic health effects caused by exposure to radiation and toxic substances such as radon, asbestos, and arsenic; long-term societal effects due to environmental change, such as

sea level rise and global warming. Emphasizes the basic physical principles controlling the hazardous phenomena and develops simple quantitative methods for making scientifically reasoned assessments of the threats (to health and wealth) posed by various events, processes, and exposures. Discusses methods of risk mitigation and sociological, psychological, and economic aspects of risk control and management. Discussion section required.

#### **EESC UN1600x Earth resources and sustainable development**

*3 pts. Lect: 3. Professor Kelemen.*

Prerequisites: none. High school chemistry recommended. Survey of the origin and extent of mineral resources, fossil fuels, and industrial materials, that are nonrenewable, finite resources, and the environmental consequences of their extraction and use, using the textbook *Earth Resources and the Environment*, by James Craig, David Vaughan and Brian Skinner. This course provides an overview but includes focus on topics of current societal relevance, including estimated reserves and extraction costs for fossil fuels, geological storage of CO<sub>2</sub>, sources and disposal methods for nuclear energy fuels, sources and future for luxury goods such as gold and diamonds, and special, rare materials used in consumer electronics (e.g., "Coltan," mostly from Congo) and in newly emerging technologies such as superconducting magnets and rechargeable batteries (e.g., heavy rare earth elements, mostly from China). Guest lectures from economists, commodity traders and resource geologists will provide "real world" input. Discussion session required.

#### **EESC GU4001x Advanced general geology**

*4 pts. Lect: 3. Lab: 1. Professor Scholz.*

Prerequisites: one term of college-level calculus, physics, and chemistry. A concentrated introduction to the solid Earth, its interior, and near-surface geology. Intended for students with good backgrounds in the physical sciences but none in geology. Laboratory and field trips.

#### **EESC GU4008x Introduction to atmospheric science**

*3 pts. Lect: 3. Professor Polvani.*

Prerequisites: advanced calculus and general physics, or the instructor's permission. Basic physical processes controlling atmospheric structure: thermodynamics; radiation physics and radiative transfer; principles of atmospheric dynamics; cloud processes; applications to Earth's atmospheric general circulation, climatic variations, and the atmospheres of the other planets.

#### **EESC GU4009x Chemical geology**

*4 pts. Offered in alternate years.*

Prerequisite: physical chemistry or the instructor's permission. Thermodynamics as applied to earth systems.

#### **EESC GU4050x Global assessment and monitoring using remote sensing**

*3 pts. Professor Small.*

Prerequisite: Calculus I and Physics I and II. Enrollment limited to 20 students. General introduction to fundamentals of remote sensing, electromagnetic radiation, sensors, interpretation, quantitative image analysis and modeling. Example applications in the Earth and environmental sciences are explored through the analysis of remote sensing imagery in a state-of-the-art visualization laboratory. Lab required.

#### **EESC GU4076y Geologic mapping**

*3 pts. Lect: 3. Professor Savage.*

Prerequisite: Permission of instructor. Fieldwork on weekends in April and two weeks in mid-May, immediately following the end of examinations. Enrollment limited. Estimated expense: \$250. The principles and practices of deciphering geologic history through the observation of rocks in the field, mapmaking, construction of geological cross-sections, and short written reports. Please be advised: graduating undergraduate seniors may have to miss graduation.

#### **EESC GU4085x Geodynamics**

*3 pts. Lect: 3. Offered in alternate years.*

Prerequisites: calculus, differential equations, introductory physics. An introduction to how the Earth and planets work. The focus is on physical processes that control plate tectonics and the evolution of planetary interiors and surfaces; analytical descriptions of these processes; weekly physical model demonstrations.

#### **EESC GU4113x Introduction to mineralogy**

*4 pts. Offered in alternate years.*

Prerequisites: introductory geology or the equivalent, elementary college physics and chemistry, or the instructor's permission. Elementary crystallography and crystal structures, optical properties of minerals, mineral associations, economic minerals. Laboratory: identification of minerals in hand specimens and use of the petrographic microscope. Lab required.

#### **EESC GU4230y Crustal deformation**

*3 pts. Lect: 3. Professor Savage.*

Prerequisites: introductory geology and one year of calculus. Recommended preparation: higher levels of mathematics. Introduction to the deformation processes in the Earth's crust. Fundamental theories of stress and strain; rock behavior in both brittle and ductile fields; earthquake processes; ductile deformation; large-scale crustal contractional and extensional events.

#### **EESC GU4300x The Earth's deep interior**

*3 pts. Lect: 3. Offered in alternate years.*

Prerequisites: calculus, differential equations, one year of college physics, and EESC GU4950 or its equivalent. An introduction to properties of the Earth's mantle, fluid outer core, and solid inner core. Current knowledge of these features is explored, using observations of seismology, heat flow, gravity, and geomagnetism, plus information on the Earth's bulk composition.

#### **EESC GU4600x Earth resources and sustainable development**

*3 pts. Lect: 3. Professor Kelemen.*

Prerequisites: none. High school chemistry recommended. Survey of the origin and extent of mineral resources, fossil fuels, and industrial materials, that are nonrenewable, finite resources, and the environmental consequences of their extraction and use, using the textbook *Earth Resources and the Environment*, by James Craig, David Vaughan and Brian Skinner. This course provides an overview, but includes focus on topics of current societal relevance, including estimated reserves and extraction costs for fossil fuels, geological storage of CO<sub>2</sub>, sources and disposal methods for nuclear energy fuels, sources and future for luxury goods such as gold and diamonds, and special, rare materials used in consumer electronics (e.g., “Coltan,” mostly from Congo) and in newly emerging technologies such as superconducting magnets and rechargeable batteries (e.g., heavy rare earth elements, mostly from China). Guest lectures from economists, commodity traders and resource geologists will provide “real world” input. Required discussion and recitation sessions.

**EESC GU4701y Introduction to igneous petrology**

4 pts. Offered in alternate years

Prerequisite: introductory geology or the equivalent. Recommended preparation: EESC GU4113 and knowledge of chemistry. Compositional characteristics of igneous and metamorphic rocks and how they can be used as tools to investigate earth processes. Development of igneous and metamorphic rocks in a plate-tectonic framework.

**EESC GU4885y The chemistry of continental waters**

3 pts. Lect: 3. Offered in alternate years.

Recommended preparation: a solid background in basic chemistry. Introduction to geochemical cycles involving the atmosphere, land, and biosphere; chemistry of precipitation, weathering reactions, rivers, lakes, estuaries, and groundwaters; students are introduced to the use of major and minor ions as tracers of chemical reactions and biological processes that regulate the chemical composition of continental waters.

**EESC GU4924y Introduction to atmospheric chemistry**

3 pts. Lect: 3. Professor Fiore.

Prerequisites: PHYS UN1201, CHEM UN1403, Calculus III, or equivalent or instructor’s permission. EESC UN2100 preferred. Physical and chemical processes determining atmospheric composition and the implications for climate and regional air pollution. Atmospheric evolution and human influence; basics of greenhouse effect, photolysis, reaction kinetics; atmospheric transport of trace species; stratospheric ozone chemistry; tropospheric hydrocarbon chemistry; oxidizing power, nitrogen, oxygen, sulfur, carbon, mercury cycles; chemistry-climate-biosphere interactions; aerosols, smog, acid rain.

**EESC GU4925x Principles of physical oceanography**

3 pts. Lect: 3. Professors Abernathy and Gordon.

Recommended preparation: a solid background in mathematics, physics, and chemistry. Physical properties of seawater, water masses and their distribution, sea-air interaction influence on the ocean structure, basic ocean circulation pattern, relation of diffusion and advection with respect to distribution of ocean properties, ocean tides and waves, turbulence, and introduction to ocean dynamics.

**EESC GU4926y Principles of chemical oceanography**

3 pts. Lect: 3. Professor Anderson.

Prerequisites: Instructor’s permission for students without one year of chemistry. Course open to undergraduates with one year of chemistry. Recommended preparation: a solid background in mathematics, physics, and chemistry. Factors controlling the concentration and distribution of dissolved chemical species within the sea. Application of tracer and natural radioisotope methods to large-scale mixing of the ocean, the geological record preserved in marine sediments, the role of ocean processes in the global carbon cycle, and biogeochemical processes influencing the distribution and fate of elements in the ocean.

**EESC GU4930y Earth’s oceans and atmosphere**

3 pts. Lect: 3. Professor Gordon.

Recommended preparation: a good background in the physical sciences. Physical properties of water and air. Overview of the stratification and circulation of Earth’s oceans and atmosphere and their governing processes; ocean-atmosphere interaction; resultant climate system; natural and anthropogenic forced climate change.

**EESC GU4947y Plate tectonics**

3 pts. Lect: 3. Professor Gaberly.

Prerequisite: A course in solid earth geology or geophysics; solid background in math and physics. Development of comprehensive understanding of deformation and evolution of Earth’s surface through cross-disciplinary analysis of plate-tectonic cycle. Topics include thermal and chemical evolution of mid-ocean ridges, deep-ocean basins, subduction zones, continental rifts and collisions, and hot spots; driving forces of plate motion and mantle convection; magmatism and volcanism; and faulting and earthquakes. Emphasizes integration of geophysical, geological and geochemical observations and processes, with particular focus on observations from ocean basins.

**EESC GU4949x Introduction to seismology**

3 pts. Lect: 3. Offered in alternate years.

Prerequisites: Solid Earth dynamics (UN3201 or equivalent), differential equations (APMA E3102, E4200, or equivalent). Methods and underpinnings of seismology including seismogram analysis, elastic wave propagation theory, earthquake source characterization, instrumentation, inversion of seismic data to infer Earth structure.

**HUMANITIES AND SOCIAL SCIENCES**

For listings of additional courses of interest to engineering students, consult

the bulletins of Columbia College; the School of General Studies; the Graduate School of Architecture, Planning, and Preservation; the Graduate School of Business; and the Graduate School of Arts and Sciences.

**COCI CC1101x-CC1102y Introduction to contemporary civilization in the West**

4 pts. Lect: 4. Members of the faculty.

Taught by members of the Departments of Anthropology, Classics, English and Comparative Literature, French, German, History, Middle East and Asian Languages and Cultures, Philosophy, Political Science, Religion, Slavic Languages, and Sociology; and members of the Society of Fellows. A study in their historical context of major contributions to the intellectual traditions that underpin contemporary civilization. Emphasis is on the history of political, social, and philosophical thought. Students are expected to write at least three papers to complete two examinations, and to participate actively in class discussions.

**ECON UN1105x and y Principles of economics**

4 pts. Professors Gulati, O’Flaherty, Musatti, Miller, and Vergate.

Corequisites: ECON UN1155 recitation section with the same instructor. How a market economy determines the relative prices of goods, factors of production, and the allocation of resources and the circumstances under which it does it efficiently. Why such an economy has fluctuations and how they may be controlled. Recitation section required.

**ENGL CC1010x or y University writing**

3 pts. Members of the faculty.

University Writing helps undergraduates engage in the conversations that form our intellectual community. By reading and writing about scholarly and popular essays, students learn that writing is a process of continual refinement of ideas. Rather than approaching writing as an innate talent, this course teaches writing as a learned skill. We give special attention to textual analysis, research, and revision practices. It offers themed sections, all of which welcome students with no prior experience studying the theme. Students interested in a particular theme should register for the section within the specified range of section numbers.

**Global Core**

The Global Core requirement asks students to engage directly with the variety of civilizations and the diversity of traditions that, along with the West, have formed the world and continue to interact in it today. Courses in the Global Core typically explore the cultures of Africa, Asia, the Americas, and the Middle East in an historical context. These courses are organized around a set of primary materials produced in these traditions and may draw from texts or other forms of media, as well as from oral sources or performance. Global Core courses fall into two categories and can be, on occasion, a hybrid of the two types: those with a comparative, multidisciplinary, or interdisciplinary

focus on specific cultures or civilizations, tracing their existence across a significant span of time and may include Europe and the U.S.; and those that address a common theme or set of analytic questions comparatively (and may include Europe and the U.S.). The Global Core requirement consists of courses that examine areas not the primary focus of Literature, Humanities and Contemporary Civilization and that, like other Core courses, are broadly introductory, interdisciplinary, and temporarily and/or spatially expansive.

#### **HUMA CC1001x-CC1002y Masterpieces of Western literature and philosophy**

4 pts. Lect: 4. *Members of faculty.*

Taught by members of the Departments of Classics, English and Comparative Literature, French, German, Italian, Middle East and Asian Languages and Cultures, Philosophy, Religion, Slavic Languages, and Spanish; and members of the Society of Fellows in the Humanities. Major works by over twenty authors, ranging in time, theme, and genre from Homer to Virginia Woolf. Students are expected to write at least two papers, to complete two examinations each semester, and to participate actively in class discussions.

#### **HUMA UN1121x or y Masterpieces of Western art**

3 pts. Lect: 3. *Members of faculty.*

Discussion and analysis of the artistic qualities and significance of selected works of painting, sculpture, and architecture from the Parthenon in Athens to works of the 20th century.

#### **HUMA UN1123x or y Masterpieces of Western music**

3 pts. Lect: 3. *Members of faculty.*

Popularly known as "Music Hum," this course aims to instill in students a basic comprehension of the many forms of the Western musical imagination. The course involves students actively in the process of critical listening, both in the classroom and in concerts. Although not a history of Western music, the course is taught in chronological format and includes masterpieces by Josquin des Prez, Monteverdi, Bach, Handel, Mozart, Haydn, Beethoven, Verdi, Wagner, Schoenberg, Stravinsky, Louis Armstrong, Duke Ellington, and Charles Parker, among others.

## **MATHEMATICS**

### **Courses for First-Year Students**

Depending on the program, completion of APMA E2000 satisfies the basic mathematics requirement. Normally students who have taken an AP Calculus course begin with either Calculus II or APMA E2000. Refer to the AP guidelines on page 14 for placement information. The sequence ends with *MATH UN2030: Ordinary differential equations*.

Students who wish to transfer from one calculus course to another are allowed to do so beyond the date

specified on the Academic Calendar. They are considered to be adjusting their level, not changing their program. They must, however, obtain the approval of the new instructor and the Center for Student Advising before reporting to the Registrar.

#### **MATH UN1101x or y Calculus, I**

3 pts. Lect: 3.

Prerequisite: Functions, limits, derivatives, introduction to integrals, or an understanding of precalculus will be assumed.

#### **MATH UN1102x or y Calculus, II**

3 pts. Lect: 3.

Prerequisite: MATH UN1101 or equivalent. Methods of integration, applications of integral, Taylor's theorem, infinite series.

#### **MATH UN1207x-UN1208y Honors math A-B**

4 pts. Lect and recit. *Professors Warner, Thaddeus, and Hansen.*

Prerequisite: Score of 5 on the Advanced Placement BC calculus exam. The second term of this course may not be taken without the first. Multivariable calculus and linear algebra from a rigorous point of view.

#### **MATH UN2010 x or y Linear algebra**

3 pts. Lect: 3. *Professors Bayer, Schrader, and Thaddeus.*

Prerequisite: MATH UN1201 or equivalent. Matrices, vector spaces, linear transformations, eigenvalues and eigenvectors, canonical forms, applications.

#### **MATH UN2030x or y Ordinary differential equations**

3 pts. Lect: 3. *Professors Lazarev, Siegel, and Sun.*

Prerequisite: MATH UN1102-UN1201 or the equivalent. Special differential equations of order one. Linear differential equations with constant and variable coefficients. Systems of such equations. Transform and series solution techniques. Emphasis on applications.

#### **MATH UN2500x or y Analysis and optimization**

3 pts. Lect: 3. *Professors Drouot and Makisumi.* Prerequisites: MATH UN1102-UN1201 or equivalent, and UN2010. Mathematical methods for economics. Quadratic forms, Hessian, implicit functions. Convex sets, convex functions. Optimization, constrained optimization, Kuhn-Tucker conditions. Elements of the calculus of variations and optimal control.

#### **MATH UN3007y Complex variables**

3 pts. Lect: 3. *Professor Zhu.*

Prerequisite: MATH UN1202. An elementary course in functions of a complex variable. Fundamental properties of the complex numbers, differentiability, Cauchy-Riemann equations, Cauchy integral theorem, Taylor and Laurent series, poles, and essential singularities. Residue theorem and conformal mapping.

#### **MATH UN3027x Ordinary differential equations**

3 pts. Lect: 3. *Professor Daskalopoulos.*

Prerequisite: MATH UN1102-UN1201 or

equivalent. Corequisite: MATH UN2010. Equations of order one, systems of linear equations, second-order equations, series solutions at regular and singular points, boundary value problems, selected applications.

#### **MATH UN3028y Partial differential equations**

3 pts. Lect: 3. *Professor Savin.*

Prerequisite: MATH UN3027 and UN2010 or equivalent. Introduction to partial differential equations. First-order equations. Linear second-order equations, separation of variables, solution by series expansions. Boundary value problems.

#### **MATH GU4032x Fourier analysis**

3 pts. Lect: 3. *Professor de Silva.*

Prerequisites: three terms of calculus and linear algebra or four terms of calculus. Fourier series and integrals, discrete analogues, inversion and Poisson summation, formulae, convolution, Heisenberg uncertainty principle. Stress on the application of Fourier analysis to a wide range of disciplines.

#### **MATH GU4041x-GU4042y Introduction to modern algebra I and II**

3 pts. Lect: 3. *Professors Friedman, Neumann, and Zhu.*

The second term of this course may not be taken without the first. Prerequisites: MATH UN1102-UN1202 and UN2010 or equivalent. Groups, homomorphisms, rings, ideals, fields, polynomials, and field extensions, Galois theory.

#### **MATH GU4061x-GU4062y Introduction to modern analysis I and II**

3 pts. Lect: 3. *Professors Drouot, Guo, and Yu.*

The second term of this course may not be taken without the first. Prerequisite: MATH UN1202 or equivalent and UN2010. Real numbers, metric spaces, elements of general topology. Continuous and differentiable functions. Implicit functions. Integration, change of variables. Function spaces.

#### **MATH GU4065x Honors complex variables**

3 pts. Lect: 3. *Professor Dubedat or Urban.*

Prerequisite: MATH UN1207 and UN1208, or GU4061. A theoretical introduction to analytic functions. Holomorphic functions, harmonic functions, power series, Cauchy-Riemann equations, Cauchy's integral formula, poles, Laurent series, residue theorem. Other topics as time permits: elliptic functions, the gamma and zeta functions, the Riemann mapping theorem, Riemann surfaces, Nevanlinna theory.

## **PHYSICS**

The general four-term preengineering physics sequence consists of *PHYS UN1401, UN1402, UN1403, and UN1494 (laboratory)*; or *PHYS UN1601, UN1602, UN2601, and UN2699 (laboratory)*.

#### **PHYS UN1018y Weapons of mass destruction**

3 pts. Lect: 3. *Professor Marka.*

Prerequisites: high school science and math. A review of the history and environmental

consequences of nuclear, chemical, and biological weapons of mass destruction (WMD), of how these weapons work, what they cost, how they have spread, how they might be used, how they are currently controlled by international treaties and domestic legislation, and what issues of policy and technology arise in current debates on WMD. What aspects of the manufacture of WMD are easily addressed, and what aspects are technically challenging? It may be expected that current events/headlines will be discussed in class.

**PHYS UN1401x Introduction to mechanics and thermodynamics**

*3 pts. Lect: 2.5. Professors Hughes and Tuts.*  
Corequisite: MATH UN1101 or equivalent. Fundamental laws of mechanics, kinematics and dynamics, work and energy, rotational dynamics, oscillations, gravitation, fluids, temperature and heat, gas laws, first and second laws of thermodynamics.

**PHYS UN1402y Introduction to electricity, magnetism, and optics**

*3 pts. Lect: 2.5. Professors Karagiagi, and Metzger.*  
Prerequisite: PHYS UN1401. Corequisite: MATH UN1102 or equivalent. Electric fields, direct currents, magnetic fields, alternating currents, electromagnetic waves, polarization, geometrical optics, interference and diffraction.

**PHYS UN1403x Introduction to classical and quantum waves**

*3 pts. Lect: 2.5. Professor Dean.*  
Prerequisite: PHYS UN1402. Corequisite: MATH UN1201 or equivalent. Classical waves and the wave equation, Fourier series and integrals, normal modes, wave-particle duality, the uncertainty principle, basic principles of quantum mechanics, energy levels, reflection and transmission coefficients, applications to atomic physics.

**PHYS UN1493x Introduction to experimental physics**

*3 pts. Lab: 3. Members of the faculty.*  
Prerequisites: PHYS UN1401 and UN1402. Laboratory work associated with the two prerequisite lecture courses. Experiments in mechanics, thermodynamics, electricity, magnetism, optics, wave motion, atomic and nuclear physics. (Students cannot receive credit for both PHYS UN1493 and UN1494.)

**PHYS UN1494y Introduction to experimental physics**

*3 pts. Lab: 3. Members of the faculty.*  
Prerequisites: PHYS UN1401 and UN1402. Laboratory work associated with the two prerequisite lecture courses. Experiments in mechanics, thermodynamics, electricity, magnetism, optics, wave motion, atomic and nuclear physics. (Students cannot receive credit for both PHYS UN1493 and UN1494.)

**PHYS UN1601x Physics, I: mechanics and relativity**

*3.5 pts. Lect: 2.5. Professor Dodd.*  
Corequisite: MATH UN1102 or equivalent.

Fundamental laws of mechanics, kinematics and dynamics, work and energy, rotational dynamics, oscillations, gravitation, fluids, introduction to special relativity and relativistic kinematics. The course is preparatory for advanced work in physics and related fields.

**PHYS UN1602y Physics, II: thermodynamics, electricity, and magnetism**

*3.5 pts. Lect: 2.5. Professor Humensky.*  
Prerequisite: PHYS UN1601. Corequisite: MATH UN1201 or equivalent. Temperature and heat, gas laws, the first and second laws of thermodynamics, kinetic theory of gases, electric fields, direct currents, magnetic fields, alternating currents, electromagnetic waves. The course is preparatory for advanced work in physics and related fields.

**PHYS UN2601x Physics, III: classical and quantum waves**

*3.5 pts. Lect: 2.5. Professor Manca.*  
Prerequisite: PHYS UN1602 or UN1402. Corequisite: MATH UN1202 or equivalent. Classical waves and the wave equation, geometrical optics, interference and diffraction, Fourier series and integrals, normal modes, wave-particle duality, the uncertainty principle, basic principles of quantum mechanics, energy levels, reflection and transmission coefficients, the harmonic oscillator. The course is preparatory for advanced work in physics and related fields.

**PHYS UN2699y Experiments in classical and modern physics**

*3 pts. Lab: 3. Professor Clark.*  
Prerequisites: PHYS UN1601 (or UN1401), UN1602 (or UN1402), and UN2601. Laboratory work associated with the three prerequisite lecture courses. Experiments in mechanics, thermodynamics, electricity, magnetism, optics, wave motion, atomic and nuclear physics.

**PHYS UN2801x-UN2802y Accelerated physics, I and II**

*4.5 pts. Lect: 3.5. Rec: 1 hour weekly to be arranged. Professor Cole.*  
Prerequisite: Advanced placement in physics and mathematics, or equivalent, and instructor's permission. (A special placement meeting is held during Orientation.) This accelerated two-semester sequence covers the subject matter of PHYS UN1601, UN1602, and UN2601 and is intended for students who have an exceptionally strong background in both physics and mathematics. The course is preparatory for advanced work in physics and related fields. There is no accompanying laboratory; however, students are encouraged to take the intermediate laboratory, PHYS UN3081, in the following year.

**PHYS UN3002y From quarks to the cosmos: applications of modern physics**

*3.5 pts. Lect: 3.5. Not offered in 2018–2019.*  
Prerequisite: PHYS UN2601 or UN2802. This course reinforces basic ideas of modern physics through applications to nuclear physics, high-

energy physics, astrophysics, and cosmology. The ongoing Columbia research programs in these fields are used as practical examples. The course is preparatory for advanced work in physics and related fields.

**PHYS UN3003x Mechanics**

*3 pts. Lect: 2.5. Professor Parsons.*  
Prerequisites: General physics; differential and integral calculus. Newtonian mechanics, oscillations and resonance, conservative forces and potential energy, central forces, noninertial frames of reference, rigid body motion, an introduction to Lagrange's formulation of mechanics, coupled oscillators, and normal modes.

**PHYS UN3007y Electricity and magnetism**

*3 pts. Lect: 2.5. Professor Metzger.*  
Prerequisite: General physics; differential and integral calculus. Electrostatics and magnetostatics, Laplace's equation and boundary-value problems, multiple expansions, dielectric and magnetic materials, Faraday's law, AC circuits, Maxwell's equations, Lorentz covariance, and special relativity.

**PHYS UN3008x Electromagnetic waves and optics**

*3 pts. Lect: 2.5. Professor Levin.*  
Prerequisite: PHYS UN3007. Maxwell's equations and electromagnetic potentials, the wave equation, propagation of plane waves, reflection and refraction, geometrical optics, transmission lines, wave guides, resonant cavities, radiation, interference of waves, and diffraction.

**PHYS UN3081x or y Intermediate laboratory work**

*2 pts. Lab: 2. Members of the faculty.*  
Prerequisite: PHYS UN2601 or UN2802. Primarily for junior and senior physics majors. Other majors require the instructor's permission. May be repeated for credit by performing different experiments. The laboratory has 15 individual experiments available, of which two are required per 2 points. Each experiment is chosen by the student in consultation with the instructor. Each section meets one afternoon per week, with registration in each section limited by the laboratory capacity. Experiments (classical and modern) cover topics in electricity, magnetism, optics, atomic physics, and nuclear physics.

**PHYS UN3083y Electronics laboratory**

*3 pts. Lab: 3. Professor Parsons.*  
Registration is limited to the capacity of the laboratory. Corequisite or prerequisite: PHYS UN3003 or UN3007. A sequence of experiments in solid-state electronics, with introductory lectures.

**PHYS GU4003y Advanced mechanics**

*3 pts. Lect: 2.5. Professor Weinberg.*  
Prerequisites: Differential and integral calculus, differential equations, and PHYS UN3003 or equivalent. Lagrange's formulation of mechanics, calculus of variations and the Action Principle, Hamilton's formulation of mechanics, rigid body motion, Euler angles, continuum mechanics, Introduction to chaotic dynamics.

**PHYS GU4018y Solid-state physics**

3 pts. Lect: 2.5. Professors Pinczuk and Uemura.  
Prerequisites: PHYS GU4021 and GU4023, or equivalent. Introduction to solid-state physics: crystal structures, properties of periodic lattices, electrons in metals, band structure, transport properties, semiconductors, magnetism, and superconductivity.

**PHYS GU4019x Mathematical methods of physics**

3 pts. Lect: 3. Professor Nields.  
Prerequisites: Differential and integral calculus; linear algebra; PHYS UN3003 and UN3007 or instructor's permission. Presents a wide variety of mathematical ideas and techniques used in the study of physical systems. Topics include ordinary and partial differential equations, generalized functions, integral transforms, Green's functions, nonlinear equations, chaos and solitons, Hilbert space and linear operators, Feynman path integrals, Riemannian manifolds, tensor analysis, probability and statistics. Discussion of applications to classical mechanics, fluid dynamics, electromagnetism, plasma physics, quantum mechanics, and general relativity.

**PHYS GU4021x-GU4022y Quantum mechanics, I and II**

3 pts. Lect: 2.5. Professor Hughes.  
Prerequisite: PHYS UN2601 or UN2802, or equivalent. Formulation of quantum mechanics in terms of state vectors and linear operators, three-dimensional spherically symmetric potentials, theory of angular momentum and spin, time-independent and time-dependent perturbation theory, scattering theory, and identical particles and the exclusion principle. Methods of approximation. Multielectron atoms. Selected phenomena from atomic physics, nuclear physics, and elementary particle physics are described and then interpreted using quantum mechanical models.

**PHYS GU4023x Thermal and statistical physics**

3 pts. Lect: 2.5. Professor Millis.  
Pre/Corequisite: PHYS GU4021 or equivalent. Thermodynamics, kinetic theory, and methods of statistical mechanics; energy and entropy; Boltzmann, Fermi, and Bose distributions; ideal and real gases; blackbody radiation; chemical equilibrium; phase transitions; ferromagnetism.

**PHYS GU4040x Introduction to general relativity**

3 pts. Lect: 2.5.  
Prerequisites: PHYS UN3003 and UN3007, or equivalent. Tensor algebra, tensor analysis, introduction to Riemann geometry. Motion of particles, fluid, and fields in curved spacetime. Einstein equation. Schwarzschild solution; test-particle orbits and light bending. Introduction to black holes, gravitational waves, and cosmological models.

**STATISTICS**

Engineering students interested in advancing their studies in Statistics should consult with a departmental adviser to determine the most appropriate courses for their interests.

**STAT UN2103x Applied linear regression analysis**

3 pts. Professor Rabinowitz.  
Prerequisite: An introductory course in statistics (STAT UN1101 is recommended). Students without programming experience in R might find STAT UN2102 very helpful. Develops critical thinking and data analysis skills for regression analysis in science and policy settings. Simple and multiple linear regression, nonlinear and logistic models, random-effects models, penalized regression methods. Implementation in a statistical package. Optional computer-lab sessions. Emphasis on real-world examples and on planning, proposing, implementing, and reporting.

**STAT UN3105y Applied statistical methods**

3 pts. Professor Rios.  
Prerequisite: At least one, and preferably both, of STAT UN2103 and UN2104 are strongly recommended. Students without programming experience in R might find STAT UN2102 very helpful. Intended to give students practical experience with statistical methods beyond linear regression and categorical data analysis. The focus will be on understanding the uses and limitations of models, not the mathematical foundations for the methods. Topics that may be covered include random and mixed-effects models, classical nonparametric techniques, the statistical theory causality, sample survey design, multilevel models, generalized linear regression, generalized estimating equations and overdispersion, survival analysis including the Kaplan-Meier estimator, log-rank statistics, and the Cox proportional hazards regression model. Power calculations and proposal and report writing will be discussed.

**STAT UN3106y Applied data mining**

3 pts. Professor Orbanz.  
Prerequisite: STAT UN2103. Students without programming experience in R might find STAT UN2102 very helpful. Taught as a machine learning class. Covers topics including data-based prediction, classification, specific classification methods (such as logistic regression and random forests), and basics of neural networks. Programming in homeworks will require R.

**STAT GU4001x and y Introduction to probability and statistics**

3 pts. Professor Rios.  
Prerequisites: MATH UN1101 and UN1102 or equivalent. A calculus-based tour of the fundamentals of probability theory and statistical inference. Probabilistic models, random variables, useful distributions, conditioning, expectations, laws of large numbers, central limit theorem, point and confidence interval estimation, hypothesis tests, linear regression. Replaces SIEO W4150.

**STAT GU4203x and y Probability theory**

3 pts. Professor Rabinowitz.  
Prerequisites: MATH UN1101 and UN1102 or equivalent. An introductory course (STAT UN1201) is strongly recommended. A calculus-based introduction to probability theory. A quick review of multivariate calculus is provided. Topics covered include random variables, conditional probability, expectation, independence, Bayes' rule, important distributions, joint distributions, moment generating functions, central limit theorem, laws of large numbers and Markov's inequality.

**STAT GU4204x and y Statistical inference**

3 pts. Professors Wang and Young.  
Prerequisite: STAT GU4203. At least one semester of Calculus is required; two or three semesters are strongly recommended. Calculus-based introduction to the theory of statistics. Useful distributions, law of large numbers and central limit theorem, point estimation, hypothesis testing, confidence intervals maximum likelihood, likelihood ratio tests, nonparametric procedures, theory of least squares, and analysis of variance.

**STAT GU4205x Linear regression models**

3 pts. Professors Feng, Neath, Liu, and Young.  
Prerequisites: STAT GU4204 or equivalent and a course in linear algebra. Theory and practice of regression analysis. Simple and multiple regression, testing, estimation, prediction, and confidence procedures, modeling, regression diagnostics and plots, polynomial regression, collinearity and confounding, model selection, geometry of least squares. Extensive use of the computer to analyze data.

**STAT GU4207x and y Elementary stochastic processes**

3 pts. Professor Brown.  
Prerequisite: STAT GU4203 and two, preferably three, semesters of calculus. Review of elements of probability theory, Poisson processes. Renewal theory. Wald's equation. Introduction to discrete and continuous time Markov chains. Applications to queueing theory, inventory models, branching processes.

**STAT GU4221x and y Time series analysis**

3 pts. Professors Safikhani and Vatter.  
Prerequisite: STAT GU4205 or equivalent. Least squares smoothing and prediction, linear systems, Fourier analysis, and spectral estimation. Impulse response and transfer function. Fourier series, the fast Fourier transform, autocorrelation function, and spectral density. Univariate Box-Jenkins modeling and forecasting. Emphasis on applications. Examples from the physical sciences, social sciences, and business. Computing is an integral part of the course.

**STAT GU4222y Nonparametric statistics**

3 pts. Professor Maleki.  
Prerequisite: STAT GU4204 or the equivalent. Statistical inference without parametric model assumption. Hypothesis testing using ranks, permutations, and order statistics. Nonparametric analogs of analysis of variance. Nonparametric regression, smoothing and model selection.

**STAT GU4231y Survival analysis**

3 pts. Professor Shnaidman.

Prerequisite: STAT GU4205 or the equivalent. Survival distributions, types of censored data, estimation for various survival models, nonparametric estimation of survival distributions, the proportional hazard and accelerated lifetime models for regression analysis with failure-time data. Extensive use of the computer.

**STAT GU4232y Generalized linear models**

3 pts. Professor Sobel.

Prerequisite: STAT GU4205 of the equivalent. Statistical methods for rates and proportions, ordered and nominal categorical responses, contingency tables, odds-ratios, exact inference, logistic regression, Poisson regression, generalized linear models.

**STAT GU4234x Sample surveys**

3 pts. Professor Neath.

Prerequisite: STAT GU4204 of the equivalent. Introductory course on the design and analysis of sample surveys. How sample surveys are conducted, why the designs are used, how to analyze survey results, and how to derive from first principles the standard results and their generalizations. Examples from public health, social work, opinion polling, and other topics of interest.

**STAT GU4261y Statistical methods in finance**

3 pts. Professors ElBarmi and Ying.

Prerequisite: STAT GU4205 or the equivalent. A fast-paced introduction to statistical methods used in quantitative finance. Financial applications and statistical methodologies are intertwined in all lectures. Topics include regression analysis and applications to the Capital Asset Pricing Model and multifactor pricing models, principal components and multivariate analysis, smoothing techniques and estimation of yield curves statistical methods for financial time series, value at risk, term structure models and fixed income research, and estimation and modeling of volatilities. Hands-on experience with financial data.

**STAT GU4262y Stochastic processes for finance**

3 pts. Professor Rios.

Prerequisite: STAT GU4203. STAT GU4207 is recommended. A careful review of the concept of stochastic process as a model of random phenomena evolving through time and of conditional expectation, basic Markov process theory, and the exponential distribution. Marked point processes and their compensators, beginning with Poisson processes, and proceeding through general marked point processes. The use of compensators will be justified by the Doob-Meyer decomposition theorem, and as such it will connect the theory to martingales. Markov processes will enter to provide a description of sufficient conditions for the compensators to have absolutely continuous paths (and as such, have "hazard rates"). Applications to survival analysis and, especially, to mathematical finance, including default and bankruptcy models. Cox process construction.

**STAT GU4281x Theory of interest**

3 pts. Instructor to be announced.

Prerequisite: MATH UN1101 or equivalent. Introduction to the mathematical theory of interest as well as the elements of economic and financial theory of interest. Topics include rates of interest and discount; simple, compound, real, nominal, effective, dollar (time)-weighted; present, current, future value; discount function; annuities; stocks and other instruments; definitions of key terms of modern financial analysis; yield curves; spot (forward) rates; duration; immunization; and short sales. The course will cover determining equivalent measures of interest, discounting, accumulating, determining yield rates, and amortization.

**STAT GU4291x and y Advanced data analysis**

3 pts. Professors Alemayehu and ElBarmi.

Prerequisite: STAT GU4205. At least one Statistics course between GU4221 and GU4261. This is a course on getting the most out of data. The emphasis will be on hands-on experience, involving case studies with real data and using

common statistical packages. The course covers, at a very high level, exploratory data analysis, model formulation, goodness of fit testing, and other standard and nonstandard statistical procedures, including linear regression, analysis of variance, nonlinear regression, generalized linear models, survival analysis, time series analysis, and modern regression methods. Students will be expected to propose a data set of their choice for use as case study material.

**STAT GR5242x Advanced machine learning**

3 pts. Professors Cunningham and Orbanz.

Prerequisite: STAT GR5241. Course covers some advanced topics in machine learning and has emphasis on applications to real-world data. Major part is course project, which consists of in-class presentation and written project report.

**STAT GR5703x Statistical inference and modeling**

3 pts. Professor Zhang.

Prerequisites: Working knowledge of calculus and linear algebra (vectors and matrices), and STAT GR5701 or equivalent. Familiarity with a programming language (e.g., R, Python) or statistical data analysis. Covers fundamentals of statistical inference and testing, and gives an introduction to statistical modeling. First half focuses on inference and testing, covering topics such as maximum likelihood estimates, hypothesis testing, likelihood ratio test, and Bayesian inference. Second half will provide introduction to statistical modeling via introductory lectures on linear regression models, generalized linear regression models, nonparametric regression, and statistical computing. Real-data examples will be used throughout in lecture discussion and homework problems. Lays foundation, preparing M.S. in Data Science students, for other courses in machine learning, data mining, and visualization.

# Campus and Student Life



**T**he Fu Foundation School of Engineering and Applied Science attracts and admits an exceptionally interesting, diverse, and multicultural group of students, and it takes steps to provide a campus environment that promotes the continued expansion of each student's ideas and perspectives.

This begins within the residence halls, in which nearly all first-year undergraduate students live. The University assigns rooms to both Engineering and Columbia College undergraduate students, ensuring that all students will live either with or near a student attending the other program. Once students have moved into their new campus home, they will find themselves part of a residential system that offers undergraduates a network of social and academic support (more information about the residence halls can be found in the chapter "Housing and Residence Life" in this bulletin).

In addition to robust residential offerings, a blend of academic, educational, social, and cocurricular activities enhances the Columbia experience through integrated efforts of numerous units including the Berick Center for Student Advising, Undergraduate Student Life, Parent and Family Programs, and the Office of Student Conduct and Community Standards.

While the School is large enough to support a wide variety of programs, it is also small enough to promote the close interaction among students, faculty, and administration that has created a strong sense of community on campus.

### **JAMES H. AND CHRISTINE TURK BERICK CENTER FOR STUDENT ADVISING**

403 Lerner Hall, MC 1201  
Phone: 212-854-6378  
Email: [csa@columbia.edu](mailto:csa@columbia.edu)  
[cc-seas.columbia.edu/csa](http://cc-seas.columbia.edu/csa)

The James H. and Christine Turk Berick Center for Student Advising (CSA) reflects the mission of the University in striving to support and challenge the intellectual and personal growth of its undergraduate students and by creating a developmental, diverse, and open learning environment. Individually and collaboratively, each advising dean:

- provides individual and group academic advisement, exploration, and counseling
- provides information on preprofessional studies, major declaration and completion, as well as various leadership, career, graduate school, and research opportunities
- designs and facilitates programming to meet the unique developmental needs of each class and to enhance community among students, faculty, and administrators
- interprets and disseminates information regarding University policies, procedures, resources, and programs
- educates and empowers students to take responsibility in making informed decisions
- refers students to additional campus resources

Every undergraduate is assigned an adviser from the Berick Center for Student Advising for the duration of his or her undergraduate career. Each matriculating student is assigned to an advising dean, who is a liaison to the department the student indicated as his or her first intended major on the Columbia application. When a student declares a major, a faculty member is also appointed to advise him or her for the next two years. Depending on their chosen major, students may be assigned to a new advising dean who is a CSA liaison to their department. Advising deans regularly refer students to their academic departments to receive expert advice about their engineering course selections.

### **Preprofessional Advising**

Preprofessional Advising is a specialized advising unit within the James H. and Christine Turk Berick Center for Student Advising. It is dedicated to providing information and guidance to students who plan a career in law or the health professions, through individual advising, workshops, and other events related to professions of law and health. Preprofessional advisers work closely with other CSA advisers to support students during their undergraduate program of study. They also provide extensive individualized support to students and alumni through their application process to professional schools.

## UNDERGRADUATE STUDENT LIFE

The Undergraduate Student Life team works to foster a vibrant and welcoming undergraduate community through organizational advising, leadership development, advocacy, diversity education, civic engagement, and community programming. The team includes Multicultural Affairs, Residential Life, and Student Engagement. A wide array of student organizations addresses both student interests and professional concerns, including the arts, politics, identity, culture, and religion. Joining such groups offers an exciting and dynamic opportunity to develop leadership skills that will serve students well throughout their lives.

### Multicultural Affairs

Multicultural Affairs is devoted to promoting a just society and explores issues of interculturalism and diversity within and beyond the Columbia community. By promoting forums that address diversity issues, self-discovery takes place along with a greater awareness and appreciation of cultural history within and between communities on campus. Multicultural Affairs endeavors to empower students, faculty, and staff with the tools to be able to successfully navigate their environments and thus be able to positively change and impact the community at large.

### Residential Life

Residential Life strives to cultivate an atmosphere of learning and development that extends beyond the classroom. The residential life staff actively works with students to shape a residential community that supports individual expression and collective responsibility. Working alongside a team of professional and graduate staff, undergraduate Resident Advisers (RAs) live on the floor of every residence hall and serve as role models and mentors for their residents. RAs serve as the front line of a layered on-call system and are trained to respond to the variety of issues that emerge in community life. Fraternity and Sorority Life is recognized within Residential Life.

Begun in fall 2006, Res. Inc. allows Engineering and College first-years, sophomores, juniors, and seniors to

live together clustered in the Living Learning Center (LLC) housed in Hartley and Wallach Halls. This initiative seeks to bridge the academic and cocurricular experience for students and encourages and supports engineers with entrepreneurial ideas. Mentorship between students, connection among the class years, and alumni interaction are the foundations for the success of the program.

### Student Engagement

Student Engagement is committed to building a strong sense of campus community by helping students enhance their leadership skills, engage in their communities, and explore the cocurricular opportunities available at Columbia University.

Student Engagement staff supports many of the student organizations on campus and aids them in meeting the objectives of their student organization. Staff will assist students who are looking for advisement on running an organization, planning an event, sorting their organization's financial records, or starting a student organization. They are there to guide students through formal University processes, help them navigate Columbia's resources, or simply brainstorm new ideas with students.

### Undergraduate Orientation

All new students are required to participate in the New Student Orientation Program (NSOP) that is designed to acquaint them with the University and its traditions, the administration and faculty of The Fu Foundation School of Engineering and Applied Science, upperclass students, and New York City. NSOP begins the week prior to the start of the fall semester and assists all new students with the transition to college life, both academically and socially. Scheduled into the program are information sessions, advising appointments, and opportunities to explore New York City. Through large group programs and small group activities, students will be introduced to faculty members, deans, resident advisers, and other students. Undergraduate students may visit [cc-seas.columbia.edu/orientation](http://cc-seas.columbia.edu/orientation) for additional information on NSOP.

## Student Organizations

Programs and activities at Columbia are shaped primarily by students who assume leadership positions in hundreds of organizations across the campus. The Engineering Student Council (ESC) and its associated class councils are the elected representative body of undergraduates at Columbia Engineering. ESC represents student interests on committees and projects addressing a wide range of issues facing the Columbia community and help shape the quality of life for Columbia students. Working in conjunction with the ESC, the Columbia College Student Council (CCSC), General Studies Student Council (GSSC), Student Government Association (SGA), the Activities Board at Columbia (ABC), Student Governing Board (SGB), InterGreek Council (IGC), Community Impact (CI), and Club Sports, oversee the management and funding of more than 400 student organizations.

The ABC provides governance for recognized student organizations, including cultural organizations, performance-based and theatrical groups, media and publications groups, competition and special interests groups and preprofessional organizations and societies. The preprofessional organizations and societies are of special interest to engineering students. These societies reflect the range of academic disciplines and interests to be found among students and include the National Society of Black Engineers, the Society of Women Engineers, the American Institute of Aeronautics and Astronautics, and the Biomedical Engineering Society, just to name a few.

The SGB provides governance for recognized student organizations that are faith-based, spiritual, political, activist, and humanitarian and that encourage open interreligious and political dialogue on campus. The InterGreek Council oversees the Interfraternity Council, Multicultural Greek Council, and Panhellenic Association. Community Impact supports service organizations supporting communities of Upper Manhattan and Club Sports recognizes recreational and sports organizations. The Interschool Governing Board (IGB)

recognizes student organizations whose membership spans across the various undergraduate and graduate schools.

For more information on any programs within Undergraduate Student Life, refer to our website: <https://www.cc-seas.columbia.edu/studentlife>

## OFFICE OF GRADUATE STUDENT AFFAIRS

The Office of Graduate Student Affairs at The Fu Foundation School of Engineering and Applied Science is integral to the School's teaching, research, and service mission and works to enhance the educational opportunities available to students. This office provides leadership for the integration of educational programs and services that enhance recruitment, retention, and quality of campus life for graduate students at Columbia Engineering. It strives to demonstrate sensitivity and concern in addressing the needs of the School's population. The office is dedicated to providing service to prospective, new, and continuing students pursuing a graduate education in engineering or applied science.

### Graduate Orientation

All new graduate students participate in orientation. During Welcome Day and Month, new graduate students learn about various School and University resources, policies and procedures, and other essential information to assist them with their transition to the graduate program. Orientation for new graduate students starting their program of study in the fall term begins in mid-August.

In addition to providing information on university resources and policies, students engage in cultural, social, and professional networking activities. Through these activities graduate students are encouraged to connect with their peers, acclimate to the campus and New York City as well as develop their professional portfolios. The Office of Graduate Student Affairs strongly believes that orientation serves as a vehicle in onboarding our graduate students into an active and engaging student life experience at Columbia.

## Graduate Student Organizations

Columbia University graduate students can participate in and enjoy hundreds of diverse, University-affiliated social, religious, cultural, academic, athletic, political, literary, professional, public service, and other organizations. At Columbia Engineering, graduate students are encouraged to become active members of the Engineering Graduate Student Council (EGSC). The EGSC is a recognized group that consists of representatives from each of the nine academic departments at Columbia Engineering. The objectives of the EGSC are to foster interaction among graduate engineering students, to serve as a voice for graduate engineering students, and to sponsor social and educational events of interest to the graduate engineering community.

### Graduate Judicial Affairs

The Office of Graduate Student Affairs is responsible for assisting graduate students with upholding academic and community standards. The office provides mandatory academic integrity training for graduate students and is responsible for the Dean's Discipline process.

## STUDENT CONDUCT AND COMMUNITY STANDARDS

Student Conduct and Community Standards strives to effect change and promote integrity, accountability, and respect in the Columbia University community. Working with students, faculty, and other community partners, SCCS thoroughly investigates and resolves incidents of academic, behavioral, and gender-based misconduct through a collaborative, educational, and reflective process guided by established values: Integrity; Accountability; Education; and Respect.

## OFFICE OF THE UNIVERSITY CHAPLAIN

Columbia is home to a community of scholars, students, and staff from many different religious backgrounds. The Office of the University Chaplain ministers to their individual faiths and supports individual spirituality, while promoting interreligious understanding.

The University Chaplain oversees the work of the United Campus Ministries—a fellowship of more than twenty religious life advisers representing specific faith traditions. The University Chaplain also fosters learning through spiritual, ethical, religious, political, and cultural exchanges and hosts programs on matters of justice, faith, and spirituality. Through these and other means, the Office of the University Chaplain cultivates interfaith and intercultural awareness.

The University Chaplain is available for confidential pastoral counseling to individuals, couples, and families in the Columbia University community. The Office of the University Chaplain may also assist with private ceremonies such as weddings, christenings, and memorial services. We warmly welcome your interest, questions, and participation.

For more information, please call the Earl Hall Center at 212-854-1474 or 212-854-6242 or visit [columbia.edu/cu/earl](http://columbia.edu/cu/earl).

## THE OFFICE OF UNIVERSITY LIFE

The mission of the Office of University Life is to further the academic and community experience of students, faculty, and staff at Columbia. Throughout the academic year, this office in collaboration with other Columbia student life offices and academic departments will sponsor programming and other required initiatives to achieve this mission.

All Columbia students are encouraged to participate in required Office of University Life initiatives. However, new students must participate in and complete the Sexual Respect and Community Citizenship Initiative and the Welcome to Columbia and Haven pre-arrival tutorials. For more information, visit [universitylife.columbia.edu](http://universitylife.columbia.edu).

## LERNER HALL

Lerner Hall is dedicated to student life at Columbia University. A 225,000-square-foot facility located on the southwest corner of campus, Lerner Hall was designed by Bernard Tschumi, the former Dean of Columbia's Graduate School of Architecture, Planning and Preservation. The building

features a glass facade and ramps to offer those within Lerner scenic views of campus and to allow those on campus to clearly view the activities within the building.

Opened in 1999, Lerner Hall contains an auditorium that seats up to 1,100, a fully operational cinema, a party space, plus a diverse offering of meeting, rehearsal, and performance spaces, computer labs and kiosks, and 7,000 student mailboxes.

The building also features the University Bookstore, two dining facilities, a banking center and ATM, Package Center, and the Ticket and Information Center. Lerner Hall is home to many critical University resources such as Undergraduate Student Life, the James H. and Christine Turk Berik Center for Student Advising, University Chaplain, University Event Management, and Health Services.

Lerner Hall is much more than a building for performances and events—it is the center of student and campus activity at Columbia.

For more information, visit [lernerhall.columbia.edu](http://lernerhall.columbia.edu).

## INTERCOLLEGIATE ATHLETICS PROGRAM

Columbia has a long tradition of success in intercollegiate athletics, and The Fu Foundation School of Engineering and Applied Science has always been an active participant in these programs. While Columbia's intercollegiate athletics program is governed by Ivy League regulations, Columbia is also a member of the National Collegiate Athletic Association. Columbia sponsors men's varsity teams in baseball, basketball, cross-country, fencing, football, golf, rowing (heavyweight and lightweight), soccer, squash, swimming and diving, tennis, track and field (indoor and outdoor), and wrestling.

Women in all undergraduate divisions of Columbia and in Barnard College compete together as members of University-wide athletic teams. The arrangement, called a consortium under NCAA rules, is one of only three in the nation and the only one on a Division I level. Currently, there are women's varsity teams in archery, basketball, cross-country, fencing, field hockey,

golf, lacrosse, rowing, soccer, softball, squash, swimming and diving, tennis, track and field (indoor and outdoor), and volleyball.

Columbia's commitment to success in intercollegiate athletics competition has been matched by the determination of alumni and administrators to upgrade the University's athletic facilities. The Baker Field Athletics Complex, a few miles up the Hudson River on the northern tip of Manhattan, has been completely rebuilt and expanded. The complex features Robert K. Kraft Field at Lawrence A. Wien Stadium, a 17,000-seat football and lacrosse facility; Robertson Field at Satow Stadium, home of the baseball program; softball and field hockey venues; and an Olympic-quality synthetic track. At Columbia's Dick Savitt Tennis Center at the Baker Athletics Complex there are six cushioned hard tennis courts, all of which are covered by a state-of-the-art air dome for winter use. The Remmer and 1929 Boathouse includes a three-bay shell house, complete with an upper level that includes an erg and weight room. The Campbell Sports Center, the newest athletics building at the Baker Athletics Complex, features coaches, offices, a strength and conditioning center, a theatre-style meeting room, as well as a student-athlete lounge and study space.

Columbia's Dodge Physical Fitness Center draws thousands of students each day for recreation, physical education classes, intramural play, club competition, and varsity sport contests and practices. The Center houses most indoor sports and is available to all registered students. Major athletic facilities on campus include two full-size gymnasiums for basketball, volleyball, and badminton; six squash and handball courts; the eight-lane Uris pool with three diving boards; a fully equipped three-level exercise and weight room facility; two aerobic dance/martial arts rooms; a fencing room; a wrestling room; an indoor running track; and two fully equipped saunas.

### Eligibility for Intercollegiate Athletics

Any student in the Engineering School who is pursuing the undergraduate program or an approved combined program toward a first degree is eligible

for intercollegiate athletics. To be eligible for athletic activities, the student must:

- Be a candidate for a bachelor's degree
- Be registered for at least 12 points of credit
- Make appropriate progress toward the degree as defined by the NCAA, the Ivy League, and Columbia University. These criteria are monitored by the Associate Athletics Director for Compliance and certified by the Office of the Registrar.
- Have attended the University for not more than eight terms
- Not have completed the requirements for the bachelor's degree

Questions about athletic eligibility should be referred to the appropriate academic adviser or the Associate Athletics Director for Compliance in the Department of Intercollegiate Athletics and Physical Education.

### Recreational Programs

In addition to the required physical education courses (see page 13), the Department of Intercollegiate Athletics and Physical Education offers comprehensive Intramural, Club Sports, Fitness, and Wellness Programs. Through Intramurals, individuals have the opportunity to participate in both individual and team sports. Individual activities function through tournaments, while team activities feature both league and tournament competition. Club Sports are designed to allow groups of individuals who share a common athletics interest to organize and collectively pursue this activity. Club Sports are organized on recreational, instructional, and competitive levels. Activities range from organized instruction to intercollegiate and tournament competition. The fitness program has around 70 classes per academic semester which include sculpt, yoga, Zumba®, core, and cardio focuses. The Functional Fitness Studio, located past the Squash courts, hosts both classes and open hours. Classes in the Functional Fitness Studio uses kettle bells, TRX® straps, sandbags, and body weight training in circuit format. Personal Training is also available at Dodge Fitness Center. Details about all the programs and

activities can be obtained in the Office of Physical Education and Recreation, 336 Dodge Fitness Center or on the website at [perec.columbia.edu](http://perec.columbia.edu).

### **CAMPUS SAFETY AND SECURITY**

Columbia University prepares an annual security report, which is available to all current and prospective employees and students. The report includes

statistics for the three previous years concerning reported crimes that occurred on campus, in certain off campus buildings or property owned or controlled by Columbia University, and on public property within, or immediately adjacent to and accessible from, the campus. The report also includes institutional policies concerning campus security, such as policies concerning sexual assault, and other matters. You can obtain a

copy of this report by contacting the Director of Administration and Planning, Public Safety at 212-854-3815 or by accessing the following website: [columbia.edu/cu/publicsafety/SecurityReport.pdf](http://columbia.edu/cu/publicsafety/SecurityReport.pdf).

## UNIVERSITY HOUSING

### Undergraduate Housing

The residence halls are an important focal point of campus life outside the classroom, with the University housing more than 95 percent of the undergraduate population in residence halls on or near the campus. A trained Residential Life staff lives with the students in the halls. They work to create an atmosphere conducive to educational pursuits and the development of community among the diverse student body. Throughout the year the Residential Life staff presents programs in the residence halls and off campus that are both social and educational.

Columbia guarantees housing for all undergraduate students (except Combined Plan students and visiting students) who have filed their intent to reside on campus by the stated deadline and who have continuously registered as full-time students. Each spring, continuing students participate in a room selection process to select their accommodations for the next academic year. Students who take an unauthorized leave of absence are placed on the nonguaranteed wait list upon their return and are on the wait list for each subsequent year.

A variety of residence hall accommodations are available to Columbia students. Carman, John Jay, Wallach, Wien, Furnald, McBain, Schapiro, Harmony and Broadway Residence Halls are traditional corridor-style residence halls. Of these, all but

John Jay and Carman have kitchens on each floor. Carleton, East Campus, 47 Claremont, Hartley (which, together with Wallach, comprises the Living-Learning Center), Hogan, River, Ruggles, 600 West 113th Street, Watt, and Woodbridge offer suite-style living, and all have kitchens. All residence hall rooms are either single or double. Both single and double rooms are available in all halls except Carman, which has only doubles, and Hogan, which is all singles.

The residence halls are also home to a variety of Special Interest Communities. These communities provide an opportunity for students with a common interest to live together and develop programs in their area of interest. The themes may vary from year to year. First-year students are not eligible to live in Special Interest Communities but are welcome to attend events.

Upperclass Columbia students also have the option of living in brownstones, a limited number of fraternity and sorority organizations, and certain Barnard College halls. These rooms are also chosen through a room selection process, which takes place each spring.

For more information, please visit the Housing website at [housing.columbia.edu](http://housing.columbia.edu).

### Graduate Housing

Graduate students have a number of housing opportunities in the Morningside Heights neighborhood. The three main sources are University Apartment Housing (UAH), International House, and Off-Campus Housing Assistance

(OCHA). UAH operates Columbia-owned apartments and dormitory-style suites in the Morningside Heights and Manhattan Valley areas within walking distance of the campus, as well as in Riverdale, in the Bronx. For further information, see UAH's website at [columbia.edu/uah](http://columbia.edu/uah). International House, a privately owned student residence near the campus, has accommodations for about five hundred graduate students, both international and American, who attend various area colleges and universities. It provides a supportive and cross-cultural environment with many activities and resources, and it is conveniently located two blocks from the Engineering building. For more information, write or call: International House, 500 Riverside Drive, New York, NY 10027; 212-316-8400; or check their website at [www.ihouse-nyc.org](http://www.ihouse-nyc.org).

There are also a number of resources available to search for off-campus housing opportunities. Columbia's Off-Campus Housing Assistance (OCHA) office assists Columbia students and affiliates in their search for rental housing in the metropolitan area. OCHA manages a database known as the Housing Registry at [ocha.facilities.columbia.edu](http://ocha.facilities.columbia.edu) that contains listings of available rooms and apartments in non-Columbia-owned buildings in NYC. The Registry also contains listings of sublets of rooms and apartments in Columbia-managed housing. Prospective roommates can post and search profiles on the Roommates section of the Registry. OCHA offers one-on-one counseling



and is supported in these efforts by a cooperative relationship with two New York City real estate/relocation agencies, CitiHabitats and Douglas Elliman, which also offer a discounted broker fee. Only students/affiliates with a UNI or admission acceptance letter are permitted to use the Registry. Office hours and instructions are posted on the website at [columbia.edu/ocha](http://columbia.edu/ocha). Start your search by watching OCHA's Apartment Hunting Webinar on OCHA's homepage.

UAH application information is sent along with acceptance packets from the Office of Graduate Student Affairs. Information on applying for housing is also available in the Office of Graduate Student Affairs and the UAH Office. You can also seek additional information on the Columbia Students Page: [columbia.edu/cu/students](http://columbia.edu/cu/students).

Due to the growing demand for housing, graduate housing is no longer guaranteed, but every effort is made to accommodate you. It is critical that you follow the instructions in your acceptance packet. Housing applications received after the set dates are not guaranteed housing. The order of priority for selection is:

graduate fellowship recipients, Zone 1 students (those who live further than 250 miles from campus), and then Zone 2 students (those who live between 50 and 250 miles from campus). All continuing students and applications from Zone 3 areas (within 50 miles) are automatically placed on a waiting list. Depending on availability, students placed on the UAH waitlist receive housing assignments between late December and January for the spring term, and between early August and late September for the fall term.

Students will be properly notified of Graduate Orientation and Registration, which are generally held the week before the first day of class. Select move-in periods on the UAH application accordingly, and update your application as needed. For spring, the housing application approval process begins late November and concludes by the end of December. For fall, the housing application approval process begins mid-May and concludes mid-July.

For additional information on housing, you can refer to [facilities.columbia.edu/housing/overview](http://facilities.columbia.edu/housing/overview).

## COLUMBIA DINING

### First-Year Students

All first-year students in residence are required to enroll in one of three dining plans, each of which is comprised of a varying number of meals served in John Jay Dining Hall, Ferris Booth Commons, or JJ's Place, and Dining Dollars, which can be used at the 11 dining locations on campus. Plan 3 is the only first-year plan that also includes Off Campus Flex.

### First-Year Dining Plans

1. 19 meals per week and 75 Dining Dollars per term, plus 15 floating meals and 6 faculty meals
2. 15 meals per week and 125 Dining Dollars per term, plus 10 floating meals and 6 faculty meals
3. 19 meals per week, \$50 Flex and 25 Dining Dollars per term, plus 15 floating meals and 6 faculty meals

The dining plans are transacted through the University ID Card, which serves as a convenient way to enjoy dining all over campus without carrying cash.

## Meals

The meals portion of the dining plan enables students to help themselves to unlimited servings of food served in John Jay Dining Hall, Ferris Booth Commons, or JJ's Place. The hours of operations for these locations offer 24-hour dining.

## Dining Dollars

In addition to meals, Dining Dollars comprise the other portion of the first-year dining plan. Each Dining Dollar is equal to one dollar and operates as a declining balance account, much like a debit card.

Columbia Dining maintains 10 dining facilities conveniently located on campus. Each of the locations accepts Dining Dollars, an alternative to cash payment that is accessed by the University ID Card.

With Dining Dollars, students will enjoy the ease and flexibility of cashless transactions as well as the savings of sales tax on all food purchases. Dining Dollars will roll over from year to year until graduation.

## Upperclass and Graduate Students

Many upperclass and graduate students who dine on campus open a Dining Dollars account; however, some choose to enroll in an upperclass/graduate student dining plan.

Columbia Dining offers ten plans—all are accessed by the University ID Card and can be used for meals in John Jay Dining Hall, Ferris Booth Commons, or JJ's Place. The hours of operations for these locations offers dining options for breakfast, lunch, dinner, and late-night, with continuous dining.

## Upperclass, GS, and Graduate Dining Plans

- A Weekly. 14 meals per week and 200 Dining dollars per term, plus 15 floating meals and 6 guest meals
- A Term. 210 meals per term and 200 Dining dollars per term
- A Meal Only. 210 meals only
- B Dining Dollars. 175 meals per term and 200 Dining Dollars per term, plus 6 guest meals
- B Flex. 175 meals and 200 Flex per term, plus 6 guest meals
- C. 100 meals and 125 Dining Dollars

- per term, plus 4 guest meals
- D. 75 meals and 75 Dining Dollars per term, plus 2 guest meals
- EZ1. 19 meals per week and 75 Dining Dollars per term, plus 6 guest meals and 15 floating meals
- EZ2. 15 meals per week and 125 Dining Dollars, plus 6 guest meals and 10 floating meals
- EZ3. 19 meals per week and 25 Dining Dollars, plus \$50 Flex, 6 guest meals, and 15 floating meals

## Kosher Dining Plan

All students who participate in a dining plan, including first-year, upperclass, General Studies, or graduate students, are eligible for the Columbia Kosher Dining Plan. Signing up for this dining plan allows access to a restricted kosher area within John Jay Dining Hall as well as Express Meals to go. CU kosher meals can also, for an additional charge, be exchanged for a kosher meal at Barnard's Hewitt Hall (kosher to kosher only). To sign up, the student selects a plan from either the First Year Dining Plan or the Upperclass Dining Plan options, according to the student's status, then elects to enroll in the Kosher Dining Plan. For more details, a dining plan comparison, and additional tools, visit the Columbia Dining website at [dining.columbia.edu](http://dining.columbia.edu).

## Locations/Menus/Hours

Locations, menus, and hours of all campus dining facilities can be found at [dining.columbia.edu](http://dining.columbia.edu). You can also view photos and take a virtual tour of some of our facilities. Menus and hours are also available on the Dine@CU iPhone app.

## Nutrition, Food Allergies, and Special Diets

Registered Dietitian Bianca Tamburello is available on site as well as online to address individual questions and concerns related to food allergies, intolerances, and dietary preferences. Bianca can be contacted via email at [bt2482@columbia.edu](mailto:bt2482@columbia.edu) or by phone at 212-854-3353 with questions, to schedule a consultation, or to discuss personal meal planning. For more information and a nutrition calculator, visit the Columbia Dining website.

Nutrition data can also be accessed on the Dine@CU iPhone app.

## COLUMBIA HEALTH

Phone: 212-854-2284  
 After-hours Urgent Medical Concerns: 212-854-7426  
 After-hours Urgent Mental Health Concerns: 212-854-2878  
 24/7 support for survivors of violence: 212-854-HELP (4357)

[health.columbia.edu](http://health.columbia.edu)  
[health@columbia.edu](mailto:health@columbia.edu)

Columbia Health is an integrated program that provides extensive on-campus health care and services for you while you are enrolled at the University. Columbia Health and an accepted medical insurance plan work together to meet your health care needs. Columbia requires all full-time students to enroll in both. To meet the insurance requirement, you must either confirm your enrollment in the Columbia Student Health Insurance Plan (Columbia Plan) or provide proof of alternate coverage that meets the established criteria listed on the Columbia Health website. International students are required to enroll in the Columbia Student Health Insurance Plan. Part-time students may also enroll in Columbia Health and the Columbia Plan.

## Benefits and Services of Columbia Health

Columbia Health comprises five departments and a diverse group of highly-trained professionals to meet your health needs on campus.

### Medical Services

John Jay Hall, 4th Floor  
 Phone: 212-854-7426 (24/7)  
[health.columbia.edu/medical](http://health.columbia.edu/medical)

Medical Services provides routine and urgent medical care, travel medicine, and immunizations, as well as sexual health services, reproductive and gynecological services, LGBTQ health care, and confidential HIV testing. Students can make appointments online with their health care provider and are encouraged to do so (bios and photos are available online).

### Counseling and Psychological Services

Lerner Hall, 8th Floor  
Phone: 212-854-2878 (24/7)  
health.columbia.edu/cps

Counseling and Psychological Services offers short-term individual counseling, referrals for longer-term therapy, consultations for couples, student-life support groups, medication consultation, and emergency consultation. Students are welcome to select a mental-health clinician (bios and photos are available online).

### Disability Services

Wien Hall, 1st Floor  
Phone: 212-854-2388 (voice/TTY)  
health.columbia.edu/disability  
disability@columbia.edu

Disability Services facilitates access for students with all types of disabilities, including physical, psychological, sensory, AD/HD, learning disabilities, temporary and chronic medical conditions by coordinating reasonable accommodations that are determined on a case-by-case basis and are adjustments to policies, practices, and programs that facilitate access to Columbia's academic programs, campus resources, and activities. Examples include exam accommodations, note-taking, sign-language interpreters, assistive technology, and accessible housing coordination. Detailed information regarding the registration process, including appropriate forms and documentation guidelines, is available online.

### Alice! Health Promotion

John Jay, 3rd Floor  
Phone: 212-854-5453  
health.columbia.edu/alice

Alice! Health Promotion connects individuals and groups with information

and resources, cultivates healthy attitudes and behaviors, promotes health-supporting policy, and fosters a culture that values and supports individual and community health.

### Sexual Violence Response

Lerner Hall, 7th Floor  
Phone: 212-854-HELP (4357) (24/7)  
health.columbia.edu/svr  
SVResponse@cumc.columbia.edu

Sexual Violence Response works to promote behaviors that support positive, healthy, and consensual relationships, and supports survivors and co-survivors of violence through advocacy, connection to resources, community education, training, and engagement.

### Student Health Insurance

John Jay, 3rd Floor  
Phone: 212-854-3286  
health.columbia.edu/insurance  
studentinsurance@columbia.edu

All full-time students are automatically enrolled in the Columbia Plan. To request a waiver from enrollment (domestic students only), you must submit a request at health.columbia.edu before September 30 (February 15 for new spring term enrollment or June 15 for new incoming full-time summer students). All waiver requests are considered, but approval is not guaranteed.

Navigating the U.S. health care system can be complex. To help ensure that international students have access to the highest quality of care on- and off-campus, Columbia University requires all international students to enroll in the Columbia Student Health Insurance Plan. Confirm or upgrade your enrollment in the Columbia Student Health Insurance Plan online before the open enrollment deadline. For

more up-to-date information, visit the Columbia Health website at health.columbia.edu.

### Immunization Compliance

John Jay, 3rd Floor  
Phone: 212-854-7210  
health.columbia.edu/immunization  
immunizationcompliance@columbia.edu

There are two immunization requirements that all new students must meet before arrival on campus:

Meningococcal Meningitis Vaccination  
New York State public health law and University Policy require that students receive information from their institutions about meningococcal meningitis and the vaccine that protects against most strains of the disease that can occur on university campuses. Columbia students must make an informed decision about being vaccinated and certify their decision online. Full instructions are given at health.columbia.edu and the process takes two to three minutes to complete. You must formally indicate your decision about being vaccinated before you will be permitted to register for classes.

*Deadline: Decisions must be recorded online before classes begin. Students will not be permitted to register until a decision is recorded.*

Documentation of Immunity to Measles, Mumps, and Rubella (MMR)  
New York State public health law and University Policy requires all students to document their immunity to measles, mumps, and rubella. Instructions and the Columbia University MMR Form are available at health.columbia.edu.

*Deadline: Completed forms must be mailed or faxed 30 days before registering for classes.*

Please visit us at health.columbia.edu or contact us for questions.

# Scholarships, Fellowships, Awards, and Prizes



### NAMED SCHOLARSHIPS AND GRANTS

All named scholarships are awarded annually to undergraduate students as part of Columbia's financial aid program. We are unable to accept applications for these awards independent of this process.

#### Leslie Abbot Scholarship

For an undergraduate student pursuing course of study in mechanical engineering.

#### Cvi Abel Memorial Scholarship (2003)

Gift of Jack Abel '71.

#### Aigrain Family Scholarship (2008)

Gift of Jacques and Nicoletta Aigrain P'08. Preference is given to international students studying civil or mechanical engineering.

#### Walter H. Aldridge (1936)

Gift of Walter H. Aldridge.

#### M. Alenda and John F. Crymble Scholarship (2011)

Gift of John F. '38CC, '39, '40 and Alenda Crymble for the benefit of students who enroll in the College and later transfer to Columbia Engineering, whether or not they earn a dual degree.

#### Professor Peter K. Allen Scholarship (2018)

Established by an anonymous donor to provide scholarship support to undergraduate students enrolled at SEAS studying computer science.

#### Alvey-Ferguson Company Scholarship (1948)

Gift of the Alvey-Ferguson Company.

#### Erwin H. Amick Memorial Scholarship (1970)

Gift of various donors for students in chemical engineering and applied chemistry.

#### Nathaniel Arbiter Scholarship (1985)

Gift of various donors in honor of Professor Nathaniel Arbiter for students in the following specializations in order of preference: mineral beneficiation, mines, and physical metallurgy.

#### Attardo Scholarship (1999)

Gift of Michael J. Attardo '63.

#### Jee Yin and Choi Heung Au Scholarship Fund (2002)

Gift of Michael M. Au '90. Preference is given to students who have graduated from Stuyvesant High School in New York City.

#### Frank and Harriet Ayer Scholarship (1977)

Bequest of Frank A. Ayer. Graduates of Deerfield Academy are given first preference.

#### Cesare Barbieri Scholarship (1953)

Gift of Cesare Barbieri Fund.

#### William S. Barstow Scholarship (1935)

Gift of William S. Barstow.

#### John E. Bertram Memorial Scholarship (1990)

Gift of Mrs. Lucy Bertram and friends in honor of John E. Bertram. Awarded to students in electrical engineering or computer science.

#### Jerry and Evelyn Bishop Scholarship (1984)

Gift of Jerry '42 and Evelyn Bishop for students in the Combined Plan Program. Preference is given to students in the program who attended Columbia College.

#### Paul H. Blaustein Scholarship (1994)

Gift of Barbara Blaustein, Stacey Blaustein Divack, and Joshua Divack.

#### Philip P. Bonanno Scholarship (1999)

Donated by Philip P. Bonanno '55.

#### Cecil Ane and Tullio J. Borri Family Scholarship

Gift of Tullio J. '51 and Cecil Ane Borri. Preference for students who are majoring in or studying civil engineering.

#### Cornelius A. Boyle Scholarship (1962)

Bequest of Cornelius A. Boyle.

#### Lauren Breakiron Scholarships (1999)

Gift of Lauren P. Breakiron '56.

#### Edwin W. and Mary Elizabeth Bright Scholarship in Mechanical

#### Engineering (1985)

Gift of Edwin W. '42 and Mary Elizabeth Bright to support students studying mechanical engineering.

#### Lewis G. Burnell Memorial Scholarship (2001)

Gift of Roger W. Burnell in memory of his father, Lewis G. Burnell '32.

#### Burns and Roe, Arthur J. Fiehn Scholarship (1989)

Gifts from various donors in memory of Arthur J. Fiehn '46.

#### Wai and Glenda Chang Scholarship (2017)

Gift of Wai '73 and Glenda Chang P'04, P'06AC. To be awarded for four consecutive years.

#### LeTian Chu Scholarship (2017)

Gift of Steven Chu '94 '03BU and Peggy Ding to support undergraduate students enrolled at SEAS.

#### Samuel J. Clarke Scholarship (1960)

Bequest of Agnes Robertson Clarke.

#### Class of 1885 (1910)

Gift of the Class of 1885 School of Mines in commemoration of the twenty-fifth anniversary of their graduation.

#### Class of 1889 (1939)

Gift of the Class of 1889 College and Engineering.

#### Class of 1900 (1940)

Gift of the Class of 1900 College and Engineering.

#### Class of 1902 (1952)

Gift of the Class of 1902 College and Engineering.

#### Class of 1906 (1940)

Gift of the Class of 1906 in honor of Frank D. Fackenthal 1906.

#### Class of 1907 (1937)

Gift of the Class of 1907. Preference is given to sons and descendants of class members.

#### Class of 1909 (1959)

Gift of the Class of 1909 in honor of John J. Ryan.

**Class of 1913 (1963)**

Gift of the Class of 1913 in commemoration of the fiftieth anniversary of their graduation.

**Class of 1914 (1937)**

Gift of the Class of 1914 College and Engineering for a pre-engineering or pre-architecture student.

**Class of 1945 50th Reunion Scholarship**

Gift of the Class of 1945.

**Class of 1950 Endowed Scholarship (2000)**

Gift of members of the Class of 1950 in commemoration of the fiftieth anniversary of their graduation.

**Class of 1951 Endowed Scholarship (2001)**

Gift of members of the Class of 1951 in commemoration of the fiftieth anniversary of their graduation.

**Class of 1952 Endowed Scholarship (2002)**

Established by Alexander Feiner '52.

**Class of 1964 Scholarship (2014)**

Gift of members of the Class of 1964 in honor of their 50th class reunion.

**Hugo Cohn Scholarship (1984)**

Gift of Hugo Cohn 1909. Preference is given to electrical engineering students.

**Herbert J. Cooper Scholarship (1999)**

Gift of Mrs. Deborah Cooper and the Estate of Herbert J. Cooper '46.

**Milton L. Cornell Scholarship (1958)**

Gift of various donors in memory of Milton L. Cornell.

**Paul and Lillian Costallat Scholarship (1972)**

Gift of Paul and Lillian Costallat.

**Frederick Van Dyke Cruser Scholarship (1980)**

Bequest of Maude Adelaide Cruser to support students in chemical engineering with financial need.

**Crymble Scholarship (2011)**

Bequest of John Crymble '38, '39, '40

for the benefit of students who enroll in the College and later transfer to SEAS (whether or not they earn a dual degree).

**Cytryn Family Scholarship (2002)**

Gift from Allan '72, '79 and Carol Cytryn.

**Peter del Valle Scholarship (2015)**

Established by Peter del Valle '54CC, '55, '56.

**Frank W. Demuth Scholarship (1965)**

Bequest of Frank W. Demuth 1914.

**Freda Imber Dicker Endowed Scholarship Fund (2000)**

Gift of Dr. Stanley Dicker '61 in honor of the hundredth anniversary of his mother's birth (March 5, 1900). Preference is given to juniors and seniors in the Department of Biomedical Engineering.

**Jack Dicker Endowed Scholarship (2003)**

Gift of Dr. Stanley Dicker '61 in honor of his father. Preference is given to juniors and seniors in the Department of Biomedical Engineering.

**James and Donna Down Scholarship (1997)**

Gift of James '73 and Donna Down to support a deserving minority undergraduate who has demonstrated academic achievement.

**Stancliffe Bazen Downes Scholarship (1945)**

Bequest of Bezena Treat Downes Merriman in honor of her brother, for a student in civil engineering.

**Brooke Lynn Elzweig Scholarship (2002)**

Gift of Gary Elzweig '77. Preference is given to students with high financial need.

**John L. Erikson Memorial Scholarship**

Gift from Betty Erikson in memory of her husband, John L. Erikson '50.

**Thomas and Florence Farkas Scholarship (2002)**

Gift of the Farkas family to support scholarships, with a preference for an incoming Columbia student who expects

to major or concentrate in physics, mathematics, applied physics, or applied mathematics at either Columbia College or the School of Engineering.

**Jeffrey and Lina Franklin Scholarship (2014)**

Gift of Jeffrey M. '68 and Linda Franklin. Preference is given to students who attended high school in New York City, served in an organizational leadership role while in high school, and excelled on the SAT.

**Jack B. Freeman Scholarship (1994)**

Gift of Jack B. Freeman '55. Designated to support students who are members of the varsity baseball team.

**Pier-Luigi Focardi Scholarship (1964)**

Bequest of Clara G. Focardi.

**Ford/EEOC Scholarship**

Designated for minorities and women. Preference is given to Ford employees, their spouses, or children.

**Z. Y. Fu Scholarship (1993)**

Gift of The Fu Foundation for undergraduate scholarship support.

**Jewell M. Garrelts Scholarship**

Gift of Jewell Garrelts; preference for a junior or senior in the Department of Civil Engineering and Engineering Mechanics.

**Gavaudan Family Scholarship (2014)**

Gift of Francesca and Emmanuel Gavaudan P'17, P'20.

**General Motors Scholarship**

Designated for minorities and women. Preference is given to General Motors employees, their spouses, or children.

**Ben and Ethelyn Geschwind Endowed Scholarship (2004)**

Gift of Benjamin and Ethelyn '84 Geschwind.

**Alger C. Gildersleeve Scholarship (1955)**

Bequest of Josephine M. Gildersleeve, in honor of Alger G. Gildersleeve 1889.

**Frederick A. Goetze Scholarship (1960)**

Gift of William A. Baum, in honor of the former Dean of Columbia Engineering.

**Sarah E. Grant Memorial Scholarship (1997)**

Gift of Geoffrey T. '82 and Annette M. Grant in memory of their daughter, Sarah. Designated to support students who have demonstrated academic achievement and are student athletes.

**Adam R. Greenbaum Memorial Scholarship Fund (1997)**

Established in memory of Adam R. Greenbaum by his parents, relatives, and friends following his death in February 2001, when he was a sophomore. The scholarship is given to a SEAS sophomore who was named to the Dean's List as a first-year, as Adam was, with a preference to students from New Jersey and New York.

**Luther E. Gregory Scholarship (1963)**

Bequest of Luther E. Gregory 1893.

**Robert Gross Fund (1999)**

Gifts of friends of Robert Gross to support a student in applied physics.

**Wallace K. Grubman-Graham Scholarship (1998)**

Gift of Wallace Grubman '50 and the Grubman Graham Foundation

to support a student in chemical engineering.

**Gu Scholarship (2012)**

Gift of David '86 and Janice Gu P'18CC to support students studying computer science.

**Lawrence A. Gussman Scholarship (1987)**

Gift of Lawrence Gussman '38. Awarded annually to students studying computer science.

**Haight Family Scholarship (2004)**

Gift of Deborah E. Haight '00.

**Ralph W. Haines Scholarship (2002)**

Gift of Ralph W. Haines '69 for needy and deserving students in Columbia Engineering.

**A. A. Halden Scholarship (1962)**

Established by bequests from Dorothy C. Halden and Barbara Schwartz in memory of Alfred A. Halden.

**Albert M. Hall Scholarship**

Preference for students in metallurgy or materials science.

**The Hamann Scholarship (1970)**

Bequest of Adolf M. Hamann 1910.

**Alfred M. and Cornelia H. Haring Scholarship (1965)**

Gift of the Aeroflex.

**H. Field Haviland Scholarship Fund (1988)**

Bequest of Henry F. Haviland 1902. Scholarships are awarded equally between Columbia Engineering and Columbia College.

**Harold T. Helmer Scholarship (1965)**

Bequest of Harold T. Helmer.

**David Bendel Hertz College/Engineering Interschool Scholarship (1989)**

Gift of David B. Hertz '39. Awarded in alternate years to the College and to the Engineering School to a student electing to receive a B.A. from Columbia College and a B.S. from Columbia Engineering.

**Edward Gurnee Hewitt Scholarship (1980)**

Bequest of Mary Louise Cromwell.

**Prentice Hiam Memorial Scholarship (2007)**

Gift of Atul Khanna '83. Preference for residents of India or students who have lived for a period of time in India.

**James T. Horn Scholarship (1938)**

Gift of Sarah L. and Mary T. Horn, in memory of their brother, James T. Horn 1884.

**Richard and Janet Hunter Scholarship (2000)**

Gift of Richard '67 and Janet Hunter. Scholarship awarded to 3-2 program participants entering Columbia Engineering with preference given to graduates of Whitman College.

**Hurr Scholarship (2014)**

Gift of Lary and Stephanie Ho P'16, P'18.

**Richard C. Insinga Scholarship (2016)**

Established by Dr. Richard C. Insinga '70, '71 to provide scholarship support to promising students enrolled at SEAS with demonstrated financial need.

**Isaacs-Jonas Memorial Scholarship (2001)**

This scholarship was endowed in 2001 by Gary F. Jonas '66 and Jonathan L. Isaacs '66 as the Future Entrepreneurs Scholarship to acknowledge the thirty-fifth anniversary of their graduation from Columbia Engineering. On April 30, 2003, Mr. Isaacs died at the young age of fifty-seven, and the scholarship was then renamed in his memory by Gary F. Jonas, with the support of Jon's wife, Charlotte Isaacs. Following the passing of Mr. Jonas on Sept 26, 2014, the scholarship was again renamed to honor the legacy of both Mr. Isaacs and Mr. Jonas.

*Note: this was previously listed as Jonathan Lewis Isaacs Memorial Scholarship.*

**Sheldon E. Isakoff Endowed Scholarship Fund (2000)**

Gift of Dr. Sheldon E. Isakoff '45, '47, '52 and Anita Isakoff to support students in the Department of Chemical Engineering.

**Alfred L. Jaros Memorial Scholarship (1967)**

Gift of various donors, in memory of Alfred L. Jaros 1911.

**Cavalier Hargrave Jouet Scholarship (1941)**

Bequest of Belinda Hearn Jouet, in memory of C. H. Jouet 1882.

**Alfred E. Kadell Scholarship (1995)**

Bequest of the Estate of Alfred E. Kadell 1921.

**Wayne Kao Scholarship (1988)**

Gift of Mabel C. Kao in memory of Wayne Kao '49.

**Ruth Katzman Scholarship (2011)**

Bequest from Ruth Katzman in loving memory of her parents, Max and Lillian Katzman.

**Stanley A. and Minna Kroll Scholarship for Engineering and Computer Science (1987)**

Gift of Stanley A. Kroll '28 to support students who are studying electrical engineering or computer science.

**Henry Krumb Scholarship (1945)**

Gift of Henry Krumb for annual scholarships in mining engineering, metallurgy, and ore dressing.

**Jacob Kurtz Memorial Scholarship (1982)**

Gift of Kulite Semiconductor Products, Inc., and Kulite Tungsten, for undergraduates, preferably studying in the fields of metallurgy or solid-state physics. In memory of Jacob Kurtz 1917.

**Ronald A. Kurtz Scholarship Fund (1990)**

Gift of Kulite Tungsten.

**Lahey Scholarship (1932)**

Bequest of Richard Lahey.

**Charles and Sarah Lapple Scholarship (2004)**

Bequest from the Estate of Charles E. Lapple and Sarah V. Lapple to be used to provide scholarships to deserving undergraduate students.

**Frank H. Lee Memorial Scholarships (1986)**

Awarded to a student in the Combined Plan Program in honor of Professor Frank H. Lee.

**Samuel and Minerva K. Lee and David A. Lee Scholarship (2005)**

Bequest of the Estate of Samuel Lee to support students pursuing a degree in chemical engineering studies.

**Leung Endowed Scholarship (2006)**

Gift of Lawrence Leung P'10, P'15.

**James F. Levens Scholarship (1973)**

Bequest of Ola Levens Poole for students in chemical engineering and applied chemistry.

**George J. Lewin Scholarship (1965)**

Gift of George J. Lewin 1917 and family. Preference given to hearing-impaired students.

**Alvin and Richard H. Lewis Scholarship**

Gift of Alvin and Helen S. Lewis in memory of their son, Richard Lewis '63.

**James M. and Elizabeth S. Li Endowed Scholarship (2006)**

Gift of James '68, '70, '76 and Elizabeth Li. Awarded to students majoring in industrial engineering and operations.

**Robert D. Lilley Memorial Scholarship (1988)**

For students who are in their final year of the 3-2 Combined Plan Program and who have a commitment to community service.

**Bruce and Doris Lister Endowed Scholarship (2000)**

Gift of Bruce A. Lister '43, '47 to support a needy and deserving undergraduate student.

**Madam Shiang-chin Liu Scholarship (2017)**

Established by Dr. Mingdong Zhang and Dr. Ling Huang P'21 to provide scholarship support to undergraduate students enrolled at SEAS.

**Lu Lo Family Scholarship**

Gift of Lu Lo. Established to provide scholarships to undergraduate students, with a preference for students from China.

**Anna Kazanjian and Guy Longobardo Scholarship (2007)**

Gift of Anna Kazanjian '49, '52 and Guy Longobardo '49, '50, '62. Preference given to students studying mechanical engineering who have demonstrated academic excellence.

**Donald D. MacLaren Scholarship (1995)**

Established by Donald D. MacLaren '45 to support a student who is studying biochemical engineering.

**Manelski Family Scholarship (2004)**

Gift of Darren E. Manelski '91.

**Mango Family Scholarship (2016)**

Gift of Donald and Patricia Mango P'16 to support high need students who attended high school in New York City, with preference for members of an athletic team.

**Ernest Marquardt Scholarship (1968)**

Bequest of Ernest Marquardt 1912.

**Louis F. Massa Scholarship (1952)**

Bequest of Louis F. Massa 1890.

**Ralph Edward Mayer Scholarship (1924)**

Contributed by friends in memory of Professor Ralph Edward Mayer.

**The John B. McDonald Scholarship (2018)**

Made possible by the estate of Georgie McDonald Phillips. Created to provide scholarships for worthy students in memory of donor's father, John B. McDonald. Preference shall be first given to students of Irish descent.

**Lilavati Mehta Scholarship (2015)**

Gift of Asheet Mehta. Preference given to students who promote diversity of the student body.

**Mahendra Mehta Scholarship (2014)**

Gift of Jay Mahendra Mehta to provide financial aid to SEAS students. Preference for undergraduate students who are residents of Africa, with further preference for students who are residents of Kenya or Uganda.

**Henry Michel Scholarship (2005)**

Gift of Mrs. Mary-Elizabeth Michel in memory of Henry Michel '49 to support civil engineering majors.

**Stuart Miller Endowed Scholarship in Engineering (2003)**

Gift of Stuart Miller.

**John K. Mladinov Scholarship (1994)**

Gift of Barbara P. Mladinov in honor of her husband, John K. Mladinov '43. Awarded to a deserving undergraduate with a minor in liberal arts.

**Frank C. Mock and Family Scholarship (1987)**

Bequest of Frank C. Mock 1913. For

students in electrical engineering with financial need.

**New Hope Foundation Scholarship (2006)**

Gift of Lee and Margaret Lau P'09, P'10CC. Preference is given to students from Ontario, Canada, or mainland China.

**A. Peers Montgomery Memorial Scholarship (1990)**

Gift of the family of A. Peers Montgomery '26.

**John J. Morch Scholarship (1963)**

Bequest of John J. Morch.

**Seeley W. Mudd Scholarship (1958)**

Gift of the Seeley W. Mudd Foundation.

**Mary Y. Nee and Douglas Ng Family Scholarship (2008)**

Gift of Mary Yuet-So Nee '84, '91BU, P'15CC.

*Note: this was previously listed as Mary Y. Nee Endowed Scholarship.*

**Frederick Noel Nye Scholarship (1971)**

Bequest of Frederick Noel Nye '27.

**David Novick Scholarship (2011)**

Bequest of David Novick '48, '54 to support civil engineering students.

**Parker Family Endowed Scholarship (2001)**

Gift of Peter D. Parker '72, '74.

**Robert I. Pearlman Scholarship (1989)**

Gift of Robert I. Pearlman '55.

Preference is given to students from single-parent households.

**Robert Peele Scholarship (1925)**

Gift of E. E. Olcott 1874.

**Brainerd F. Phillipson Scholarship (1936)**

Gift of an anonymous donor in memory of Brainerd F. Phillipson.

**Grace and Jerry Pi Family Scholarship (2017)**

Established by Grace Wu '00 and Jerry Pi to provide scholarship support to undergraduate students enrolled at SEAS with the preference first, to women, if underrepresented, and second, to socioeconomic group, educational background, or status as a member of an underrepresented group.

**Andre Planiol Scholarship (1967)**

Bequest of Andre Planiol for a student from France.

**Roy Howard Pollack Scholarship (1998)**

Bequest of Roy Howard Pollack to be used for scholarships for junior or senior students.

**Polychrome-Gregory Halpern Scholarship**

For students in chemical engineering and applied chemistry.

**Rodman K. Reef Scholarship (1999)**

Gift of Rodman Reef '69, '78.

**Professor William H. Reinmuth Scholarship (1988)**

Gift of Curtis Instruments, Inc., awarded in alternate years to Columbia College and Columbia Engineering. Preference will be given to college students studying chemistry and to engineering students studying electrochemistry. Established in honor of Professor William H. Reinmuth.

**Patricia Remmer Scholarship (2004)**

Bequest of Patricia Cady Remmer '45BC.

**Brenda and Dave Rickey Endowed Scholarship Fund (2008)**

Gift of David '79 and Brenda Rickey P'08 to benefit undergraduate students from California.

**Kevin T. Roach Endowed Scholarship (2003)**

Gift of Kevin T. Roach '77.

**The Frederick Roeser Fund for Student Aid (1934)**

An annual loan to help pay educational expenses, which is awarded to students chosen by the Committee on Scholarships. The amount is individually determined and is to be repaid only if and when the student can do so without personal sacrifice. Repayments go into the Frederick Roeser Research Fund for research in physics and chemistry.

**Edgar Lewisohn Rossin Scholarship (1949)**

Bequest of Edgar L. Rossin, to provide a scholarship for students in mining engineering.

**Harry B. Ryker (1947)**

Bequest of Miss Helen L. Ryker in memory of her brother, Harry Benson Ryker 1900.

**Walter H. Sammis Scholarship (1999)**

Bequest of Mignon Wright.

**Thomas J. Sands Endowed Scholarship Fund (2001)**

Gift of Thomas J. Sands '86.

**Peter K. Scaturro Scholarship Fund (1997)**

Gift of Peter K. Scaturro '82, '85 to support students at Columbia Engineering or Columbia College, with preference given to scholar-athletes from Archbishop Molloy H.S. in Briarwood, Queens, NY.

**Norman A. Schefer Scholarship (1999)**

Gift of Norman A. Schefer '50 and Fay J. Lindner Foundation.

**Mark Schlowsky-Fischer Scholarship (2005)**

Gift of George Schlowsky '65 in memory of Mark Schlowsky-Fischer '97 to support students studying computer science.

**Ralph J. Schwarz Scholarship (1993)**

Gift of the Class of 1943 and other donors in memory of Ralph J. Schwarz '43. To be awarded to academically outstanding students who require financial aid.

**David C. and Gilbert M. Serber Memorial Scholarship (1950)**

Gift of the Serber family in honor of David Serber 1896. Designated to support a student in civil engineering.

**Varsha H. Shah Scholarship (2003)**

Gift of Hemant and Varsha Shah to support undergraduate female minority students.

**Jared K. Shaper Scholarship**

For deserving and qualified candidates for degrees in engineering.

**Samuel Y. Sheng Scholarship (2007)**

Gift of Samuel Y. Sheng '51, Lauren Wong Sheng '76, Kent Sheng, and Jean Sheng. Awarded to students who demonstrate academic excellence.

**Edith Shih Interschool Scholarship Fund (2008)**

Gift of Edith Shih, Esq. '77TC, '78TC. Preference is given to international students.

**Silent Hoist and Crane Company (1950)**

Gift of the Silent Hoist and Crane Company.

**David W. Smyth Scholarship (1957)**

Bequest of Mrs. Millicent W. Smyth, in memory of her husband, David W. Smyth 1902.

**Soemitro Scholarship (2017)**

Gift of Ronny. S. Soemitro '93, '95IA to support undergraduate students at SEAS with demonstrated financial need.

**Sophonpanich Family Scholarship (2017)**

Established by Chartsiri and Nintira Sophonpanich P'16, P'18, P'19 to provide scholarship support to undergraduate students at SEAS.

**Fritz and Emma Spengler Memorial Scholarship (2005)**

Gift of Manfred Spengler '56, '55CC to support student athletes in the 3-2 Combined Plan Program.

**Gene F. Straube Fund (2007)**

Gift of Gene F. Straube '50, '49 CC. Preference is given to students who graduated from a high school or prep school in northern California, and who are pursuing studies in electrical engineering, computer engineering, or computer science.

**Steve Tai and Kin-Ching Wu Endowed Scholarship Fund (2001)**

Gift of Steve Tai '80.

**Tai Family Scholarship (2003)**

Gift of Timothy Tai P'06 to be used to support Asian students demonstrating financial need and outstanding academic potential, with preference given to Hong Kong, Taiwanese, mainland Chinese, and Chinese-American applicants for admission. A T. Tai Family Scholar will be named in a first-year class, and with suitable academic achievement and continuing need, would retain that honor until graduation.

**Grace C. Townsend Scholarship (1941)**

Bequest of Miss Grace C. Townsend.

**Theodosios and Ekaterine Typaldos Endowed Scholarship Fund (2000)**

Gift of Andreas '69 and Renee Typaldos and the Community Foundation of New Jersey. Preference is given to Greek-American students.

**Upton Scholarship**

For the children of employees of D. C. Heath and Company of Lexington, Massachusetts.

**Valeiras Family Scholarship (2009)**

Gift of Horacio and Amy Valeiras P'09 and Peter Valeiras '09.

**Kenneth Valentine Memorial Scholarship (1986)**

Bequest of Julia H. Valentine, in memory of Kenneth Valentine 1914. Preference is given to students in chemical engineering.

**Frank Vanderpoel Scholarship (1936)**

Bequest of Frank Vanderpoel.

**William E. Verplanck Scholarship (1957)**

Gift of Mrs. T. Bache Bleecker and Edward F. Verplanck 1912 in memory of their father, William E. Verplanck 1876.

**Arnold Von Schrenk Scholarship (1943)**

Bequest of Mrs. Helen von Schrenk in memory of her husband, Arnold von Schrenk.

**George Wascheck Scholarship**

Bequest of George Wascheck '26.

**J. Watumull Scholarship (1989)**

For students in the Graduate School of Arts and Sciences and in the Engineering School who are of East Indian ancestry.

**Wells and Greene Scholarship**

Bequest of Josephine Wells Greene.

**Herbert A. Wheeler Scholarship (1923)**

Gift of Herbert A. Wheeler.

**Frederick C. Winter Scholarship (1966)**

Gift of various donors in memory of Frederick C. Winter '43.

**William F. Wurster Scholarship (1974)**

Gifts of William F. Wurster 1913.  
Awarded to a student of chemical engineering and applied chemistry.

**Robert H. and Margaret H. Wyld Scholarship**

Gift of Robert H. 1904 and Margaret H. Wyld.

**Max Yablick Memorial Scholarship (1986)**

Bequest of Max Yablick 1914.  
Preference is given to graduates of Hebrew day schools and to students in the Combined Plan Program with Yeshiva University.

**Theresa Ann Yeager Memorial Scholarship (1983)**

Gift of the family of Theresa Ann Yeager '81 to support a woman who is enrolled Columbia Engineering.

**Yu Family Scholarship**

Gift of Richard '82 and Jean Yu.

**Bong and May Yu Engineering Scholarship (2016)**

Gift of Bong and May Yu and Raymond P'89CC, P'90 and Amy Yu to support students enrolled in the Department of Civil Engineering and Engineering Mechanics who have exceptional academic achievement and demonstrated financial need.

**NAMED FELLOWSHIPS**

All named fellowships are awarded annually to graduate students. Preference is given to students who serve as teaching assistants. Graduate students who qualify for these awards will be contacted directly for application materials.

**James Albaugh Fellowship (2013)**

Gift of James F. Albaugh '74.

**H. Dean Baker Fellowship (1982)**

Awarded to support deserving graduate students in mechanical engineering.

**Boris A. Bakhmeteff Research Fellowship in Fluid Mechanics**

Provides a stipend for the academic year with tuition exemption to be arranged by the recipient's department, to a candidate for a doctoral degree in

any department at Columbia University whose research is in fluid mechanics.

**Bardhan Family Fellowship (2016)**

Established by Dr. Indrajit Bardhan '89, '92 to support postdoctoral researchers with the IEOR Department, with a focus on Financial Engineering. To be awarded for five consecutive years, starting in 2016 and ending in 2020.

**Blavatnik Doctoral Fellowship (2017)**

Gift of Sir Leonard Blavatnik '81 to fund incoming doctoral fellows focused on health- and medically-oriented engineering research.

**Quincy Ward Boese Fellowships**

Pre-doctoral fellowships for students studying under the Faculty of Engineering and Applied Science.

**Roy S. Bonsib Memorial Fellowship (1957)**

Awarded to worthy students for advanced study or research in engineering.

**Arthur Brant Fellowship (1997)**

Gift of Arthur Brant. Awarded to students of the Henry Krumb School of Mines in the field of applied geophysics.

**Samuel Willard Bridgman-William Petit Trowbridge Fellowship**

A combined fellowship awarded annually for research.

**Byron Fellowship (1980)**

Bequest of Verna and Oscar Byron 1914.

**Calatrava Family Fellowship Fund (2017)**

Gift of Santiago and Robertina Calatrav P'06, P'07, P'08, P'09, P'10BU, P'10LW, P'16AC to provide fellowships to graduate students enrolled in the Department of Civil Engineering and Engineering Mechanics at SEAS.

**William Campbell Fellowships for Encouraging Scientific Research**

Four or five fellowships for research in the general field of metals.

**Robert A. W. and Christine S. Carleton Fellowships in Civil Engineering**

Fellowships awarded to students in the Department of Civil Engineering and Engineering Mechanics.

**Chiang Chen Fellowship (2004)**

Gift of the Chiang Chen Industrial Charity Foundation. Awarded to students in mechanical engineering.

**Dr. J. Gunther Cohn Memorial Fellowship (2017)**

Gift from the J. Gunther Cohn, Catherine Wolf Cohn & Viveka Cohn Gould Charitable Foundation for Scientific Studies and Research to support SEAS. Fellowship for graduate students studying earth and environmental engineering with preference to doctoral research in the area of climate change.

**Columbia Financial Engineering Graduate Fellowship**

Gift from the Columbia IEOR Department, nominated by the faculty. The fellowship is designated to support students in the Financial Engineering M.S. program who have demonstrated academic excellence and professional promise. Students are awarded a monetary prize and a personalized crystal award.

**Professor Bergen Davis Fellowship**

Gift of Dr. Samuel Ruben. To be awarded to a student in chemical engineering and applied chemistry upon the recommendation of the senior professor in chemical engineering active in electrochemistry research.

**George W. Ellis Fellowships**

Awarded annually for graduate study in any division of the University. Open to students who are residents of the state of Vermont or who have been graduated from a Vermont college or university.

**Erbilgin Family Fellowship (2011)**

Gift of Vural Erbilgin P'09. Awarded to graduate students enrolled in the Civil Engineering and Engineering Mechanics Department, with a preference for students studying concrete technology and concrete structures in the future.

**Herbert French Fellowship (2010)**

Bequest of Ralph S. French '42CC.

**Michael Frydman Endowed Fellowship (2000)**

Established in 2000, a generous gift of a SEAS alumnus, Michael Frydman. Fellowship is designed to support academically gifted graduate students in

the Department of Industrial Engineering and Operations Research, particularly in the Management Science program. Students are awarded a monetary prize and a personalized crystal award.

#### **Robert F. Gartland Fellowship**

Gift of Robert Gartland, an IEOB alumnus. The fellowship is designated to support M.S. students in the IEOB Department, who have demonstrated academic excellence and professional promise in engineering and its business applications.

#### **GEM Fellowship**

The GEM fellowship provides African-Americans, Hispanic Americans, and Native Americans access to graduate education. The fellowship includes tuition, fees, a stipend, and a paid summer internship. Applicants for this fellowship must be engineering or applied science majors.

#### **Governor's Committee on Scholarship Achievement**

One year awards based on financial need. Renewal is based on academic progress, financial need, and availability of funds. The student applies directly to the GCSA; the awards are matched by the School and are not in supplement to initial School awards.

#### **Carl Gryte Fellowship (2007)**

Gift from friends of Professor Carl Campbell Gryte. Awarded to students who are studying chemical engineering.

#### **Daniel and Florence Guggenheim Fellowships**

Two Ph.D. fellowships for the study of engineering mechanics in the Institute of Flight Structures in the Department of Civil Engineering and Engineering Mechanics. U.S. citizenship or permanent residence required.

#### **M. D. Hassialis Memorial Fellowship (2002)**

Gift of former students of the late Krumb Professor Emeritus Hassialis. Previously awarded to students of the Henry Krumb School of Mines. Now supports students in the Department of Earth and Environmental Engineering, with a preference for those in the field of Earth resources economics and management.

#### **Higgins Fellowships**

Designated to support first-year graduate students.

#### **Leta Stetter Hollingworth Fellowship**

Gift of Harry L. Hollingworth in memory of his wife to support women who are graduates of the University of Nebraska, with preference given to those who were born in Nebraska or received their earlier education there.

#### **Edward J. Ignall Research Fellowship**

Gift of family, friends, and former students in memory of Professor Edward J. Ignall. Awarded to encourage and help support the research activities of a graduate student in the Department of Industrial Engineering and Operations Research.

#### **Industrial Engineering and Operations Research Graduate Fellowship**

Gift from the IEOB Department, nominated by the faculty. This fellowship is designated to support graduate students pursuing degrees in Operations Research or Industrial Engineering. Recipients have demonstrated academic excellence and professional promise in the fields. Students are awarded a monetary prize and a personalized crystal award.

#### **George M. Jaffin Fellowship**

Awarded for graduate study and research leading to the Ph.D. degree in orthopedic biomechanics by the Department of Bioengineering of the Hospital for Joint Diseases, Orthopedic Institute, and the Department of Mechanical Engineering.

#### **Herbert H. Kellogg Fellowship (1988)**

Funded by former students and friends of Professor Emeritus H. H. Kellogg and the generous contribution of Professor Kellogg. Awarded to students of mineral engineering and chemical metallurgy.

#### **Otto Kress Fellowship (1990)**

Bequest of Mrs. Florence T. Kress in memory of her husband, Otto Kress. Awarded to postgraduate students.

#### **Henry Krumb Fellowships**

Annual fellowships in mining engineering, metallurgy, and ore dressing.

#### **John F. T. Kuo Fellowship (1992)**

Established by Dr. I. J. Won and other

students of Professor Emeritus Kuo for the support of graduate students in applied geophysics.

#### **Charles and Sarah Lapple Fellowship (2004)**

Bequest of Charles '36, '37 and Sarah Lapple. Awarded to support deserving students in the Department of Chemical Engineering.

#### **Kuo and Grace Li Memorial Fellowship (1993)**

Gift from the Li Foundation Inc. Awarded to students interested in mining, mineral resources, metallurgy, and materials science.

#### **Ralph H. McKee Fellowship (1979)**

Bequest of Ralph H. McKee to support fellowships in the fields of mathematics or chemical engineering.

#### **Walter Mielziner Fellowship (2011)**

Bequest of Walter Mielziner '49 to support fellowships for students studying computer science, automatic controls or communications.

#### **Benjamin Miller Memorial Fellowship**

Awarded to a student in the Department of Industrial Engineering and Operations Research. Preference is given to students concerned with work in government-industry regulatory policy, procurement procedures and trade regulations.

#### **Van C. Mow Fellowship (2018)**

Established by Yuling Wei to provide fellowships to doctoral students enrolled in SEAS who are or plan to be engaged in biomedical research.

#### **Nickolaus Fellowship**

Gift of Nicholas Nickolaus '50.

#### **Yunni and Maxine Pao Memorial Fellowship (2015)**

Gift of Frank and Eleanor Pao in honor of the memory of their parents. Provides fellowships to doctoral students enrolled in the Department of Civil Engineering and Engineering Mechanics.

#### **Anthony Pesco Fellowship (2006)**

Gift of Dr. Anthony Pesco '82, '83, '87 to support students in the Chemical Engineering Department who wish to pursue careers in academia.

### **Presidential Distinguished Fellowships**

These fellowships are awarded annually to selected incoming Ph.D., Eng.Sc.D., and master's/Ph.D. students. Fellowships include tuition plus an annual stipend of \$24,000 for up to four years, including three months of summer research. All applications for admission are considered for these new fellowships.

#### **Bernard R. Queneau Fellowship**

Gift of Bernard R. Queneau '32CC, '33. Awarded to a student in the Department of Earth and Environmental Engineering.

#### **David M. Rickey Endowed Fellowship (2000)**

Gift of David M. Rickey '79. Awarded to students studying electrical engineering under the holder of the David M. Rickey Professorship.

#### **Lydia C. Roberts Graduate Fellowships**

Open to persons born in Iowa who have been graduated from an Iowa college or university. In addition to the stipend, the fellow is reimbursed the cost of traveling once from Iowa to New York City and back. Special provisions: holders may not concentrate their studies in law, medicine, dentistry, veterinary medicine, or theology, and each holder must, when accepting the award, state that it is his or her purpose to return to Iowa for at least two years after completing studies at Columbia; holders are eligible for reappointment.

#### **Samuel N. Rubinstein Endowed Fellowship (2005)**

Bequest of Leo Rubinstein '63 and gift of Frederick Rubinstein. Awarded to students studying applied mathematics or industrial design.

#### **Sunita Sanghi Fellowship (2017)**

Established by Sharad Kumar Sanghi '91 to provide fellowship support to graduate students enrolled at SEAS, with the preference to students who are residents of India.

#### **Shardashish Interschool Fellowship Fund (2018)**

Gift of the UNMF Foundation to provide financial aid to master's degree candidates studying at The Fu

Foundation School of Engineering and Applied Science. Preference shall be given to students who are residents of India who have demonstrated a strong commitment to India and its future and who have demonstrated financial need according to the process established by the Office of University Financial Aid. To be awarded for three consecutive years, starting in 2018 and ending in 2020.

#### **Frank E. Stinchfield Fellowship in Orthopedic Biomechanics**

Awarded for graduate study and research in the Department of Mechanical Engineering through the Orthopedic Research Laboratory of the Department of Orthopedic Surgery, College of Physicians and Surgeons, it carries tuition exemption and a twelve-month stipend of up to \$15,000.

#### **Tarmy Summer Scholars Fund (2014)**

Gift of Barry L. Tarmy '54, '56 to provide stipends to potential Chemical Engineering doctoral applicants who are conducting research under the direction of the faculty in the Department of Chemical Engineering.

#### **Nickolas and Liliana Themelis Fellowship in Earth and Environmental Engineering (2000)**

Gift of Nickolas and Liliana Themelis to support students in the Department of Earth and Environmental Engineering.

#### **Joseph F. Traub Graduate Fellowship Fund (2017)**

Gift from alumni and friends in memory of Professor Joseph F. Traub.

#### **Yu Yang Wang Graduate Fellowship Fund (2016)**

Gift of Sonny Wu P'18, P'20CC to provide fellowships to doctoral students enrolled at SEAS.

#### **Erwin S. and Rose F. Wolfson Memorial Engineering Fellowship (1979)**

Gift of Erwin S. and Rose F. Wolfson.

#### **Sonny Wu Doctoral Fellowship (2016)**

Gift of Sonny Wu P'18, P'20CC to provide fellowships to doctoral students enrolled at SEAS.

## **OUTSIDE FELLOWSHIP**

### **Wei Family Private Foundation Fellowship**

The Wei Family Private Foundation is a 501(c)(3) nonprofit organization established to honor the memory of Dr. Chung Kwai Lui Wei and Mr. Hsin Hsu Wei. The purpose of the foundation is to award scholarship grants to students of Chinese heritage with high academic credentials who are pursuing a graduate degree in Electrical Engineering. Visit [www.wfpf888.org](http://www.wfpf888.org) for more information.

## **MEDALS AND PRIZES**

### **American Society of Civil Engineers—The Robert Ridgway Award**

Awarded to the senior showing the most promise for a professional career in civil engineering.

### **American Society of Civil Engineers—Student Chapter Service Award**

Established in 2008 to reward one or more students who have been particularly active in the Student Steel Bridge Competition.

### **American Society of Civil Engineers—Younger Member Forum Award**

Awarded annually to members of the graduating class in civil engineering who have been the most active in promoting the aims of the Society.

### **American Society of Mechanical Engineers**

In recognition of outstanding efforts and accomplishments on behalf of the American Society of Mechanical Engineers Student Section at Columbia University.

### **Applied Mathematics Faculty Award**

Presented to an outstanding graduating senior in the applied mathematics program.

### **Applied Physics Faculty Award**

Presented to an outstanding graduating senior in the applied physics program.

### **The Edwin Howard Armstrong Memorial Award**

Awarded by the faculty of Electrical Engineering to one outstanding graduating senior and one outstanding candidate for the M.S. degree to honor

the late Edwin Howard Armstrong, professor of electrical engineering and noted inventor of wideband FM broadcasting, the regenerative circuit, and other basic circuits of communications and electronics.

#### **The Theodore R. Bashkow Award**

A cash award presented to a computer science senior who has excelled in independent projects. This is awarded in honor of Professor Theodore R. Bashkow, whose contributions as a researcher, teacher, and consultant have significantly advanced the art of computer science.

#### **The Charles F. Bonilla Medal**

The Bonilla Medal is an award for outstanding academic merit. It is presented annually to that student in the graduating class in the Department of Chemical Engineering who best exemplifies the qualities of Professor Charles F. Bonilla.

#### **The Tullio J. Borri '51 Award in Civil Engineering**

A certificate and cash prize presented annually by the Department of Civil Engineering and Engineering Mechanics to a senior for outstanding promise of scholarly and professional achievement in civil engineering. This award has been made possible by gifts from the stockholder/employees and the board of directors of the Damon G. Douglas Company, a New Jersey-based general contractor, in appreciation of Mr. Borri's many years of dedicated service and visionary leadership as chairman and president.

#### **Computer Engineering Award of Excellence**

Awarded each year by vote of the Computer Engineering faculty to an outstanding senior in the computer engineering program.

#### **Computer Science Scholarship Award of Excellence**

A cash prize to a graduating student who has demonstrated outstanding ability in the field of computer science.

#### **The Edward A. Darling Prize in Industrial Engineering and Operations Research**

Established in 1903 by a gift from the late Edward A. Darling, formerly

superintendent of Buildings and Grounds; a certificate and prize awarded annually to the most faithful and deserving student of the graduating class in industrial engineering and operations research.

#### **The Edward A. Darling Prize in Mechanical Engineering**

Established in 1903 by a gift from the late Edward A. Darling, formerly superintendent of Buildings and Grounds; a certificate and cash prize awarded annually to the most faithful and deserving student of the graduating class in mechanical engineering.

#### **The Adam J. Derman Memorial Award**

Established in 1989 by family and friends in memory of Adam J. Derman '89 and graduate student in the Department of Industrial Engineering and Operations Research. Presented to undergraduate students who have demonstrated exceptional ability to make computer-oriented contributions to the fields of industrial engineering and operations research. Students are awarded a monetary prize and a personalized crystal award.

#### **Electrical Engineering Department Research Award**

Awarded by the faculty of Electrical Engineering to one outstanding graduating senior who has demonstrated outstanding passion and accomplishment in research.

#### **Electrical Engineering Department Service Award**

Awarded by the faculty of Electrical Engineering to one outstanding graduating senior who has made significant contributions to the department and community at large.

#### **Morton B. Friedman Memorial Prize for Excellence**

Morton B. Friedman was a visionary in the vanguard of engineering education through his lifelong service as professor, department chair, and senior vice dean. Awarded periodically to an undergraduate or graduate student who best exhibits Dean's Friedman's characteristics of academic excellence, visionary leadership, and outstanding promise for the future.

#### **Zvi Galil Award for Improvement in Engineering Student Life**

Given annually to the student group that most improves engineering student life during the academic year. Established in honor of Zvi Galil, Dean of the School from 1995 to 2007.

#### **The Jewell M. Garrelts Award**

Awarded to the outstanding graduating senior(s) who will pursue graduate study in the department that was so long and successfully shepherded by Professor Jewell M. Garrelts. This award is made possible by gifts from alumni and friends of Professor Garrelts and from the Garrelts family in honor of an outstanding engineer, educator, and administrator.

#### **The Carl Gryte Prize**

Awarded annually to an undergraduate student for service to the Department of Chemical Engineering.

#### **The Jonathan L. Gross Award for Academic Excellence**

This award was established in 2017 in honor of the much loved Professor Emeritus Jonathan Gross. Each year a cash gift is awarded to one graduating master's student and to one graduating senior from each of the four undergraduate schools served by the Department of Computer Science.

#### **The Stephen D. Guarino Memorial Award in Industrial Engineering and Operations Research**

A certificate and cash prize established by a gift from Roger Guarino '51 in memory of his son. Awarded to outstanding seniors in the Department of Industrial Engineering and Operations Research who, in the opinion of the faculty and Board of Managers of the Columbia Engineering Alumni Association, have been active in undergraduate activities and have displayed leadership, school spirit, and scholarship achievement. Students are awarded a monetary prize and a personalized crystal award.

#### **The William A. Hadley Award in Mechanical Engineering**

Established in 1973 by Lucy Hadley in memory of her husband. The award is made annually in the form of a certificate and prize to students in the graduating class in mechanical engineering who

have best exemplified the ideals of character, scholarship, and service of Professor William A. Hadley.

#### **The Thomas “Pop” Harrington Medal**

Presented annually to the student who best exemplifies the qualities of character that Professor Harrington exhibited during his forty years of teaching. The medal is made possible by Dr. Myron A. Coler.

#### **The Yuen-huo Hung and Chao-chin Huang Award in Biomedical Engineering**

This award has been endowed to honor the grandfathers of Professor Clark T. Hung in the Department of Biomedical Engineering. His paternal grandfather, Yuen-huo Hung, was a surgeon in Taipei who was renowned for his practice of medicine and for his compassion toward patients. Professor Hung's maternal grandfather, Chao-chin Huang, was a famous politician in Taiwan who dedicated his life to the citizens of his country, serving as mayor of Taipei, speaker of the Taiwan Provincial Assembly, and consul general to the United States. This award is given to a graduating doctoral student in the

Department of Biomedical Engineering who embodies the collective attributes of these distinguished individuals.

This student will have demonstrated great potential for making significant contributions to the fields of biomedical engineering and public health, and for serving as an ambassador of biomedical engineering.

#### **The Illig Medal**

Established in 1898 by a bequest from William C. Illig, E.M., 1882, and awarded by the faculty to a member of the graduating class for commendable proficiency in his or her regular studies.

#### **Industrial Engineering and Operations Research Academic Excellence Award**

Given to exceptional students who completed both B.S. and M.S. in the IEOR Department consecutively. Students are awarded a certificate and monetary prize.

#### **Industrial Engineering and Operations Research Academic Outstanding Service Award**

In recognition of significant contributions to the IEOR Department, this award goes

to students who have represented the department with grace and intelligence through their work as ambassadors, board members of professional student organizations, or course assistants. Students are awarded a diploma frame and a personalized crystal award.

#### **The Bernard Jaffe Prize for the Encouragement of Inventiveness in Engineering**

Gift of Fern Jaffe in honor of her late husband, Bernard Jaffe '38, '39. Given annually to an undergraduate and graduate engineering and applied science student who exhibits exceptional qualities of curiosity toward the engineered world and a predisposition toward inventiveness and novel problem solving in both theoretical and physical contexts. Preference is given to students whose endeavors are directed toward the betterment of the human condition.

#### **Eliahu I. Jury Award**

Established 1991 for outstanding achievement by a graduate student or recent graduate in the areas of systems, communications, signal processing, or circuits.



**Charles Kandell Award**

Medal and cash prize presented annually by the Columbia Engineering School Alumni Association to that member of the graduating class who has best promoted the interests of the School through participation in extracurricular activities and student-alumni affairs.

**Andrew P. Kosoresow Memorial Award for Excellence in Teaching, TA-ing, and Service**

Awarded each year by the Department of Computer Science to up to three computer science students for outstanding contributions to teaching in the department and exemplary service to the department and its mission.

**Dongju Lee '03 Memorial Award**

Established in 2005 by family and friends in memory of Dongju Lee (DJ), graduate student in the Department of Civil Engineering and Engineering Mechanics, 1999–2003. A certificate and cash prize awarded annually by the department to a doctoral student specializing in geotechnical/geoenvironmental engineering and of outstanding promise for a career in research and academia.

**The Sebastian B. Littauer Award**

Established in 1979 in honor of Professor Littauer, a certificate and a prize are presented annually by the Department of Industrial Engineering and Operations Research to the seniors who show outstanding promise of scholarly and professional achievement in operations research. Students are awarded a diploma frame and a personalized crystal award.

**Robert D. Lilley Award for Socially Responsible Engineering**

Established in 2013 by a gift from Helen M. Lilley, this award supports the activities of student clubs at the School that have as their primary focus socially responsible engineering.

**Mechanical Engineering Certificate of Merit**

In recognition of excellence in undergraduate studies.

**Mechanical Engineering Excellence in Undergraduate Research**

Established in 2017, the Mechanical

Engineering Department makes the award to a student of the graduating class in mechanical engineering for participation in, and contributions to, research.

**Mechanical Engineering Faculty Award in Leadership, Service, and Scholarly Work**

Established in 2017, awarded by the Mechanical Engineering Faculty annually to a student of the graduating class in mechanical engineering for dedication and contributions to leadership service and scholarly work.

**The Henry L. Michel Award in Civil Engineering**

Established by the Columbia Engineering School Alumni Association in memory of Henry M. Michel '49, S.P.S., who built Parsons Brinkerhoff into one of the world's leading engineering companies. A certificate and a cash prize are presented annually by the Department of Civil Engineering and Engineering Mechanics to a student or group of students in the Civil Engineering Department who demonstrate outstanding promise of leadership and professional achievement in civil and construction engineering. The award is in support of a project with emphasis on the construction industry in which the students participate.

**Paul Michelman Award for Exemplary Service to the Computer Science Department**

This award is given to a Ph.D. student in computer science who has performed exemplary service to the department, devoting time and effort beyond the call to further the department's goals. It is given in memory of Dr. Paul Michelman '93, who devoted himself to improving our department through service while excelling as a researcher.

**Millman Award**

A certificate and prize, in honor of Jacob Millman, awarded to two of the most outstanding teaching assistants for the academic year.

**The Russell C. Mills Award**

Presented to one or more computer science majors for excellence in computer science in memory of Russell

C. Mills, a Ph.D. candidate in computer science who exemplified academic excellence by his boundless energy and intellectual curiosity.

**The Mindlin Scholar in Civil Engineering and Engineering Mechanics**

This award is presented to graduate students in the Department of Civil Engineering and Engineering Mechanics in recognition of outstanding promise of a creative career in research and/or practice. This award is made possible by gifts of friends, colleagues, and former students of Professor Raymond D. Mindlin, and, above all, by the Mindlin family. It is intended to honor the Mindlin brothers, Raymond, Eugene, and Rowland, who excelled in their respective scientific fields of engineering research, engineering practice, and medical practice.

**The Moles' Student Award in Civil Engineering**

Awarded to the student in engineering whose academic achievement and enthusiastic application show outstanding promise of personal development leading to a career in construction engineering and management.

**Outstanding Teaching Assistant Award in Industrial Engineering and Operations Research**

In recognition of significant contributions to the IEOR Department, this award goes to a doctoral student who has demonstrated outstanding pedagogical practices and dedication to student learning as a teaching assistant. The recipient is awarded a monetary prize and a personalized crystal award.

**The James F. Parker Memorial Award (Mechanical Engineering Design Award)**

James F. Parker served and represented Columbia engineering students as their Dean from 1975 to 1984. He also distinguished himself in the pursuit and analysis of two-dimensional art. In recognition of his special combination of talents and their integration, the School of Engineering and Applied Science salutes the graduate student who has distinguished her- or himself as a designer. A person of creative and innovative inclination receives the James Parker Medal, as evidenced by

outstanding performance in courses integrating engineering analysis and design.

#### **The Robert Peele Prize**

A cash prize awarded from time to time to that member of the graduating class in mining engineering who has shown the greatest proficiency in his or her course of studies.

#### **The Claire S. and Robert E. Reiss Prize in Biomedical Engineering**

This award is given by Robert E. Reiss, B.S.'66, and his wife, Claire S., to the graduating senior(s) in biomedical engineering judged by faculty of the program as most likely to contribute substantially to the field.

#### **The Robert Edward Reiss Award in Chemical Engineering**

Awarded annually to the student in the Department of Chemical Engineering who shows the greatest promise of success in applying the discipline of chemical engineering to the improvement of biological products and medical devices.

#### **The Francis B. F. Rhodes Prize**

Established in 1926 by Eben Erskine Olcott, 1874, in memory of his classmate, Francis Bell Forsyth Rhodes, School of Mines, 1874, and awarded from time to time to the member of the graduating class in materials science and metallurgical engineering who has shown the greatest proficiency in his or her course of study.

#### **School of Engineering and Applied Science Scholar Athlete Award**

Presented from time to time by the Office of the Dean to that graduating student who has distinguished himself or herself as a varsity athlete and scholar.

#### **School of Engineering and Applied Science Student Activities Award**

This award is presented to an undergraduate degree candidate in Columbia Engineering who by virtue of his or her willingness, energy, and leadership has significantly contributed to the cocurricular life of the School.

#### **Robert Simon Memorial Prize**

The Robert Simon Memorial Prize was established in 2001 to honor Robert

Simon, a Columbia alumnus who spent a lifetime making valuable contributions to computational and mathematical sciences, and is awarded annually by the Department of Applied Physics and Applied Mathematics to the doctoral student who has completed the most outstanding dissertation. Should no dissertation qualify in a given year, the prize may be awarded to either the most outstanding student who has completed a Master of Science degree in the department or to the most outstanding graduating senior in the department.

#### **The Richard Skalak Memorial Prize**

The Richard Skalak Memorial Prize was founded in recognition of the pioneering contributions of Richard Skalak to the development of the biomedical engineering program at Columbia University. Dr. Skalak was an inspirational teacher and scholar who taught students and colleagues to appreciate the value of broad interactions between engineering and medicine, particularly in the fields of cardiovascular mechanics, tissue engineering, and orthopedics. The Richard Skalak Memorial Prize is awarded annually to a senior biomedical engineering student who exemplifies the qualities of outstanding engineering scholarship and breadth of scientific curiosity that form the basis for lifelong learning and discovery.

#### **Student Excellence Award in Electronics, Circuits, and Physics**

Awarded by the faculty of Electrical Engineering to one outstanding graduating senior who has demonstrated outstanding passion and accomplishment in electronics, circuits, and physics.

#### **Student Excellence Award in Information and Systems**

Awarded by the faculty of Electrical Engineering to one outstanding graduating senior who has demonstrated outstanding passion and accomplishment in information and systems.

#### **Professor Rene B. Testa Award**

A prize that will be given to graduate and undergraduate students who have achieved excellence in their academic

program and have actively participated in the research and testing mission of the Carleton Laboratory.

#### **The George Vincent Wendell Memorial Medal**

Established in 1924 by the friends in the alumni and faculty of the late Professor George Vincent Wendell to honor and perpetuate his memory; a certificate and medal awarded annually by choice of the class and the faculty to that member of the graduating class who best exemplifies his ideals of character, scholarship, and service.

#### **Bill Campbell Award**

Presented by the CAA to a graduating student at each school who shows exceptional leadership and Columbia spirit as exemplified by Bill Campbell, '62CC, '64TC, Chair Emeritus, University Trustees, and CAA co-founder. Special consideration will be given to student leaders who have demonstrated a willingness and ability to work across schools or organizations.

### **RESIDENCE HALL SCHOLARSHIPS**

#### **Class of 1887 Mines Residence Scholarship**

Awarded annually to a third-year degree candidate, with preference given to descendants of members of the Class of 1887 Mines.

#### **Class of 1896 Arts and Mines Scholarship**

Awarded annually to a degree candidate in Columbia College, Columbia Engineering, or the Graduate School of Architecture and Planning, with preference given to descendants of members of the Class of 1896 Arts and Mines.

#### **Class of 1916 College and Engineering Fund**

Gift of the Class of 1916 College and Engineering.

# University and School Policies, Procedures, and Regulations



## REGISTRATION AND ENROLLMENT

*Registration* is the process that reserves seats in particular classes for eligible students. It is accomplished by following the procedures announced in advance of each term's registration period.

*Enrollment* is the completion of the registration process and affords the full rights and privileges of student status. Enrollment is accomplished by the payment or other satisfaction of tuition and fees and by the satisfaction of other obligations to the University.

Registration alone does not guarantee enrollment; nor does registration alone guarantee the right to participate in class. In some cases, students will need to obtain the approval of the instructor or of a representative of the department that offers a course. Students should check this bulletin, their registration instructions, the Directory of Classes, and also with an adviser for all approvals that may be required.

To comply with current and anticipated Internal Revenue Service mandates, the University requires all students who will be receiving financial aid or payment through the University payroll system to report their Social Security number at the time of admission. Newly admitted students who do not have a Social Security number should obtain one well in advance of their first registration. International students should consult the International Students and Scholars Office, located at 524 Riverside Drive (212-854-3587), for further information.

Special billing authorization is required of all students whose bills

are to be sent to a third party for payment. Students who are not citizens of the United States and who need authorization for special billing of tuition and/or fees to foreign institutions, agencies, or sponsors should go to the International Students and Scholars Office with two copies of the sponsorship letter.

### University Regulations

Each person whose enrollment has been completed is considered a student of the University during the term for which he or she is enrolled unless his or her connection with the University is officially severed by being withdrawn or for other reasons. No student enrolled in any school or college of the University shall at the same time be enrolled in any other school or college, either of Columbia University or of any other institution, without the specific authorization of the dean or director of the school or college of the University in which he or she is first enrolled.

The privileges of the University are not available to any student until enrollment has been completed. Students are not permitted to attend any University course for which they are not officially enrolled or for which they have not officially filed a program unless they have been granted auditing privileges.

The University reserves the right to withhold the privileges of registration and enrollment or any other University privilege from any person who has outstanding financial, academic, or administrative obligations to the University.

Continuous registration until

completion of all requirements is obligatory for each degree. Students are exempted from the requirement to register continuously only when granted a leave of absence by the Committee on Academic Standing (for undergraduate students) or the Office of Graduate Student Affairs (for graduate students).

### Registration Instructions

Registration instructions are announced in advance of each registration period by the Registrar. Students should consult these instructions for the exact dates and times of registration activities. Students must be sure to obtain all necessary written course approvals and advisers' signatures before registering. Undergraduate students who have not registered for a full-time course load by the end of the change of program period will be withdrawn, as will graduate students who have not registered for any coursework by the end of the change of program period. International students enrolled in graduate degree programs must maintain full-time status until degree completion.

## DEGREE REQUIREMENTS AND SATISFACTORY PROGRESS

### Undergraduate

Undergraduate students are required to complete the School's degree requirements and graduate in eight academic terms. Full-time undergraduate registration is defined as at least 12 semester credits per term. However, in order to complete the degree, students must be averaging

16 points per term. Students may not register for point loads greater than 21 points per term without approval from the Committee on Academic Standing.

To be eligible to receive the Bachelor of Science degree, a student must complete the courses prescribed in a faculty-approved major/program (or faculty-authorized substitutions) and achieve a minimum cumulative grade-point average (GPA) of 2.0. Although the minimum number of academic credits is 128 for the B.S. degree, some programs of the School require a greater number of credits in order to complete all the requirements. Undergraduate engineering degrees are awarded only to students who have completed at least 60 points of coursework at Columbia. No credit is earned for duplicate courses or for courses that are taken pass/fail and the final grade is a P.

Undergraduates in the programs accredited by the Engineering Accreditation Commission of ABET (biomedical engineering, chemical engineering, civil engineering, earth and environmental engineering, electrical engineering, and mechanical engineering) satisfy ABET requirements by taking the courses in prescribed programs, which have been designed by the departments so as to meet the ABET criteria.

### Attendance

Students are expected to attend their classes and laboratory periods. Instructors may consider attendance in assessing a student's performance and may require a certain level of attendance for passing a course.

### Graduate

Graduate students are required to complete the School's degree requirements as outlined on page 26 (The Graduate Programs). Full-time graduate registration is defined as at least 12 credits per term. M.S. students may not register for point loads greater than 15 credits per term or 9 credits for the last term.

A graduate student who has matriculated in an M.S. program or is a nondegree student is considered to be making normal progress if he or she has earned a cumulative GPA

of 2.5 or minimum GPA required by the academic department, whichever is higher. Candidates in the Doctor of Engineering Science (Eng.Sc.D.) program are expected to achieve a 3.0 grade-point average or minimum GPA required by the academic department, whichever is higher.

Graduate students (on-campus and online students) who do not meet the minimum cumulative GPA of the school and the department will be placed on academic probation. During the probation period students must meet with their department to discuss and develop an academic plan to improve their overall GPA. If the student does not meet the academic benchmarks required by their department after the term in which they have been placed on probation, then they may be asked to leave the School permanently. Degree requirements for master's degrees must be completed within five years; those for the doctoral degrees must be completed within seven years. A minimum cumulative grade-point average of 2.5 (in all courses taken as a degree candidate) is required for the M.S. degree; a minimum GPA of 3.0 is required for the Doctor of Engineering Science (Eng.Sc.D.) degree. The minimum residence requirement for each Columbia degree is 30 points of coursework completed at Columbia.

### Changes in Registration

An undergraduate student who wishes to drop or add courses or to make other changes in his or her program of study after the change of program period must obtain the approval of his or her CSA adviser. A student who wishes to drop or add a course in his or her major must also obtain department approval. The deadline for making program changes in each term is shown in the Academic Calendar. After this date, undergraduate students must petition their Committee on Academic Standing; graduate students must petition the Office of Graduate Student Affairs. For courses dropped after these dates, no adjustment of fees will be made. Failure to attend a class without officially dropping the class will result in a grade indicating permanent unofficial withdrawal (UW).

### Transfer Credits

Undergraduate students may obtain academic credit toward the B.S. degree by completing coursework at other accredited four-year institutions. Normally, this credit is earned during the summer. To count as credit toward the degree, a course taken elsewhere must have an equivalent at Columbia University and the student must achieve a grade of at least B. An exception to this policy is made for students enrolled in an approved study abroad program. Students in an approved study abroad program will receive transfer credit if they earn a grade of C or higher. To transfer credit, a student must obtain prior approval from his or her CSA adviser and the department before taking such courses. A course description and syllabus should be furnished as a part of the approval process. Courses taken before the receipt of the high school diploma may not be credited toward the B.S. degree. A maximum of 6 credits may be applied toward the degree for college courses taken following the receipt of a high school diploma and initial enrollment at Columbia University.

Master degree students are not eligible for transfer credits.

Students possessing a conferred M.S. degree may be awarded 2 residence units toward their Ph.D., as well as 30 points of advanced standing toward their Ph.D. or Eng.Sc.D. with departmental approval.

### Examinations

*Midterm examinations:* Instructors generally schedule these in late October and mid-March.

*Final examinations:* These are given at the end of each term. The Master University Examination Schedule is available online and is confirmed by November 1 for the fall term and April 1 for the spring term. This schedule is sent to all academic departments and is available for viewing on the Columbia website. Students should consult with their instructors for any changes to the exam schedule. Examinations will not be rescheduled to accommodate travel plans.

*Note:* If a student has three final examinations scheduled during one calendar day, as certified by the

Registrar, an arrangement may be made with one of the student's instructors to take that examination at another, mutually convenient time during the final examination period. This refers to a calendar day, not a 24-hour time period. Undergraduate students unable to make suitable arrangements on their own should contact their CSA adviser. Graduate students should contact the Office of Graduate Student Affairs.

### Transcripts and Certifications

For information on the Federal Family Education Rights and Privacy Act (FERPA) of 1974, please visit <http://facets.columbia.edu>—*Essential Policies for the Columbia Community*. Information on obtaining University transcripts and certifications will be found as a subhead under Essential Resources.

### Report of Grades

Grades can be viewed by using the Student Services Online feature located on the Student Services home page at [columbia.edu/students](http://columbia.edu/students). If you need an official printed report, you must request a transcript (please see Transcripts and Certifications above).

All graduate students must have a current mailing address on file with the Registrar's Office.

### Transcript Notations

The grading system is as follows: A, excellent; B, good; C, satisfactory; D, poor but passing; F, failure (a final grade not subject to re-examination). Occasionally, P (Pass) is the only passing option available. The grade-point average is computed on the basis of the following index: A=4, B=3, C=2, D=1, F=0. Designations of + or – (used only with A, B, C) are equivalent to 0.33 (i.e., B+=3.33; B–=2.67). Grades of P, INC, UW, and MU will not be included in the computation of the grade-point average.

*The mark of R (registration credit; no qualitative grade earned):* not accepted for degree credit in any program. R credit is not available to undergraduate students for academic classes. In some divisions of the University, the instructor may stipulate conditions for the grade and report a failure if those conditions are not satisfied. The R notation will

be given only to those students who indicate, upon registration and to the instructor, their intention to take the course for R, or who, with the approval of the instructor, file written notice of change of intention with the registrar not later than the last day for change of program. Students wishing to change to R credit after this date are required to submit written approval from the Office of Graduate Student Affairs and the course instructor to the registrar. The request to change to R credit must be made by the last day to change a course grading option. A course that has been taken for R credit may not be repeated later for examination credit and cannot be uncovered under any circumstances. The mark of R does not count toward degree requirements for graduate students. The mark of R is automatically given in Doctoral Research Instruction courses.

*The mark of UW (unofficial withdrawal):* given to students who discontinue attendance in a course but are still officially registered for it, or who fail to take a final examination without an authorized excuse.

*The mark of IN (incomplete):* granted only in the case of incapacitating illness as certified by the Health Services at Columbia, serious family emergency, or circumstances of comparable gravity. Undergraduate students request an IN by filling out the Incomplete Request Form with their CSA adviser prior to the final exam for the course in the semester of enrollment. Students requesting an IN must gain permission from both the Committee on Academic Standing (CAS) and the instructor. Graduate students should contact their instructor. If granted an IN, students must complete the required work within a period of time stipulated by the instructor but not to exceed one year. After a year, the IN will be automatically changed into an F or the contingency grade.

*The mark of YC (year course):* a mark given at the end of the first term of a course in which the full year of work must be completed before a qualitative grade is assigned. The grade given at the end of the second term is the grade for the entire course.

*The mark of CP (credit pending):* given only in graduate research courses in which student research projects

regularly extend beyond the end of the term. Upon completion, a final qualitative grade is then assigned and credit allowed. The mark of CP implies satisfactory progress.

*The mark of MU (make-up examination):* given to a student who has failed the final examination in a course but who has been granted the privilege of taking a second examination in an effort to improve his or her final grade. The privilege is granted only when there is a wide discrepancy between the quality of the student's work during the term and his or her performance on the final examination, and when, in the instructor's judgment, the reasons justify a make-up examination. A student may be granted the mark of MU in only two courses in one term, or, alternatively, in three or more courses in one term if their total point value is not more than 7 credits. The student must remove MU by taking a special examination administered as soon as the instructor can schedule it.

*The mark of P/F (pass/fail):* Undergraduate students may take up to two courses of the 9-11 nontechnical elective credit on a P/F basis. These courses must be at the 3000-level or higher and must be courses that can be taken P/F by students attending Columbia College (e.g., instruction classes in foreign language and core curriculum classes are not eligible to be taken pass/fail). These courses may not count toward the minor, and cannot be uncovered under any circumstances. Students may take only one class P/F per semester to count toward the 128 points, exclusive of physical education credit and any other course that is taught only on a P/F basis. Please note that physical education classes are the only courses taught solely on a P/F basis that may apply toward the 128 credits for the degree. The P/F option does not count toward degree requirements for graduate students and cannot be uncovered under any circumstances.

*The mark of W (official withdrawal):* a mark given to students who are granted a leave of absence after the drop deadline for the semester. The grade of W, meaning "official withdrawal," will be recorded as the official grade for the course in lieu of a letter grade. The

grade of W will zero out the credits for the class so the student's GPA will not be affected.

### **Name Changes**

Columbia University recognizes that some students prefer to identify themselves by a First Name and/or Middle Name, other than their Legal Name. For this reason, beginning in the Spring 2016 semester, the University has enabled students to use a "Preferred Name" where possible in the course of University business and education.

Under Columbia's Preferred Name policy, any student may choose to identify a Preferred First and/or Middle Name in addition to the Legal Name. Students may request this service via a link on SSOL. The student's Preferred Name may be used in many University contexts, including SSOL, class rosters, CourseWorks, and Canvas, and on ID Cards. For some other records the University is legally required to use a student's Legal Name. However, whenever reasonably possible, a student's "Preferred Name" will be used. Please see [registrar.columbia.edu/content/name-and-address-changes](http://registrar.columbia.edu/content/name-and-address-changes) for further details.

Students may change their name of record by submitting a name change affidavit to the Student Service Center. Affidavits are available from this office or online at [registrar.columbia.edu](http://registrar.columbia.edu).

### **GRADUATION**

Columbia University awards degrees three times during the year: in February, May, and October. There is one commencement ceremony in May.

#### **Application or Renewal of Application for the Degree**

In general, students pick up and file an application for a degree at their schools or departments, but there are several exceptions. Candidates for Master of Science degrees may pick up and file their application for the degree with the Diploma Division, 210 Kent Hall, or through the registrar's website: [registrar.columbia.edu/registrar-forms/application-degree-or-certificate](http://registrar.columbia.edu/registrar-forms/application-degree-or-certificate). Candidates for doctoral and Master of Philosophy degrees should inquire at their departments but must also follow the instructions of the Dissertation Office, 107 Low Library.

General deadlines for applying for graduation are November 1 for February, December 1 for May, and August 1 for October. (When a deadline falls on a weekend or holiday, the deadline moves to the next business day.) Doctoral students must deposit their dissertations two days before the above conferral dates in order to graduate.

Students who fail to earn the degree by the conferral date for which they applied must file another application for a later conferral date.

### **Diplomas**

There is no charge for the preparation and conferral of an original diploma. If your diploma is lost or damaged, there will be a charge of \$100 for a replacement diploma. Note that replacement diplomas carry the signatures of current University officials. Applications for replacement diplomas are available on our website: [registrar.columbia.edu/registrar-forms/application-replacement-diploma](http://registrar.columbia.edu/registrar-forms/application-replacement-diploma). Any questions regarding graduation or diploma processing should be addressed to [diplomas@columbia.edu](mailto:diplomas@columbia.edu).

## ACADEMIC HONORS

### Dean's List

To be eligible for Dean's List honors, an undergraduate student must achieve a grade-point average of 3.5 or better and complete at least 15 graded credits with no unauthorized incompletes, UWs, or grades lower than C.

### Honors Awarded with the Degree

At the end of the academic year, a select portion of the candidates for the Bachelor of Science degree who have achieved the highest academic cumulative grade-point average are accorded Latin honors. Latin honors are awarded in three categories (cum laude, magna cum laude, and summa cum laude) to no more than 25 percent of the graduating class, with no more than 5 percent summa cum laude, 10 percent magna cum laude, and 10 percent cum laude. Honors are awarded on the overall record of graduating seniors who will have completed a minimum of four semesters at Columbia upon graduation. Students who enter Columbia through the Combined Plan program are eligible for honors based on three semesters at Columbia. Students may not apply for honors.

## ACADEMIC MONITORING

The Undergraduate Committee on Academic Standing determines academic policies and regulations for the School except in certain instances when decisions are made by the faculty as a whole.

The Undergraduate Committee on Academic Standing is expected to uphold the policies and regulations of the Committee on Instruction and determine when circumstances warrant exceptions to them.

Academic performance is reviewed by advisers at the end of each semester. The Undergraduate Committee on Academic Standing, in consultation with the departments, meets to review undergraduate grades and progress toward the degree. Indicators of academic well-being are grades that average above 2.0 each term, in a coordinated program of study, with no incomplete grades.

Possible academic sanctions include:

- *Warning:* C- or below in any core science course or in a required course for their major; low points toward degree completion
- *Academic Probation:* Students will be placed on academic probation if they meet any of the conditions below:
  - fall below a 2.0 GPA in a given semester
  - have not completed 12 points successfully in a given semester
  - have not completed chemistry, physics, University Writing, The Art of Engineering, and calculus during the first year
  - receive a D, F, UW, or unauthorized Incomplete in any first-year/ sophomore required courses
  - receive a D, F, UW, or unauthorized Incomplete in any course required for the major
  - receive straight C's in the core science courses (chemistry,

calculus, physics) or in required courses for their major  
- not making significant progress toward the degree

- *Continued Probation:* Students who are already on probation and fail to meet the minimum requirements as stated in their sanction letter
- *Strict Probation:* Students who are already on probation, fail to meet the minimum requirements as stated in their sanction letter, and are far below minimum expectations. This action is typically made when there are signs of severe academic difficulty.
- *Suspension and Dismissal:* Students who have been placed on academic probation and who fail to be restored to good academic standing in the following semester can be considered either for suspension or dismissal by the Undergraduate Committee on Academic Standing. The decision to suspend or dismiss a student will be made by the Committee on Academic Standing in the Berick Center for Student Advising and the Dean's Office in close consultation with the student's departmental adviser when the student has declared a major. In cases of suspension, the student will be required to make up the deficiencies in their academic record by taking appropriate courses at a four-year accredited institution in North America. Students must be able to complete their degree requirements in their eighth semester at Columbia after readmission. If this is not achievable, then students should be considered for dismissal instead.

The courses that the student must take will be determined by the Undergraduate Committee of Academic Standing and by the student's departmental adviser when the student has declared a major. All proposed courses will be reviewed by the appropriate faculty who teach the equivalent classes at Columbia University. All courses that are being taken to fulfill a major requirement or as a technical elective must be approved by the student's departmental adviser. Courses being taken to count as a nontech elective or to count as general credit would only require the approval of the Undergraduate Committee on Academic Standing. The existing procedures for the approval of outside credit will be followed in these cases. Students must receive a grade of B or better for the credit to be transferred.

The Office of Graduate Student Affairs monitors the academic progress of graduate students in consultation with the departments.

### **MEDICAL LEAVE OF ABSENCE**

A medical leave of absence for an undergraduate student is granted by the James H. and Christine Turk Berick Center for Student Advising to a student whose health prevents him or her from successfully pursuing full-time study. Undergraduates who take a medical leave of absence are guaranteed housing upon their return if they were already guaranteed housing.

A medical leave of absence for a graduate student is granted by the Office of Graduate Student Affairs, so please consult with this office for more information.

Documentation from a physician or counselor must be provided before such a leave is granted. In order to apply for readmission following a medical leave, a student must submit proof of recovery from a physician or counselor. Graduate students may also be required to meet with a medical provider at Columbia. Doctoral students must have a faculty advisor and funding from their faculty advisor and/or academic department prior to and returning from an approved medical leave.

A medical leave is for a minimum of one year and cannot be longer than two

years. If the student does not return within the two-year time frame, he or she will be permanently withdrawn from the School. Students may only return in the fall or spring term, not in summer sessions.

When a medical leave of absence is granted during the course of the semester, the semester will be deleted if the leave begins prior to the drop deadline. If after the drop deadline, the course grades will normally be W (official withdrawal) in all courses. In certain circumstances a student may qualify for an incomplete, which would have to be completed by the first week of the semester in which the student returns to Columbia. If the Incomplete is not completed by that time, a W will be inserted as the final grade.

In exceptional cases, an undergraduate student may apply for readmission following a one-term medical leave of absence. In addition to providing a personal statement and supporting medical documentation for the medical leave readmission committee to review, the student will also need to provide a well-developed academic plan that has been approved by the departmental adviser and the Berick Center for Student Advising as part of the readmission process. This plan must demonstrate that his or her return to Columbia Engineering following a one-semester leave of absence will allow the student to properly follow the sequence of courses as required for the major and to meet all other graduation requirements by their eighth semester. The final decision regarding whether or not a student will be allowed to be readmitted after a one-semester leave will be made by the Medical Leave Readmission Committee.

The deadlines for petitioning a readmission are June 1 for the fall semester and November 1 for the spring semester. The deadlines for petitioning a return from a medical leave for graduate students after one semester are July 15 for the fall semester and December 15 for the spring. Students are not eligible to return from a medical leave during the summer. A return from a medical leave request must be made before the start of the fall or spring semester. Graduate students should contact the Office of Graduate Student Affairs for more

information about petitioning to return after a one-semester medical leave.

During the course of the leave, students are not permitted to take any courses for the purpose of transferring credit and are not permitted to be on campus. For more information about the medical leave of absence policy, consult your CSA adviser; graduate students should consult the Office of Graduate Student Affairs.

### **VOLUNTARY LEAVE OF ABSENCE**

A voluntary leave of absence (VLOA) may be granted by the Committee on Academic Standing to undergraduate students who request a temporary withdrawal from Columbia Engineering for a nonmedical reason. Students considering a voluntary leave must discuss this option in advance with their CSA adviser. Voluntary leaves are granted for a period of one academic year only; VLOAs will ordinarily not be granted for one semester, or for more than one year. Students must be in good academic standing at the time of the leave and must be able to complete their major and degree in eight semesters.

A voluntary leave of absence for a graduate student is granted by the Office of Graduate Student Affairs. A graduate student must be registered for at least one semester and have a minimum cumulative 2.5 GPA to request a voluntary leave. Additionally, doctoral students must have a faculty advisor and funding from their faculty advisor and/or academic department prior to and returning from an approved voluntary leave of absence. A graduate student may request to return from a voluntary leave of absence for the fall, spring, or summer semester. A request to return must be made before the semester starts. Please contact the Office of Graduate Student Affairs for more information.

When a voluntary leave of absence is granted during the course of the semester, the semester will be deleted if the leave begins prior to the drop deadline. If after the drop deadline, the course grades will normally be a W (official withdrawal) in all courses. In certain circumstances a student may

qualify for an incomplete, which would have to be completed by the first week of the semester in which the student returns to Columbia. If the Incomplete is not completed by that time, a W will be inserted as the final grade.

In exceptional cases, an undergraduate may apply for readmission following a one-term voluntary leave of absence. The student will need to provide to the Committee on Academic Standing a well-developed academic plan that has been approved by the departmental adviser and the Berick Center for Student Advising as part of the admission process. This plan must demonstrate that his or her return to Columbia Engineering following a one-semester leave of absence will allow the student to properly follow the sequence of courses as required for the major and to meet all other graduation requirements by their eighth semester. The Committee on Academic Standing will review the student's academic plan and request for readmission. The deadlines for petitioning for readmission are June 1 for the fall semester and November 1 for the spring semester. The deadlines for petitioning a return from a voluntary leave for graduate students after one semester are July 15 for the fall semester and December 15 for the spring.

Students may not take courses for transferable credit while on leave. Finally, students who choose to take voluntary leaves are not guaranteed housing upon return to the University. International students should contact the International Students and Scholars Office to ensure that a leave will not jeopardize their ability to return to Columbia Engineering.

## UNDERGRADUATE EMERGENCY FAMILY LEAVE OF ABSENCE

Students who must leave the University for urgent family reasons that necessitate a semester-long absence (e.g., family death or serious illness in the family) may request an emergency family leave of absence. Documentation of the serious nature of the emergency must be provided. Students must request an emergency family leave of absence from their advising dean in the James H. and Christine Turk Berick Center for Student Advising.

When an emergency family leave of absence is granted during the course of the semester, the semester will be deleted if the leave begins prior to the drop deadline. If after the drop deadline, the course grades will normally be W (official withdrawal) in all courses. In certain circumstances, a student may qualify for an incomplete, which would have to be completed by the first week of the semester in which the student returns to Columbia. If the Incomplete is not completed by that time, a W will be inserted.

To return, students must notify the Berick Center for Student Advising as soon as possible, ideally by November 1 for the spring semester and June 1 for the fall semester. Students must request readmission in writing and submit a statement describing their readiness to return. Once readmission is granted, housing will be guaranteed. SEAS students may request permission to return after one semester as long as they can demonstrate that they can remain on sequence with their coursework and have the prior approval of the departmental adviser.

Students who decide not to return must notify the James H. and Christine

Turk Berick Center for Student Advising of their decision. The date of separation for the leave of absence will be the date of separation for withdrawal. Leaves may not extend beyond four semesters. Students who do not notify the Berick Center for Student Advising of their intentions by the end of the two-year period will be permanently withdrawn.

## LEAVE FOR MILITARY DUTY

Please refer to Military Leave of Absence Policy in *Essential Policies for the Columbia Community* (facets.columbia.edu) for recent updates regarding leave for military duty.

## INVOLUNTARY LEAVE OF ABSENCE POLICY

Please refer to Involuntary Leave of Absence Policy in *Essential Policies for the Columbia Community* (facets.columbia.edu).

## READMISSION

Students seeking readmission must submit evidence that they have achieved the purposes for which they left. Consequently, specific readmission procedures are determined by the reasons for the withdrawal. Further information for undergraduate students is available in the Berick Center for Student Advising. Graduate students should see the Office of Graduate Student Affairs.

Students applying for readmission should complete all parts of the appropriate readmission procedures by June 1 for the autumn term or November 1 for the spring term.

### LIFE IN THE ACADEMIC COMMUNITY

The Fu Foundation School of Engineering and Applied Science within Columbia University is a community. Admitted students, faculty, and administrators come together and work through committees and other representative bodies to pursue and to promote learning, scholarly inquiry, and free discourse. As in any community, principles of civility and reasoned interaction must be maintained. Thus, methods for addressing social as well as academic behaviors exist.

### STANDARDS AND DISCIPLINE

As members of the Columbia University community, all students are expected to uphold the highest standards of respect, integrity, and civility. These core values are key components of the Columbia University experience and reflect the community's expectations of Columbia University students. Students are therefore expected to conduct themselves in an honest, civil, and respectful manner in all aspects of their lives. Students who violate standards of behavior related to academic or behavioral conduct interfere with their ability, and the ability of others, to take advantage of the full complement of University life, and will thus be subject to Dean's Discipline.

Undergraduate Community members may find a full description of University policies at: <http://studentconduct.columbia.edu/>.

We expect that in and out of the classroom, on and off campus, each

student in the School will act in an honest way and will respect the rights of others. Freedom of expression is an essential part of University life, but it does not include intimidation, threats of violence, or the inducement of others to engage in violence or in conduct which harasses others. We state emphatically that conduct which threatens or harasses others because of their race, sex, religion, disability, sexual orientation, or for any other reason is unacceptable and will be dealt with severely. If each of us at Columbia can live up to these standards, we can be confident that all in our community will benefit fully from the diversity to be found here. Any undergraduate student who believes he or she has been victimized should speak with an adviser in the James H. and Christine Turk Berick Center for Student Advising, a member of the Residential Life staff, or a member of Student Conduct and Community Standards; graduate students should speak with an officer in the Office of Graduate Student Affairs.

While every subtlety of proper behavior cannot be detailed here, examples of other actions subject to discipline are:

- Access, Unauthorized
- Alcohol, Prohibited use of
- Collusion
- Columbia University Identification Card, Unauthorized use of
- Columbia Identity (or affiliated organizations), Unauthorized use of
- Disruptive Behavior
- Failure to Comply
- Entry/Egress, Unauthorized

- Falsification
- Federal, State, or Local laws, Violation of
- Fire Safety Policies, Violation of
- Illegal Drugs Policy, Violation of
- Hazing
- Information Technologies Policies, Violation of
- Physical Endangerment, Threats, and Harassment
- Retaliation
- Smoking Policy, Violation of University
- Theft
- Vandalism
- Weapons

### UNIVERSITY POLICIES AND PROCEDURES

- Rules of University Conduct
- Guide to Living
- Gender-Based Misconduct Policy for Students
- Columbia University Information Technology (CUIT) policies and procedures
- Undergraduate Student Travel Policy

### ACADEMIC INTEGRITY

Academic integrity defines a university and is essential to the mission of education. At Columbia students are expected to participate in an academic community that honors intellectual work and respects its origins. In particular, the abilities to synthesize information and produce original work are key components in the learning process. As such, a violation of academic integrity is one of the most serious offenses a student can commit at Columbia and can result in dismissal.

Students rarely set out with the intent of engaging in violations of academic integrity. But classes are challenging at Columbia, and students will often find themselves pressed for time, unprepared for an assignment or exam, or feeling that the risk of earning a poor grade outweighs the need to be thorough. Such circumstances lead some students to behave in a manner that compromises the integrity of the academic community, disrespects their instructors and classmates, and deprives them of an opportunity to learn. In short, they cheat. Students who find themselves in such circumstances should immediately contact their instructor and adviser for advice.

The easiest way to avoid the temptation to cheat in the first place is to prepare yourself as best you can. Here are some basic suggestions to help you along the way:

- Understand instructor expectations and policies.
- Clarify any questions or concerns about assignments with instructors as early as possible.
- Develop a timeline for drafts and final edits of assignments and begin preparation in advance.
- Avoid plagiarism: acknowledge people's opinions and theories by carefully citing their words and always indicating sources.
- Utilize the campus's resources, such as the advising centers and Counseling and Psychological Services, if feeling overwhelmed, burdened, or pressured.
- Assume that collaboration in the completion of assignments is prohibited unless specified by the instructor.

Students found responsible for an academic integrity violation may be disqualified from receiving Latin Honors.

### Plagiarism and Acknowledgment of Sources

Columbia has always believed that writing effectively is one of the most important goals a college student can achieve. Students will be asked to do a great deal of written work while at Columbia: term papers, seminar and

laboratory reports, and analytic essays of different lengths. These papers play a major role in course performance, but more important, they play a major role in intellectual development. Plagiarism, the use of words, phrases, or ideas belonging to another, without properly citing or acknowledging the source, is considered one of the most serious violations of academic integrity and is a growing problem on university campuses.

One of the most prevalent forms of plagiarism involves students using information from the Internet without proper citation. While the Internet can provide a wealth of information, sources obtained from the web must be properly cited just like any other source. If you are uncertain how to properly cite a source of information that is not your own, whether from the Internet or elsewhere, it is critical that you do not hand in your work until you have learned the proper way to use in-text references, footnotes, and bibliographies. Faculty members are available to help as questions arise about proper citations, references, and the appropriateness of group work on assignments. You can also check with the Undergraduate Writing Program. Ignorance of proper citation methods does not exonerate one from responsibility.

### Personal Responsibility, Finding Support, and More Information

A student's education at Columbia University is comprised of two complementary components: a mastery over intellectual material within a discipline and the overall development of moral character and personal ethics. Participating in forms of academic dishonesty violates the standards of our community at Columbia and severely inhibits a student's chance to grow academically, professionally, and socially. As such, Columbia's approach to academic integrity is informed by its explicit belief that students must take full responsibility for their actions, meaning you will need to make informed choices inside and outside the classroom. Columbia offers a wealth of resources to help students

make sound decisions regarding academics, extracurricular activities, and personal issues. If you don't know where to go, see your advising dean.

### Academic Integrity Policies and Expectations

Violations of policy may be intentional or unintentional and may include dishonesty in academic assignments or in dealing with University officials, including faculty and staff members. Moreover, dishonesty during the Dean's Discipline hearing process may result in more serious consequences.

Types of academic integrity violations:

- Academic Dishonesty, Facilitation of: assisting another student in a violation of academic integrity is prohibited. This may include but is not limited to selling and/or providing notes, exams, and papers.
- Assistance, Unauthorized Giving: unauthorized assistance to another student or receiving unauthorized aid from another person on tests, quizzes, assignments, or examinations without the instructor's express permission is prohibited.
- Bribery: offering or giving any favor or thing of value for the purpose of improperly influencing a grade or other evaluation of a student in an academic program is prohibited.
- Cheating: wrongfully using or attempting to use unauthorized materials, information, study aids, or the ideas or work of another in order to gain an unfair advantage is prohibited. Cheating includes, but is not limited to, using or consulting unauthorized materials or using unauthorized equipment or devices on tests, quizzes, assignments, or examinations, working on any examination, text, quiz, or assignment outside the time constraints imposed, the unauthorized use of prescription medication to enhance academic performance, and/or submitting an altered examination or assignment to an instructor for regrading.
- Collaboration, Unauthorized: collaborating on academic work without the instructor's permission is prohibited. This includes, but is not limited to, unauthorized collaboration

on tests, quizzes, assignments, labs, and projects.

- Dishonesty: falsification, forgery, or misrepresentation of information to any University official in order to gain an unfair academic advantage in coursework or lab work, on any application, petition, or documents submitted to this University is prohibited. This includes, but is not limited to, falsifying information on a resume, fabrication of credentials or academic records, misrepresenting one's own research, providing false or misleading information in order to be excused from classes or assignments, and/or intentionally underperforming on a placement exam.
- Ethics, Honor Codes, and Professional Standards, Violation of: any violation of published institutional policies related to ethics, honor codes, or professional standards of a student's respective school is prohibited.
- Failing to Safeguard Work: failure to take precautions to safeguard one's own work is prohibited.
- Giving or Taking Academic Materials, Unauthorized: unauthorized circulation or sharing of past or present course material(s) without the instructor's express permission is prohibited. This includes, but is not limited to, assignments, exams, lab reports, notebooks, and papers.
- Obtaining Advanced Knowledge: unauthorized advanced access to exams or other assignments without an instructor's express permission is prohibited.
- Plagiarism: the use of words, phrases, or ideas belonging to another without properly citing or acknowledging the source is prohibited. This may include, but is not limited to, copying computer programs for the purposes of completing assignments for submission.
- Sabotage: inappropriately and deliberately harming someone else's academic performance is prohibited.
- Self-Plagiarism: using any material portion of an assignment to fulfill the requirements of more than one course without the instructor's express permission is prohibited.
- Test Conditions: violations of compromising a testing environment or violating specified testing

conditions, to intentionally or unintentionally create access to an unfair advantage for oneself or others is prohibited.

## DISCIPLINARY PROCEDURES

Many policy violations that occur in the Residence Halls or within fraternity and sorority housing are handled by Residential Life. Some serious offenses are referred directly to Student Conduct and Community Standards. Violations in University Apartment Housing are handled by building managers and housing officials. Some incidents are referred directly to the School's housing liaison in the Office of Graduate Student Affairs.

In matters involving rallies, picketing, and other mass demonstrations, the Rules of University Conduct outlines procedures.

Student Conduct and Community Standards is responsible for all disciplinary affairs concerning undergraduate students that are not reserved to some other body. The Office of Graduate Student Affairs is responsible for all disciplinary affairs concerning graduate students that are not reserved to some other body.

## Dean's Discipline Process for Undergraduate and Graduate Students

It is expected that all students act in an honest way and respect the rights of others at all times. Dean's Discipline is the process utilized to investigate and respond to allegations of behavioral or academic misconduct. The Dean's Discipline process is not meant to be an adversarial or legal process, but instead aims to educate students about the impact their behavior may have on their own lives as well as on the greater community and, as a result, is not meant to be an adversarial or legal process.

The process is initiated when an allegation is reported that a student may have violated University policies. Students may be subject to Dean's Discipline for any activity that occurs on or off campus that impinges on the rights of other students and community members. This also includes violations of local, state, or federal laws.

Student Conduct and Community

Standards is responsible for administering the Dean's Discipline disciplinary process for all disciplinary affairs concerning students that are not reserved to some other body.

Students are expected to familiarize themselves with the Standards and Discipline handbook and the comprehensive list of policies and expectations available on the Students Conduct and Community Standards website ([studentconduct.columbia.edu](http://studentconduct.columbia.edu)).

For more information about the discipline process for graduate students, please contact the Office of Graduate Student Affairs.

## Confidentiality

Privacy and Reporting: Disciplinary proceedings conducted by the University are subject to the Family Education Rights and Privacy Act ("FERPA," also called "The Buckley Amendment"). There are several important exceptions to FERPA that will allow the University to release information to third parties without a student's consent. For example, the release of student disciplinary records is permitted without prior student consent to University officials with a legitimate educational interest such as a student's academic adviser and to Columbia Athletics if the student is an athlete. The University will also release information when a student gives written permission for information to be shared. To obtain a FERPA waiver, please visit: <http://www.columbia.edu/cu/student-conduct/documents/FerpaRelease.pdf>. To read more about the exceptions that apply to the disclosure of student records information, please visit: <http://www.essentialpolicies.columbia.edu/policy-access-student-recordferpa>.

Students found responsible for reportable violations of conduct, including academic integrity violations, may face reports of such offenses on future recommendations for law, medical, or graduate school. Students found responsible for any violations of conduct may be disqualified from receiving Latin Honors or other awards. The parents or guardians of undergraduate students may also be notified.

This bulletin is intended for the guidance of persons applying for or considering application for admission to Columbia University and for the guidance of Columbia students and faculty. The bulletin sets forth in general the manner in which the University intends to proceed with respect to the matters set forth herein, but the University reserves the right to depart without notice from the terms of this bulletin. The bulletin is not intended to be and should not be regarded as a contract between the University and any student or other person.

Valuable information to help students, faculty, and staff understand some of the policies and regulations of the University can be found in *Essential Policies for the Columbia Community* at [facets.columbia.edu](http://facets.columbia.edu).

Policies on this website pertain to campus safety (including harassment and discrimination), the confidentiality of student records, drug and alcohol use, student leaves, and political activity, as well as others. This is a useful reference to several important policies the Columbia University maintains including the following:

- Student Email Communication Policy
- Information Technology Policies
- Social Security Number Reporting
- Policy on Access to Student Records (FERPA)
- University Regulations (including Rules of University Conduct)
- Policies on Alcohol and Drugs
- Columbia University Non-Discrimination Statement and Policy
- Gender-Based Misconduct Policy and Procedures for Students
- Protection of Minors
- University Event Policies
- Policy on Partisan Political Activity
- Campus Safety and Security
- Crime Definitions in Accordance with the Federal Bureau of Investigation's Uniform Crime Reporting Program
- Morningside Campus: Required Medical Leave for Students with Eating Disorders
- Voluntary Leave of Absence Policy
- Involuntary Leave of Absence Policy
- Military Leave of Absence Policy
- Central Administration of the University's Academic Programs
- Non-Retaliation Policy
- Essential Resources
- Student Consumer Information
- Additional Policy Sources
- Directory



### RESERVATION OF UNIVERSITY RIGHTS

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### ATTENDANCE

Students are held accountable for absences incurred owing to late enrollment.

### RELIGIOUS HOLIDAYS

It is the policy of the University to respect its members' religious beliefs. In compliance with New York State law, each student who is absent from school because of his or her religious beliefs will be given an equivalent opportunity to register for classes or make-up any examination, study, or work requirements that he or she may have missed because of such absence due to religious beliefs, and alternative means will be sought for satisfying the academic requirements involved.

Officers of administration and of instruction responsible for scheduling

academic activities or essential services are expected to avoid conflict with religious holidays as much as possible. If a suitable arrangement cannot be worked out between the student and the instructor involved, they should consult the appropriate dean or director. If an additional appeal is needed, it may be taken to the Provost.

### ACADEMIC DISCIPLINE

See Policy on Conduct and Discipline.

### THE FEDERAL FAMILY EDUCATIONAL RIGHTS AND PRIVACY ACT (FERPA)

See Transcripts and Certifications.

### COLUMBIA UNIVERSITY OMBUDS OFFICE

The Ombuds Office is a neutral and confidential resource for informal conflict resolution, serving the entire Columbia University community—students, faculty, and employees.

As an institution, Columbia University is committed to the principles of equity and excellence. It actively pursues both, adhering to the belief that equity is the partner of excellence. Columbia University's goal is a workforce and student body that reflects the diversity and talent of New York City, the larger metropolitan area, and the nation. In furtherance of this goal, Columbia has implemented policies and programs which seek to ensure that its employment and educational decisions are based on

individual merit and not on bias or stereotypes.

The Office of Equal Opportunity and Affirmative Action (EOAA) has overall responsibility for the management of the University's Student Policies and Procedures on Discrimination and Harassment and the Employment Policies and Procedures and local laws and has been designated as the University's Compliance Office for the Title IX, Section 504 of the Rehabilitation Act, and other equal opportunity, nondiscrimination, and affirmative action laws. Students, faculty, and staff may contact the EOAA to inquire about their rights under University policies, request assistance, seek information about filing a complaint, or report conduct or behavior that may violate these policies.

All students and applicants for admission are protected from coercion, intimidation, interference, or retaliation for filing a complaint or assisting in an investigation under any of the applicable policies and laws.

### COLUMBIA UNIVERSITY NON-DISCRIMINATION STATEMENT AND POLICY

Columbia University is committed to providing a learning, living, and working environment free from unlawful discrimination and to foster a nurturing and vibrant community founded upon the fundamental dignity and worth of all its members. Consistent with this commitment, and with all applicable laws, it is the policy of the University

not to tolerate unlawful discrimination in any form and to provide persons who feel that they are victims of discrimination with mechanisms for seeking redress.

Columbia University prohibits any form of discrimination against any person on the basis of race, color, sex, gender, pregnancy, religion, creed, marital status, partnership status, age, sexual orientation, national origin, disability, military status, or any other legally protected status in the administration of its educational policies, admissions policies, employment, scholarship and loan programs, and athletic and other University-administered programs.

Nothing in this policy shall abridge academic freedom or the University's educational mission. Prohibitions against discrimination and discriminatory harassment do not extend to statements or written materials that are relevant and appropriately related to the subject matter of courses.

This policy governs the conduct of all Columbia University students, faculty, staff, and visitors that occurs on the University's campuses or in connection with University-sponsored programs. This policy also governs conduct by Columbia University students, faculty, staff, and visitors that creates, contributes to, or continues a hostile work, educational, or living environment for a member or members of the University community.

### **CONSENSUAL ROMANTIC AND SEXUAL RELATIONSHIPS**

Columbia University maintains policies regarding consensual romantic and sexual relationships between faculty and students, and staff and students. The Faculty-Student Relationship Policy states that no faculty member shall exercise academic or professional authority over any student with whom he or she has or previously has had a consensual romantic or sexual relationship. This policy covers all officers of instruction, research, and the libraries, including student officers of instruction, research, and teaching assistants.

The Staff-Student Relationship Policy states that no staff member at Columbia should participate in the supervision, employment actions, evaluation, advising, or mentoring of any Columbia University student with whom that staff member has or has had a consensual romantic or sexual relationship, except in unusual circumstances, where explicit advance authorization has been obtained.

For additional information on these issues, policies and resources, please visit the Sexual Respect website at [titleix.columbia.edu](http://titleix.columbia.edu).

### **DISABILITY ACCOMMODATION**

Students seeking access, accommodations or support services for a disability should contact Disability Services at 212-854-2388. Information on the services provided by Disability Services may be found online at [health.columbia.edu/docs/services/ods/index.html](http://health.columbia.edu/docs/services/ods/index.html).

### **FORMAL COMPLAINT PROCEDURES**

#### **Procedure for Complaint Against Another Student**

##### **[Gender-Based Misconduct Policy and Procedures for Students](#)**

Complaints against students for gender-based misconduct are processed in accord with the Gender-Based Misconduct Policy and Procedures for Students by Student Conduct and Community Standards. Students who attend Teachers College as well as Columbia University are covered by these policies. The use of the term "gender-based misconduct" includes sexual assault, sexual harassment, gender-based harassment, stalking, dating violence, and domestic violence.

##### **[Columbia University Non-Discrimination Statement and Policy](#)**

Dean's Discipline procedures will be used to investigate and respond to allegations that a student has engaged in discrimination or discriminatory harassment as set out in the Columbia University Non-Discrimination Statement.

For more information about the Dean's Discipline process, please visit Student Conduct and Community Standards or the Office of Graduate Student Affairs in order to speak with a staff member.

#### **Procedure for Complaints Against a Student Organization**

Students who wish to file a complaint of discrimination or harassment against a student organization should do so in consultation with the Dean of their own school; the Dean will identify the appropriate procedure and channels and assist the student in pursuing the complaint.

#### **Procedure for Complaint Against a Member of the Faculty or Staff**

##### **[Office of Equal Opportunity and Affirmative Action](#)**

Complaints against employees and third parties affiliated with the University for discrimination and harassment are processed in accord with the Columbia University Employee Policies and Procedures on Discrimination, Harassment, Sexual Assault, Domestic Violence, Dating Violence, and Stalking. The use of the term "discrimination and harassment" includes discrimination, discriminatory harassment, gender-based harassment, stalking, intimate partner violence, sexual harassment, and sexual assault.

Violations of the University's Employment Policies and Procedures on Discrimination and Harassment and the Student Policies and Procedures on Discrimination and Harassment are prohibited. Appropriate disciplinary action may be taken against any employee or student who violates these policies.

Columbia also offers a number of confidential resources to students who believe they were subjected to discrimination, harassment, or gender-based or sexual misconduct and who do not wish to report to the University.

##### **[Counseling Services](#)**

Columbia Morningside: 212-854-2878  
CUMC: 212-496-8491

**Rape Crisis/Anti-Violence Support Center**

Phone: 212-854-HELP

**Health Services\***

Columbia Morningside: 212-854-2284  
Columbia Morningside Clinician On-Call:  
212-854-9797  
CUMC: 212-305-3400  
CUMC Clinician On-Call: 212-305-3400

\*Medical providers are considered confidential resources in the context of providing medical treatment of a patient.

**Grievance Procedures**

Students should consult SEAS policies on Student Grievances, Academic Concerns and Complaints for the appropriate procedure to complain about a faculty member's conduct in an instructional setting.

The following procedures are part of a process to ensure that student concerns about experiences in the classroom or with faculty are addressed in an informed and appropriate manner.

Due to the size and diverse nature of our scholarly community, each school maintains its own processes for addressing issues raised by students, including their concerns about experiences in the classroom or with faculty at their school. Experience has shown that most student concerns are best resolved in a collaborative way at the school level. Columbia Engineering offers several informal paths for students to use, as described in this statement.

If a student's concerns are not satisfied through this process, or if the student believes that a direct complaint to the Dean is more appropriate, formal grievance procedures are available through the Vice Dean of the School. These procedures should be used for complaints about Engineering faculty. For those faculty who are not members of Columbia Engineering, the student should consult the procedures of the school in which they serve.

For academic complaints relating to Engineering faculty, these procedures, like those of other schools, provide for a final appeal to the University Provost.

We welcome students' thoughts on ways to clarify or enhance these

procedures. If you are an Engineering student, please email [seasdean@columbia.edu](mailto:seasdean@columbia.edu).

### COMPLAINTS ABOUT FACULTY AND STAFF ACADEMIC MISCONDUCT

In fulfilling their instructional responsibilities, faculty are expected to treat their students with civility and respect. They "should promote an atmosphere of mutual tolerance, respect, and civility. They should allow the free expression of opinions within the classroom that may be different from their own and should not permit any such differences to influence their evaluation of their students' performance. They should confine their classes to the subject matter covered by their courses and not use them to advocate any political or social cause" (*2008 Faculty Handbook*). A fuller description of faculty rights and obligations may be found in the *Faculty Handbook* ([columbia.edu/cu/vpaa/handbook](http://columbia.edu/cu/vpaa/handbook)). Students who feel that members of the Engineering faculty have not met those obligations may take the following steps (the procedure below also applies to complaints against instructional and administrative staff):

Students are encouraged to seek a resolution to their complaints about faculty misconduct by talking directly with the faculty member. If they feel uncomfortable handling the situation in this manner, they may ask for help from a departmental faculty

mediator, who will assist students with complaints about faculty members, other academic personnel, or administrators.

The name of the faculty mediator is posted in the department office and on the departmental website. Students may also ask the department chair or administrator to direct them to the faculty mediator. The faculty mediator tries to resolve any issue by informal meetings with the student and others, including faculty as seems appropriate. Students who are dissatisfied with the outcome may request a meeting with the department chair. The chair will review the mediator's recommendation and seek informally to resolve the student's complaint.

- Students may bring their concerns to the University's Ombuds Officer, who serves as an informal, confidential resource for assisting members of the University with conflict resolution. The Ombuds Officer provides information, counseling, and referrals to appropriate University offices and will also mediate conflicts if both parties agree. The Ombuds Officer does not have the authority to adjudicate disputes and does not participate in any formal University grievance proceedings. Further information on the Ombuds Office may be found at [columbia.edu/cu/ombuds](http://columbia.edu/cu/ombuds).
- Students may seek a grievance hearing if informal mediation fails. The grievance procedures students should follow will depend upon the school

within which the faculty member is appointed and the nature of the alleged misconduct.

If the faculty member holds an appointment in Columbia Engineering, the student may use the procedures described below to address the issues listed below. If the faculty member belongs to another school, students must use the procedure of that school. They may, however, ask for help from the departmental faculty mediator, chair, and the School's deans in identifying and understanding the appropriate procedures.

Conduct that is subject to formal grievance procedure includes:

- failure to show appropriate respect in an instructional setting for the rights of others to hold opinions differing from their own;
- misuse of faculty authority in an instructional setting to pressure students to support a political or social cause; and
- conduct in the classroom or another instructional setting that adversely affects the learning environment.

### Formal grievance procedure at Columbia Engineering

If the informal mediation mentioned above failed, the student should compose and submit to the Vice Dean of the School a written statement documenting the grievance and should also include a description of the remedy sought. This should be done no later than 30 working days after the end of the semester in which the grievance occurred.

The Vice Dean will review the complaint to determine if a grievance hearing is warranted. If so, the Vice Dean will convene an ad hoc committee consisting of the Associate Dean for Graduate Student Affairs (graduate students) or the Senior Associate Dean of Student Affairs (undergraduate students), who acts as chair; a faculty member chosen by the Vice Dean; and a student chosen by one of the student councils (an undergraduate or a graduate student to correspond to the status of the student grieving).

The faculty member is given the student's letter of complaint and invited to submit a written response. The Committee reviews both statements and is given access to any other written documents relevant to the complaint. It will normally interview both the grievant and the faculty member and may, at its discretion, ask others to provide testimony. The merits of the grievance are evaluated within the context of University and Engineering school policy.

The investigative committee serves in an advisory capacity to the Dean of the School. It is expected to complete its investigation in a timely manner and submit a written report to the Dean, who may accept or modify its findings and any recommendations it may have made to remedy the student's complaint. The Dean will inform both the student and the faculty member of his decision in writing.

The committee ordinarily convenes within 10 working days of receiving the complaint from the Vice Dean and ordinarily completes its investigation and sends the Dean its report within 30 working days of convening. The Dean normally issues his or her decision within 30 working days of receiving the committee's report.

The Dean may discipline faculty members who are found to have committed professional misconduct. Any sanctions will be imposed in a manner that is consistent with the University's policies and procedures on faculty discipline. In particular, if the Dean believes that the offense is sufficiently serious to merit dismissal, he or she can initiate the procedures in Section 75 of the University Statutes for terminating tenured appointments, and nontenured appointments before the end of their stated term, for cause.

Either the student or the faculty member may appeal the decision of the Dean to the Provost. Findings of fact, remedies given the student, and penalties imposed on the faculty member are all subject to appeal. A written appeal must be submitted to the Provost within 15 working days of the date of the letter informing them of the Dean's decision.

Normally, the Provost will take no longer than 30 working days to evaluate an appeal. The Provost usually confines his or her review to the written record but reserves the right to collect information in any manner that will help to make his or her decision on the appeal.

The Provost will inform both the student and the faculty member of his or her decision in writing. If the Provost decides that the faculty member should be dismissed for cause, the case is subject to further review according to the procedures in Section 75 of the University Statutes, as noted above. Otherwise the decision of the Provost is final and not subject to further appeal.

All aspects of an investigation of a student grievance are confidential. The proceedings of the grievance committee are not open to the public. Only the student grievant and the faculty member accused of misconduct receive copies of the decisions of the Dean and the Provost. Everyone who is involved with the investigation of a grievance is expected to respect the confidentiality of the process.

### DISPUTES OVER GRADES OR OTHER ACADEMIC EVALUATIONS

The awarding of grades and all other academic evaluations rests entirely with the faculty. If students have a concern relating to a particular grade or other assessment of their academic work, the student first should speak with the instructor of the class to understand how the grade or other evaluation was derived and to address the student's specific concern.

If the students do not feel comfortable speaking with the class instructor about the matter, they should then bring the issue to the attention of their class dean (undergraduate students) or department chair (graduate students).

If the students are unable thus to resolve the matter to their satisfaction and believe that a procedural issue is involved, they should bring the matter to the attention of the Vice Dean. The Vice Dean will work with the student and the

faculty to determine whether there has been a procedural breach and if so, take immediate steps to remedy the matter. If the Vice Dean, together with appropriate faculty other than the instructor, decides that there is no need for further action, the student will be informed and the decision will be final.

#### **DISCRIMINATION, HARASSMENT, AND GENDER- BASED MISCONDUCT**

See Formal Complaint Procedures.

#### **SCIENTIFIC OR SCHOLARLY MISCONDUCT**

Complaints against the School's faculty that allege scientific or scholarly misconduct are evaluated using other procedures. These are contained in the Columbia University Institutional Policy on Misconduct in Research.

# Directory of University Resources



**UNDERGRADUATE ADMISSIONS****Undergraduate Admissions**

212 Hamilton, MC 2807  
212-854-2522

undergrad.admissions.columbia.edu  
ugrad-ask@columbia.edu

Jessica Marinaccio  
*Dean of Undergraduate Admissions and  
Financial Aid*

Joanna May, jm2638@columbia.edu  
*Associate Dean and Director of  
Undergraduate Admissions*

David Buckwald, db2326@columbia.edu  
*Director of International Admissions and  
Strategic Initiatives*

Diane McKoy, dm18@columbia.edu  
*Senior Associate Director*

Dana Pavarini, dwp2102@columbia.edu  
*Senior Associate Director, Director of  
Engineering Recruitment*

**UNDERGRADUATE ADVISING****James H. and Christine Turk Berick  
Center for Student Advising**

403 Lerner Hall, MC 1201  
212-854-6378

cc-seas.columbia.edu/csa  
csa@columbia.edu

Andrew Plaa, ap50@columbia.edu  
*Dean of Advising*

Angie Carrillo, ac2335@columbia.edu  
*Associate Dean*

A. Alex España, aae2003@columbia.edu  
*Associate Dean*

Megan Rigney, mr2168@columbia.edu  
*Associate Dean*

Cheryl de Moose, cd2783@columbia.edu  
*Director*

**UNDERGRADUATE STUDENT LIFE**

505–515 Lerner, MC 2601  
212-854-3612

Cristen Kromm, cs867@columbia.edu  
*Dean of Undergraduate Student Life*

Jesse Adamo Grimes, ja3180@  
columbia.edu  
*Director of Administration and Planning*

**Multicultural Affairs**

505 Lerner, MC 2607  
212-854-0720

Melinda Aquino, ma2398@columbia.edu  
*Associate Dean of Multicultural Affairs*

**Intercultural Resource Center (IRC)**

552 West 114th Street, MC 5755  
212-854-0720

**Residential Life**

515 Lerner, MC 4205  
212-854-3612

Tara Hanna, tkh2105@columbia.edu  
*Executive Director of Residential Life*

**Student Engagement**

515 Lerner, MC 2601  
212-854-3612

Josh Lucas, wjl2119@columbia.edu  
*Director of Student Engagement*

**COLUMBIA COLLEGE**

208 Hamilton, MC 2805  
212-854-2441

James J. Valentini  
*Dean of Columbia College*

Lisa Hollibaugh  
*Dean of Academic Planning and  
Administration*

**CORE CURRICULUM PROGRAM****Center for the Core Curriculum**

202 Hamilton, MC 2811  
212-854-2453

Roosevelt Montás, rm63@columbia.edu  
*Director of the Center for the Core  
Curriculum*

**Art Humanities**

826 Schermerhorn, MC 5517  
212-854-4505

Branden Joseph, bwj4@columbia.edu  
*Director of Undergraduate Studies*

**Music Humanities**

621 Dodge, MC 1813  
212-854-3825

Susan Boynton, slb184@columbia.edu  
*Director of Undergraduate Studies*

**Contemporary Civilization**

514 Fayerweather, MC 2811  
212-854-5682

James Zetzel, zetzel@columbia.edu  
*Director of Undergraduate Studies*

**Literature Humanities**

202 Hamilton, 212-854-2453  
Mail Code 2811

All inquiries concerning Lit Hum should be directed to the Center for the Core Curriculum (listed above)

### **Undergraduate Writing Program**

310 Philosophy, MC 4995  
212-854-3886  
uwp@columbia.edu

Nicole Wallack, *Director*

## **GRADUATE STUDENT AFFAIRS**

### **Graduate Student Affairs**

530 S. W. Mudd, MC 4708  
212-854-6438

Tiffany M. Simon, tms26@columbia.edu  
*Associate Dean of Graduate Student Affairs*

Ellie Bastani, efb2123@columbia.edu  
*Assistant Dean of Graduate Student Services and Postdoctoral Affairs*

### **Graduate Admissions**

530 S. W. Mudd, MC 4708  
212-854-6438

Gabrielle Gannon, gg2708@columbia.edu  
*Associate Director*

Kathleen Gay, kg2581@columbia.edu  
*Assistant Director*

### **Graduate Student Life**

530 S. W. Mudd, MC 4708  
212-854-6438

Alvaro Rojas-Caamano  
*Associate Director of Graduate Student Services*

## **COLUMBIA VIDEO NETWORK**

540 S. W. Mudd, MC 4719  
212-854-6447

cvn.columbia.edu  
info@cvn.columbia.edu

Alexis Seeley, aks2186@columbia.edu  
*Executive Director of and Associate Dean of Online Education*

## **CENTER FOR CAREER EDUCATION**

East Campus, Lower Level, MC 5727  
212-854-5609  
careereducation.columbia.edu  
careereducation@columbia.edu

## **COMPUTING SUPPORT CENTER**

### **Client Services Help Desk**

202 Philosophy, MC 4926  
212-854-1919  
askcuit@columbia.edu

## **THE EARL HALL CENTER**

### **University Chaplain**

Office: W710 Lerner  
Mailing: 202 Earl Hall, MC 2008  
212-854-6242, 212-854-1493  
Jewelnel Davis, chaplain@columbia.edu  
*University Chaplain*

## **EQUAL OPPORTUNITY AND AFFIRMATIVE ACTION**

103 Low Library, MC 4333  
212-854-5511

Heather Parlier, hp2450@columbia.edu  
*Associate Provost*

Durelle Hill, dh2681@columbia.edu  
*Assistant Director*

## **Student Services for Gender-Based and Sexual Misconduct**

Wien Hall, Suite 108C  
212-854-1717

## **FINANCIAL AID (UNDERGRADUATE)**

### **Undergraduate Financial Aid and Educational Financing**

Office: 618 Lerner  
Mailing: 100 Hamilton, MC 2802  
212-854-3711  
ugrad-finaid@columbia.edu

Michael Hall, mh3036@columbia.edu  
*Executive Director of Financial Aid and Enrollment Operations*

Leah Soman, lb2566@columbia.edu  
*Director of Financial Aid Administration*

## **FINANCIAL AID (GRADUATE)**

### **Federal Loans**

Financial Aid and Educational Financing  
615 Lerner, MC 2802  
212-854-3711

Erica James, elj2127@columbia.edu  
*Associate Director of Financial Aid Systems and Data*

## **Institutional Financial Aid (Grants, Fellowships, Assistantships)**

Office of Graduate Student Affairs  
530 S. W. Mudd, MC 4708  
212-854-6438

## **GLOBAL PROGRAMS**

### **Global Programs & Fellowships**

606 Kent Hall, MC 3948  
212-854-2559  
ogp@columbia.edu

## **COLUMBIA HEALTH**

**General Info:** 212-854-2284  
health.columbia.edu  
health@columbia.edu

### **CU-EMS (Ambulance)**

212-854-5555

### **Insurance and Immunization Compliance**

John Jay, 3rd Floor, MC 3601  
Insurance Office: 212-854-3286  
studentinsurance@columbia.edu  
Immunization Compliance Office:  
212-854-7210  
immunizationcompliance@columbia.edu

### **Student Health Insurance Plan Administrators**

Aetna Student Health  
1-800-859-8471  
www.aetnastudenthealth.com/  
columbia

### **Alice! Health Promotion**

John Jay, 3rd Floor, MC 3601  
212-854-5453  
alice@columbia.edu

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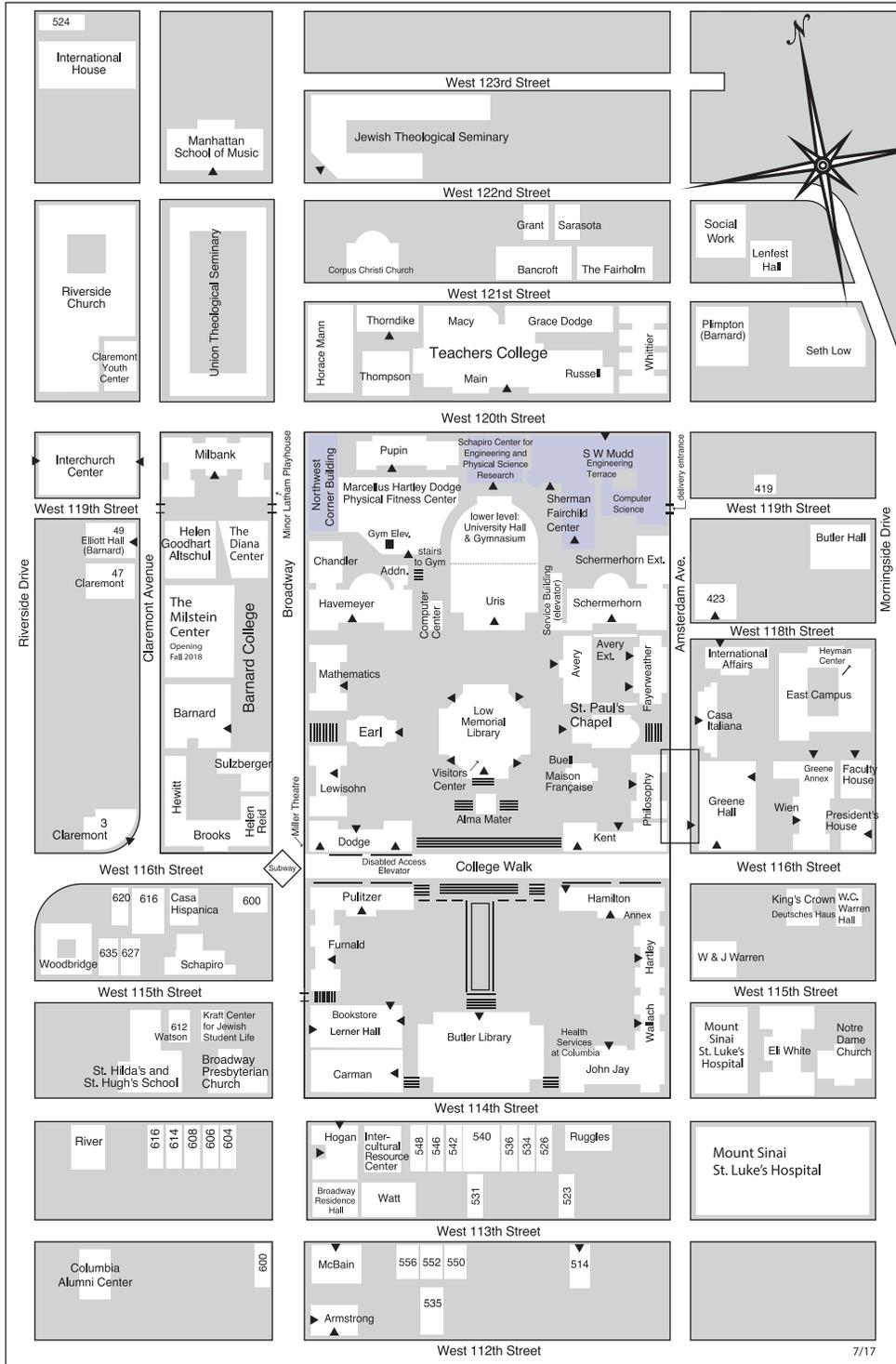
205 Kent, MC 9202  
212-854-4400

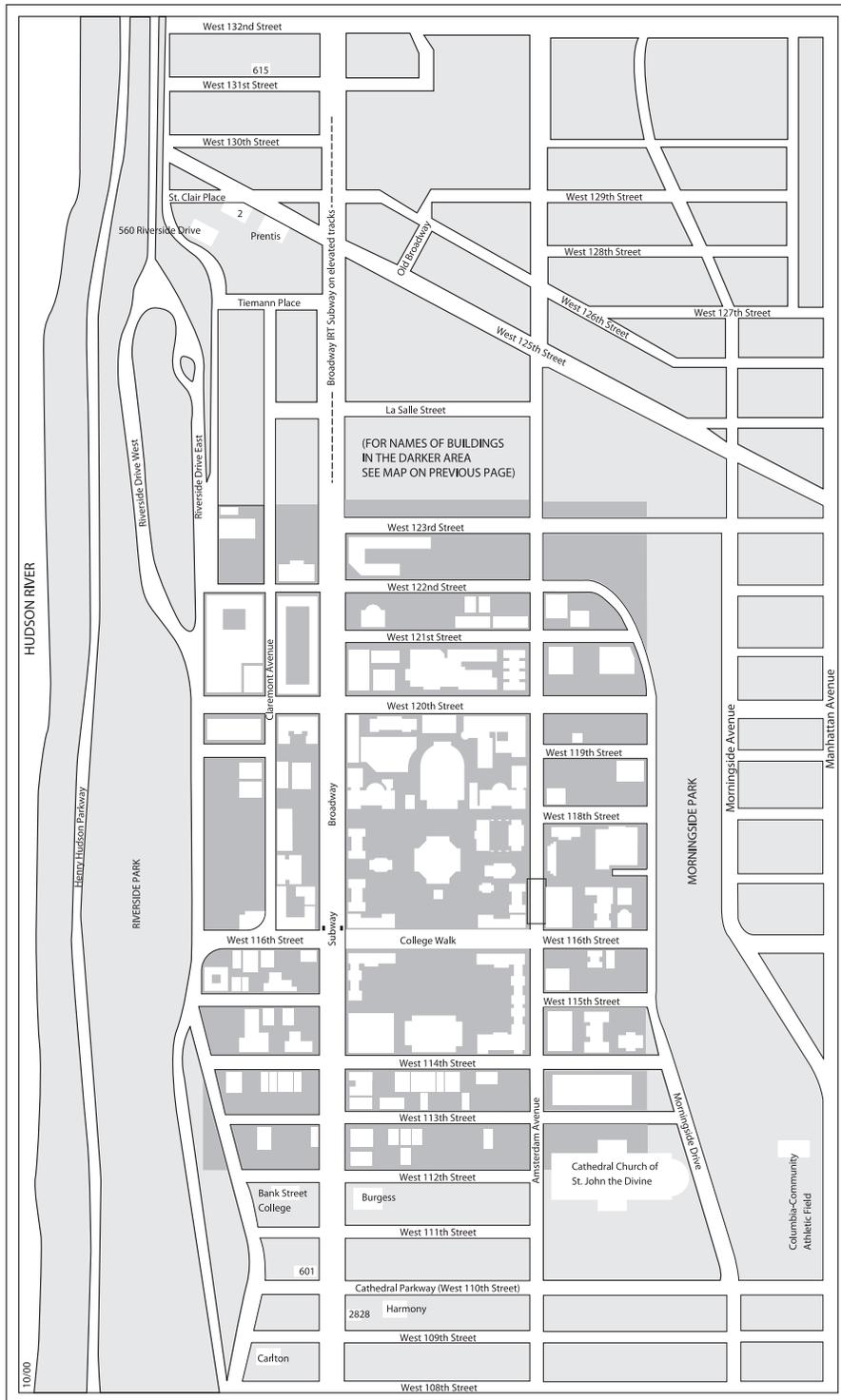
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# COLUMBIA UNIVERSITY THE MORNINGSIDE CAMPUS & ENVIRONS





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# Academic Calendar 2018–2019

The following Academic Calendar was correct and complete when compiled; however, the University reserves the right to revise or amend it, in whole or in part, at any time. Information on the current Academic Calendar may be obtained in the Student Service Center, 205 Kent, 212-854-4330, or visit [registrar.columbia.edu](http://registrar.columbia.edu).

## FALL TERM 2018

### August

17–31	Graduate orientation and graduate department orientations
26–Sept. 3	New student orientation program
20–22, 31	Registration by appointment (undergraduate)
27–29	Registration by appointment (graduate)

### September

1	Last Day to apply for October degrees
3	Labor Day, University holiday
4	First day of classes
4–7, 10–14	Change of program by appointment
14	Last day to (1) register for academic credit, (2) change course programs, (3) submit written notice of withdrawal from the fall term to the Dean of Student Affairs for full refund of tuition and special fees. No adjustment of fees for individual courses dropped after this date.
30	Last day to confirm, update, or request a waiver from the Student Medical Insurance Plan.

### October

17	October degrees conferred
18	Midterm date

### November

1	Last day to apply for February degrees
5	Academic holiday
6	Election Day. University holiday
12–16	Registration by appointment for spring 2019
15–16	Registration by appointment (graduate)
15	Last day to drop Engineering courses without academic penalty. Last day to change a grading option.
22–23	Thanksgiving holiday

### December

1	Last day to apply for May degrees
10	Last day of classes
11–13	Study days
14–21	Final examinations
22–Jan. 21	Winter recess

## SPRING TERM 2019

### January

2–4, 7–11	Registration by appointment for all classes
TBA	Graduate orientation
14–18	Registration by appointment (undergraduate and graduate)
21	Birthday of Martin Luther King Jr., University holiday
22	First day of classes
22–25,	Change of program by appointment
28–Feb. 1	

### February

1	Last day to (1) register for academic credit, (2) change course programs, (3) submit written notice of withdrawal from the spring term to the Dean of Student Affairs for full refund of tuition and special fees. No adjustment of fees for individual courses dropped after this date. Last day to confirm, update, or request a waiver from the Student Medical Insurance Plan.
13	February degrees conferred

### March

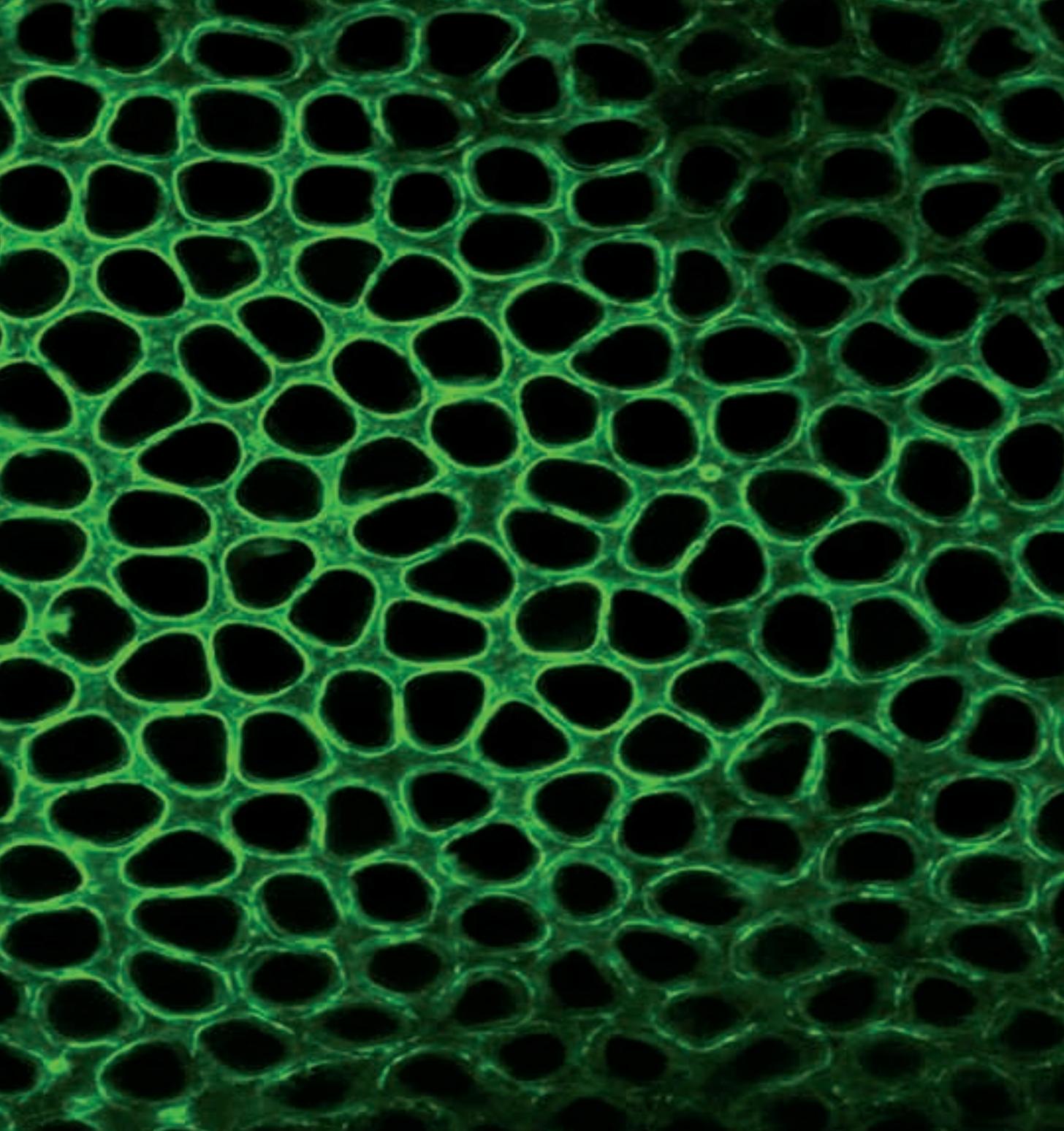
11	Midterm date
18–22	Spring recess
28	Last day to drop Engineering courses without academic penalty. Last day to change grading option

### April

15–18	Registration by appointment for fall 2019
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### May

5	Last day for continuing students to apply for financial aid for the 2019–2020 academic year.
6	Last day of classes
7–9	Study days
10–17	Final examinations
19	Baccalaureate Service
20	Engineering Class Day
22	2019 University Commencement



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